

## Early Levallois core technology between MIS 12 and 9 in Western Europe?

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2

3 **Abstract**

4 Early Levallois core technology is usually dated in Europe to the end of Marine Isotope Stage (MIS) 9,  
5 and particularly from the beginning of MIS 8 to MIS 6. This technology is considered as one of the  
6 markers of the transition from Lower to Middle Paleolithic or from Mode 2 to Mode 3. Recent  
7 discoveries show that some lithic innovations actually appeared earlier in western Europe, from MIS  
8 12 to MIS 9, contemporaneous with changes in subsistence strategies and the first appearance of  
9 early Neanderthal anatomical features. Among these discoveries, there is the iconic Levallois core  
10 technology. A selection of well-dated assemblages in the United Kingdom, France, and Italy dated  
11 from MIS 12 to 9, which include both cores and flakes with Levallois features, has been described  
12 and compared with the aim of characterizing this technology. The conclusion supports the  
13 interpretation that several technical features may be attributed to a Levallois technology similar to  
14 those observed in younger Middle Paleolithic sites, distinct from the main associated core  
15 technologies in each level. Some features in the sample of sites suggest a gradual transformation of  
16 existing core technologies. The small evidence of Levallois could indicate occasional local innovations  
17 from different technological backgrounds and would explain the diversity of Levallois methods that is  
18 observed from MIS 12. The technological roots of Levallois technology in the Middle Pleistocene  
19 would suggest a multiregional origin and diffusion in Europe, and early evidence of regionalization of  
20 local traditions through Europe from MIS 12 to 9. The relationships of Levallois technology with new  
21 needs and behaviors are discussed, such as flake preference, functional reasons related to hunting  
22 and hafting, an increase in the use of mental templates in European populations and changes in the  
23 structure of hominin groups adapting to climatic and environmental changes.

24 **Keywords:** Neanderthals; Early Levallois; Western Europe; Technology

25

26 **1. Introduction**

27 Early Levallois core technology is usually dated in Europe to the end of MIS 9, and particularly  
28 from the beginning of MIS 8 to MIS 6. This technology is considered as one marker of the transition  
29 from the Lower to Middle Paleolithic or from Mode 2 to Mode 3 (Clark, 1969), resulting in the  
30 general adoption of more complex flaking strategies and a higher standardization of products (White  
31 and Ashton, 2003; Monnier, 2006; Moncel et al., 2011, 2012; Scott, 2011; White et al., 2011; Fontana  
32 et al., 2013; Adler et al., 2014; Wiśniewski, 2014; Villa et al., 2016; Picin, 2017). Recent discoveries  
33 show that some lithic innovations actually appeared earlier in Western Europe, from MIS 12 to MIS 9,  
34 contemporaneous with changes in subsistence strategies and the first appearance of early  
35 Neanderthal anatomical features. Evidence of progressive or gradual developments in behavior is  
36 recorded from ca. 400 ka with fire use (Roebroeks and Villa, 2011; Gowlett, 2016) and ca. 300 ka  
37 through organized hunting strategies (e.g., at Schöningen in Germany; Thieme, 1997; Blasco et al.,  
38 2013; Conard et al., 2015; Rodriguez-Hidalgo et al., 2017). Likewise, paleontological studies and  
39 recent DNA analyses suggest the appearance of the earliest Neanderthal features across Western  
40 Europe in *Homo heidelbergensis* populations between 600 and 450 ka (Krings et al., 1997; Hublin,  
41 1998, 2009; Hublin and Pääbo, 2005; Orlando et al., 2006; Bischoff et al., 2007; Rightmire, 2008,  
42 Endicott et al., 2010; Green et al., 2010; Stringer, 2012; Meyer et al., 2014, 2016).

43 Among the lithic innovations, the first evidence of Levallois technology can be reinvestigated, as  
44 recent findings seem to attest to an earlier practice. A fresh look at old collections named proto-  
45 Levallois, pre-Levallois or Prepared core Technology (PCT) prior to MIS 9/8 has to be undertaken in  
46 the context of these new discoveries. Levallois technology was first identified by Boucher de Perthes  
47 (1857) with the recognition of three main criteria (preparation of the core surface, role of the  
48 convexities and subsequent detachment of one flake). The definition varied over time, changing from  
49 the production of one main end-product to various predetermined end-products (De Mortillet G.,  
50 1883; Commont, 1909; Bordes, 1950; Boëda, 1986). Experiments clarified the definition and  
51 technological requirements, often (but not always) faceted platforms, angles of percussion and  
52 management of the volume of the core (Breuil and Kelley, 1954; Boëda, 1995; Lenoir and Turq,

53 1995). All the definitions recognized that this technology enabled control of the shape and  
54 standardization of the end-products, and required general preparation of the core volume and  
55 management of core convexities. Recognition of these technological features on cores and flakes  
56 allows identification of Levallois core technology.

57 We have selected well-dated assemblages from MIS 12 to 9 where in the past both cores and  
58 flakes were described as Levallois, proto-Levallois or 'prepared cores', or have recently been found  
59 by new fieldwork. They are located from the northwest to the south of Europe in the UK (Purfleet  
60 and consideration of other occurrences), France (Cagny-la-Garenne I-II, Orgnac 3), and Italy (Guado  
61 San Nicola, Cave dall'Ollio; Fig. 1) and described as the earliest evidence for each country of Levallois  
62 core technology. These assemblages are frequently discussed with the consideration that not all the  
63 classical Levallois characteristics are found together (i.e., Malinsky-Buller, 2016b; Soriano and Villa,  
64 2017). We aim to review the attribution of these cores and flakes in the light of the new data, to  
65 characterize this technology (accidental or evidence of technical innovation), which coexisted for an  
66 extended period with earlier technologies. These technologies will be discussed by region with ideas  
67 on its origin, such as technological roots in the Middle Pleistocene, arrival of populations or diffusion  
68 from multiple areas, the relationship with new needs and behaviors, and the evolution of European  
69 populations. In the light of the recent findings, the period of MIS 12 to 9 can be considered as a  
70 threshold in cultural human evolution and testing of new technological behaviors, raising questions  
71 on how we term this important period. Are we dealing with a phase of invention, deliberate or by  
72 chance (Renfrew 1978), or innovation, namely the adoption of an invention by a large number of  
73 individuals? Determining the timing and mode of the onset of Levallois core technology in Europe is  
74 crucial to understanding how these behavioral changes developed at the inception of the  
75 Neanderthal (or *H. heidelbergensis*) way of life.

76

## 77 **2. Materials and methods**

### 78 *2.1. The corpus of sites*

79 From the north to south of western Europe, there are well-dated archaeological sites that show  
80 isolated examples of core technologies that have been identified in the past by the originality of the  
81 preparation of the flaking surface and the control of the form of the end-products. A selection of  
82 these sites, dating from MIS 12 to the end of MIS 9 and from a range of environmental and geological  
83 contexts, are reviewed to describe the variation in this technology and to discuss the attribution (or  
84 not) to an early form of Levallois core technology. These assemblages are often, but not always,  
85 associated with bifaces.

86 While from MIS 8 the recognition of Levallois is not questioned and the definition of Levallois core  
87 technology is largely agreed, the multitude of terms for earlier Levallois indicates that the recognition  
88 of this core technology older than MIS 8 is more problematic. The terms used include proto-Levallois,  
89 pre-Levallois, prepared cores, or simple prepared cores (Wymer, 1968; Roe, 1981; White and Ashton,  
90 2003). Discovery of some sites in the earlier years of the subject, led to the use of the terms proto- or  
91 pre-Levallois due to the unusual nature of the cores, which did not resemble the 'classic' Levallois  
92 cores from sites such as Baker's Hole in Britain. In the UK, this led to the adoption of the term 'simple  
93 prepared cores' in part to try and avoid the implication of an evolutionary progression that was  
94 promoted by the terms proto- or pre-Levallois (White and Ashton, 2003). Despite the adoption of the  
95 new term, it was still sometimes used to imply an early date (e.g., Bolton, 2015), even though such  
96 cores are found in both pre-MIS 8 and post-MIS 8 contexts (see below).

97 The background of the selected sites for this review are briefly described below in chronological  
98 order. The site of Cagny-la-Garenne is located in fluvial deposits of the Middle Terrace of the Somme  
99 Valley (North France). Human occupations took place between the alluvial plain and the limestone  
100 slope. The gravels have been attributed to MIS 12 based on the strong regional geological framework  
101 of the Somme (i.e., Antoine et al., 2007, 2016). The terrace system of the Somme is particularly well  
102 represented in the middle part of the valley, between Amiens and Abbeville, where a set of stepped  
103 alluvial formations is preserved by a covering of well developed loess-and paleosols. In this area, 10  
104 stepped alluvial formations have been recognized between + 5/6 m and + 55 m relative height above

105 the maximum incision of the present day valley. The summary of the data (sedimentology,  
106 bioindicators and geochronology) shows that each alluvial formation corresponds to the  
107 morphosedimentary budget of a single glacial-interglacial cycle. The glacial stages are characterized  
108 by a braided river system and mainly sand and gravel deposition while interglacial stages correspond  
109 to a meandering river system, with overbank silt deposition and marshy soil formation at the top.  
110 Interglacial.

111 The electron spin resonance (ESR) date of the formation at the site of Cagny-la-Garenne I is of  
112  $400 \pm 101$  ka, while other dates on the same alluvial formation (n°V Garenne Formation + 27–29 m)  
113 have given ages of  $448 \pm 68$  ka,  $443 \pm 53$  ka and  $403 \pm 73$  ka (Antoine et al., 2003, 2007, 2016). The  
114 dates in combination with the evidence of deposition in a cold environment suggest an MIS 12 age  
115 for the formation.

116 At Cagny-la-Garenne I, the six artifact assemblages (Level CA to CXB) were made from the locally  
117 available flint and consist of bifaces, core and flake manufacture with notches and denticulates  
118 (Tuffreau, 1987; Lamotte, 1994, 2012). Assemblages CXCA and CA are in primary context close to the  
119 Chalk slope, LJ and LG come from fluvial silts, CXB from limestone gravels and at the top CXV comes  
120 from coarse, periglacial gravels (Tuffreau and Lamotte 2010). At Cagny-la-Garenne II, 100 m from  
121 Cagny-la-Garenne I, four archaeological levels (I, J, K and L) were recovered, while at the top, five  
122 archaeological levels (I0–I4 and J) came from gravels (Tuffreau and Lamotte, 2001). Once again, the  
123 fluvial sequence is banked up against the Chalk slope. Raw material was available on site in the form  
124 of large flint nodules. All stages of core working and biface manufacture are present.

125 The site of Guado San Nicola is located in south central Italy (Molise Region). It is an open-air site  
126 systematically excavated from 2008 to 2015 over an area of  $98 \text{ m}^2$  (Peretto et al., 2016). A 20 m  
127 stratigraphic core in the immediate vicinity of the excavation, and a series of stratigraphic sections  
128 investigated in the area, have confirmed the sequence of the excavation. From bottom to top, the  
129 sequence is composed of eight stratigraphic units (S.U.). The 2 m-thick sequence is of polygenic  
130 gravelly silty and clayey deposits and contains interstratified tephra layers. It has been dated on the

131 basis of morphostratigraphic considerations and radioisotopic dating of volcanic deposits. The  
132  $^{40}\text{Ar}/^{39}\text{Ar}$  and ESR/U-series dates clearly place the archaeological occupation at the transition  
133 between MIS 11 and 10 (400 and 345 ka). Unit S.U.C., rich in lithic and faunal remains, is dated to 400  
134  $\pm 9$  ka by  $^{40}\text{Ar}/^{39}\text{Ar}$  (Pereira et al., 2016). The faunal assemblage can be attributed to the typical  
135 Galerian and to the Fontana Ranuccio Faunal Unit. The faunal assemblage is mainly composed of the  
136 remains of *Cervus elaphus acoronatus*, Cervidae indet., *Equus ferus* ssp., followed by *Palaeoloxodon*  
137 sp., *Bos primigenius* and *Stephanorhinus kirchbergensis*, *Ursus* sp. and *Dama* sp. The sedimentary  
138 succession consists of four archaeological levels (C, B\*C, B, A\*B) with lithic assemblages composed of  
139 reduction sequences of both debitage and shaping. The raw material, (mainly flint of good quality  
140 with a high degree of silicification and, more rarely, limestone) was collected from a secondary  
141 context in the form of cobbles or slabs. The main flaking methods are an opportunistic exploitation  
142 (cf. alternate platform), followed by discoidal and centripetal debitage. The reduction sequences for  
143 bifaces are not complete and lack preparation phases (Muttillo et al., 2014). They were made by  
144 direct percussion with a hard hammer and final retouch by soft hammer.

145 The site of Orgnac 3 in southeast France, first developed as a cave and then became an open  
146 doline. The archaeological sequence of 10 levels is dated through biostratigraphy and ESR, U/Th  
147 dating from MIS 9, while for levels 2 and 1 at the top of the sequence, dated by volcanic mineralogy  
148 to the beginning of MIS 8 (Combier, 1967; Debard and Pastre, 1988; Falguères et al., 1988; Masaoudi,  
149 1995; Moncel et al., 2011, 2012). ESR and U/Th give ages of 288 – 45/+ 82 ka, 309  $\pm$  34 ka and 374 –  
150 94/+ 165 ka for the bottom of the archaeological sequence (levels 5b and 6; Falguères et al., 1988;  
151 Laurent, 1989; Masaoudi, 1995), attributed to the MIS 9. Four pure calcite samples of the levels 5b–  
152 6–7 (bottom of the sequence) have been dated by U/Th by MC-ICPMS (high-precision mass  
153 spectrometry) at the Environment Change Laboratory (HISPEC, Taiwan). Dates vary between 255 and  
154 319 ka (Michel et al., 2011). Level 2 contains volcanic minerals from an eruption of the Mont-Dore  
155 volcano, eruption dated to the beginning of the MIS 8 (298  $\pm$  55 ka; Debard and Pastre, 1988). Direct  
156 dating by  $^{40}\text{Ar}/^{39}\text{Ar}$  on sanidine grains (cineritic material) has been applied to level 2 (Org-C1). The 12

157 dates are between 276 and 326 ka with an average age of  $308.2 \pm 6.8$  ka. The result is in agreement  
158 with the age of  $298 \pm 55$  ka by fission track dating (FT) on zircons (Khatib, 1994). The biostratigraphy  
159 associating large mammals, micromammals and pollen date the bottom of the sequence (levels 7 to  
160 3) to an interglacial of the Middle Pleistocene (Mourer-Chauviré, 1975; Tillier, 1991; Jeannet, 1981 ;  
161 Guerin, 1980; Gauthier, 1992; El Hazzazi, 1998 ; Aouraghe, 1999 ; Sam, 2009). Level 1 is indirectly  
162 attributed to the MIS 8 by *Hemitragus bonali* and *Ursus deningeri*. Levels 2 and 1 attest of open  
163 landscape with the replacement *Equus mosbachensis* by *Equus steinheimensis* (Forsten and Moigne,  
164 1988).

165 Pre-Neanderthal human remains were discovered in the lowest layers (Lumley de, 1981). The  
166 lithic assemblages record a mosaic of changes over time, towards Early Middle Paleolithic strategies  
167 (Moncel et al., 2012; Moigne et al., 2016). Debitage activity is dominant and bifaces have variable  
168 ratios through the sequence with less than 1% in the top levels. Thin slabs of flint were the main  
169 blanks collected locally.

170 The open air site of Cave dall'Olio is located in an alluvial context along the Northern Apennine  
171 edge near Bologna, northern Italy. The lithic assemblage was recovered in the 1970s along a  
172 stratigraphic profile brought to light by quarry activities at the top of a fersiallitic paleosol within the  
173 gravels of the River Idice at a depth of about 20 m below the present surface. The fersiallitic paleosol  
174 has been referred to the Molino Unit of the Apennine-Po Plain Quaternary stratigraphic framework  
175 dated to MIS 9, indicating a terminus ante quem for the chronology of this assemblage (Farabegoli  
176 and Onorevoli, 1996, 2000; Fontana et al., 2010). The dating of the gravels and the soil containing the  
177 lithic industry of Cave dall'Olio, as well as their paleoenvironmental interpretation, are based on the  
178 integration of data derived from the study of the profile of S. Mamante (Faenza); 22 shallow marine  
179 to terrestrial Quaternary units were produced by the long-term activity of a right transcurrent fault  
180 with various outcrop segments distributed across a sector of the Emilia-Romagna Apennines edge for  
181 a total length of more than 150 km (Farabegoli and Onorevoli, 1992, 1996). Within the reconstructed  
182 scheme, the first continental units are dated to the Upper Matuyama chron reverse period and to



183 the Bruhnes direct paleomagnetic chron. The latter contains the earliest evidence of human  
184 occupation in this area (on a stratigraphic basis Bel Poggio and Romanina Bianca are considered to be  
185 of the same age as Ca' Belvedere di Montepoggiolo with mode 1 assemblages). The sediments of the  
186 continental units correspond in most cases to the glacial-interglacial transition periods and are  
187 intercalated with 8 fersiallitic soils typical of warm interglacial phases. Correlations have been  
188 established between the different portions of the outcropping terraced deposits, which are  
189 recognizable upstream along the valley flanks. These have allowed the fersiallitic paleosoil identified  
190 at Cave dall'Olio to be referred to as the Molino Unit of the Apennine-Po plain Quaternary  
191 stratigraphic framework, dated to MIS 9. Initial studies led to classification of this lithic industry,  
192 which is dominated by debitage with evidence of manufacturing of bifaces as ancient Clactonian and  
193 proto-Levallois (Bisi et alii 1982; Lenzi and Biagioli, 1996) after the original definition by Palma di  
194 Cesnola (1967).

195 In the UK, at Purfleet, Essex, Paleolithic artifacts have been recovered from sediments exposed in  
196 four chalk quarries, in the Lower Thames Valley. From east to west, these are the Bluelands,  
197 Greenlands, Esso and Botany Pits. The pits reveal terrace deposits occupying an abandoned meander  
198 loop of the Thames as part of the Lynch Hill/Corbets Tey terrace (Bridgland, 1994), banked up against  
199 the north facing chalk slope of the Purfleet anticline. The sequence comprises gravel (Little Thurrock  
200 Member) overlain by interglacial deposits rich in faunal material (Purfleet Member) fining upwards to  
201 a silty clay and surmounted by gravel (Botany Member). An assemblage of artifacts excavated and  
202 collected by Andrew Snelling from the Botany Gravel at Botany Pit was initially described as 'Proto-  
203 Levallois' (Wymer 1968), and 'reduced' Levallois with simplified preparatory stages (Roe, 1981). This  
204 gravel reflects a return to cold climate gravel deposition following a fully temperate episode,  
205 suggesting an MIS 9/8 date, an attribution which is supported by an OSL date of 324 ka (MIS 9) from  
206 an equivalent position at Greenlands Pit (E. Rhodes, quoted in White and Ashton 2003).

207

208 *2.2. Methods*

209 For this paper we use the terminology of Boëda (1986, 1993, 1995) for the overall concepts of  
210 Levallois: a volumetric concept with six technological criteria: (1) core maintenance (lateral and distal  
211 convexities), (2) predetermination of end-products, (3) normalization of end-products, (4) potential  
212 for resharpening, (5) ramification, and (6) productivity. The lower surface of the core is devoted to  
213 the striking platform and the upper surface to Levallois flake production. To distinguish between  
214 cores, such as those from Purfleet, we use the term 'simple prepared core', without implication for  
215 an early date. As defined by White and Ashton (2003) they are cores where the striking platform has  
216 been selected, minimally prepared and orientated in relation to the pre-existing lateral and distal  
217 convexities of one flaking surface. The flakes removed from this surface tend to be larger than any of  
218 the preparatory flakes (by which the platform was created), and to flake along the surface at an angle  
219 close to 90° to the platform, rather than biting excessively into the core volume. For cores, such as  
220 those from Baker's Hole where there is a preferential, single removal, we use the term 'classic  
221 Levallois', as for the other sites of our corpus, Cagny-la-Garenne I-II, Orgnac 3, Cave dall'Olio and  
222 Guado San Nicola.

223

### 224 **3. Results**

#### 225 *3.1. Cagny-la-Garenne I and II (North France)*

226 At Cagny-la-Garenne I, from the base of the sequence (level CA) toward the top (level CXV), the  
227 appearance of flakes and cores, described in the past as proto-Levallois, increases, but always in low  
228 quantity. In the sandy levels of the middle of the sequence (levels Lj, Lg), this kind of production is  
229 rare or absent. At Cagny-la-Garenne II, three levels yielded one or two cores (levels I3, I4, J; Table 1).

230 For each layer, the main core technology is unipolar and unifacial with few scars and a  
231 prepared/cortical platform. On a small quantity of cores, various methods (lineal, unipolar, bipolar  
232 and centripetal) are employed for the extraction of the end-products with evidence on the cores of  
233 management of the distal and lateral convexities and plain or faceted platforms (Figs. 2–4). The  
234 removals extend over at least half of the main length of the core surface and their morphology is due

235 to the organization of the convexities. The preparation of the convexities tends to change from  
236 unipolar towards centripetal at the top of the sequence for Cagny-la-Garenne I. Most core sizes vary  
237 between 50 and 110 mm in maximum dimension. Among the flakes, we can identify some core edge  
238 flakes (débordant flakes) with many scars, which are probably from the preparation of the core  
239 convexities. In assemblage CXB at Cagny-la-Garenne I, there are several biface-cores with invasive  
240 removals interpreted as attempts at Levallois.

241

### 242 3.2. *Guado San Nicola (south central Italy)*

243 The Levallois assemblage is fresh and the main raw material is aphanitic and microbrecciated flint,  
244 or occasionally macrobrecciated flint and silicified limestone. It is of better quality than that used for  
245 the bifaces. The supports were ovoid cobbles and quadrangular slabs, or occasionally large flakes.  
246 Different stages of the reduction process can be identified and reveal careful preparation,  
247 management and maintenance of flaking platforms (angles ranging from 55 to 85°) and convexities  
248 (mainly centripetal), indicating the ability to prepare and reprepare cores for predetermined flakes  
249 (Fig. 5). Various methods were used in equal quantity (single preferential flake, recurrent centripetal,  
250 unipolar and bipolar) and there is evidence of faceted platforms for lineal and recurrent unipolar  
251 debitage (Table 4; Figs. 5 and 6). The Levallois cores are exhausted and some were made on flakes.

252 Overshot flakes managed the lateral and distal convexities, except for the lineal debitage with  
253 centripetal removals. Levallois flakes ( $n = 55$ ) mostly result from 'plein debitage' or reparation of  
254 the convexities, produced by unipolar and centripetal recurrent method (Fig. 6). The striking  
255 platforms are dihedral or flat, rarely faceted. Levallois points and blades, as well as retouched  
256 Levallois flakes, are extremely rare.

257

### 258 3.3. *Orgnac 3 (south east France)*

259 In the lowest levels (5b and 5a), less than 10% of the cores and less than 3% of the flakes can be  
260 classified as Levallois (Table 2; Figs. 7 and 8). The cores are unipolar/bipolar or centripetal recurrent.

261 The knapping surface indicates the utilization of the core edges, one or two partially prepared  
262 striking platforms, and maintenance of lateral and distal convexities. The 'Levallois' cores are very  
263 different to the frequent unexhausted unifacial and bifacial centripetal cores on slabs or thick flakes,  
264 where there is no sign of management of the convexities. Centripetal and Levallois cores are  
265 associated with some prismatic, polyhedral and orthogonal cores. Some 20% of the rare Levallois  
266 flakes are débordant flakes, while 30% of platforms are faceted and 10% dihedral.

267 In levels 4b and 4a, around 40% of the cores and 2–8 % of flakes can be defined as Levallois (Figs.  
268 8–10). The Levallois cores are associated again with centripetal cores. The cores on flakes again  
269 indicate evidence of predetermined flaking, preparation of distal and lateral convexities, use of hard  
270 hammer and distinctions between striking platform and flaking surface. The methods applied are  
271 again unipolar and bipolar recurrent, but the preferential flake method, not used in the lower levels,  
272 becomes the most common. In contrast to the underlying levels, the predetermined removals never  
273 cover the flaking surface. As for levels 5b-5a, the quantity of Levallois flakes is very low suggesting  
274 export of flakes. Débordant flakes total between 20 and 50% of the assemblage. The removals are  
275 mainly centripetal and the ratio of flakes with an invasive scar on the upper face increases. The size  
276 of flakes is more variable than the cores.

277

#### 278 *3.4. Cave dall'Olio and other assemblages of the Northern Apennine margin (northern Italy)*

279 The assemblage totals 494 lithic artifacts, with 71 cores, 403 retouched and unretouched blanks, 5  
280 pebble tools and 15 bifaces (Table 3; Fontana et al., 2013). Most of the assemblage was obtained  
281 from a dark colored silicified siltstone that is very abundant locally and available in large-sized  
282 nodules and pebbles (10–40 cm). Bifaces were mostly obtained from large flakes and were always  
283 worked with a small number of deep removals.

284 Cores are dominated by unidirectional recurrent schemes with either parallel or convergent  
285 removals and represent around 30% of the assemblage. Recurrent crossed methods predominate,  
286 while lineal, recurrent bipolar and centripetal flaking methods are rarer (Fig. 11). The flaking surfaces

287 were prepared by either débordants or orthogonal removals and core platforms were variably  
288 prepared. Levallois cores are associated with a few prismatic types resulting from the application of a  
289 laminar reduction process *sensu lato*—also reported as ‘direct non-Levallois reduction sequence’  
290 (Révillon, 1995 )—and with a small group of cores featuring mixed characteristics between Levallois  
291 and laminar reduction. Other methods include Kombewa, opportunistic and possibly discoid  
292 reduction.

293 Levallois end-products vary in shape and elongation according to the method applied. There are  
294 elongated blanks with parallel edges and frequently characterized by a backed edge from  
295 unidirectional parallel and bidirectional methods (with some items possibly obtained from a laminar  
296 reduction). There are also flakes with convergent and frequently déjétés lateral margins derived from  
297 application of the recurrent unidirectional convergent scheme, small-middle sized oval-shaped flakes  
298 from the lineal method and small oval and subtriangular flakes extracted with centripetal debitage.  
299 Most products measure between 40 and 90 mm in length. Platforms are generally flat while faceted  
300 types are rare (7%, including one ‘chapeau de gendarme’). Although in several cases the condition of  
301 the artifact surfaces was altered by fluvial deposition, around 30 retouched blanks were identified,  
302 especially scrapers and denticulates, including some on Levallois flakes.

303 Several other assemblages recovered during field surveys from the river terraces of the Northern  
304 Apennine edge, which are dated to the same age as Cave dall’Olio, are characterized by similar  
305 technological features. The general features of such assemblages show that this area was intensively  
306 occupied by human groups that were able to apply different predetermined debitage reduction  
307 sequences prior to MIS 9/8 (Lenzi and Nenzioni, 1996).

308

### 309 *3.5. Purfleet (UK) and other UK sites with simple prepared cores*

310 The assemblage from Purfleet consists of over 4000 artifacts, including 30 bifaces, but the vast  
311 majority are flakes (White and Ashton, 2003; Scott, 2011; Bolton, 2015). Distinguishing end-products  
312 is problematic and only rare examples of potential Levallois flakes can be identified. A sample of

313 more than 300 cores has been examined in more detail (Scott, 2011). They consist of 170 migrating  
314 platform cores, 28 discoidal cores, 80 simple prepared cores and 25 cores that are considered as  
315 Levallois. The simple prepared cores conform to the description above, while the few Levallois cores  
316 have mainly lineal exploitation (84% with one invasive removal) with a few examples showing  
317 unipolar, bipolar and recurrent techniques (with 2 to 3 removals on the flaking surface). The mean  
318 length of Levallois cores is 87 mm. The preparation method is mainly centripetal (96%) and there are  
319 also cores with proximal, lateral and/or distal preparation of the convexities (Fig. 12). The striking  
320 platform is mainly partial. There are also four core débordant flakes and overshoot distal flakes. A  
321 total of 26 flakes are considered as Levallois. They are large and elongated (mean length of 115 mm)  
322 and result from lineal exploitation with a centripetal preparation. None has faceted platforms. As  
323 the assemblage was recovered from fluvial gravel, it is not certain whether all the elements of the  
324 assemblage are contemporary. The condition of the bifaces is broadly similar to most of the cores,  
325 although some of the Levallois cores are fresher in condition. Purfleet remains the best dated and  
326 described British site showing application of the principles of Levallois flaking prior to MIS 8.

327 One of the problems with using the term simple prepared core is that this approach to core  
328 working is not rigidly defined or necessarily early, but rather reflects variation in the application of  
329 the volumetric principles of Levallois flaking. Apart from Purfleet, there are several UK sites within  
330 which simple prepared cores, or cores previously described as proto- or reduced Levallois, have been  
331 noted. Some of these are of MIS 7 age, such as Ebbsfleet in the Lower Thames Valley, where simple  
332 prepared cores are merely the application of the Levallois flaking system to small pebbles alongside  
333 full Levallois applied to larger material (Scott et al., 2010).

334 There are occasional sites that are pre-MIS 8 in age with individual pieces being reported as  
335 simple prepared cores. These include a piece described as coming from the 'upper brickearth' at  
336 Rickson's Pit, Swanscombe (Burchell, 1931; Roe, 1981), where the Thames terrace deposits date to  
337 MIS 11, and Baker's Farm in the Middle Thames from terrace deposits assigned to MIS 10–8 (Wymer,  
338 1999). Although the cores are illustrated, it is not clear in which museum they are curated and

339 cannot be physically located. They may (as with much of the Levallois material from the Middle  
340 Thames) actually come from colluvial sediments overlying and sealing the terrace (Ashton et al.,  
341 2003; Scott, 2011). Alternatively, the cores only make up a very small component of the whole  
342 assemblage, and may reflect the fortuitous end result of exploiting a nodule which favoured the  
343 application of this strategy.

344 There are other sites which contain simple prepared cores, but which are difficult to date. These  
345 include assemblages from the middle terraces of rivers, such as at Biddenham, Cuxton, Dunbridge  
346 and Woodston. The age of these sites could range anywhere between MIS 11 to possibly as late as  
347 MIS 7. The Cottages Site is one of several dolines at Caddington and is also undated, but contains  
348 simple prepared cores alongside classic Levallois material, including refitting sequences (Sampson,  
349 1978; Roe, 1981).

350 Finally, one intriguing site is Frindsbury in the Medway Valley, but again unfortunately undated.  
351 The assemblage originally consisted of thousands of artifacts recovered from within a shallow hollow  
352 in the chalk (Cook and Killick, 1924). Only 500 artifacts now survive, but include refitting groups of  
353 flakes. White and Ashton (2003) described this material as similar to that from Purfleet, with 14 of  
354 the 16 cores from the site as simple prepared. They suggested that a sequence of large refitting  
355 flakes might provide insight into the flake production at Purfleet (Fig. 12). More recent analysis of the  
356 material suggests that five of the simple prepared cores actually result from unipolar recurrent  
357 Levallois flaking, as does the refitting sequence of five Levallois flakes. There are also three classic  
358 Levallois flakes in the assemblage. Further dating is required to understand this potentially important  
359 site.

360

## 361 **4. Discussion**

### 362 *4.1. Characterization of these early technologies: Levallois or Levallois-type?*

363 The hypothesis of a controlled but not fully standardized technology has sometimes been  
364 suggested for these early lithic assemblages (i.e., White and Ashton, 2003; Malinsky-Buller, 2016;

365 Soriano and Villa, 2017). The production of classic Levallois flakes could have been accidental  
366 because these flakes did not share all the characters. Several technical features are however  
367 common to most of the assemblages:

368 (a) Flaking is already organized around a plane of intersection with asymmetrical faces hierarchically  
369 related.

370 (b) Flaking surfaces on cores show maintenance of peripheral convexities with short or more  
371 invasive removals (distal and lateral) respecting the plane of intersection. This phase precedes  
372 the removal of the predetermined product(s). One face of the core is devoted to the debitage  
373 and the opposite face is for preparing the suitable striking platform, which is made by oblique  
374 removals and is often a partial function of the form of the support and the type of management  
375 of the flaking surface. The location of the removals for the convexities determines the shape of  
376 the predetermined products. There is a hierarchy in the management of the two faces of the  
377 core.

378 (c) Flake platforms are usually plain, but occasionally dihedral and faceted.

379 (d) Selection of raw material is of good quality and with a specific morphology to reduce the shaping  
380 phase.

381 (e) Unipolar and bipolar schemes, sometimes crossed, dominate over centripetal and lineal ones.

382 (f) Some cores are on flakes with evidence of a fragmentation of the reduction sequences. Some  
383 cores show a final retouch or series of small removals on a short section of the periphery of the  
384 flaking surface, perhaps recycling as a tool or for future debitage.

385 (g) Few flakes can be related to this technology in the lithic assemblages due perhaps to a higher  
386 mobility than other flakes. Alternatively, they are just more difficult to recognize than classic  
387 Levallois flakes, especially when resulting from shaping reduction sequences. Débordant flakes  
388 and maintenance flakes exist, although in low quantity, in the assemblages.

389 These features indicate a control of the core flaking surface for some pieces and of the form of  
390 the end-products with a recurrent management of the cores and a plane of intersection. If we refer



391 to the definition (Boëda, 1986, 1993, 1995; Boëda et al., 1990), these features may be attributed to a  
392 Levallois technology similar to those observed in younger Middle Paleolithic sites.

393 However, two options exist: (1) these pieces are evidence of a mastery of Levallois technology,  
394 with occasional evidence prior to MIS 9 and certainly MIS 8, (2) they are the result of accidental  
395 technological events within the main core technology; due to the low number of pieces we have to  
396 consider whether these cores and flakes result by chance without application of a predetermined  
397 concept. Our corpus of sites can be divided in two groups: one dated confidently before MIS 9  
398 (Cagny-la-Garenne and Guado San Nicola) and one with sites dated to MIS 9 (Orgnac 3, Cave dall'Olio  
399 and some UK sites), which is usually considered as a period where the Levallois core technology is  
400 well mastered in several European regions.

401 In support of the first hypothesis (Levallois core technology), these cores do not seem to be  
402 subgroups of the main core technologies of the assemblages, where there is no sign of management  
403 of the convexities. The associated core technologies can be summarized for each site:

404 (a) At Cagny-la-Garenne I-II, cores were on flint nodules. The surfaces of these nodules were mainly  
405 flaked by a few unipolar and unipolar convergent removals using the natural, flat cortical  
406 convexity of the nodules. The cores are abandoned after some removals when the flaking surface  
407 becomes too flat.

408 (b) At Guado san Nicola, the numerous discoidal cores were managed on slabs with typical features  
409 of a discoidal debitage (unifacial or bifacial pyramidal cores). A few cores are centripetal unifacial  
410 with a plane surface and there is no evidence of a hierarchy in the debitage and the preparation  
411 of the striking platform and the convexities.

412 (c) At Orgnac 3, the lower levels mainly used flat flint slabs. Cores are thus asymmetrical with  
413 centripetal removals covering one or two surfaces, using the natural shape of the slab and the  
414 plane cortical surfaces. There is no hierarchy of the two faces and the debitage stopped when  
415 the surface became too flat, as at Cagny-la-Garenne.

416 (d) At Cave dall'Ollio, cores with centripetal removals on cortical surfaces existed in the assemblages  
417 taking advantages of the natural convexities with no evidence of preparation of convexities.

418 In support of the second hypothesis (accidental debitage), the cores attributed to Levallois share  
419 common technological features with the main production of the assemblage. These cores could be  
420 the result of accidents supported by the small number of pieces. So, how do we interpret the  
421 innovative behavior, which are removals and thus the management of convexities controlling the  
422 forms of the end-products all over the flaking and maintaining the plane debitage surface? The  
423 presence and the specific location of removals of convexities helped the flaking to become  
424 independent of the geometry of the stone and of the natural convexities. The flaking could continue  
425 even if the natural convexities did not exist anymore and increased the productivity. In most of the  
426 Lower Paleolithic core technologies, the debitage is mainly related to the stone geometry and when  
427 it was overcome, the debitage is above all discoid-type (pyramidal flaking surfaces) or polyhedral  
428 (Moncel et al., 2013, 2015). When the surface remains flat (centripetal debitage on flakes for  
429 instance), the number of removals is in general low and the debitage is uncontrolled. That raises two  
430 questions: (1) why do we observe these removals and the possible management of convexities only  
431 on some cores? (2) are these removals accidental, used by convenience to continue the flaking for  
432 longer?

433 A second feature that characterizes these cores is the location of the striking platform in close  
434 relationship to the location of the assumed controlled predetermined or Levallois' removals on the  
435 flaking surface. The peripheral striking platform (sometimes partial) was made before the  
436 management of the flaking surface, a feature often considered as a Levallois criterion by the angle  
437 and the location of the striking platform required to maintain the flaking surface.

438 If we analyze these two hypothesis in regard to our two groups of sites and the general  
439 technological features of all the cores, the small number of pieces suggest some innovations among  
440 the core technology without doubt for the MIS 11–9 assemblages, but could be accidental in the MIS  
441 12 sites.

442

443 *4.2. Local innovations from existing technologies?*

444 To explain the early occasional presence of Levallois technology in some assemblages, if not  
445 accidental, two hypotheses can be investigated: introduction of external inventions (coming from  
446 one place), or local innovations (punctual experimentation of new ideas due to internal or external  
447 pressures) possibly with earlier roots, in this case mainly Acheulean-type or at least Lower  
448 Paleolithic-type technologies.

449 Some features in the sample of sites suggest a gradual transformation of existing core  
450 technologies with the elaboration of reduction processes, such as the use of flakes for the debitage,  
451 and, as already suggested, a fusion of elements of both façonnage (bifaces) or/and debitage  
452 (discoidal and centripetal cores; ‘hierarchical cores’; Dibble and Bar-Yosef, 1995; White and Ashton,  
453 2003; Malinsky-Buller, 2016). The main core technologies at each site share some common  
454 technological features. These common characteristics between the main core technologies and the  
455 limited evidence of Levallois or Levallois-like core technology could indicate occasional local  
456 innovation from different technological backgrounds, with possible connections between groups,  
457 and would explain the diversity of Levallois methods that is observed starting with MIS 12 (Fig. 13).  
458 Evidence of removals to manage convexities with a plane of intersection on cores, and Levallois and  
459 débordant flakes tend to distinguish the few cores and flakes from the rest of the assemblages. This  
460 may be evidence of technological innovation from a wider pool of knowledge. Levallois technology in  
461 Europe is sometimes suggested to be a progressive phenomenon preceded by a preparation phase,  
462 i.e., a protostage. This proto-stage could be observed with use of the hierarchical method, which  
463 could be described as intermediate, with a limited preparation of the striking platform and lateral-  
464 distal convexities (Picin, 2017). This comes under the umbrella of ‘prepared core technology’ in the  
465 UK. Technological data from the selected sites suggests that this interpretation cannot be applied to  
466 all sites (from MIS 12–10) as in some cases, such as Guado San Nicola, Levallois technology seems to  
467 have been mastered from an early stage.

468 The emergence of this technology could also have been associated with bifacial artifacts. An in  
469 situ evolution from handaxe technology has been suggested for Cagny-la-Garenne, where biface  
470 convexities were used as core faces (Tuffreau, 1987; Mellars, 1996; DeBono and Goren-Inbar, 2001;  
471 Villa, 2009; Adler et al., 2014; White and Pettitt, 2016; Tuffreau et al., 2017). In fact, the recycling of  
472 bifaces as Levallois cores is a common feature of Somme Valley sites from MIS 12 to 7 (Tuffreau et  
473 al., 2007; Lamotte and Tuffreau, 2016). However, other sites in our sample do not provide any  
474 evidence of a technical relationship of bifaces and the emergence of Levallois flaking (Fig. 14). It  
475 could be considered as a local innovative circumstance to either reduce the thickness of a biface, or  
476 produce an expedient flake. Similar behavior is observed in Spanish and Levantine Lower Paleolithic  
477 sites with evidence of recycling (Baena et al., 2018).

478

#### 479 *4.3. Early evidence of regionalization of local traditions across Europe?*

480 While Adler et al. (2014) and Akhilesh et al. (2018) suggested an arrival of the technology from the  
481 Levant and Africa, the Levallois features of the sample of sites used in the current paper look similar  
482 to other early European assemblages with a Levallois component, suggesting a multiregional origin  
483 and diffusion of this technology. In addition there are other early occurrences, such as the sites of  
484 Atapuerca TD10 (MIS 11–10) and Ambrona (Middle complex, MIS 10?, various Levallois methods) in  
485 Spain, or Kesselt-Op-de Schans (MIS 11–8?, Levallois recurrent centripetal) and Petit-Spiennes (MIS  
486 10) in Belgium, the French sites of Aldene (TU IV, MIS 9, Levallois recurrent centripetal), Petit Bost  
487 (MIS 9, level 2, various Levallois methods), and Etrécourt (layer HUD, MIS 9, some Levallois flakes),  
488 and in the Netherlands Maastrich-Belvedere (possibly MIS 9, Site C, subunit IV–B (Roebroeks, 1988);  
489 Bourguignon et al., 2008; Brenet et al., 2008; Meijer and Cleveringa, 2009; Fontana et al., 2013;  
490 Lamotte and Tuffreau, 2015; Peretto et al., 2015; Di Modica et al., 2016; Di Modica and Pirson, 2016;  
491 Hérisson et al., 2016a, b; Ollé et al., 2016; Pereira et al., 2016; Rossoni-Notter et al., 2016; Baena et  
492 al., 2017; Van Baelen, 2017; Santonja et al., 2018). Moreover, in Italy the assemblage from Torre in  
493 Pietra, layer m, dated between 400 and 200 ka, indicates the application of discoid schemes

494 associated with Levallois reduction (Villa et al., 2016). Therefore from the end of the MIS 9 there is a  
495 large diffusion of technological choices sharing common rules, but with diverse methods, rather than  
496 isolated attempts to produce standardized end-products (Hérisson et al., 2016b; Malinsky-Buller,  
497 2016; Soriano and Villa, 2017).

498 Levallois technology clearly becomes persistent in Europe between MIS 8 and 6 over a vast  
499 territory extending from north-western Europe to the Near East, including central Europe (Tuffreau,  
500 1987; Rigaud, 1988; Lamotte and Tuffreau, 2001; White and Ashton, 2003; Brenet et al., 2008;  
501 Wiśniewski, 2014; Sánchez-Romero et al., 2016; Soriano and Villa, 2017). Some sites show Levallois  
502 schemes, often accompanied by a trend towards the production of elongated blanks (Révillon, 1995;  
503 Moncel, 2003; Kozłowski, 2014). Levallois was not the only means of standardizing debitage, with for  
504 example unipolar and centripetal débitage at Cueva del Bolomor in MIS 10–9 (Blasco and Peris,  
505 2012), the centripetal exploitation strategies seen in layer TD11 at Gran Dolina (Atapuerca) in MIS 10  
506 (García-Medrano et al., 2015), or the laminar method at Cave dall’Olio (Fontana et al., 2013).

507 Among these sites, technological features show early trends towards regionalization of traditions  
508 as early as MIS 8 (Picin et al., 2013; Wiśniewski, 2014; Picin, 2017), supporting the hypothesis of  
509 multiregional development and local roots. For instance, in south-east France, Orgnac 3 shows from  
510 MIS 9 and 8 an emphasis on centripetal Levallois debitage, while in south-west France uni-bipolar is  
511 dominant (Moncel et al., 2011). However, in central-eastern Europe several complexes with Levallois  
512 debitage are known from MIS 10 without any evidence of an Acheulean origin, such as Korolevo VI  
513 (Koulakovska et al., 2010) and Bečov I (Wisniewski, 2014). Other central European sites are younger,  
514 and considered to be evidence of arrivals of new populations during favourable climate with  
515 availability of good quality raw materials, such as Rheindahlen, Markkleeberg and Becoc I and IV  
516 (Wiśniewski, 2014; Picin, 2017). Similar hypotheses on the arrivals of new populations have been put  
517 forward for the Levant (Malinsky-Buller, 2016a; Shimelmitz et al., 2016; Shimelmitz and Khün, 2017).  
518 The onset of Levallois technology and all the standardized technologies can probably be explained  
519 through multiple modes of origin, dependent on area and latitude.

520

521 *4.4. Explaining Levallois core technology from MIS 12–9 in Western Europe?*

522 Flake selection and preference: Levallois end-products vs. other end-products. If of local origins, the  
523 reasons for the onset of this new core technology remain enigmatic in terms of its selection over  
524 other technologies. Levallois core technology is often a minor component of the assemblages,  
525 associated with different methods of production, such as discoidal (Bolomor, Ambrona), Kombewa,  
526 laminar (Cave dall'Olio), centripetal flaking and expedient (unifacial cores with some removals,  
527 orthogonal cores with two flaking surfaces and multidirectional cores), which produce a large variety  
528 of flakes (Ashton, 1992; Peris et al., 2008; Santonja et al., 2018; Vaquero and Romagnoli, 2018).  
529 When discoidal and centripetal methods are used, the flaking surfaces are not hierarchically ordered  
530 and there is no evidence of management of the convexities. The debitage uses the natural forms of  
531 the blank and the previous removals for guiding the production. End-products are often thick and the  
532 form badly controlled.

533 Comparison of the size of Levallois-type cores and flakes to other end-products is not consistent.  
534 At Guado San Nicola, despite differences in size between preferential and recurrent flakes, Levallois  
535 products are similar in size to other end-products. In contrast, at Orgnac 3, Levallois flakes are among  
536 the largest end-products of the assemblages (two groups with lengths of 30–50 mm and 65–70 mm  
537 for Levallois flakes; Fig. 15). At Purfleet, Levallois cores are slightly smaller (87 mm) than discoidal  
538 and simple prepared cores (93–97 mm). The angles and length of cutting edges on Levallois products  
539 do not seem to differ between the assemblages.

540 The morphology of Levallois end-products also varies between sites and regions with different  
541 quantities of flakes, elongated flakes or points. Points dominate some sites in north-west Europe  
542 compared to both flakes and points in the south.

543 Compared to less elaborate core technologies, a better control of the form of Levallois products  
544 and a higher productivity of Levallois cores through maintenance of convexities, where all products  
545 could be used, seems to be the main focus. This is perhaps linked to an increase in the use of mental

546 templates by populations (Lycett et al., 2016). Villa et al. (2016) suggest that Levallois technology  
547 provided thinner products compared to Lower Paleolithic-type methods. These products did not  
548 require retouch, the form being predetermined, or the 'one tool, one task' of Douze and Delagnes  
549 (2016). The morphological regularity of flakes seems to have led to a reduction in retouched products  
550 (Eren and Lycett, 2015). For instance at Orgnac 3, the ratio of flake-tools decreases with the increase  
551 in frequency of Levallois core technology (ratio of flake tools of 6% in level 1), while the numerous  
552 small flakes (10–15 mm long) produced at the end of the Levallois reduction process and from the  
553 cores on flakes, are never retouched (Moncel et al., 2011). At Guado San Nicola, retouched Levallois  
554 flakes are extremely rare, with just a few scrapers (Peretto et al., 2015). This decrease in flake  
555 modification could have been a cost-benefit in energy. The selection of good quality raw materials  
556 (for instance at Orgnac 3) also suggests that attention was paid to this type of debitage.

557 Functional reasons: For hunting and hafting? In parallel to the increase of hunting in subsistence  
558 strategies and some changes in land use patterns (e.g. Moncel et al., 2011), the onset of Levallois is  
559 sometimes explained by the appearance of hafting of stone points and the use of points as  
560 projectiles (Villa et al., 2009; Hardy et al., 2013; Rots, 2013; Iovita and Katsuhiko, 2016). Stone points  
561 are often considered as light penetrative tools (Knecht, 1997), and more effective than wooden  
562 spears (see Schöningen, MIS 9; Böhner et al., 2015). The early evidence of hafting at Kathu Pan  
563 (South Africa), dated to 500 ka, shows points with modification near the base and damage from  
564 hafting (Wilkins et al., 2012). The emergence of the Middle Stone Age tradition in East Africa is  
565 related to convergent tool technology (Douze and Delagnes, 2016). Modification on small flakes at  
566 Geshert Benot Yakov (GBY) is also argued to be evidence of hafting as early as 900 ka (Alperson-Afil  
567 and Goren-Inbar, 2016). However, the development of Levallois technology is only associated with  
568 the more dominant production of points in north-west Europe, rather than southern Europe. The  
569 lithic assemblages of Cagny-La-Garenne I and II and the other sites during MIS 12 clearly do not  
570 indicate an emphasis on triangular flake production, but far more oval and rectangular removals.  
571 Moreover, microwear analyses indicate that Levallois products were not systematically single

572 purpose tools and also show that form does not necessarily indicate function. Despite little hafting  
573 evidence clearly recorded in the European Levallois (Ben-Dor et al., 2011; Rots et al., 2011; Rots,  
574 2013; Iovita and Katsuhiko, 2016; Villa et al., 2016), some patterns show however that Neanderthals  
575 were able to haft stone tools and use glues (Mazza et al. 2006; Kozowik et al., 2017) indicating  
576 common capabilities to modern humans characterized by abstraction and planning ability (Villa et  
577 Roebroeks, 2014; Soressi, 2016).

578 The role of Levallois products consequently remains obscure in terms of form and awaits more  
579 microwear analyses to clarify the specific uses of these tools. At Guado san Nicola, among the 75% of  
580 the studied artifacts, only 2–4.5% show traces ( $n = 82$ ). Some Levallois flakes carry microtraces with  
581 one or two different zones of use with the same activity. All show predominantly animal carcass  
582 processing and occasionally plant use with mainly longitudinal actions from cutting (Berruti, 2017).  
583 Flakes from Levallois, other core technologies and bifaces equally show occasional wood-plant use  
584 (Peretto et al., 2015). At Orgnac 3, the development of the use of Levallois core technology is related  
585 to changes in landscape use, with seasonal and specialized occupations focused on horse hunting,  
586 such as in level 1 (Moncel et al. 2012).

587

#### 588 *4.5. Increase in the mental templates over time?*

589 If control of the core knapping surface was a major initial feature, the innovation of Levallois  
590 could have been in parallel with the long process of the acquisition of Neanderthal features  
591 (accretion model; Hublin, 2009) and could be compared to similar isolated attempts that are  
592 observed in some sites older than 400 ka in Africa (Pope et al., 2017). This process could explain why  
593 this technology became dominant in many areas through the Middle Paleolithic and why it did not  
594 emerge earlier (e.g., Lycett and Eren, 2013).

595 For instance, the Oldowan assemblage of Nyabusosi (Uganda) dated to 1.5 Ma shows the  
596 hierarchical relationship of core surfaces (Texier, 1995). The Early Acheulean site of Peninj (1.6–1.2  
597 Ma, Tanzania) shows some evidence of the preparation of core convexities, as at Wonderwerk (800–



598 500 ka, South Africa), GBY with giant Kombewa and 'Levallois' flakes (900–800 ka, Israel), or la Noira  
599 (700 ka, France; Texier and Roche, 1995; de la Torre et al., 2003 ; Tyron et al., 2006; Moncel et al.,  
600 2013; Chazan, 2015; Leader et al., 2018). In the past, the different Victoria West methods have been  
601 considered as para-, proto- or pre-Levallois evidence, with large, wide asymmetrical flakes removed  
602 through planning from the core edge by radial or centripetal flaking (Bordes, 1950; McNabb, 2001;  
603 Mourre, 2006; Sharon et al., 2009). Similarly, the Tabelbala-Tachenghit method or the Kombewa  
604 technique used the bulb of the ventral face of a flake and were described as a 'preferential-flake  
605 method' (Boëda, 1995). The onset of actual Levallois technology is also observed in East Africa by  
606 early modern humans with embedded roots as early as 500 ka with local, gradual changes in the  
607 Middle Stone Age (Douze and Delagnes 2016; Deino et al., 2018; Potts et al., 2018). Meanwhile at  
608 Misliya cave (Israël), at around 200 ka, there is full Levallois with modern human remains  
609 (Hershkovitz et al., 2018).

610

#### 611 4.6. Emergence of Neanderthals or adaptation of hominins to climatic and environmental changes?

612 If we consider the paleoanthropological record in Europe from MIS 13 to 9, data indicate  
613 complexity in the acquisition over time of Neanderthal characteristics among *H. heidelbergensis* and  
614 Middle Pleistocene populations (Hublin, 2009; Manzi et al., 2010). In western Europe, the anatomical  
615 diversity of fossils suggests pre-Neanderthal regional groups, perhaps persistent forms of *H.*  
616 *heidelbergensis* (Ceprano skull dated to 350 ka), as shown by the genetic variability (Rightmire, 2008;  
617 Fabre et al., 2009; Manzi et al., 2010; Walker et al., 2011; Stringer, 2012; Meyer et al., 2016;  
618 Rightmire, 2017).

619 Neanderthal characteristics were evolving in Europe as far back as MIS 11 and possibly earlier  
620 (Hublin, 2009; Stringer, 2012). An earlier divergence time (>430 ka) between Neanderthals and  
621 Denisovans was inferred from the nuclear DNA sequence from Sima de los Huesos, whereas mtDNA  
622 places these populations closer to one another (Meyer et al. 2014). During the time span of MIS 12 to  
623 MIS 7 (ca. 460–250 ka), Neanderthal populations may have experienced a period of isolation, but

624 contact with African lineages postdating the divergence from the Denisovans is also suggested  
625 (Arsuaga et al., 2014; Meyer et al., 2016; Hublin et al., 2017; Richter et al., 2017; Bermúdez de Castro  
626 et al., 2019).

627 At the moment, correlations between types of hominin and technological innovations are not  
628 evident (see Levallois evidence in the Ceprano basin contemporaneous with the skull; Pereira et al.,  
629 2018). Technological convergence could exist in many places with a variety of hominins, such as *H.*  
630 *heidelbergensis*, *Homo neanderthalensis* and *Homo* sp. (DeBono and Goren-Inbar, 2001; Tyron, 2006;  
631 Adler et al., 2014). Neanderthal anatomical features developed in parallel, as with other hominins at  
632 this time, with an increase in brain size, but also changes in life history, such as an extended  
633 childhood and an adolescent phase (Kyriacou and Bruner, 2011). This allowed an increase in the  
634 capability to transmit more complex technological behaviors through social learning (Nowell and  
635 White 2010; White et al. 2011). Similar developments in East Africa could explain the onset of  
636 Levallois technology among modern human populations. If we consider the low number of 'Levallois'  
637 pieces or the eventuality of an event by chance in parallel to some innovations (hierarchical  
638 organization on some cores), the phenomenon would indicate (1) a progressive development of the  
639 use of mental templates and (2) a technological shift in some areas. This progressive development  
640 could have found its roots among *H. heidelbergensis* (and Middle Pleistocene populations), not just  
641 after the speciation to Neanderthals.

642 The numerous paleoclimatic archives show a transition from 1.25 Ma up to 0.7 Ma (Mid-  
643 Pleistocene Revolution) with a change of the dominant periodicity of climate cycles from 41 ka to 100  
644 ka. Combining different archives over the last 800 ka, some particularly marked interglacials (MIS 19,  
645 15, 11, 9 and 5) and glacial maxima (MIS 16, 12 and 2) have been identified (Jouzel et al., 2007).  
646 Some of the earliest Levallois evidence is during MIS 11 (Schreve, 2001; Geyh and Müller, 2005;  
647 Nitychoruk et al., 2006; Roe et al., 2009; Rohling et al., 2010; Blain et al., 2015; Limondin-Lozouet et  
648 al., 2015; Picin, 2017). This period of time (post MIS 12, MIS 11) is crucial, characterized by a large  
649 biodiversity, large-scale faunal dispersion associated with the regionalization of mammal

650 communities and hominin morphological variability (Stiner, 2002; Bar-Yosef and Belmaker, 2011;  
651 Dennell et al., 2011; Palombo, 2015). Such a long-lasting interglacial (MIS 11) after a harsh glacial  
652 (MIS 12) could have favored more sustained vegetational systems and hence more stable hominin  
653 occupation and connections between groups in Europe dependent on latitude (Guthrie, 2001;  
654 Ashton et al., 2017, 2018). However, climate does not seem to play a great role in the earliest onset  
655 of Levallois from MIS 12–9. It appears during both cold and temperate events in various areas. But  
656 climate change was certainly important for diffusion and some breaks in occupation in some areas  
657 due to climatic constraints, and could explain the introduction of this new technology such as the UK  
658 during periods of lowered sea levels.

659

## 660 **5. Conclusions**

661 Between MIS 12 and 9, elements of Levallois technology, some probably intentional, are found  
662 intermittently over a vast area in both northern and southern Europe, and are sometimes  
663 accompanied by a trend towards the production of elongated blanks. The lithics seem to be evidence  
664 of a technological shift in some areas rather than production by chance. Levallois core technology  
665 before the end of MIS 9 to the beginning of MIS 8 remains rare (Fig. 1). From MIS 8, it was diffused all  
666 over Europe and appears to have been a phase of diversification rather than the initial stage. A  
667 discontinuity between the earliest and youngest phases during MIS 7–6 is open to question, as in  
668 East Africa with the isolated early appearance of laminar debitage at 500 ka (Roure Johnson and  
669 McBrearty, 2010). Is there a progressive phenomenon preceded by a preparation phase, i.e., a  
670 protostage ('prepared core technology' and invasive removals on bifaces)? Due to the small number  
671 of sites and their distribution over a large area, we do not know if there is clear evidence of a  
672 transition. It is not known whether the isolated evidence of Levallois is due only to innovation (in  
673 situ), with multiple convergence or roots in the Acheulean in a variety of environments and  
674 geographic situations, or also to invention from outside Europe that by its diffusion may have

675 certainly been enhanced by contacts and exchanges of experiences between different groups (Foley  
676 and Mirazón Lahr, 1997; Hublin, 2009; Stringer, 2012).

677 The only certainty is the apparent parallel development with the earliest appearance of Levallois  
678 core technology and some behavioral changes affecting subsistence, land use and mobility of  
679 populations during and after MIS 12 in Europe as shown by the longer distances for raw material  
680 procurement and more specialized hunting. Cultural and technical expertise of these populations  
681 allowed integration of strategies for making, using, transporting and discarding tools, and the  
682 materials needed for their manufacture and maintenance. However, the development of the Early  
683 Middle Paleolithic through Europe is not only related to the innovation of Levallois, which appeared  
684 later in some regions, indicating a diversity of trajectories.

685 To conclude, it is important to note that these isolated onsets of Levallois are associated with an  
686 increase of archaeological data and human activity all over Eurasia after the Anglian or Elsterian  
687 glaciation (MIS 12), which is considered as a major turning point. The increase in the quantity of sites  
688 raises the question of whether this is due to better preservation or reflects larger populations, while  
689 genetic data indicates a decrease of the population size after 500 ka (Meyer et al., 2014). Cultural  
690 complexity in the form of Levallois technology does not necessarily reflect demographic expansion  
691 (Vaesen and Collard 2016), just as an increase in population does not always lead to diffusion of  
692 behavioral changes if populations are poorly connected (Premo and Hublin, 2009; Roger, 2017). Data  
693 on the Early Middle Paleolithic (MIS 8–6) indicate both a large diversity of technical expertise among  
694 groups and some trends of regionalization before a more pronounced regionalization of traditions  
695 during the Late Middle Paleolithic (MIS 5–3; Baena et al., 2017; Carmignani et al., 2017). Middle  
696 Paleolithic features emerged as a mosaic as early as MIS 12, including a more complex management  
697 of local resources and the use of long-distance lithic raw materials in short-term recurrent  
698 occupations. This suggests local innovations. The degree of mobility of human groups and  
699 connections between different groups is difficult to estimate, depending on topography and climate,  
700 and estimating the type and size of the European population is open to discussion, ranging from a

701 well-connected metapopulation or to more isolated networks of sites with small populations  
702 (Bocquet-Appel and Degioanni, 2013; Collard et al., 2013; Derex and Boyd, 2016; Fogarty and  
703 Creanza, 2017; Grove, 2016, 2017; Ríos et al., 2019).

704 Finally, we have to keep in mind that from at least 500 ka, two technological worlds existed in  
705 Eurasia with western Europe standing in contrast to a large area from central Europe to central Asia.  
706 In western Europe, the Acheulean and other Lower Paleolithic behaviors are commonly referred to  
707 *H. heidelbergensis* and early Neanderthals from 700 ka, while in central Europe, the traditions are  
708 considered as 'Micro and Pre-Mousterian' without bifacial technology (Kozłowski, 2014; Moncel et  
709 al., 2015; Golovanova and Doronichev, 2017). Regional differences persisted in Middle Paleolithic  
710 traditions from MIS 6 between these two areas (for instance Micoquian in Central Europe) and  
711 perhaps between populations (human colonization from Asia from the Middle Pleistocene?) despite  
712 a common technical background in core technologies. This feature is unexplained so far and may be  
713 due to at least some late exchanges between populations. The pre-existing Lower Paleolithic  
714 certainly had a structuring effect on the different adaptive options selected by hominin groups over  
715 Europe. The key question now is why Levallois technology became the dominant technological  
716 strategy even if other technologies were also used during the Early Middle Paleolithic.

717

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1265

1266 **Figure captions**

1267

1268 **Figure 1.** Location of the sites with some early evidence of Levallois core technology (black rounds).  
1269 The gray surface indicates the Levallois extension at the end of the MIS 9. The dark gray surface  
1270 indicates the extension from the MIS 8.

1271

1272 **Figure 2.** Cagny-la-Garenne I. Level I3 (Middle of the alluvial sequence): preferential Levallois cores.  
1273 The numbers indicate the order of the removals. Drawings and pictures: A. Lamotte.

1274

1275 **Figure 3.** Cagny-La Garenne I – Level I4 (Middle of the alluvial sequence): preferential Levallois cores.  
1276 The numbers indicate the order of the removals. Drawings and pictures: A. Lamotte.

1277

1278 **Figure 4.** 1) Cagny-La-Garenne II – Level J (beginning of the alluvial sequence). 2) Cagny-la-Garenne I  
1279 – Level CXV (final alluvial sequence). Both preferential Levallois cores. Drawings and pictures: A.  
1280 Lamotte.

1281

1282 **Figure 5.** Guado San Nicola: 1–3) recurrent Levallois core on flint. Arrows indicate the direction of the

1283 removals (thick arrows for the 'Levallois' removals and thin arrows for the striking platform).

1284 Drawings B. Muttillo, modified.

1285

1286 **Figure 6.** Guado San Nicola: 1) Levallois flake on silicified limestone; 2–10) recurrent Levallois flakes  
1287 and points on flint. Drawings B. Muttillo, modified.

1288

1289 **Figure 7.** Orgnac 3: flakes described as Levallois (level 5b). 1) flake with centripetal removals and  
1290 convexity scars, curved cross-section; 2) flake with convexity scars; 3) backed flake with centripetal  
1291 removals and convexity scars; 4) flake with centripetal removals, curved cross-section Drawings:  
1292 M.H.M., modified from Moncel (1999).

1293

1294 **Figure 8.** Orgnac 3, level 4b (1, 2) and level 5a (3). 1) core with an invasive removal; 2) flake described  
1295 as Levallois; 3) core with bipolar invasive removals. Arrows indicate the direction of the removals  
1296 (thick arrows for the 'Levallois' removals and thin arrows for the convexities scars). Drawings:  
1297 M.H.M., modified from Moncel (1999).

1298

1299 **Figure 9.** Orgnac 3 - Level 4b: 1, 3–6 flakes described as Levallois; 2) backed flake. Arrows indicate the  
1300 direction of the removals. Drawings: M.H.M., modified from Moncel (1999).

1301

1302 **Figure 10.** Orgnac 3: Level 4a: 1) core with centripetal removals; 2–4) flakes described as Levallois.  
1303 Arrows indicate the direction of the removals (thick arrows for the 'Levallois' removals and thin  
1304 arrows for the striking platform/convexities scars). Drawings: M.H.M., modified from Moncel (1999).

1305

1306 **Figure 10.** Cave dall'Olio, Levallois cores and blanks: 1) bidirectional core; 2) unidirectional  
1307 convergent core; 3) lineal core; 4–6) Levallois blanks. Arrows indicate the direction of the removals  
1308 (thick arrows for the 'Levallois' removals and thin arrows for the striking platform/convexities scars).

1309 Drawings and pictures: F. Fontana.

1310

1311 **Figure 12.** British sites: 1–4) simple prepared flint cores at Purfleet Botany Pit (Essex); 5) group of

1312 refitting flakes from Frindsbury (Kent). Pictures: N. Ashton.

1313

1314 **Figure 13.** Local innovations of an Early Levallois core technology over time in Europe.

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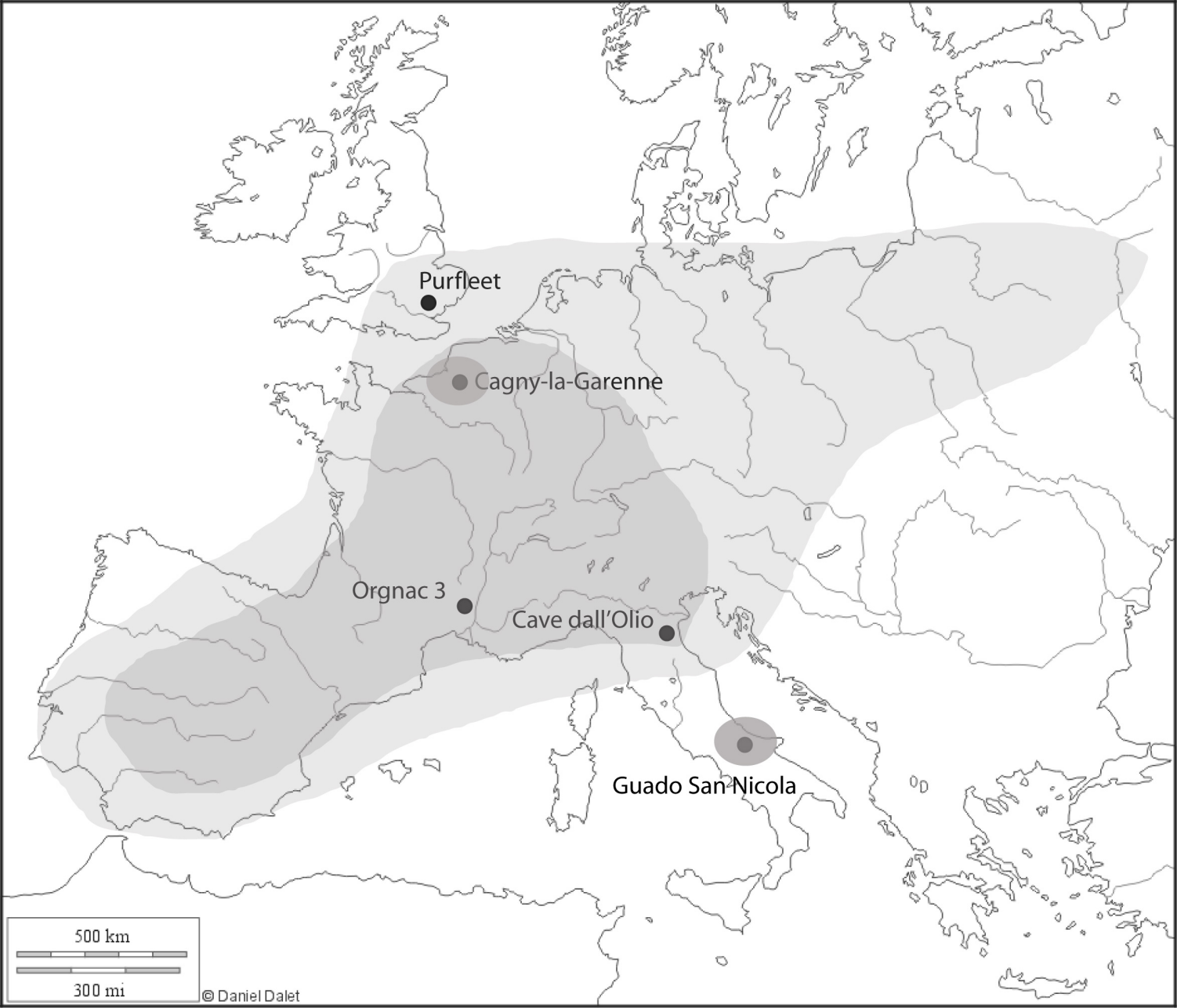
1316 **Figure 14.** Cagny-la-Garenne I - level CXB: invasive removal on a biface. Photo A. Lamotte

1317

1318 **Figure 15.** Comparison of length of Levallois flakes and the other flakes for the levels 4a to 5b at

1319 Orgnac 3.

1320



Purfleet

Cagny-la-Garenne

Orgnac 3

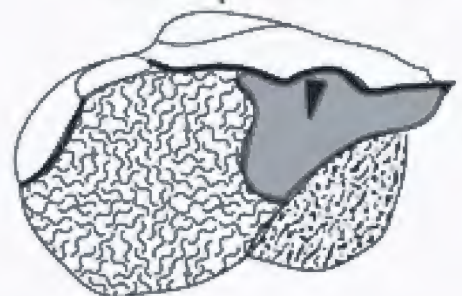
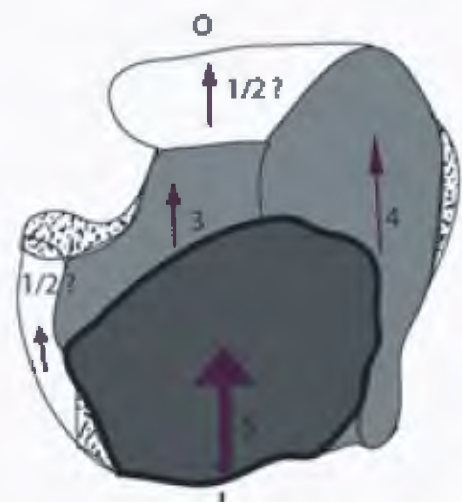
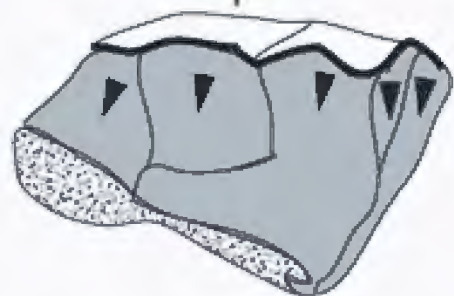
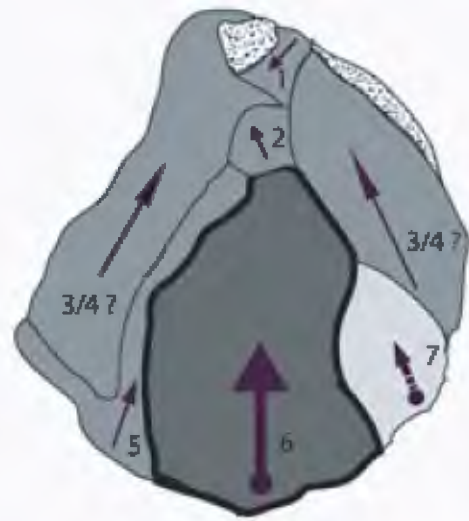
Cave dall'Olio

Guado San Nicola

500 km

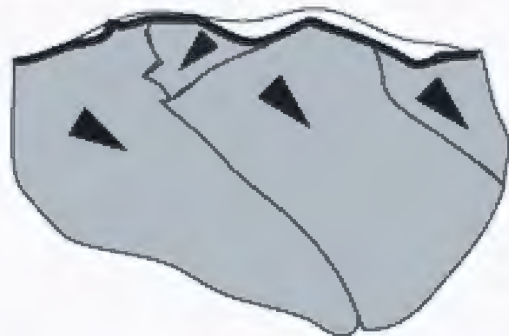
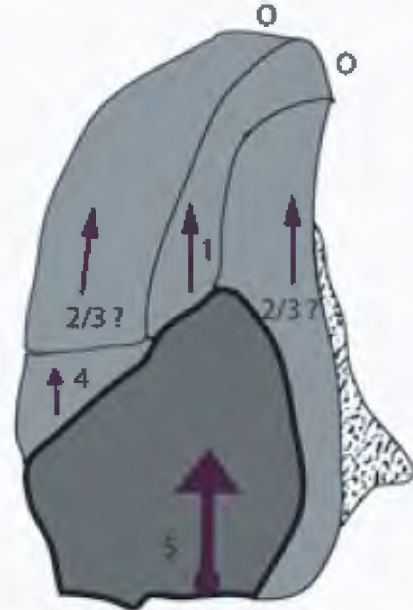
300 mi

© Daniel Dalet



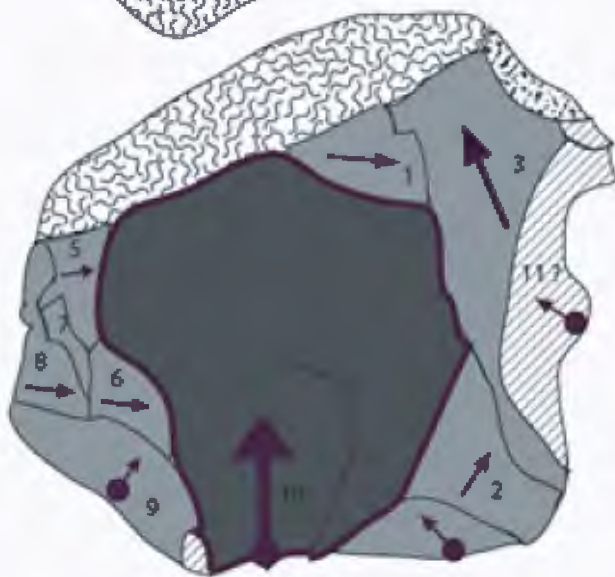
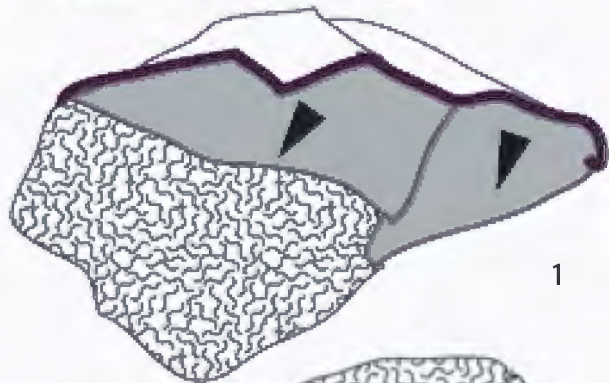
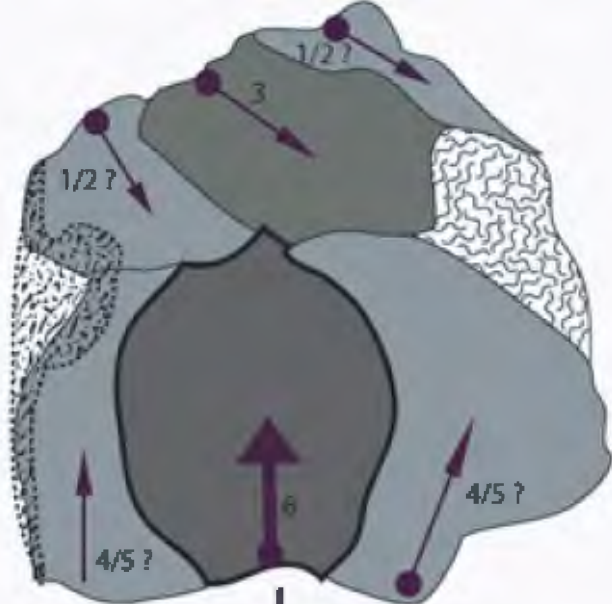
- Flakes from the knapping platform
- Predeterminant flakes
- Preferential flake
- Gelifract
- Cortex zone






- Direction of flakes from the knapping platform
- Direction of flakes without negative bulb
- Direction of flakes with trace of negative bulb
- Last retaken flake
- Over shot flake







- Flakes from the knapping platform
- Predeterminant flakes
- Preferential flake
- Cortex zone
- Gelifract
- Retaken flake
- Direction of flakes from the knapping platform
- Direction of flakes without negative bulb
- Direction of flakes with trace of negative bulb
- Over shot flake



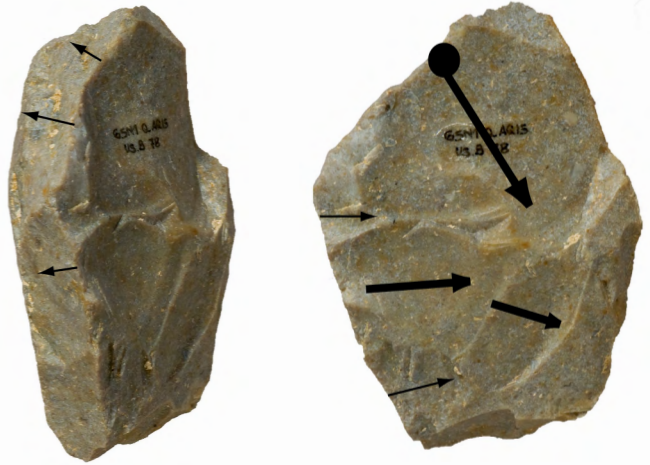


-  Flakes from the knapping platform
-  Predeterminant flakes
-  Preferential flake
-  Cortex zone
-  Gelifract

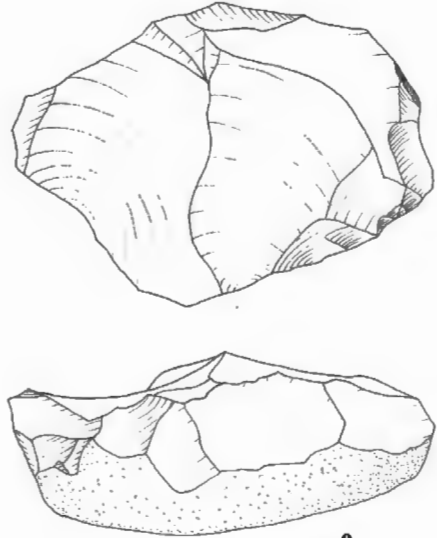
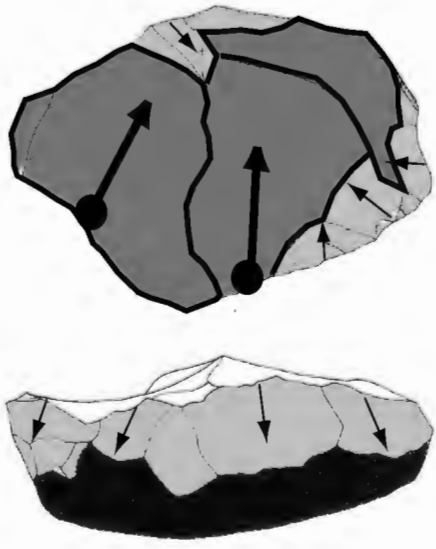
-  Direction of flakes from the knapping platform
-  Direction of flakes without negative bulb
-  Direction of flakes with trace of negative bulb
-  Retaken flake

- Cortical patches
- Predetermined flakes
- Flakes from the striking platform and convexities

- Direction of flakes with trace of negative bulb
- Direction of flakes without negative bulb

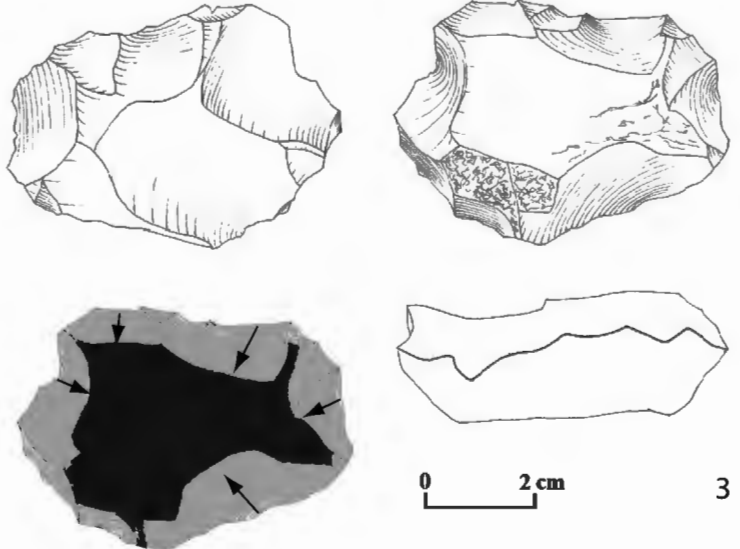


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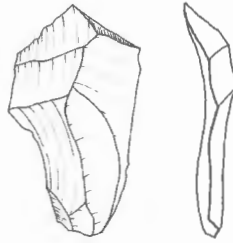
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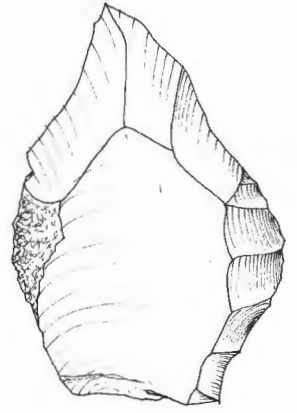
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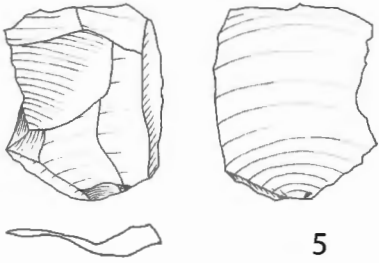
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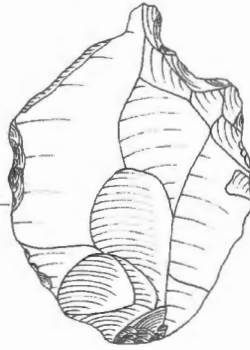
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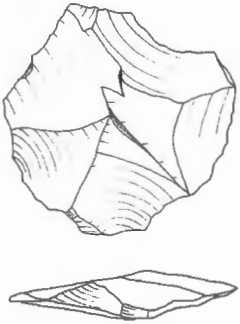
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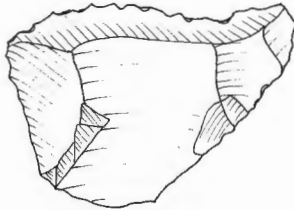
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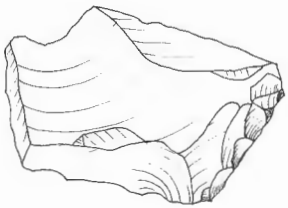
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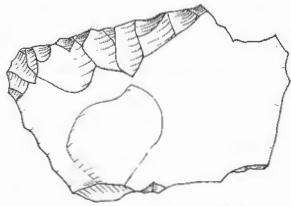
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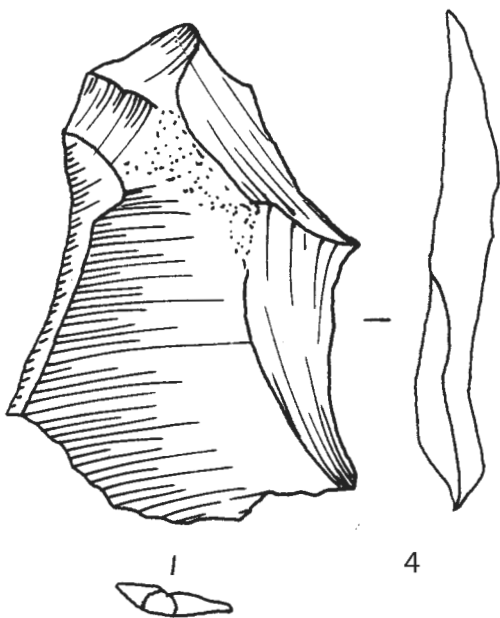
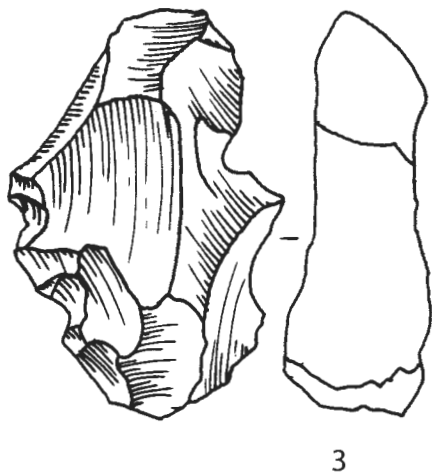
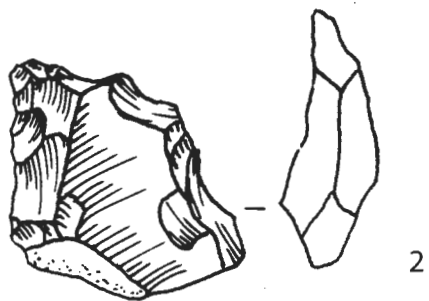
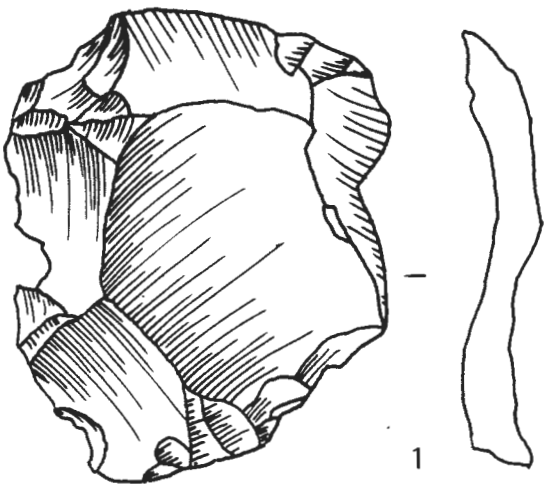


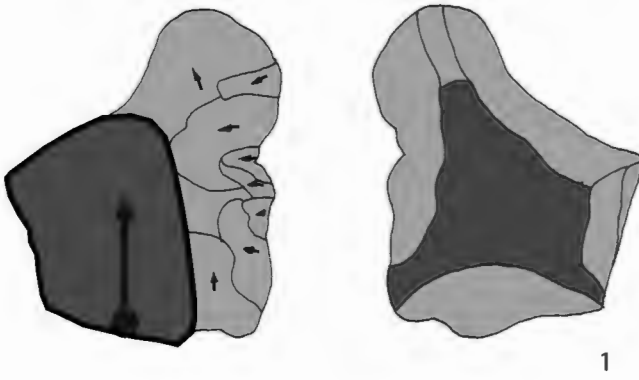
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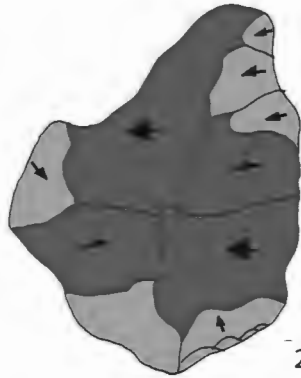
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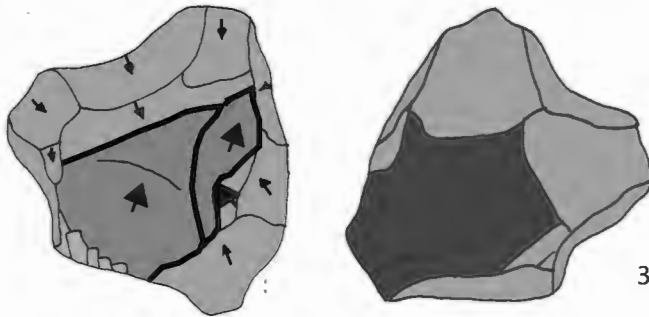




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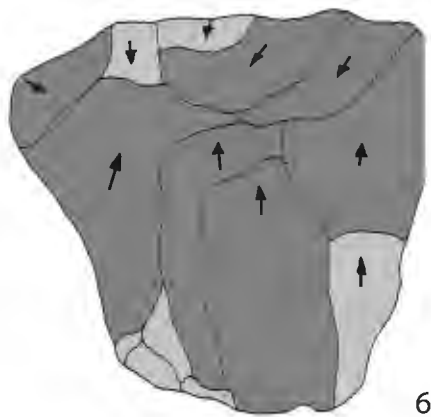
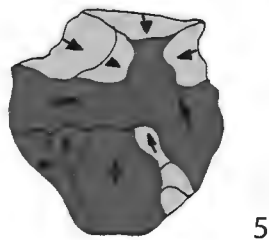
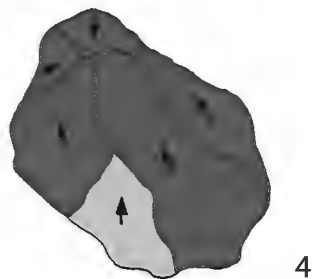
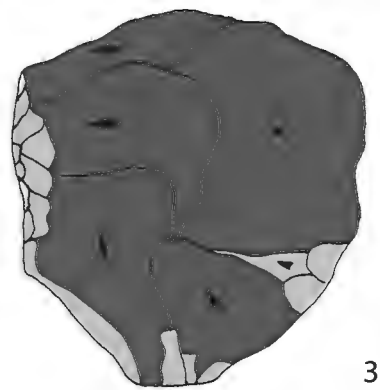
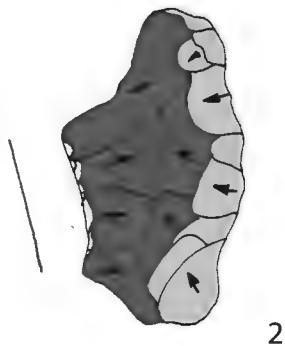
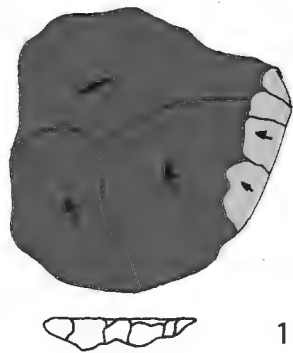


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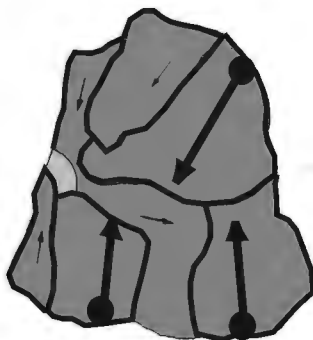
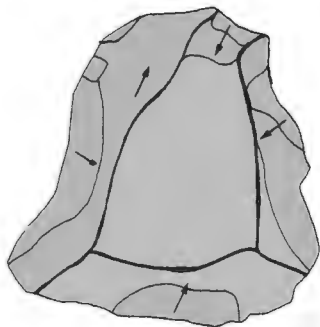


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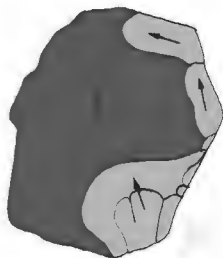
- Cortical patches
- Predetermined flakes
- Flakes from the striking platform and convexities
- Direction of flakes with trace of negative bulb
- Direction of flakes without negative bulb



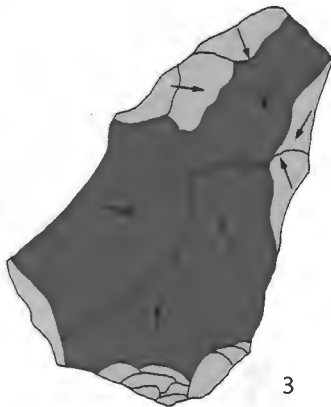
■ Predetermined flakes  
■ Flakes from convexities



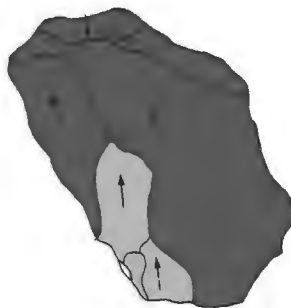
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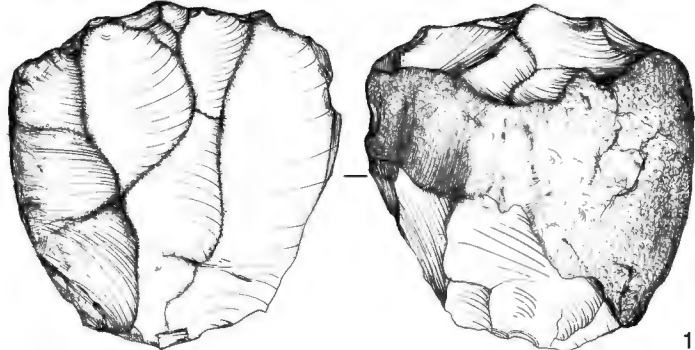
 Predetermined flakes  
 Flakes from the striking platform and convexities



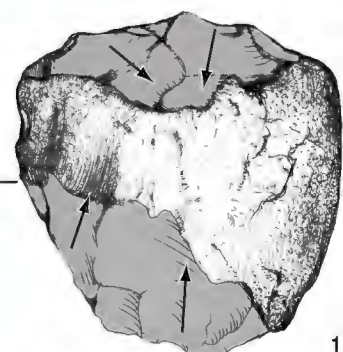
Direction of flakes with trace of negative bulb



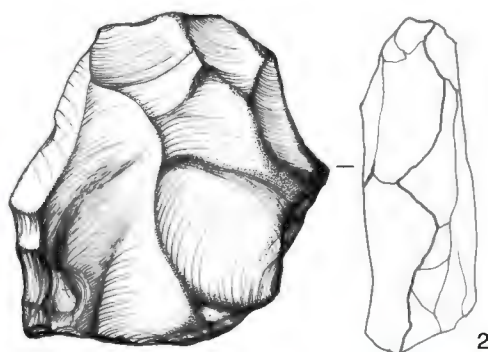
Direction of flakes without negative bulb



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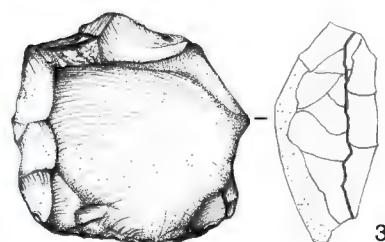
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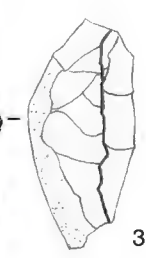
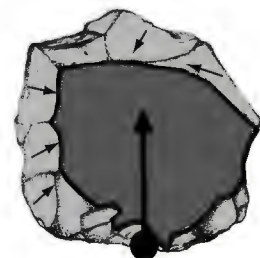
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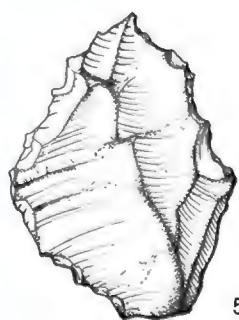
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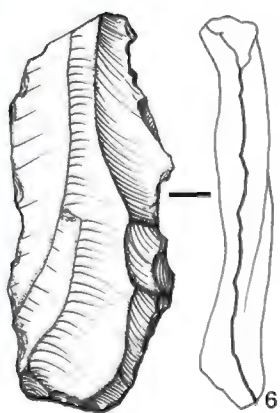
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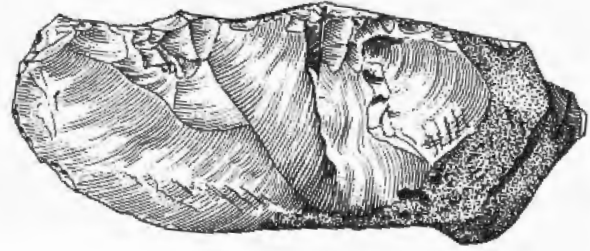
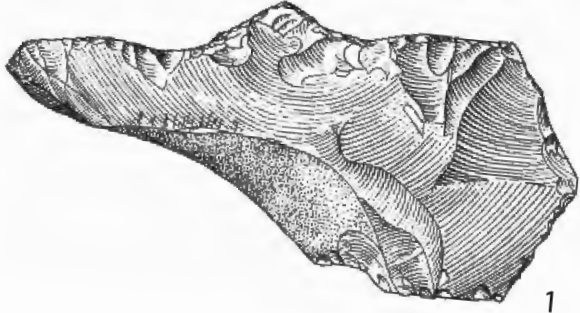
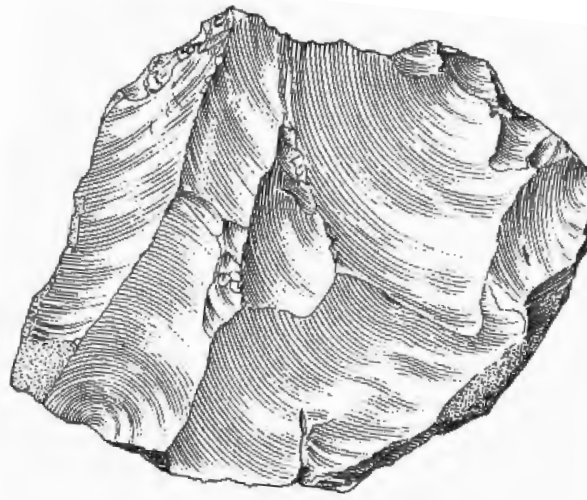
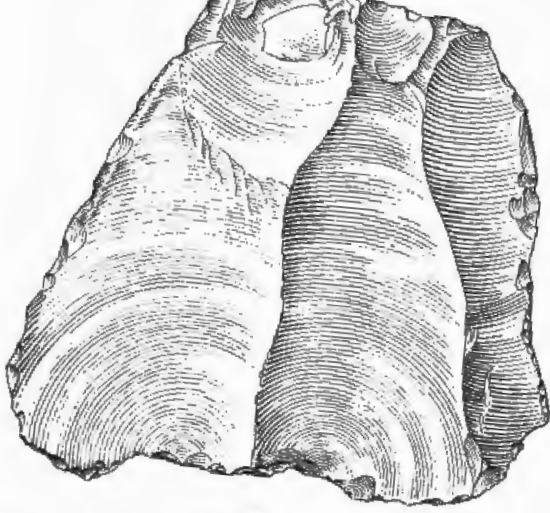


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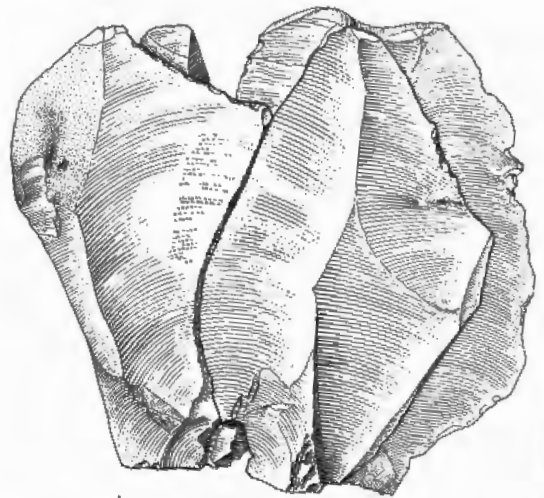
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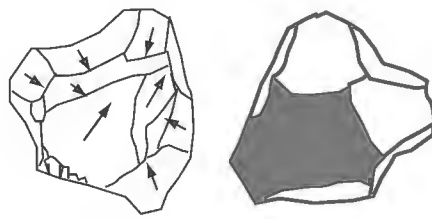
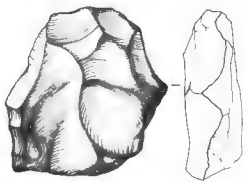
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9



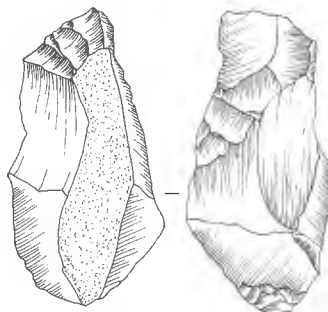
Uni-bifacial cores

Unifacial and centripetal cores



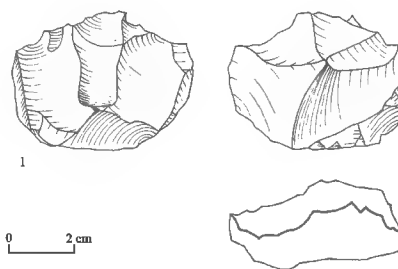
Cave dall'Olio

Orgnac 3 (levels 5b-4a)



10

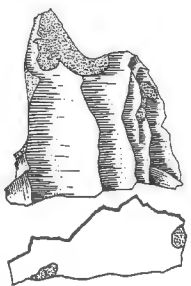
Guado san Nicola



Discoid-type cores

11

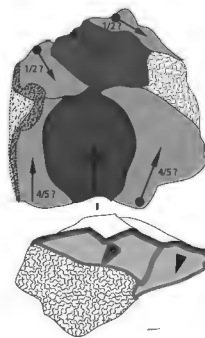
Cagny-la-Garenne I-II



Unipolar cores



Large removal on a biface

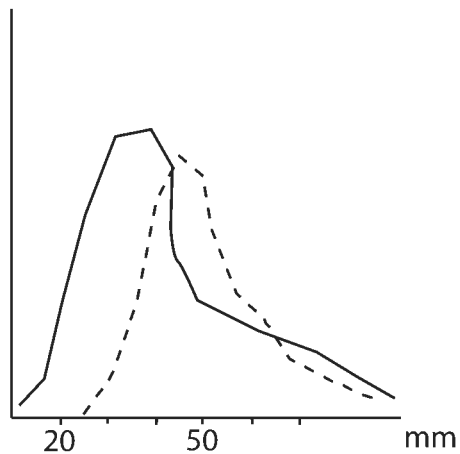


12

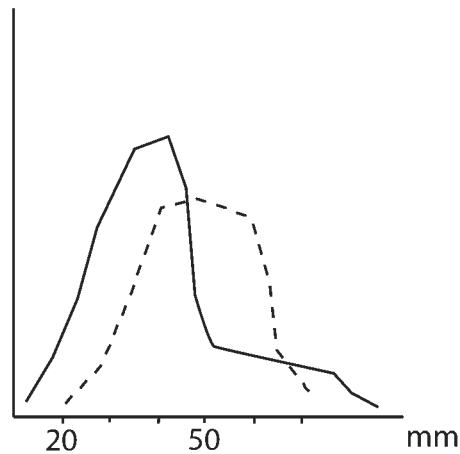


4a

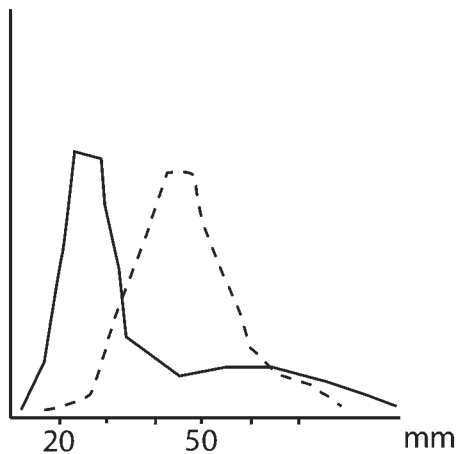
Lenght



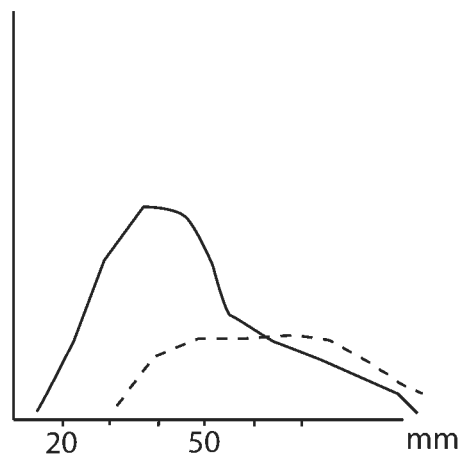
4b



5a



5b



----- Levallois flakes

**Table 1**

Number of Levallois pieces at Cagny-la-Garenne I and II among the lithic assemblages.

	Total number of artifacts	Unipolar cores	Bipolar cores	Levallois or Levallois-like flakes	Levallois cores	Levallois core technology
Cagny-la-Garenne I						
Series CXV	197	22 unifacial 1 bifacial	—	13	3	Lineal Unipolar
Series CXB	776	65 unifacial 1 bifacial	1 unifacial	172	2	Lineal
Series LJ	335	24 unifacial	1 unifacial 1 bifacial	53	1	Bipolar
Series CA	513	17 unifacial 1 bifacial	2 unifacial	41	0	—
Cagny-la-Garenne II						
Series I3	575	3 unifacial	—	9	2	Centripetal

Unipolar

Series I4

1348

10 unifacial

—

8

1

Lineal

Series J

833

7 unifacial

2

1

Bipolar

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**Table 2**

The core technologies and Levallois cores and flakes for the lower and middle part of the sequence at Orgnac 3.

	Centripetal cores	Orthogonal cores	Multidirectional cores	Other core types and crude cores	Levallois unipolar cores	Levallois bipolar cores	Levallois centripetal cores	Levallois preferential flake cores	Total Levallois cores	% cores	Levallois flakes	% flakes
Level 4a	9 56.2%	5	—	6	1	—	2	5	8	33%	64	8%
Level 4b	11 61.1%	2	2	1	1	1	1	8	11	39%	37	2%
Level 5a	21 63.6%	5	2	2	2	—	—	1	3	8%	14	0.3%
Level 5b	28 71.8%	6	1	1	2	2	—	—	4	9%	54	3%

**Table 3**

Variety of reduction methods identified on cores at Cave dall'Olio based on core analysis.

Cores	<i>n</i>	%
Opportunistic (unidirectional)	6	8.4
Opportunistic (multidirectional)	8	11.3
Centripetal/discoid	8	11.3
Levallois lineal	4	5.6
Levallois recurrent unidirectional	7	9.8
Levallois recurrent bidirectional	2	2.8
Levallois recurrent crossed	6	8.4
Levallois recurrent centripetal	1	1.4
Levallois on a flake	2	2.8
Levallois passing to laminar	3	4.3
Recurrent unidirectional semitournant (laminar s.l.)	2	2.8



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Recurrent bidirectional semi-tournant (laminar s.l.)	3	4.3
Kombewa	9	12.7
Undetermined	10	14.1
<hr/>		
Total	71	100.0
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**Table 4**

Composition of the lithic assemblage of Guado San Nicola.

Layer	Total number of pieces	Centripetal and discoid cores	SSDA/Opportunistic cores	Levallois cores	Levallois flakes	Retouched blanks	Handaxes
C	1.417	24	40	4	4	68	43
					(1 point)		
B*C	626	18	26	8	16	15	13
B	2.018	50	103	15	32	138	85
A*B	107	2	5	1	3	3	2
Total	4.168	94	174	22		224	143