

2 **Unidirectional cyclic resistance of Ticino and Toyoura sands**
3 **from centrifuge cone penetration tests**

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7 **Abstract** The evaluation of the undrained cyclic resis-
8 tance of sandy deposits is required to forecast the soil
9 behaviour during an earthquake (liquefaction, cyclic
10 mobility); due to the difficulties in obtaining undisturbed
11 samples of most liquefiable soils, it is usually deduced from
12 field test results such as cone penetration tests. This paper
13 proposes a methodology to evaluate the undrained cyclic
14 resistance from normalised cone resistance of two well-
15 studied silica sands (Ticino and Toyoura), with different
16 mineralogy, one mainly composed of feldspar, the other of
17 quartz. The determination of the cyclic resistance of Ticino
18 and Toyoura sands was achieved through undrained cyclic
19 triaxial tests on reconstituted specimens. The tip resistance
20 was deduced from CPTs performed in centrifuge with a
21 miniaturised piezocone on homogeneous reconstituted
22 models. Both the undrained cyclic and tip resistances were
23 correlated with the state parameter ψ . Results of centrifuge
24 and triaxial tests were combined through ψ to deduce the
25 cyclic resistance ratio CRR directly from the normalised
26 cone resistance. The shape of the curve relating CRR to the
27 normalised cone resistance resulted unusual respect to all
28 the recognised curves widespread in the geotechnical lit-
29 erature. The aim of the proposed correlations is to provide
30 a useful instrument to improve the actual knowledge on
31 liquefaction and to give a contribution based on the critical
32 state soil mechanics framework to the development of
33 refined correlations between the cyclic resistance of a sand
34 and the results of cone penetration tests.
35

Keywords Centrifuge tests · Cone penetration tests · 36
Cyclic resistance · Cyclic triaxial tests · Liquefaction · 37
Sand · State parameter 38

1 Introduction 39

Cyclic liquefaction is a phenomenon during which granular 40
uncemented saturated soils (gravel, sand and low plasticity 41
silt) lose much of their strength and stiffness for a short 42
interval of time, but long enough to cause significant failures. 43
After the severe 1964 earthquake of Niigata in Japan, 44
during which widespread liquefaction phenomena took 45
place causing deaths and extensive financial losses, many 46
researchers worldwide started research programmes aimed 47
at defining methods of analysis and prediction of lique- 48
faction susceptibility of soils. 49

The occurrence of liquefaction depends on the cyclic 50
shear loading induced by an earthquake and on the cyclic 51
resistance of the soil; the latter, due to the difficulties in 52
obtaining undisturbed samples of most liquefiable soils, is 53
usually deduced from field test results interpreted via 54
empirical correlations which provide the link between 55
cyclic resistance and various test indices. The collection of 56
a great number of field test data and observations of real 57
occurrences, allowed developing empirical approaches 58
expressed as graphs, where the in situ test index is plotted 59
versus the cyclic stress resistance. A bounding line defines 60
two areas: one where liquefaction is possible and the other 61
where liquefaction is not expected. Initially the methods 62
were based on the results of standard penetration tests [37]; 63
then, as the SPT was progressively replaced by cone pen- 64
etration test (more repeatable and reliable), CPT-based 65
methods of liquefaction assessment have become the most 66
used in practice engineering [15, 26, 29, 32, 41]. 67

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In general, the cone penetration resistance q_c and the undrained cyclic resistance ratio, CRR of an uncemented and unaged soil depend on the material properties (i.e. mineralogy, shape, asperities and roughness of grains, grading and fabric) and the state of the soil (stress level and density). The latter two quantities can be expressed by the state parameter ψ (i.e. the distance along the void ratio axis of a given state from the critical state line, [4]), which is an indicator of the direction of volumetric strains, $\delta\varepsilon_v$, (dilation or contraction) during shearing. This means that q_c and CRR are governed by the volumetric behaviour of the soil:

- The stress ratio necessary to reach liquefaction at a defined number of cycles increases as ψ decreases, since at a given depth the denser is the soil, the lower is its tendency to develop positive excess pore water pressure when sheared in undrained conditions.
- The penetration resistance of a soil, at a given depth, is governed by the effective stress increment around the tip: the amount of the volumetric strains governs the stress change respect to the geostatic level of stress.

Therefore the direction and the amount of the volumetric strains can be expressed by the state parameter ψ [i.e. $\delta\varepsilon_v = f(\psi)$], ~~the latter~~ ^{which} can be used to link directly CRR to the tip resistance of CPTs.

In ~~these~~ ^{this} paper this link is weaved using the results of centrifuge CPT tests and cyclic undrained triaxial tests carried out using two well-known Italian and Japanese sands: Ticino (TS4) and Toyoura (TOS). All the centrifuge tests (on TS4 and TOS) and the triaxial tests on TS4 belong to the database of ISMGEO (Istituto Sperimentale Modelli Geotecnici, formerly ISMES, Seriate—BG—Italy) and were carried out mainly in the '90. The cyclic behaviour of TOS was derived from published data quoted below.

The results of cone penetration tests performed in centrifuge, using a miniaturised piezocone, confirmed and strengthened what observed in previous studies based on large calibration chamber (CC) tests, that is the existence of a simple exponential relationship between a normalised cone resistance and the state parameter ψ [5, 22].

The cyclic triaxial test results were interpreted to define a correlation between the state parameter and the cyclic resistance ratio, CRR at a given number of cycles N , for the two studied sands.

Finally results of centrifuge and triaxial tests were combined to infer the cyclic resistance ratio directly from the normalised cone resistance. The correlation proposed, which applies to clean, uncemented, normally consolidated, young sands, resulted with an unusual shape respect to all the

recognised curves widespread in the geotechnical literature: a possible physical explanation ~~has been~~ ^{is} provided.

2 The testing soils

The soils investigated in this research are two well-known Italian and Japanese silica sands, Ticino and Toyoura sands, hereafter referred to as TS4 and TOS, respectively.

TS4 was used to carry out both centrifuge cone penetration tests and static and cyclic triaxial (Tx) tests. TOS was used for the centrifuge CPTs, while its static and cyclic mechanical behaviour was derived from previous publications [25, 38–40].

The soils used for laboratory and centrifuge tests have the grain size distribution and the index properties given in Fig. 1 and Table 1. TS4 is a uniform coarse to medium sand made of angular to subrounded particles and composed of 30 % quartz, 65 % feldspar and 5 % mica [1, 2, 21]; TOS is a uniform fine sand consisting of subrounded to subangular particles and composed of 90 % quartz, 8 % feldspar and 2 % mica [21].

2.1 Monotonic behaviour of TS4 and TOS

The mechanical behaviour of TS4 was investigated through a series of monotonic and cyclic Tx tests selected from a large database of tests performed at the ISMGEO laboratory. The details of the tests, whose results have not been published before, are given in Tables 2 and 3. All the tests were carried out on sample reconstituted by pluvial deposition in air of the dry sand, subsequently saturated in the

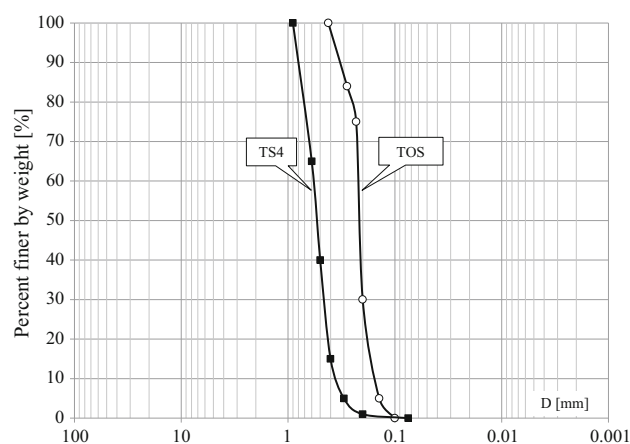


Fig. 1 Grain size distribution of Ticino and Toyoura sands

Table 1 Index properties of Toyoura and Ticino sand

Sand	γ'_{\min} kN/m ³	γ'_{\max} kN/m ³	e_{\min} –	e_{\max} –	G_s –	D_{50} mm	U_c –
TOS ([21] and this experimentation)	13.09	16.13	0.612	0.986	2.65	0.22	1.31
TOS [40]	13.15	16.28	0.597	0.977	2.65	0.17	1.7
TOS [38]	13.15	16.20	0.605	0.977	2.64	0.175	1.52
TS4 ([1, 2, 21] and this experimentation)	13.64	16.67	0.574	0.923	2.68	0.53	1.3

143 triaxial cell with CO₂ circulation, flushing of deaerated
144 water and adequate back pressure.

145 The monotonic tests consisted in Tx compression on
146 both isotropic and anisotropic consolidated samples. The
147 applied consolidation mean effective stress p'_c ranged from
148 50 to 870 kPa. The samples were both normally consol-
149 idated and over consolidated, the overconsolidation ratio
150 ranging from 1 to 8. The failure was reached apply-
151 ing standard drained and undrained compression stress
152 paths ($\Delta\sigma_a > 0$ and $\Delta\sigma_r = 0$), except in one test during
153 which the mean effective stress was kept constant
154 ($\Delta p' = 0$; $\Delta\sigma_a = -2\Delta\sigma_r$). For comparison, a series of Tx
155 drained and undrained tests carried out by Golder Associ-
156 ates ([22], www.golder.com/liq) as part of an internal
157 project was also considered in the definition of the
158 mechanical properties of TS4.

159 The states of the samples at critical states are plotted in
160 Fig. 2; they were fitted with a power law (according to Li
161 and Wang [24]) as follows:

$$e_{cs} = \Gamma - \lambda \cdot (p'/p_a)^\alpha \quad (1)$$

163 where: $p' = (\sigma'_a + 2\sigma'_r)/3$, mean effective stress; σ'_a and
164 σ'_r = axial and radial effective stress; $p'_a = 101$ kPa atmo-
165 spheric pressure; Γ , λ , α = material constants determining
166 the critical state line position and shape, whose values ~~have~~ ^{were}
167 ~~been~~ obtained from data best fitting, i.e. $\Gamma = 0.923$;
168 $\lambda = 0.046$, $\alpha = 0.5$.

169 The stress ratio at critical state M_c (triaxial compression)
170 resulted equal to 1.36, which corresponds to a critical state
171 angle $\phi'_{cv} = 34^\circ$. This is illustrated in Fig. 3a, b: Fig. 3a
172 shows the critical state conditions of all the tested samples
173 in the q - p' plane (where $q = \sigma'_a - \sigma'_r$ is the stress deviator
174 in axial symmetry conditions), interpolated with a linear
175 regression, whose slope is $M_c = 1.36$; Fig. 3b shows the
176 maximum values of the stress ratio $\eta = q/p'$ measured
177 during drained tests as a function of the corresponding
178 minimum value of the dilatancy D , defined as:

$$D = \delta\varepsilon_v / \delta\varepsilon_q \quad (2)$$

180 $\delta\varepsilon_v$ = volumetric strain increment; $\delta\varepsilon_q$ = deviatoric strain
181 increment. The $\eta_{\max} - D_{\min}$ conditions can be interpolated
182 by a linear regression, whose intercept at zero dilatancy is
183 $M_c = 1.36$.

The mechanical behaviour of TOS has been widely 184
investigated by several authors [12, 20, 38–40]. The TOS 185
critical state line (CSL) in the e - p' plane assumed in the 186
present work was defined on the base of a large series of 187
drained and undrained triaxial compression tests on spec- 188
imen reconstituted at different initial states using the wet 189
tamping method, as reported by Verdugo, Verdugo and 190
Ishihara [39, 40]. The index properties of the tested sand 191
are given in Table 1. The material constants determining 192
the critical state line position and shape (Eq. 1) were cal- 193
ibrated by Li and Dafalias [25] and resulted: $\Gamma = 0.934$, 194
 $\lambda = 0.019$, $\alpha = 0.7$. 195

The CSL so defined is plotted in Fig. 2 and compared 196
with that of TS4. As to the stress ratio at critical state M_c , it 197
resulted equal to 1.25, which corresponds to a critical state 198
angle $\phi'_{cv} = 31^\circ$. 199

2.2 Cyclic behaviour of TS4 and TOS 200

The undrained cyclic Tx tests on TS4 were performed on 201
reconstituted samples (as for the monotonic tests, the 202
reconstitution was carried out by pluviial deposition in air of 203
the dry sand and subsequent saturation), isotropically nor- 204
mally consolidated at a mean effective stress $p'_c = 100$ kPa. 205
Only to one samples was applied an isotropic pressure of 206
200 kPa. A direct consequence of testing at same p'_c is that 207
making reference to the density of specimens is equivalent 208
to making reference to the average state parameter, ψ_{avg} , 209
defined, according to Been and Jefferies [4], as: 210

$$\psi = e - e_{cs} \quad (3)$$

where e = current void ratio; e_{cs} = void ratio on the CSL 212
at the same p' . 213

The tested specimens were reconstituted at three values 214
of void ratio: medium void ratio ($e_{\text{avg}} = 0.742$, which, with 215
reference to Eqs. 1, 3, corresponds to $\psi_{\text{avg}} = -0.132$), low 216
void ratio ($e_{\text{avg}} = 0.676$, $\psi_{\text{avg}} = -0.201$) and very low 217
void ratio ($e_{\text{avg}} = 0.582$, $\psi_{\text{avg}} = -0.295$). 218

The states of all the samples laid below the CSL, i.e. at 219
the end of the consolidation all the specimens had $\psi < 0$. 220
Figure 4a–d shows the results of the test TS4_13_8 in 221
terms of axial deformation ε_a versus the number of cycles 222
 N (Fig. 4a); deviatoric stress q versus ε_a (Fig. 4b); excess 223

Table 2 Monotonic compression triaxial tests on Ticino Sand

Test	Type of consolidation	Stress path	End of consolidation						Critical state			
			e_c	σ'_{ac} kPa	σ'_{rc} kPa	p'_c kPa	q'_c kPa	OCR	e_{cs}	p'_{cs} kPa	q_{cs} kPa	η_{cs}
Monotonic drained tests												
TS4-171	CK	$\sigma'_r = \text{const}$	0.759	500.3	235.2	323.5	265.1	1.2	0.810	438.5	610.0	1.39
TS4-172	CK	$\sigma'_r = \text{const}$	0.773	400.5	220.6	280.6	179.9	1.5	0.824	397.3	530.0	1.33
TS4-CK5	CK	$\sigma'_r = \text{const}$	0.790	877.1	370.0	539.0	507.1	1	0.809	677.0	921.0	1.36
TS4-K6	CK	$\sigma'_r = \text{const}$	0.730	1446.7	581.0	869.6	865.7	1	0.770	1061.0	1440.0	1.36
TS4-K8	CK	$\sigma'_r = \text{const}$	0.798	773.5	341.0	485.2	432.5	1	0.827	620.0	837.0	1.35
TS4-M32	CI	$\sigma'_r = \text{const}$	0.640	800.0	800.0	800.0	0.0	1	0.678	1543.3	2230.0	1.44
TS4-R14	CK	$p'_r = \text{const}$	0.698	1199.8	506.6	737.7	693.1	1	0.778	726.2	980.0	1.35
TS4-U21	CI	$\sigma'_r = \text{const}$	0.756	301.5	298.0	299.2	3.5	4	0.814	524.7	680.0	1.30
TS4-U22	CI	$\sigma'_r = \text{const}$	0.796	124.9	124.0	124.3	0.9	4	0.856	212.3	265.0	1.25
TS4-U24	CI	$\sigma'_r = \text{const}$	0.760	125.0	118.0	120.3	7.0	4	0.827	204.7	260.0	1.27
TS4-U36	CK	$\sigma'_r = \text{const}$	0.751	200.0	246.0	230.7	-46.0	6	0.785	419.8	521.4	1.24
TS4-U38	CK	$\sigma'_r = \text{const}$	0.760	50.3	59.0	56.1	-8.7	6	0.852	102.3	130.0	1.27
TS4-U50	CK	$\sigma'_r = \text{const}$	0.785	74.8	94.0	87.6	-19.2	8	0.847	164.0	210.0	1.28
TS4-V9	CK	$\sigma'_r = \text{const}$	0.687	1154.5	437.0	676.2	717.5	1	0.761	810.0	1119.0	1.38
TS4-C262*	CI	$\sigma'_r = \text{const}$	0.851	200.0	200.0	200.0	0.0	1	0.819	323.7	374.0	1.16
TS4-C263*	CI	$\sigma'_r = \text{const}$	0.781	200.0	200.0	200.0	0.0	1	0.796	336.7	406.8	1.21
Monotonic undrained tests												
1101*	CI	$\sigma'_r = \text{const}$	0.867	307.8	307.8	307.8	0.0	1	0.867	146.9	154.9	1.05
TS4-1103*	CI	$\sigma'_r = \text{const}$	0.888	302.0	302.0	302.0	0.0	1	0.877	94.7	102.5	1.08
TS4-1105*	CI	$\sigma'_r = \text{const}$	0.898	279.2	279.2	279.2	0.0	1	0.898	36.0	37.8	1.05
TS4-1106*	CI	$\sigma'_r = \text{const}$	0.85	503.7	503.7	503.7	0.0	1	0.850	277.9	334.1	1.20
TS4-H0	CI	$\sigma'_r = \text{const}$	0.810	799.8	799.7	799.7	0.0	1	0.810	845.0	1125.9	1.33
TS4-H1	CI	$\sigma'_r = \text{const}$	0.831	400.1	399.8	399.9	0.2	1	0.831	486.3	649.3	1.34
TS4-H2	CI	$\sigma'_r = \text{const}$	0.827	499.6	499.6	499.6	0.0	1	0.827	582.1	787.7	1.35
TS4-H3	CI	$\sigma'_r = \text{const}$	0.826	600.1	599.8	599.9	0.3	1	0.826	686.9	921.4	1.34
TS4-H4	CI	$\sigma'_r = \text{const}$	0.812	700.0	700.2	700.1	-0.1	1	0.812	727.8	983.1	1.35
TS4-H5	CI	$\sigma'_r = \text{const}$	0.808	799.9	800.0	799.9	-0.1	1	0.808	750.7	1022.2	1.36
TS4-H6	CK	$\sigma'_r = \text{const}$	0.816	750.3	340.9	477.4	409.5	1	0.816	780.6	1079.3	1.38
TS4-H7	CK	$\sigma'_r = \text{const}$	0.844	750.4	366.7	494.6	383.7	1	0.844	571.5	764.2	1.34
TS4-H8	CK	$\sigma'_r = \text{const}$	0.846	750.2	359.6	489.8	390.6	1	0.846	560.8	753.5	1.34
TS4-H10	CK	$\sigma'_r = \text{const}$	0.839	600.5	285.5	390.5	314.9	1	0.839	529.9	703.0	1.33
TS4-H11	CK	$\sigma'_r = \text{const}$	0.842	750.2	344.1	479.4	406.1	1	0.842	527.2	699.3	1.33
TS4-H12	CK	$\sigma'_r = \text{const}$	0.841	900.3	427.8	585.3	472.5	1	0.841	640.9	849.1	1.32
TS4-H13	CK	$\sigma'_r = \text{const}$	0.825	1050.1	478.5	669.0	571.6	1	0.825	682.2	911.0	1.34
TS4-H14	CK	$\sigma'_r = \text{const}$	0.826	1199.5	555.8	770.4	643.8	1	0.826	716.5	962.3	1.34
TS4-H15	CK	$\sigma'_r = \text{const}$	0.812	750.1	357.7	488.5	392.4	1	0.812	692.3	943.7	1.36
TS4-H16	CK	$\sigma'_r = \text{const}$	0.813	750.0	359.2	489.5	390.9	1	0.813	638.6	868.2	1.36

CK, anisotropic consolidation; CI, isotropic consolidation

OCR over consolidation ratio

* data from Golder (samples reconstituted by wet tamping) [22]; www.golder.com/liq

224 pore pressure Δu versus N (Fig. 4c); q versus mean
 225 effective stress p' (Fig. 4d, where the critical state lines in
 226 compression and extension ~~have been~~ also reported as

are

dashed lines). The sample ~~has been~~ ^{was} subjected to a stress
 227 deviator $\Delta q = \Delta \sigma_a = \pm 62$ kPa (i.e. to a cyclic stress ratio
 228 $\text{CSR}^{\text{TX}} = \Delta \sigma_a / 2p'_c = 0.31$).
 229

Table 3 Cyclic undrained triaxial tests on Ticino Sand

Test	Type of consolidation	End of consolidation				Failure		
		e_c	q_c^* kPa	OCR	ψ	CSR ^{TXa}	N^a	R_u^a
TS4_13_1	CI	0.740	0	1	-0.137	0.21	6	0.95
TS4_13_4	CI	0.730	0	1	-0.147	0.17	25	0.96
TS4_13_6	CI	0.700	0	1	-0.177	0.18	149	0.95
TS4_13_7	CI	0.700	0	1	-0.177	0.33	4.5	0.87
TS4_13_8	CI	0.640	0	1	-0.237	0.31	14.5	0.91
TS4_13_9	CI	0.640	0	1	-0.237	0.24	19	0.97
TS4_13_11	CI	0.760	0	1	-0.099	0.29	1.5	0.8
TS4_13_13	CI	0.760	0	1	-0.117	0.26	3	0.9
TS4_13_14	CI	0.730	0	1	-0.147	0.175	14	0.87
TS4_13_15	CI	0.730	0	1	-0.147	0.16	617	0.92
TS4_13_17	CI	0.700	0	1	-0.177	0.32	7	0.95
TS4_13_23	CI	0.707	0	1	-0.171	0.23	9	0.96
TS4_14_1	CI	0.586	0	1	-0.291	0.41 ^b	60 ^b	0.95
TS4_14_2	CI	0.58	0	1	-0.297	0.28	220	0.97
TS4_14_3	CI	0.581	0	1	-0.297	0.13 ^b	900 ^b	0.95
TS4_14_4	CI	0.58	0	1	-0.297	0.38	140 ^b	0.95

~~OCR over consolidation ratio~~ delete

$$R_u = \Delta u / p'_c$$

^a values at $\epsilon_a^{DA} = 5\%$

^b The failure criteria $\epsilon_a^{DA} = 5\%$ was not met; in the table the number of cycles when $R_u = 0.95$ are reported

delete apex

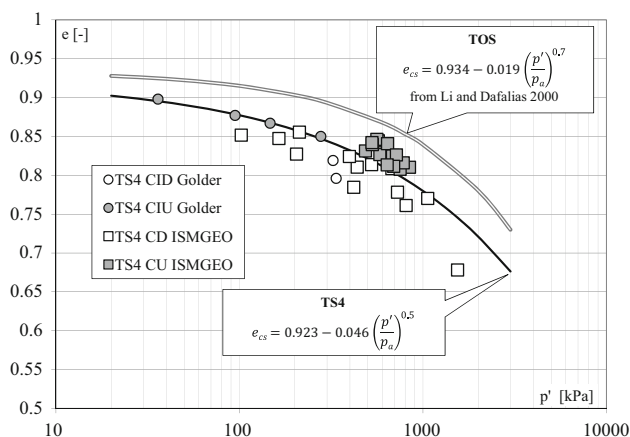


Fig. 2 Critical state lines of Ticino and Toyoura (from [25]) sands

230 During the test, the specimen underwent a typical
 231 response known as “cyclic mobility”. Axial strains and
 232 pore pressure built up gradually during each cycle and the
 233 effective stress p' reduced. Since application of the first
 234 load cycle, the specimen exhibited an alternating incre-
 235 mentally dilatative response (p' increasing) and incremen-
 236 tally contractive response (p' decreasing). The failure
 237 condition, assumed in this study as the condition at which

$\epsilon_a^{DA} = 5\%$, was reached between the 14th and 15th cycle 238
 and it is evidenced with an empty dot in the Figures (being 239
 ϵ_a^{DA} the cyclic double amplitude, DA, axial strain). At this 240
 point $\Delta u \approx 90$ kPa and the pore pressure ratio was 241
 $R_u = \Delta u / p'_c \approx 0.9$; R_u remained almost constant at larger 242
 N . When the test was approaching the failure condition, the 243
 stress path started going back and forth, with an excursion 244
 almost confined between the two critical state lines in 245
 extension and compression. It is worth noting that no 246
 monotonic triaxial test was carried out in extension loading 247
 conditions; assuming that ϕ'_{cv} does not depend on the 248
 Lode’s angle θ , the stress ratio at critical state applicable to 249
 extension loading path, M_c was assumed equal to -0.94 250
 and plotted in Fig. 4d. 251

252 The stress–strain curves were initially elliptical loops;
 253 when the sample was approaching the failure condition, the
 254 hysteresis loops assumed a typical S inverted shape. When
 255 the stress path approached the hydrostatic condition, the
 256 soil stiffness and resistance dropped towards zero; as the
 257 applied deviatoric load increased, the specimen exhibited
 258 strain hardening and regained stiffness and resistance.

259 All the failure conditions of the tested samples are given
 260 in Table 3 and are represented in Fig. 5a, in terms of
 261 applied cyclic stress ratio and number of cycles at
 262 $\epsilon_a^{DA} = 5\%$. In the Figure, the cyclic stress ratio for triaxial

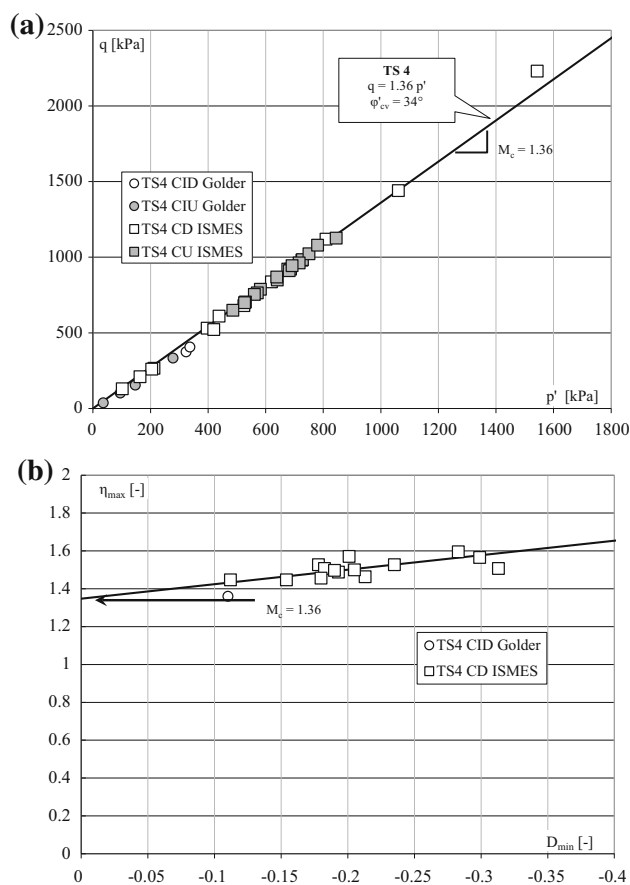


Fig. 3 Ticino Sand: **a** critical states in the q - p' plane; **b** relation between peak strain and minimum dilatancy

263 condition ~~has been~~ ^{was} corrected into cyclic stress ratio for
 264 simple shear conditions (CSR^{SS}) via equation [17, 18]:

$$CSR^{SS} = CSR^{TX} (1 + 2k_0) / 3 \quad (4)$$

266 where: $k_0 = \sigma'_r / \sigma'_a$ = stress ratio at rest, computed as a
 267 function of the critical state shear resistance angle ϕ'_{cv} for
 268 normally consolidated samples using the equation of [19].
 269 For TS4, $k_0 = 0.44$ and $CSR^{SS} = 0.63 \times CSR^{TX}$.

270 In Fig. 5a the cyclic resistance of medium void ratio
 271 samples ($\psi_{avg} = -0.132$) is represented by empty circles,
 272 that of dense sample ($\psi_{avg} = -0.201$) by full squares, that
 273 of very dense samples ($\psi_{avg} = -0.295$) by grey triangles.
 274 It is worth noting that in all the tests, the R_u values at
 275 failure (computed from the maximum value of Δu mea-
 276 sured during the loading cycle at which ε_a^{DA} equalled 5%)
 277 ranged from 0.8 to 0.97. Only two samples (TS4_14_01
 278 and TS4_14_03) did not match the failure criteria and the
 279 axial strain at the end of the tests was $\varepsilon_a^{DA} < 5\%$. For these
 280 samples the condition $R_u = 0.95$, reached at $N = 60$ and

$N = 900$, respectively, was assumed as the failure
 condition.

281
 282
 283 As to the cyclic behaviour of TOS referred to in the pre-
 284 sent paper, it was investigated through a cooperative labo-
 285 ratory testing programme, undertaken by five laboratories in
 286 Japan, which included 81 undrained cyclic triaxial tests on
 287 medium void ratio ($e_{avg} = 0.778$ at the end of consolidation,
 288 $\psi_{avg} = -0.17$) and low void ratio ($e_{avg} = 0.684$,
 289 $\psi_{avg} = -0.231$) TOS samples, isotropically compressed at
 290 an initial effective mean stress of $p'_c = 98$ kPa. All the
 291 samples were reconstituted by pluvial deposition in air of the
 292 dry sand and were normally consolidated in triaxial cell. The
 293 index properties of the tested sand are summarised in
 294 Table 1. The results were reported by Toki et al. [38] in terms
 295 of cyclic stress ratio for triaxial conditions CSR^{TX} and
 296 number of cycles N , at four values of ε_a^{DA} .

297 In Fig. 5b are reported the values of CSR^{SS} at $\varepsilon_a^{DA} =$
 298 5% and the related number of cycles; in the Figure, the
 299 cyclic resistance of samples with an average state param-
 300 eter, $\psi_{avg} = -0.231$, is represented by full squares, that of
 301 samples with $\psi_{avg} = -0.127$, by empty circles. The cyclic
 302 stress ratio for simple shear conditions CSR^{SS} was com-
 303 puted from the applied CSR^{TX} via Eq. 4, i.e.
 304 $CSR^{SS} = 0.66 \times CSR^{TX}$, being $k_0 = 0.485$.

305 **Fig. 5a, b** show that the two tested sands have similar
 306 behavioural trends. Groups of samples relating to a given
 307 ψ_{avg} describe clear relationships between CSR^{SS} and N ,
 308 whose slope in the semi-log plane is strongly dependent on ψ .

309 These relationships were interpreted with a power
 310 function of N which accounts for the dependence of the
 311 cyclic resistance on ψ as follows:

$$CSR^{SS} = \frac{a(1 - \psi)^b}{N^{c(1 - \psi)}} \quad (5)$$

313 where $a = 0.071$, $b = 7.8$, $c = 0.177$, TS4 empirical
 314 constants determined by fitting 17 data; $a = 0.037$,
 315 $b = 10.7$, $c = 0.247$, TOS empirical constants determined
 316 by fitting 66 data.

317 In Fig. 5a, b are reported sets of curves computed using
 318 Eq. 5. For each group of tests (characterised by a given
 319 ψ_{avg}), the curves ~~have been~~ ^{were} computed for the minimum and
 320 maximum value of ψ of the group. A good agreement
 321 between the test results and the equations can be recog-
 322 nised, as shown also in Fig. 6, where the cyclic stress ratios
 323 computed via Eq. 5 are plotted versus the applied CSR^{SS} :
 324 an error of $\pm 20\%$ was considered acceptable.

325 Equation 5 allows the estimation of the cyclic resistance
 326 ratio CRR^{SS} for any number of equivalent cycles, e.g. for
 327 $N = 15$:

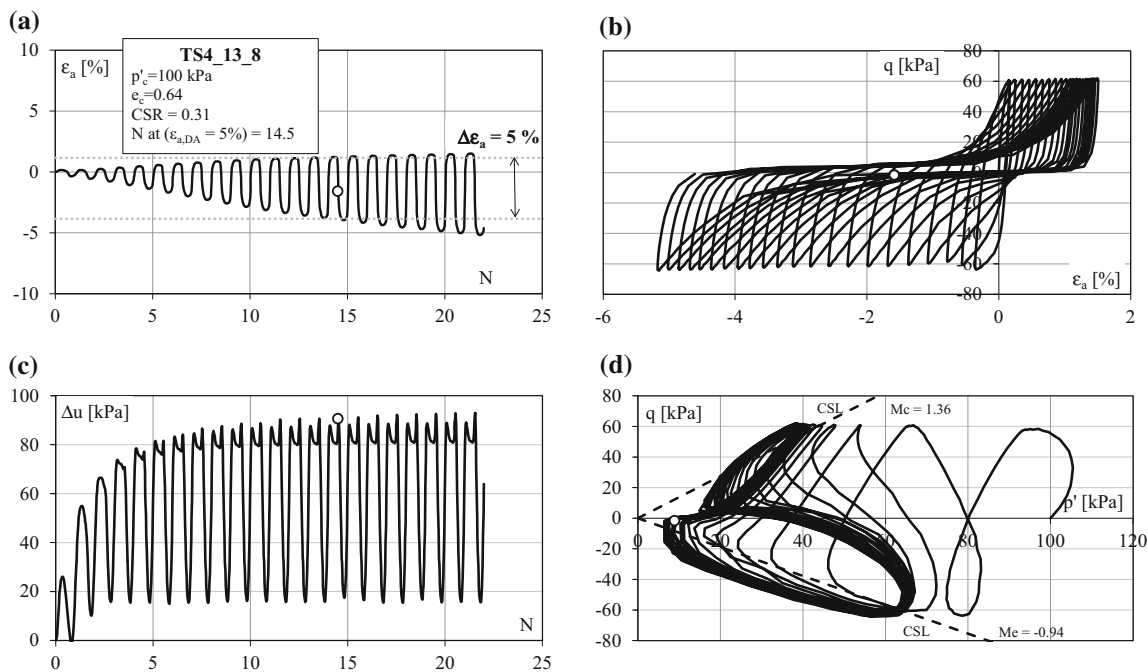


Fig. 4 Cyclic triaxial test TS4_13_8 on Ticino Sand: **a** axial strain ϵ_a versus number of cycles N ; **b** stress deviator q versus ϵ_a ; **c** excess pore pressure Δu versus N ; **d** q versus mean effective stress p'

$$CRR_{15,TS4}^{SS} = 0.071 \cdot (1-\psi)^{7.8} / 15^{0.177(1-\psi)} \quad (6a)$$

$$329 \quad CRR_{15,TOS}^{SS} = 0.037 \cdot (1-\psi)^{10.7} / 15^{0.247(1-\psi)} \quad (6b)$$

331 The computed $CRR_{15}^{SS} - \psi$ correlations for TS4 and TOS
 332 are shown in Fig. 7. CRR_{15}^{SS} decreases as ψ increases and
 333 tends to zero for positive ψ values.

334 The cyclic resistance of TS4 is higher than that of TOS
 335 at the same value of the state parameter ψ . The following
 336 considerations can explain this difference:

- 337 • All the samples were isotropically consolidated at an
 338 effective mean stress of about 100 kPa, so there is no
 339 static bias due to different initial stress conditions.
- 340 • The effect of soil fabric [13, 23, 27] as consequence of
 341 sample preparation procedure can be considered of
 342 minor influence, since, while recognising the unavoi-
 343 dable differences in the internal procedures adopted by
 344 different laboratories, both the TS4 and TOS samples
 345 were reconstituted by pluviating the dry sand in air.
- 346 • TS4 has a higher critical stress ratio M_c than TOS
 347 since its grains are more irregular and angular, so the
 348 friction work among particles is greater in TS4 than
 349 TOS: in cyclic loading more energy is dissipated in
 350 TS4 respect to TOS and the undrained resistance is
 351 greater [33].
- 352 • TS4 and TOS have different mineralogy: TOS is richer
 353 in quartz minerals, which are stiffer and stronger than
 354 feldspar minerals, of which is mainly composed TS4. In

general TS4 is more compressible than TOS, as can be
 deduced by the slope of their CSL in the $e-p'$ plane (see
 Fig. 2). Higher compressibility may imply that a larger
 part of the undrained cyclic load applied during tests on
 TS4 samples is spent to compress and rearrange the
 sand grains than in TOS.

3 Centrifuge tests

3.1 The ISMGEO seismic geotechnical centrifuge

The model cone penetration tests were performed using the
 ISMGEO seismic geotechnical centrifuge (ISGC), which is
 a beam centrifuge made up of a symmetrical rotating arm
 with a diameter of 6 m, a height of 2 m and a width of 1 m,
 which gives it a nominal radius of 2 m. The arm holds two
 swinging platforms, one used to carry the model container
 and the other the counterweight. During the tests, the
 platforms lock horizontally to the arm to prevent trans-
 mitting the working loads to the basket suspensions.
 An outer fairing covers the arm; arm and fairing concu-
 rrently rotate to reduce air resistance and perturbation dur-
 ing flight. The centrifuge has the potential of reaching
 an acceleration of 600g at a payload of 400 kg. The
 maximum dimensions of the model are length = 1 m,
 height = 0.8 m, with = 0.5 m; further details can be found
 in [3].

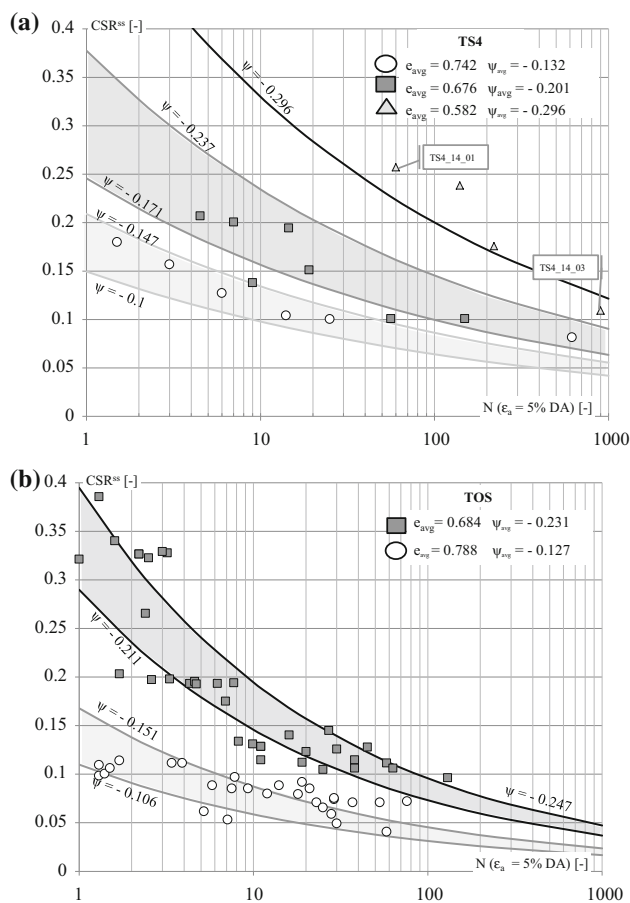


Fig. 5 Cyclic strength of **a** Ticino and **b** Toyoura sands in unidirectional simple shear conditions (data of TOS from Toki et al. [38])

379 In centrifuge modelling, a model geometrically scaled
 380 down N times and prepared from the prototype material is
 381 accelerated N times the earth gravity: the centrifuge
 382 acceleration reproduces the same stress and strain fields in
 383 the model as in the prototype. With this technique, self-
 384 weight stresses and gravity dependent processes are cor-
 385 rectly reproduced and the observations from the model can
 386 be related to the prototype using the similarity
 387 relationships.

388 Respect to CPTs carried out in the large ISMGEO
 389 Calibration Chamber (soil specimen diameter = 1.2 m,
 390 height = 1.5 m), those performed in centrifuge have the
 391 advantage of giving a whole q_c profile over a wide range of
 392 stresses and ψ values, rather than a single q_c value asso-
 393 ciated with the specific values of the state parameter and
 394 the applied stress level of a single sample, but have the
 395 disadvantage of one fixed boundary conditions (rigid walls)

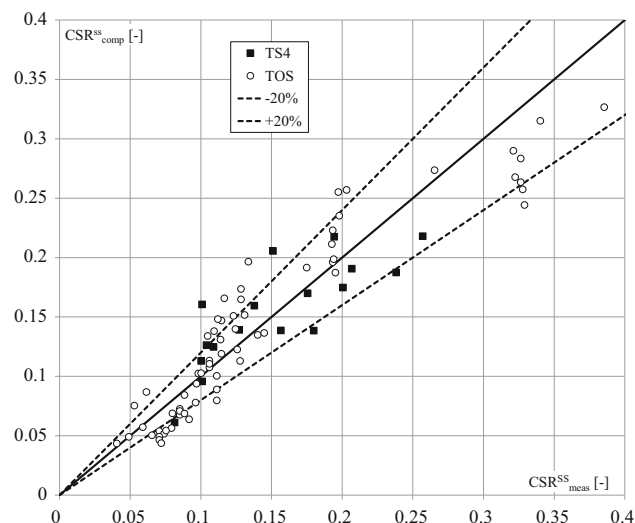


Fig. 6 Computed versus measured CSR^{SS} for TS4 and TOS

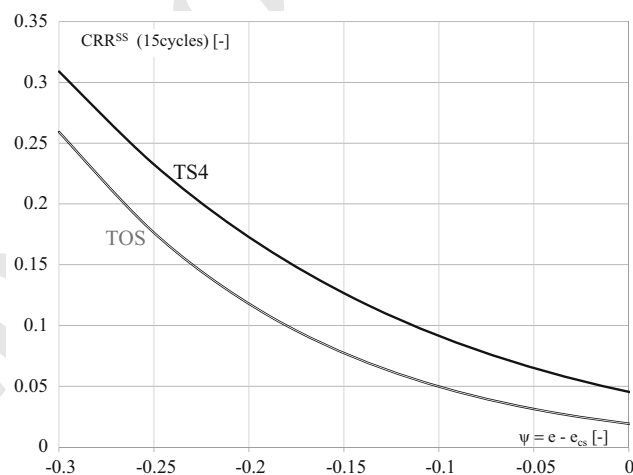


Fig. 7 Cyclic resistance ratio at 15 cycles for unidirectional simple shear conditions (CRR_{15}^{SS}) as a function of state parameter ψ for TS4 and TOS

and scale effects which were minimised as described 396
 below. 397

3.2 Test programme and procedures 398

The test programme consisted of 37 centrifuge CPTs, 27 399
 carried out on dry TS4 models, 10 on dry TOS models, as 400
 detailed in Tables 4 and 5. The tests were carried out at 401
 three levels of centrifugal acceleration: 30g–50g– 402
 80g (where g is the earth gravity), and the models were 403
 characterised by three levels of void ratio: low, medium 404
 and high void ratio. It should be noted that [6] compared 405

Table 4 Main properties of Ticino Sand models

Test	Acceleration a (g)	Unit weight ^a γ_{dry} (kN/m ³)	Void ratio ^a e_c (-)	Relative density ^a D_R (%)	Model height H_m (cm)	Prototype height H_p (m)
TS4-1	30	14.30	0.834	25	34.7	10.40
TS4-2	30	14.40	0.822	28	34.6	10.37
TS4-3	80	14.45	0.815	30	34.5	27.58
TS4-4	80	14.53	0.805	34	34.5	27.57
TS4-5	30	16.11	0.629	84	35.4	10.61
TS4-6	30	15.94	0.646	79	35.1	10.52
TS4-7	80	16.02	0.638	82	34.8	27.84
TS4-8	80	16.03	0.637	82	34.9	27.90
TS4-11	30	14.54	0.804	34	43.9	13.17
TS4-12	30	14.31	0.834	26	43.9	13.18
TS4-13	80	14.44	0.816	31	43.8	35.07
TS4-14	80	14.47	0.813	32	43.9	35.14
TS4-15	80	15.09	0.738	53	44.3	35.41
TS4-16	30	15.18	0.728	56	44.4	13.31
TS4-17	50	15.22	0.724	57	44.3	22.16
TS4-18	50	15.24	0.721	58	44.3	22.15
TS4-19	30	16.19	0.620	87	44.5	13.35
TS4-20	80	16.27	0.613	89	44.5	35.58
TS4-21	50	16.21	0.619	87	44.5	22.23
TS4-22	50	16.16	0.623	86	44.5	22.23
TS4-23	50	14.42	0.819	30	44.0	22.01
TS4-24	50	14.47	0.813	32	44.0	22.02
TS4-25	30	14.41	0.821	29	44.0	13.21
TS4-26	80	14.41	0.821	29	44.0	35.24
TS4-28	50	16.23	0.617	88	44.4	22.22
TS4-29	50	15.25	0.720	58	44.3	22.15
TS4-30	30	14.38	0.824	28	44.0	13.20

^a Values at the end of the in-flight consolidation (assumed constant with depth)

406 the results of CC CPT tests carried out on a certain number
407 of dry and saturated samples of Ticino sand, characterised
408 by the same test conditions, showing a little influence of
409 the saturation on the measured penetration resistance.
410 Similar conclusions were reached by [35] comparing CC
411 tests performed on dry and nearly saturated sample of
412 quartz Ottawa sand.

413 The tests were carried out using the ISMGEO minia-
414 turised electrical piezocone, which has a diameter
415 $d_c = 11.3$ mm, an apex angle of 60° , a sleeve friction
416 11.3 mm in diameter and 37 mm long. One load cell
417 measures the cone resistance and another one measures the
418 cone resistance plus the shaft friction, up to forces of
419 9.8 kN. A Druck PDCR42 pressure transducer (35 bar
420 capacity) is installed on the tip for interstitial pressure
421 measurements in saturated models.

422 Each soil model was reconstituted at $1g$ to the target void
423 ratio by pluviating in air the dry sand into a cylindrical
424 container using a travelling sand spreader. It should be noted

425 that the same reconstitution procedure was adopted for
426 centrifuge as for triaxial samples. The target density was
427 obtained by calibrating the height of fall and the size of the
428 spreader hole. The cylindrical container had an internal
429 diameter of $D = 400$ mm, a height of 630 mm and rigid
430 walls to avoid lateral displacements of the soil. The model
431 container internal diameter was large enough to minimise
432 rigid wall boundary effects, according to Bolton et al. [7]:
433 container size effect, $D/d_c = 35.4 > 30$; side boundary
434 effect, $s/d_c = 17.2 > 10$, where $s = 194.35$ mm is the dis-
435 tance of the cone shaft from the side wall. The models height
436 ranged from 345 to 445 mm.

437 After the deposition, a very rigid frame, which held the
438 piezocone, two linear displacement transducers (LDT) to
439 monitor the cone displacement and the sand surface settle-
440 ment, respectively, and a hydraulic actuator, was fixed to the
441 container walls. Figure 8 shows a model scheme and a model
442 picture with a view of the surface settlement transducer and
443 the miniaturised piezocone, before the penetration.

Table 5 Main properties of Toyoura Sand models

Test	Acceleration a (g)	Unit weight ^a γ_{dry} (kN/m ³)	Void ratio ^a e_c (-)	Relative density ^a D_R (%)	Model height H_m (cm)	Prototype height H_p (m)
TYC1	30	15.71	0.655	88	34.8	10.45
TYC2	30	15.71	0.654	89	34.9	10.47
TYC3	80	15.69	0.657	88	34.9	27.90
TYC4	80	15.75	0.650	90	34.8	27.84
TYC5	80	14.66	0.774	57	34.9	27.91
TYC6	80	14.58	0.783	54	34.8	27.82
TYC7	80	15.08	0.724	70	34.8	27.81
TYC8	80	15.02	0.730	68	35.0	28.01
TYC9	30	15.12	0.719	71	34.8	10.43
TYC10	30	15.04	0.728	69	34.8	10.44

^a Values at the end of the in-flight consolidation (assumed constant with depth)

Each model was then loaded in the centrifuge and accelerated to the target acceleration. As it was subjected to the acceleration field in the centrifuge, the soil surface slightly settled due to the self-weight and the model compressed, as monitored by the LDT. When the surface settlements ended up, the cone penetration test was carried out applying a penetration rate of 2 mm/s. The test penetration was interrupted at 20 d_c of distance from the container bottom to avoid rigid boundary effects [7]. Only one test per model was performed in the central axis of each sample accelerated at one target value.

The unit weight γ_{dry} , void ratio e and relative density D_R values reported in Tables 4 and 5 refer to the end of in-flight compression and were assumed constant with depth in test interpretation. In first approximation, the variation of the void ratio due to the increase in stresses with depth was neglected since its effect on the test results was considered a minor effect. The soil models at the end of the in-flight compression can be considered as normally consolidated. It is worth noting that the states of all the soil models at the end of the consolidation lied below the reference critical state lines.

3.3 Test results

To measure a q_c profile over a wide range of stresses, three (TS4) or two (TOS) acceleration levels were imposed by the centrifuge to soil models of the same dimensions, each reproducing different stress intervals: the acceleration of 30 g reproduced a mean effective stress interval from about 30 to 100 kPa; 50g reproduced a stress range from about 50 to 200 kPa; 80g reproduced a stress interval from about 100 to 300 kPa. In order to take into account the progressive mobilisation of the cone resistance from the model free surface [36], the measures registered in the first 10 d_c

of penetration from the surface were removed. The results of centrifuge CPTs are shown in Fig. 9a, b, where the measured tip resistance q_c is plotted as a function of the mean effective stress p' for TS4 and TOS, respectively. The reported q_c measures are not affected by top and bottom boundary effects. In the Figures the “operative” stress intervals reproduced by the acceleration levels are evidenced.

The black lines in the Figures represent q_c measured in the models with the lower void ratio ($e \approx 0.63$ and $e \approx 0.65$ for TS4 and TOS, respectively); the dark grey curves refer to the models with the intermediate void ratio ($e \approx 0.73$, TS4 and $e \approx 0.72$, TOS); the light grey curves represent the models with the higher void ratio ($e \approx 0.82$, TS4 and $e \approx 0.78$, TOS).

It should be noted that during the centrifuge tests the actual horizontal stresses, σ'_h cannot be measured. The mean effective stress p' of the soil at rest was evaluated as:

$$p' = \sigma'_v(1 + 2k_0)/3 \quad (7)$$

where σ'_v = vertical effective stress, computed accounting for the acceleration field distortion; $k_0 = 0.44$ for TS4 and $k_0 = 0.485$ for TOS (computed using the equation of [19]).

The test results show that the soil models were rather homogeneous and the tests were repeatable so that the q_c values measured on models with similar void ratio subjected to different accelerations almost described a unique cone resistance profile. The unavoidable scatter can be attributed to slight differences in void ratio among models.

As expected, the penetration resistance strongly depended on the void ratio. For a given value of e , a behavioural trend, comparable to that observed in large calibration chamber tests in homogeneous sand models can be observed, i.e. a less than linear increase in q_c with the stress level.

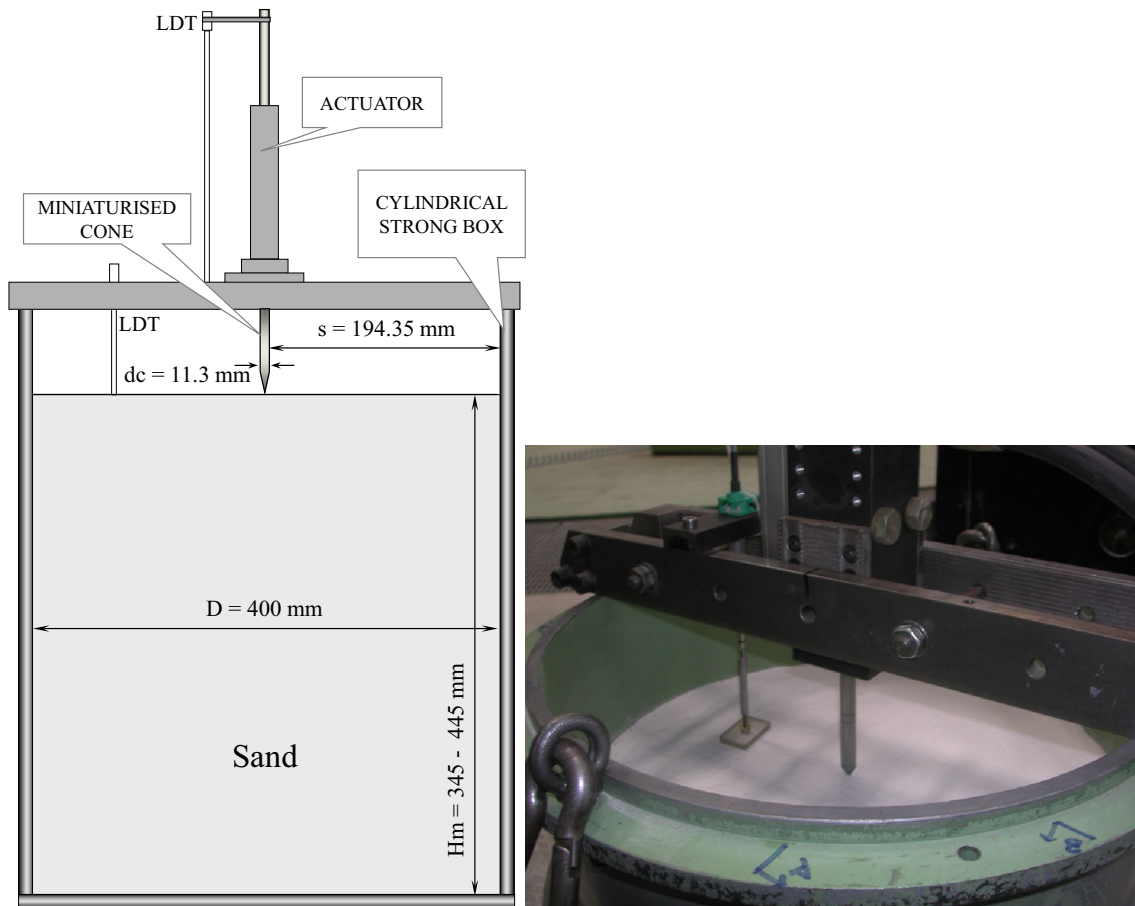


Fig. 8 Model scheme and model picture with a view of the ISMGEO miniaturised piezocone before penetration

511 This behaviour was originally interpreted considering
 512 the cone resistance as a square root function of the vertical
 513 stress [2]. In recent years there have been several publica-
 514 tions regarding the appropriate stress normalisation of q_c
 515 [8, 11, 21, 26, 28, 31, 32, 42]. Idriss and Boulanger [14]
 516 suggested that the stress exponent should vary with relative
 517 density, where the exponent is close to 1.0 in loose sands
 518 and less than 0.5 in dense sands. Been et al. [5] analysing
 519 the results of calibration chamber tests on Monterey sand at
 520 constant state parameter, showed that the cone resistance is
 521 directly proportional to stress level.

insert "the" ↗

522 3.4 Test interpretation

523 To better understand the physical meaning of the nonlinear
 524 increase in q_c with the stress level, the other variables
 525 which influence the cone penetration resistance have to be
 526 considered and, if possible, analysed separately.

527 As shown by [9, 10, 34, 22], the zone around the pen-
 528 etrometer is characterised by intense shearing with sub-
 529 stantial changes in void ratio, which can decrease

(contraction) or, more likely, increase (dilation). This ten- 531
 dency to dilate causes a stress increase around the tip 532
 (herein simply called $\delta p'$), respect to the stress value at rest. 533
 $\delta p'$ is proportional to the dilatancy D , which in turn can be 534
 linked to the value of the state parameter ψ at rest, before 535
 penetration [22]. 536

As a working hypothesis, q_c ~~has been~~ ^{was} considered 537
 affected by two major contributions: 538

- the first given by the overburden stresses acting at the 539
 depth of the tip, herein represented by the mean 540
 effective stress p' ; 541
- the second, and more relevant at depths commonly 542
 investigated via CPTs (<50 m), due to the increment of 543
 stresses around the tip, $\delta p'$, caused by the volumetric 544
 change induced by the penetration, $\delta \varepsilon_v$, that can be 545
 represented by ψ , as the second independent variable. 546

In functional form, it can be written: 547

$$q_c = f[p', \delta p'(\psi)]; [FL^{-2}] \quad (8a)$$

or, in non-dimensional form: 549

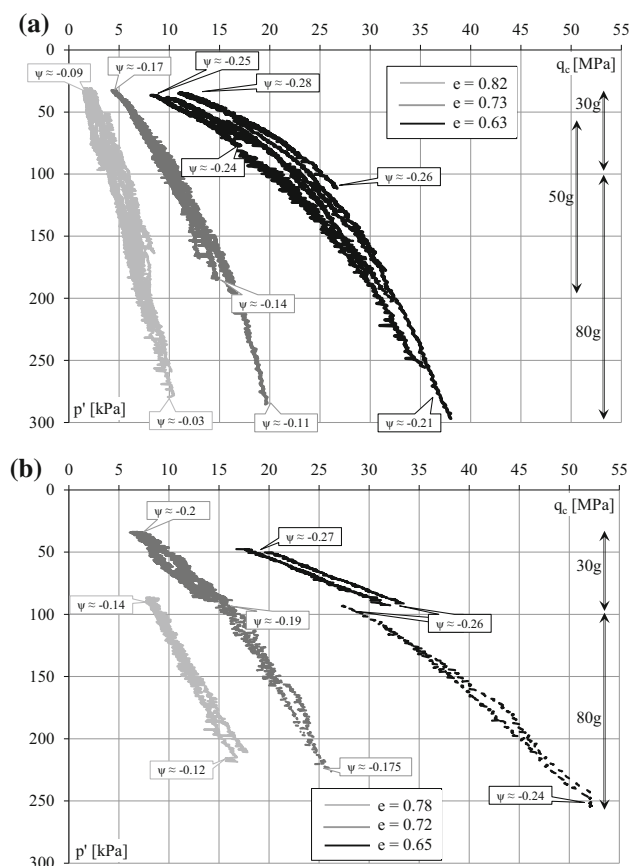


Fig. 9 CPTs in **a** TS4 and **b** TOS: tip resistance q_c as a function of mean effective stress p' (computed adopting $k_0 = 0.44$ for TS4 and $k_0 = 0.485$ for TOS)

$$\frac{q_c}{p_a} = f\left(\frac{p'}{p_a}, \frac{\delta p'(\psi)}{p_a}\right) \quad (8b)$$

551 In a homogeneous soil model with constant void ratio with
 552 depth (after the achievement of in-flight equilibrium and
 553 before test penetration), ψ at rest increases as the depth
 554 increases, so the tendency of a soil to dilate reduces with
 555 depth. This is shown in Fig. 10a, where the $e-p'$ profiles at
 556 rest of the TS4 soil models, at the depths progressively
 557 crossed by the penetrometer, are plotted; each soil model
 558 is represented by a horizontal segment (the variation of e with
 559 depth was assumed negligible in the test interpretation). In
 560 the Figure is shown the critical state line of TS4 and, for each
 561 ~~test~~ model, are evidenced two $e-p'$ points relating to the first and last
 562 depths relevant for the penetration test. The ψ values relating
 563 to these two points were computed from the CSL. They are
 564 reported in Fig. 9a, b, to evidence that the cone penetrated a
 565 progressively less dilative soil due to the rise in ψ .

models

566 From each CPT, values of q_c , related to some values of ψ at
 567 rest, were selected: each $e-p'$ profile of the soil models was
 568 intersected in Fig. 10a (for TS4 only) with constant $-\psi$ curves
 569 (called iso- ψ). The q_c values, measured at depths relating to
 570 the intersections, were picked out, normalised by the reference
 571 atmospheric pressure ($p_a = 101$ kPa) and plotted in Fig. 10b.

572 The function which better interpolates the normalised
 573 cone resistance at constant ψ , is a power law:

$$q_c/p_a = f(p'/p_a)^\beta \quad (9)$$

574 The same procedure was followed for the CPTs carried
 575 out on TOS models (for sake of brevity not reported here).
 576 Based on the best fit of all the analysed constant ψ cone
 577 resistance profiles, β resulted equal to 0.8 and it expresses the
 578 contribution on q_c of the overburden stresses acting at the
 579 depth of the tip. This value, slightly lower than the unitary
 580 exponent suggested by [22] for interpreting constant ψ cone
 581 resistance profiles, was adopted in this paper and the
 582 measured cone resistance was normalised as follows:
 583

$$f(p'/p_a)^\beta = (q_c/p_a) \cdot (p_a/p')^{0.8} = q_c^* \quad (10)$$

584 and plotted as a function of p' in Fig. 11a, b, for TS4 and
 585 TOS, respectively. The values of ψ at rest at the initial and
 586 final points of the q_c^* profiles are also indicated. Since the
 587 effect of p' on q_c ~~has been~~ ^{was} almost removed through the
 588 normalisation, the Figures evidence the effect of ψ on the
 589 cone resistance: as the cone crosses less dilative soil (rising
 590 of ψ with depth), q_c^* reduces nonlinearly due to the reduction
 591 in $\delta p'$ around the tip. Had ψ been constant in each model, we
 592 would have obtained almost constant q_c^* profiles with depth.
 593

594 To evaluate the effect of ψ on the normalised tip
 595 resistance, the q_c^* values obtained from CPTs on both TS4
 596 and TOS models, were plotted versus ψ , as reported in
 597 Fig. 12. In the Figure, the black continuous lines represent
 598 TS4 CPTs, the grey lines TOS CPTs. The following con-
 599 siderations can be made:

- 600 • irrespective of the different intrinsic properties of the
 601 two sands, once that the overburden stress effect on q_c
 602 was almost removed through the normalisation of q_c ,
 603 the $q_c^* - \psi$ curves of TS4 and TOS have a very similar
 604 trend and are very close each other;
- 605 • the individual trend of each sand can be interpreted
 606 with the following equation (adapted from [5]):
 607

$$q_c^* = k \cdot e^{-m\psi} \quad (11)$$

609 where m and k are dimensionless fitting parameter, equal
 610 to:
 611

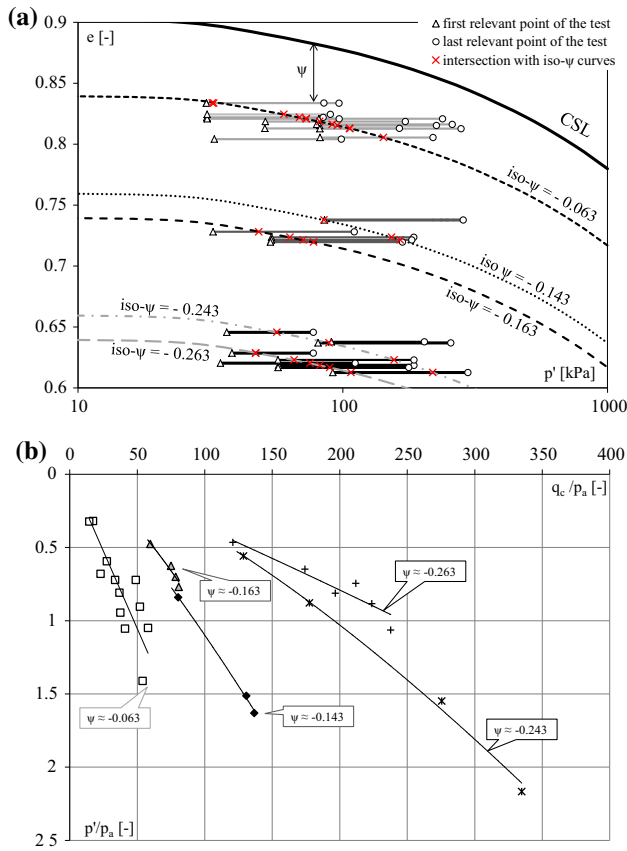


Fig. 10 CPTs in TS4: a $e-p'$ relationships, b cone resistance at constant values of the state parameter ψ

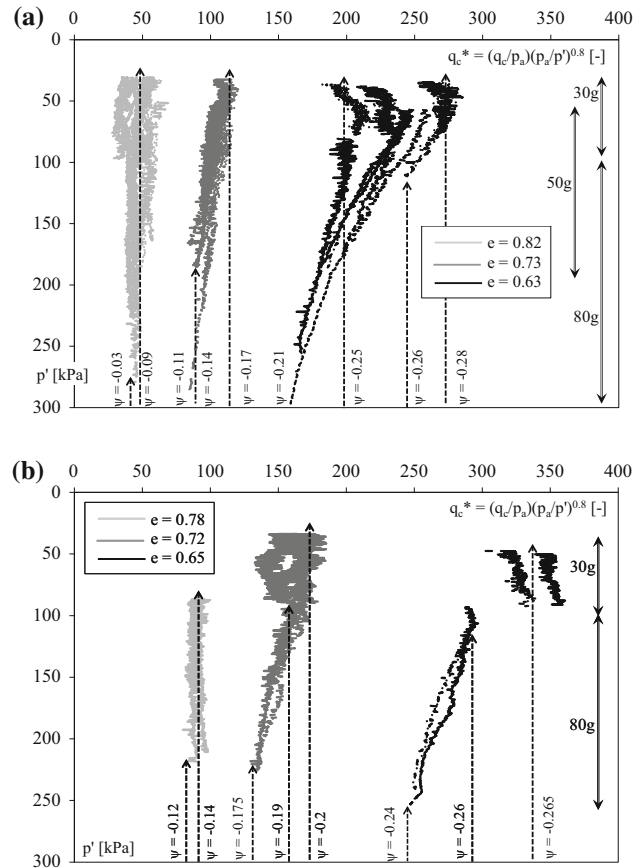


Fig. 11 Normalised tip resistance q_c^* as a function of mean effective stress p' : a TS4, b TOS

- 612 • $m = 8.1, k = 28.3$ for TS4 (12720 data, $R^2 = 0.96$);
- 613
- 614 • $m = 9.8, k = 23.9$ for TOS (3808 data, $R^2 = 0.97$).

615 The TS4 and TOS interpolation curves are plotted in
616 Fig. 12, as black and grey double lines, respectively.

617 Physically, the intercept k represents the q_c^* value when
618 $\psi = 0$, while m allows to establish the contribution of
619 dilatancy on the cone penetration resistance at a given
620 value of ψ .

621 Equation 11 expresses the effect of dilation (or $\delta p'$) on
622 the normalised cone resistance. Together with Eq. 10, it
623 allows to re-write Eq. 8b as follows:

$$(q_c/p_a) = (p'/p_a)^\beta k \cdot e^{-m\psi} \quad (12)$$

625 in which the first term of the product to the right of the
626 equal sign represents the functional dependency of q_c on
627 the overburden stresses acting at the depth of the point; the
628 second, the dependency of the cone resistance on the soil
629 tendency to dilate.

630 From Fig. 12 it results that $(q_c^*)_{TOS} > (q_c^*)_{TS4}$, particu-
631 larly at $\psi < -0.15$. This difference can be attributed to the

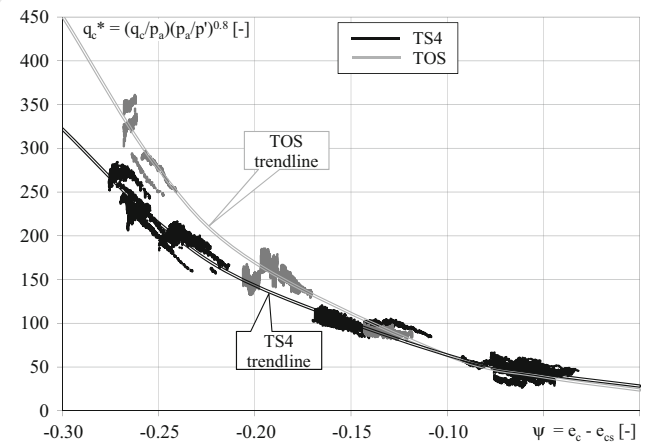


Fig. 12 Normalised q_c^* versus the state parameter for TS4 and TOS

632 lower crushability that subrounded quartz grains of dense
633 TOS exhibit during penetration respect to subangular
634 mainly feldspar grains of dense TS4.

635 4 CRR from CPT through ψ

636 To evaluate CRR directly from q_c , the state parameter ψ
637 was assumed as independent variable which governs both
638 the cyclic stress resistance and the normalised cone resistance
639 of the tested soils.

640 Equations 5 and 11 were combined into Eq. 13 to obtain
641 a direct correlation between q_c^* and the cyclic resistance
642 ratio at N cycles for simple shear condition, CRR_N^{SS} :

$$CSR_N^{SS} = \frac{a \left[1 + \frac{1}{m} \ln \left(\frac{q_c^*}{k} \right) \right]^b}{N^c \left[1 + \frac{1}{m} \ln \left(\frac{q_c^*}{k} \right) \right]} \quad (13)$$

644 For $N = 15$ cycles, Eq. 13 can be re-written as:

$$CRR_{15}^{SS} = \frac{a \left[1 + \frac{1}{m} \ln \left(\frac{q_c^*}{k} \right) \right]^b}{15^c \left[1 + \frac{1}{m} \ln \left(\frac{q_c^*}{k} \right) \right]} \quad (14)$$

646 where a , b , c , m and k are the fitting parameters of Eqs. 5
647 and 11.

648 The $CRR_{15}^{SS} - q_c^*$ relationships obtained for the two tested
649 sands are plotted in Fig. 13; the upper black curve is
650 relating to TS4, the lower grey to TOS.

651 These curves have different concavity respect to the
652 bounding lines which separates cases of liquefaction and
653 no-liquefaction in the “traditional” assessment charts [14,
654 29, 30, 41] based on superficial evidences for a number of
655 case histories.

656 Apart from the downward concavity, which may require
657 to be confirmed by further experimentation, the major

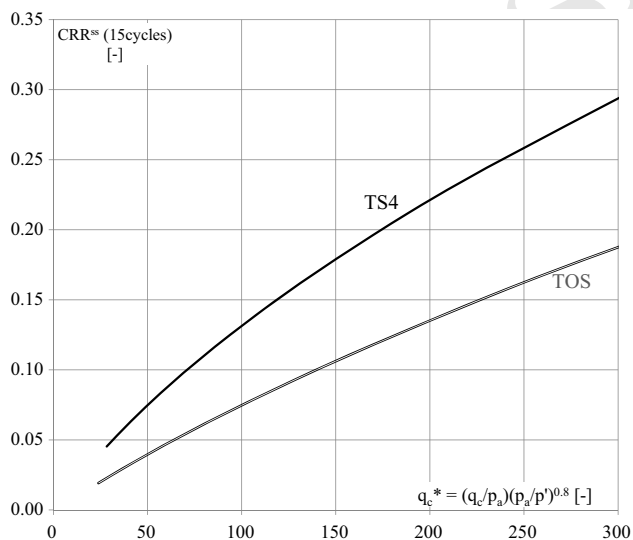


Fig. 13 Relationships between normalised cone resistance q_c^* and cyclic resistance ratio at 15 cycles for unidirectional simple shear conditions CRR_{15}^{SS} . The curves refer to clean, normally consolidated, unaged and uncemented Ticino and Toyoura sands

658 difference between the traditional bounding lines and those
659 presented in this paper is that the former imply almost an
660 infinite cyclic resistance for a normalised cone resistance
661 larger than a given value, while the relationship here pro-
662 posed shows a more progressive mobilisation of the cyclic
663 resistance, as the normalised cone resistance increases.

664 The downward concavity resulted from the computa-
665 tions carried out: a given change of the state parameter
666 produces a change of the normalised tip resistance δq_c^*
667 greater than the variation of the cyclic resistance, $\delta(CRR)$.
668 From the trend lines of Figs. 7 and 12, the increments of q_c^*
669 and CRR were computed with respect to their values at
670 $\psi = 0$, as follows:

$$\delta q_c^* = (q_{c,\psi}^* - q_{c,\psi=0}^*) / q_{c,\psi=0}^* \quad (15)$$

$$\delta CRR = (CRR_{\psi} - CRR_{\psi=0}) / CRR_{\psi=0} \quad (16) \quad 672$$

674 and plotted versus ψ in Fig. 14. The Figure shows that to
675 achieve a given increment of CRR a larger increment of q_c^*
676 is required (i.e. downward concavity).

677 The trend shown in Fig. 13 has to be confirmed by more
678 experimental data, and the new correlation proposed will
679 require a calibration on a wider number of sands to be
680 usable in the engineering practice.

681 It is worth noting that the obtained relationships were
682 derived under specific test conditions:

- 683 • the soil samples used for cyclic Tx tests were recon-
684 stituted by air pluviation of the dry sand and the fabric
685 stability that a sand may acquire in situ thanks to the
686 processes of natural deposition, ageing, stress and strain
687 history, overconsolidation and cementation were not
688 reproduced. As a consequence, the experimental cyclic
689 resistance curves should be considered as lower bounds
690 for the tested sands. Same concepts can be applied to
691 CPTs.

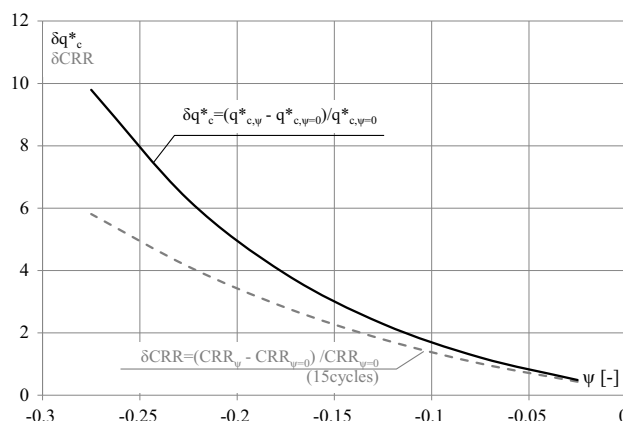


Fig. 14 Increment of q_c^* and CRR respect to their values at $\psi = 0$, caused by a state parameter variations

reproduced

- 692 • the cyclic Tx tests reproduces unidirectional loading
 693 condition, while, for level ground conditions, earth-
 694 quake loading is best approximated as two-directional
 695 loading, so the CRR from a unidirectional test should
 696 be reduced to represent in situ conditions [16].
 697 • no fine content effects were accounted.

698 The aim of the proposed correlations is to provide a
 699 useful instrument to improve the actual knowledge on
 700 liquefaction and to give a contribution based on the critical
 701 state soil mechanics framework to the development of
 702 refined correlations between the cyclic resistance of a sand
 703 and the results of cone penetration tests.

704 5 Closing remarks

705 The evaluation of the undrained cyclic resistance of sandy
 706 deposits is required to forecast the soil behaviour during
 707 earthquakes (liquefaction, cyclic mobility); due to the dif-
 708 ficulties in obtaining undisturbed samples of most liquefi-
 709 able soils, the cyclic resistance is usually deduced from
 710 field test results like CPTs.

711 The undrained cyclic resistance and the tip resistance of
 712 a cone penetration test of uncemented and unaged sands
 713 depend on the soil mineralogy, shape, asperities and
 714 roughness of grains, grading and fabric (i.e. material
 715 properties), on its aggregation state (density), on the level
 716 of the overburden effective stress (confinement or depth).
 717 The latter two quantities govern the volumetric behaviour
 718 of the soil when sheared and in consequence the two
 719 resistances (cyclic and tip). For a given soil the cyclic
 720 resistance and the tip resistance can be normalised to the
 721 confining stress level, becoming $CRR = \text{cyclic resistance}$
 722 $\text{ratio at a reference number of cycles, } N \text{ and } q_c^* = (q_c/p_a) \cdot$
 723 $(p_a/p')^\beta$, respectively, so their magnitude depends mainly
 724 on the stress change caused by the volumetric strains. The
 725 volumetric strains, in turns, can be represented by the state
 726 parameter ψ , which indicates the potential dilation or
 727 contraction behaviour of the soil during shearing.

728 The evaluation of undrained cyclic resistance of
 729 Ticino and Toyoura sands was achieved through
 730 undrained cyclic tests on reconstituted specimens. A
 731 relationship between CRR, ψ and N was defined for both
 732 sands. The cyclic resistance of TS4 is higher than that of
 733 TOS at the same value of the state parameter ψ . TS4 has
 734 a higher critical stress ratio M_c than TOS since the
 735 grains of TS4 are more irregular and angular than those
 736 of TOS, so the frictional work is greater in TS4 than
 737 TOS: in cyclic loading more energy is dissipated and the
 738 resistance grows. Moreover, TS4 is more compressible
 739 than TOS: higher compressibility may imply that a larger
 740 part of the undrained cyclic load applied during tests

on TS4 samples is spent to compress and rearrange the
 sand grains than in TOS.

The tip resistance was deduced from CPTs performed in
 centrifuge with a miniaturised piezocone on homogeneous
 reconstituted models of TS4 and TOS. The test interpre-
 tation allowed the quantification of the effects of two major
 parameters on the resistance: the first given by the over-
 burden stresses acting at the depth of the tip, the second,
 and more relevant at depths commonly investigated via
 CPTs (<50 m), is due to the increment of stresses around
 the tip caused by the penetration. A relationship between
 the normalised cone resistance q_c^* and ψ was calibrated for
 both sands. The higher values of q_c^* from tests on dense
 TOS ($\psi < -0.15$) respect to those inferred from tests
 performed on dense TS4 could be due to the lower
 crushability of quartz TOS respect to feldspathic TS4.

The proposed method for evaluating the cyclic resistance
 of a young, clean sand, uncemented and normally consoli-
 dated, from the results of cone penetration tests is based on
 the state parameter assumed as independent variable of both
 normalised resistances, CRR and q_c^* (Eq. 13). A correlation
 usable in the engineering practice will require a calibration on
 a wider number of sands to account for the effects of min-
 eralogy, shape, asperities and roughness of grains and grad-
 ing. Also different deposition methods of the reconstituted
 samples need to be considered since grain contact arrange-
 ment is a key factor in cyclic resistance of sand. Finally a
 validation on sites where liquefaction occurred is desirable.

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 results on TS4 and TOS.

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