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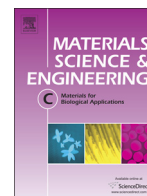
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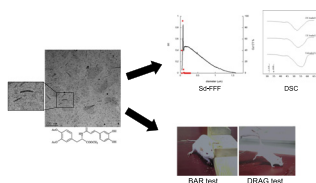
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Graphical abstract

Lipid nanocarriers containing a levodopa prodrug with potential antiparkinsonian activity

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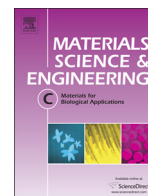
Laura Ravani, Maria Grazia Sarpietro, Elisabetta Esposito, Antonio Di Stefano, Piera Sozio, Mariangela Calcagno, Markus Drechsler, Catia Contado, Francesco Longo, Maria Chiara Giuffrida, Francesco Castelli, Michele Morari, Rita Cortesi *





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Highlights

Lipid nanocarriers containing a levodopa prodrug with potential antiparkinsonian activity

Materials Science and Engineering C xxx (2014) xxx – xxx

Laura Ravani ^a, Maria Grazia Sarpietro ^b, Elisabetta Esposito ^a, Antonio Di Stefano ^c, Piera Sozio ^c, Mariangela Calcagno ^{d,e}, Markus Drechsler ^f,
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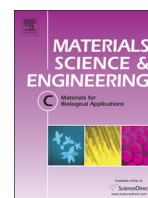
- Formulation of nanocarriers (LN) containing antiparkinsonian L-dopa prodrug (LD-PD)
- DSC showed that the loaded LD-PD interacts with the lipid phase.
- Controlled prodrug release from LD-PD loaded LN confirmed by in vitro studies.
- LD-PD loaded LN reduced parkinsonian disabilities and shows a longer lasting action.

Q3 Supplementary material.



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Lipid nanocarriers containing a levodopa prodrug with potential antiparkinsonian activity

Q2 Q1 Laura Ravani^a, Maria Grazia Sarpietro^b, Elisabetta Esposito^a, Antonio Di Stefano^c, Piera Sozio^c,
 4 Mariangela Calcagno^{d,e}, Markus Drechsler^f, Catia Contado^g, Francesco Longo^{d,e}, Maria Chiara Giuffrida^b,
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ARTICLE INFO

Article history:

Received 30 June 2014

Received in revised form 3 November 2014

Accepted 5 December 2014

Available online xxxx

ABSTRACT

This paper describes the production, characterization and in vivo activity of lipid nanocarriers (LN) containing a levodopa prodrug (LD-PD) with therapeutic potential in Parkinson's disease. LD is the mainstay of the pharmacotherapy of Parkinson's disease. However, after a good initial response, motor fluctuations, dyskinesia and loss of efficacy, develop over time, partly due to oscillations in plasma and brain levels of the drug. LD-PD was produced with the aim of prolonging the pharmacological activity of LD. To improve solubility, and simultaneously provide a long lasting release and therapeutic efficacy, the prodrug was formulated in tristearin/lecithin LN. The obtained formulation was homogeneous in particle size and remained stable for up to 2 months from preparation. For the three different tested LD concentrations, namely 1.25, 2.5 and 5.0 mg/ml, the morphological characterization revealed no substantial differences between unloaded and LD-PD loaded LN. The calorimetric test showed an interaction between the lipid phase and the loaded prodrug. In vitro studies using the dialysis method and enzymatic degradation procedure showed that the LD-PD loaded LN provided a controlled prodrug release. Finally, two behavioural tests specific to akinesia (bar test) or akinesia/bradykinesia (drag test) performed in 6-hydroxydopamine hemilesioned mice (a model of Parkinson's disease) demonstrated that the LD-PD loaded LN attenuated parkinsonian disabilities, showing a slightly reduced maximal efficacy but a longer lasting action (up to 24 h) than an equal dose of LD. We conclude that LD-PD loaded LN may represent a future LD formulation useful in Parkinson's disease therapy.

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1. Introduction

Parkinson's disease is a neurodegenerative disorder associated with the loss of dopamine neurons in the nigrostriatal system [1]. Current therapy for Parkinson's disease is essentially symptomatic and the gold standard is the natural isomer of the immediate precursor to dopamine, L-3,4-Dihydroxyphenylalanine (L-dopa, LD) [2]. LD is readily transported across the blood–brain barrier (BBB) and converted to dopamine by aromatic L-amino acid decarboxylase. After a good initial response, various complications develop over the course of long-term therapy with LD [3]. Dyskinesia, in particular, is thought to partly

depend on the oscillation of plasma levels of the drug, as they appear to be reduced with longer acting LD formulations or dopaminomimetics [4]. In fact, LD is sensitive to peripheral decarboxylation as well as chemical and enzymatic oxidation.

Drug delivery systems (DDS) represent an opportunity in the development of effective treatments for Parkinson's disease since they are able to improve both the pharmacological and therapeutic properties of conventional and new drugs. DDS can be either biodegradable or non-biodegradable, depending on the material used for their preparation. In addition, two main classes of materials should be individuated, namely, polymers and lipids. Among DDS, nanoparticles seem to be effective in facilitating the delivery of small molecules to the brain [5–10].

As an example of polymeric nanoparticles, it has been demonstrated that dopamine-loaded chitosan nanoparticles can improve dopamine

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transport across the cells [11]. Moreover, LD encapsulated in chitosan nanoparticles and incorporated in poloxamer gel for intranasal delivery has been shown to significantly increase the drug content in the brain [12].

To our knowledge, there are few papers in the literature concerning lipid-based nanoparticles with a specific focus on possible Parkinson's treatment. For instance, one of our previous studies on bromocriptine demonstrated that solid lipid nanoparticles are able to prolong the uptake of bromocriptine and increase its half-life, reducing dyskinesia in rats effectively [13].

Another interesting study conducted by Fernandes and Patravale [14] demonstrated that lipid nanocarriers of LD obtained using supercritical solvents in surfactant solutions can be successfully prepared with a homogenous distribution and more than 90% encapsulation efficiency, thereby contributing to improved stability of the drug against atmospheric oxidation. However, no in vivo experiments were performed with these lipid nanocarriers [14].

The dispersal phase of lipid nanoparticle dispersions is typified by a matrix of crystalline solid lipids, which protects encapsulated molecules from degradation and modulates their release [15–17]. Indeed, lipid nanoparticles seem to allow brain penetration of otherwise non-transportable drugs, masking their physico-chemical characteristics [18–23]. They represent a good delivery system for drug administration offering several clinical advantages, such as the increase of drug bio-availability, the reduction of drug dosage and side effects, and the improvement of patient quality of life [24–26].

Another largely employed strategy to prolong the pharmacological activity of LD and enhance its absorption is typified by the synthesis of LD-based prodrugs. Among these prodrugs, Di Stefano and collaborators have synthesized some compounds providing a relatively slow and constant release of LD in rat and human plasma. Unfortunately, these compounds were characterized by poor water solubility that limited systemic administration [27–29].

In particular, methyl O-acetyl-3-(acetyloxy)-N-[(2E)-3-(3,4-dihydroxyphenyl)prop-2-enoyl]-L-tyrosinate (LD-PD; Fig. 1) was obtained by joining 3,4-diacetyloxy-L-dopa methyl ester with caffeic acid [27–30]. LD-PD showed a good pharmacological profile, but was rapidly degraded in human plasma (half-life of about 6.53 min). A therapeutic advantage of this prodrug might be the targeted delivery of an antioxidant molecule (caffeic acid) to specific cells (such as dopamine neurons) where cellular stress is associated with the pathology [30]. This therapeutic approach appears to be unexplored in the field of Parkinson's disease. Preliminary in vitro and in vivo studies evaluating the chemical and enzymatic properties of this molecule have revealed that LD-PD is stable in aqueous solutions and improves the release of LD and dopamine into the brain [27].

Taking the considerations described above together, our purpose in this study was to investigate the use lipid nanocarriers (LN) as an alternative biocompatible delivery system for administering an LD prodrug. Specifically, a characterization of the LN preparation containing LD-PD in terms of its morphology, dimensions, structural properties and drug distribution was firstly performed. Then, the ability of the LN containing LD-PD to attenuate motor deficits in 6-hydroxydopamine (6-OHDA) hemilesioned mice (a model of Parkinson's disease) was determined in vivo.

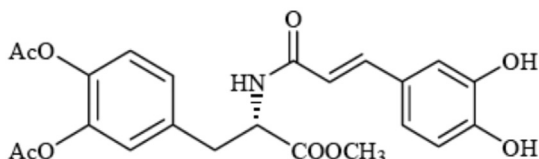


Fig. 1. Chemical structure of LD-PD.

2. Materials and methods

2.1. Materials

Stearic triglyceride (tristearin) was provided by Fluka (Buchs, Switzerland). Lutrol F 68, methyl-oxirane polymer (75:30) (poloxamer 188) was a gift from BASF ChemTrade GmbH (Burgbernheim, Germany). Phospholipon® 90G (P90G), a highly purified soybean lecithin containing at least 90% phosphatidylcholine, was supplied by Rhône-Poulenc-Rorer (Germany). Labrasol®, caprylocaproyl macrogol-8 glycerides, was purchased from Gattefossé (France). Six-hydroxydopamine (6-OHDA) hydrochloride was purchased from Tocris Bioscience (Bristol, UK). LD methyl ester and benserazide were purchased from Sigma (Sigma-Aldrich, AB, Italy). LD and O benserazide were dissolved in saline solution (NaCl 0.90% w/v) just prior to use.

2.2. Lipid nanoparticle preparation

LN was prepared by stirring and ultrasonication [13]. Briefly, 0.8 g of tristearin and 0.005 g of soybean phosphatidylcholine were melted at 70 °C. The fused lipid phase was added to 0.2 g of Labrasol®, and the mixture obtained was dispersed in 19 ml of poloxamer 188 solution (2.5% w/w). In turn, LD-PD at 2.5%, 5.0% or 10% by weight with respect to the solid phase was added to the molten mixture of tristearin/soybean phosphatidylcholine/Labrasol®. Afterwards, the mixture was added to the aqueous phase. The obtained emulsion was subjected to ultrasonication (Microson™, Ultrasonic cell Disruptor) at 6.75 kHz for 15 min and then cooled down to room temperature by placing it in a water bath at 22 °C. LN dispersions were stored at room temperature.

2.3. Characterization of lipid nanoparticle dispersions

2.3.1. Photon correlation spectroscopy (PCS)

Submicron particle size analysis was performed using a Zetasizer 3000 PCS (Malvern Instr., Malvern, England) equipped with a 5 mW helium neon laser with a wavelength output of 633 nm. Glassware was cleaned of dust by washing with detergent and rinsing twice with water for injections. Measurements were made at 25 °C at an angle of 90°. Data were interpreted using the “method of cumulants” [31].

2.3.2. Sedimentation field flow fractionation (SdFFF) analysis

An SdFFF system Model S101 (FFFractionation, Inc., Salt Lake City, UT, USA) was employed to determine the size distribution of particles (PSD) by converting the data to graphical results [32]. The mobile phase was deionized water produced by a Milli-Q water (Millipore S.p.A., Vimodrone, Milan, Italy) pumped at 2.0 ml/min and monitored on each run. Fifty microlitre samples were injected through a 50 µl Rheodyne loop valve.

The automatic collection of the fractions (every 90 s) was performed using a Bio Rad Model 2110 fraction collector (Bio Rad laboratories, UK) positioned at the end of the SdFFF system. The volume of each fraction was 3 ml.

2.3.3. Cryo-transmission electron microscopy (Cryo-TEM)

Samples were vitrified as previously described [13,33], and transferred to a Zeiss EM922 transmission electron microscope for imaging using a cryoholder (CT3500, Gatan). The temperature of the sample was kept below –175 °C throughout the examination. Specimens were examined with doses of about 1000–2000 e/nm² at 200 kV. Images were digitally recorded by a CCD camera (Ultrascan 1000, Gatan) using an image processing system (GMS 1.4 software, Gatan).

2.3.4. FTIR studies

The spectra of pure LD-PD, excipients containing 10% of LD-PD, empty LN, and LD-PD loaded LN were run on a Perkin-Elmer FTIR

177 1600 spectrometer (Monza, Italy). The scanning range was 400–
178 4000 cm^{-1} . Samples were prepared as KBr pellets.

179 2.3.5. Differential scanning calorimetry (DSC) measurements

180 DSC measurements were performed using a Mettler Toledo STAR^e
181 system equipped with a DSC-822^e calorimetric cell and Mettler TA-
182 STAR^e software. The maximum possible sensitivity was automatically
183 chosen by the calorimetric system, and the reference pan was filled
184 with bidistilled water. The calorimetric system was calibrated, in transi-
185 tion temperature and enthalpy changes, by using indium, stearic acid
186 and cyclohexane, following the procedure of the DSC 822 Mettler TA
187 STAR^e instrument.

188 Eighty microlitres of LP-PD-containing LN was taken from the bulk,
189 put into the calorimetric pan, hermetically sealed and subjected to
190 heating and cooling scans for at least three times as follows: (i) a scan
191 from 5 to 65 °C (2 °C/min); and (ii) a scan from 65 to 5 °C (4 °C/min).

192 2.4. Drug content of dispersions

193 With the aim of quantifying the drug content (free plus bound) of
194 dispersions after production, a sample of dispersion was diluted in
195 methanol (1:5 v/v) and stirred for 3 h in order to completely extract
196 LD-PD. Afterwards, the sample was filtered through 0.22 μm nylon filter
197 (Spartan 13, Whatman, Germany) and analysed by HPLC for LD-PD
198 content.

199 The HPLC determinations were performed using a two-plunger al-
200 ternative pump (Jasco, Japan), a UV detector operating at 254 nm and
201 a 7125 Rheodyne injection valve with a 50 μl loop. Forty microlitre
202 samples were loaded onto a stainless steel C-18 reverse-phase column
203 (15 \times 0.46 cm) packed with 5 μm particles (Hypersil BDS, Alltech,
204 USA). Elution was performed with a mobile phase constituted of meth-
205 anol and water (60:40 v/v) at a flow rate of 0.5 ml/min. In these condi-
206 tions, the retention time of LD-PD was 5.4 min.

207 The drug content of the dispersion was calculated by applying the
208 following equation:

$$\text{Drug recovery} = \frac{\text{amount of drug detected by HPLC}}{\times 100/\text{total amount of drug employed.}}$$

210 Data were the mean of 8 determinations for each batch of LN type.

211 2.5. In vivo tests

212 The adopted experimental protocols were approved by the Italian
213 Ministry of Health (licence no. 171/2010-B) and by the Ethical Commit-
214 tee of Ferrara University. Adequate measures were taken to minimize
215 animal pain and discomfort and to limit the number of animals
216 employed in the study. Mice were kept under regular lighting condi-
217 tions (12 h light/dark cycle) and given food and water ad libitum.

218 2.5.1. Unilateral lesion with 6-hydroxydopamine

219 Unilateral lesion of nigral dopaminergic neurons was stereotaxically
220 induced in isoflurane-anaesthetized C57BL/6 mice (25 g; Harlan Italy,
221 San Pietro al Natisone, Italy), as previously described [34]. Mice received
222 two injections $\times 2 \mu\text{l}$ of 6-OHDA (3.0 $\mu\text{g}/\mu\text{l}$ freebase, dissolved in saline
223 with 0.02% ascorbic acid) into the striatum at the following coordinates
224 from bregma: (i) AP + 1.0, L – 2.1, DV – 2.9; and (ii) AP + 0.3, L – 2.3,
225 DV – 2.9 [35]. In order to assess the degree of dopamine depletion, all
226 mice were tested for spontaneous rotation, and for akinesia/bradykine-
227 sia (bar and drag tests) 10 days after lesion [34].

228 2.5.2. Behavioural studies

229 Motor activity was evaluated in hemiparkinsonian mice using two
230 tests specific for different motor abilities [34,36,37]: (i) the “bar test”,
231 which measures the ability of the mouse to respond to an externally im-
232 posed static posture [38]; (ii) the “drag test” (modification of the

“wheelbarrow” test) [39], which measures the ability of the mouse to
233 balance its body posture using forelimbs in response to an externally
234 imposed dynamic stimulus (backward dragging). The two tests were re-
235 peated in the same sequence, with the first test always being the bar
236 test. 237

238 2.5.3. Experimental design

239 Prior to pharmacological testing, the mice were handled for 1 week
240 by the same operator to reduce stress, and trained daily for an additional
241 week on the behavioural tests until their motor performance became
242 reproducible. Eight 6-OHDA hemilesioned mice were divided into two
243 groups receiving LD or LD-PD loaded LN (LD content equal to 10
244 mg/kg or 0.05 mmol/kg), and 3 days later the same groups were treated
245 with vehicle or saline. The same protocol was repeated a week later
246 crossing the treatments. Drugs were administered systemically (i.p.).
247 LD or LD-PD loaded LN administration was preceded (10 min) by a sys-
248 temic (i.p.) injection of benserazide (12 mg/kg or 0.05 mmol/kg). Each
249 experiment consisted of six consecutive sessions carried out under
250 drug-free conditions (baseline, control session), and 20, 90, 180,
251 360 min and 24 h after drug, saline or vehicle administration.

252 2.5.4. Data presentation and statistical analysis

253 Data were expressed as means \pm SEM of eight determinations per
254 group. Motor performance was expressed as absolute values (seconds
255 of immobility in the bar test, and number of steps in the drag test). Sta-
256 tistical analysis was performed (GraphPad Software, Inc., Lajolla, CA) by
257 1-way repeated measure (RM) ANOVA followed by the Bonferroni post
258 hoc test, or by the Student *t*-test when only two groups were compared.

259 3. Results and discussion

260 3.1. Production and characterization of LD-PD containing LN

261 LN were prepared using increasing amounts of LD-PD, namely, 1.25
262 (0.5 \times), 2.5 (1 \times ; reference preparation) and 5 (2 \times) mg/ml.

263 Cryo-transmission electron microscopy was used to investigate the
264 morphology of the particles in LN dispersions. Fig. 2 reports cryo-TEM
265 images of empty and 1 \times LD-PD loaded LN, taken as example. The
266 roundish dark spots (indicated by some closed arrows) are ice crystals.

267 In general, LN dispersions are heterogeneous and mainly constituted
268 of discoid-shaped structures; thus, when viewed edge-on, the particles
269 appear as circular or ellipsoidal, or as dark rods or “needles”, depend-
270 ing on particle position and on their thickness. The thickness of rod- or
271 needle-like particles in the electron micrographs is around 10 nm. A
272 precise value could not be calculated because the tilt of the particles
273 cannot be exactly determined. However, it is worth mentioning that
274 the LN structure was not affected by LD-PD, even at the other tested
275 concentrations (data not shown).

276 At the higher magnification structures in the form of a “crescent” ev-
277 idence the inner lamellar morphology of nanoparticles, probably due to
278 the presence of tristearin assembled with the mixture of soybean phos-
279 phatidylcholine and Labrasol®, resulting in the typical “sandwich-like”
280 appearance.

281 Moreover, in Fig. 2B the presence of LD-PD gives rise to a more ho-
282 mogeneous particle population with respect to empty LN, possibly sug-
283 gesting the prodrug’s role as surfactant agent.

284 The interaction between LD-PD and LN was studied using an FT-IR
285 spectrometer. The FT-IR spectra of LD-PD showed –NH stretch at
286 3404.3 cm^{-1} and –C=O stretch 1654.8 cm^{-1} while FT-IR spectra of
287 LD-PD loaded LN displayed –NH stretch at 3478.5 cm^{-1} and –C=O
288 stretch 1657.6 cm^{-1} (see Fig. S1 and Table 1). A shift in the –NH and
289 –C=O stretch indicates the involvement of these functional groups in
290 the interaction between LD-PD and LN as reported by Rohit and Pal
291 [40]. In LD-PD loaded LN we observed a shift in –NH stretching and
292 also in –C=O stretching suggesting favourable interactions possibly

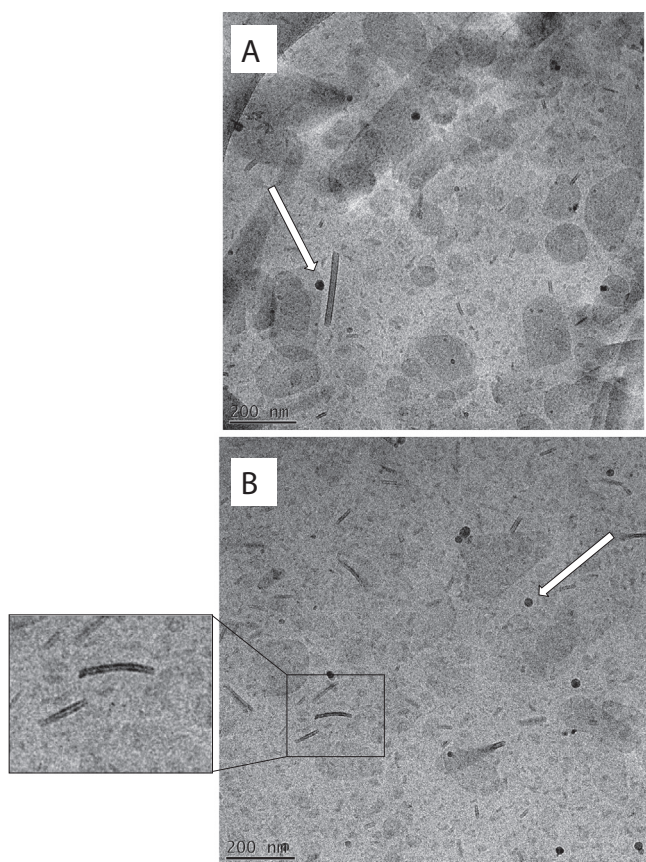


Fig. 2. Cryo-transmission electron microscopy images of unloaded (A) and 1× LD-PD loaded LN (B).

improving the entrapment efficiency of this LD prodrug in lipid nanoparticle dispersions.

The LN size was measured immediately after the production and up to 4 months later, in order to evaluate the stability of formulations. Table 1 shows that 2× LD-PD loaded LN is characterized by the smallest size compared to the other LD-PD loaded LN, and confirms the heterogeneity of the nanoparticle populations evidenced from cryo-TEM images. However, 4 months after production, no dimensional changes were observed, and the 1× LD-PD loaded LN result was the most stable.

Due to the crushed and flat form of LN, the 1× LD-PD loaded LN (taken as a reference) was subjected to SdFFF analysis. Fig. 3 presents an example of a particle-size distribution plot achieved from this type of chromatographic-like analysis [33,41]. Around the 80% of the nanoparticle population belongs to the main peak at roughly 160 nm, which is in very good agreement with the sizes determined by PCS and reported in Table 2. The very thin peak at 80 nm is due to the particles that are smaller than 100 nm, which exit the channel all together, only partially resolved from the void peak.

SdFFF was also employed to obtain information about LD-PD encapsulation. The amount of LD-PD encapsulated in the LN was determined by HPLC after diluting with the mobile phase, by injecting the eluate

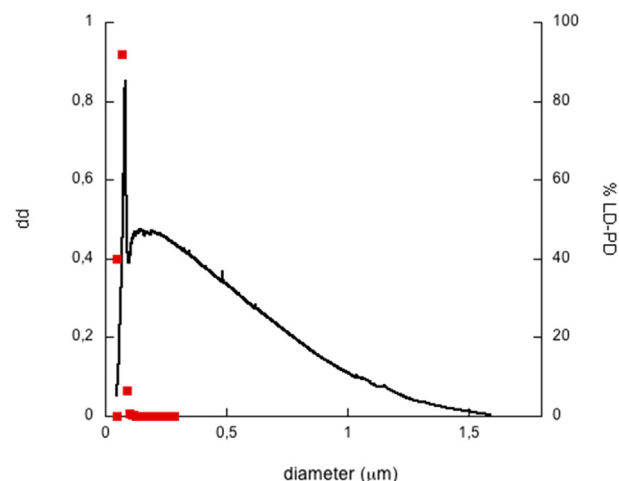


Fig. 3. PSDs elaborated from the SdFFF fractograms. LN particles were assumed to have a density of 0.0646 g/ml (d = diameter of the equivalent sphere; dd = dimensional distribution, i.e. mass frequency function). The squared symbols indicate LD-PD content, as determined by HPLC.

exiting from the SdFFF channel collected during the fractionation. The injection results of the reference preparation of 1× LD-PD loaded LN are reported in Fig. 3 (square dots), where it is clearly evident that the LD-PD is entirely associated with nanoparticles.

However, compared to the initially weighed amount of LD-PD, the final content within the LN dispersions, as determined by HPLC and summarized in Table 2, indicates that the prodrug's recovery is the highest in the 0.5× LD-PD loaded LN (83.03%), intermediate in the 1× LD-PD loaded LN (71.21%) and the lowest in 2× LD-PD-loaded LN (43.36%). We therefore hypothesize that during the manufacturing process a significant quantity of prodrug is lost (probably in the lipid phase along the wall of the flask) due to the larger amount of LD-PD incorporated within the limited amount of lipid phase. In order to prove this, an extraction of LD-PD from the lipid phase adhering to the surfaces of both the vessel and the homogenizer's blade was performed following the procedures described above for the determination of drug content. The results, which are reported in Table 3, confirmed our assumption.

3.2. Calorimetric studies

It is well known that structural alterations of materials are accompanied by heat exchanges, such as the uptake of heat during melting or the emission of heat during crystallization. DSC allows thermal events in the sample to be monitored and quantified, and the temperatures at which these events occur to be identified [42–45], thus providing information on the structural properties of a sample. Fig. 4 reports the calorimetric curves, in heating mode, of unloaded and LD-PD loaded LN.

The calorimetric curve of unloaded LN is characterized by a main peak at 56.4 °C and three shoulders at lower temperatures, namely, 43.0 °C, 49.0 °C and 53.5 °C. The 1× LD-PD loaded LN shows five calorimetric signals: a small peak at about 43.0 °C, two shoulders at 46.0 and 50.0 °C, respectively, a main peak at 53.0 °C and a shoulder at about 57.0 °C. The calorimetric scan of the 2× LD-PD loaded LN was similar to that of the

Table 1
FT-IR data of LD-PD and LD-PD loaded LN.

	Observed value (cm ⁻¹)	Expected values (cm ⁻¹)	Functional group	Attribution
LD-PD	3404.3	3500–3100	NH amide	N–H stretch
	1654.8	1690–1640	C=O amide	C–O stretch
	1600.8	1640–1550	NH amide	NH bending
LD-PD loaded LN	3478.5	3500–3100	NH amide	N–H stretch
	1657.6	1690–1640	C=O amide	C–O stretch
	1590.7	1640–1550	NH amide	NH bending

Table 2

Mean diameters of LD-PD loaded LN as determined by PCS.

Day	Mean diameter (nm) \pm s.d.		1 \times LD-PD loaded LN		2 \times LD-PD loaded LN	
	0.5 \times LD-PD loaded LN	Polydispersity \pm s.d.		Polydispersity \pm s.d.		Polydispersity \pm s.d.
0	158.8 \pm 2.2	0.27 \pm 0.02	161.9 \pm 0.8	0.26 \pm 0.04	149.9 \pm 3.2	0.26 \pm 0.01
30	152.85 \pm 0.9	0.30 \pm 0.01	164.5 \pm 3.7	0.29 \pm 0.02	147.2 \pm 2.8	0.23 \pm 0.03
120	151.7 \pm 3.4	0.28 \pm 0.04	160.5 \pm 2.4	0.30 \pm 0.02	146.1 \pm 0.8	0.29 \pm 0.02

s.d. = standard deviation.

Data are the mean of 5 determinations on different batches of the same type of dispersion.

1 \times LD-PD loaded LN, the only exception being the loss of the shoulder at higher temperature. The comparison of the calorimetric scans of unloaded and LD-PD loaded LN provided important information. The unloaded LN scan shows interesting differences from the loaded scan, with the exception of the small peak at about 43.0 °C present in both unloaded and loaded LN. In comparison with unloaded LN, the main peak of the loaded LN is moved towards a lower temperature. In 1 \times LD-PD loaded LN, two shoulders are present at about 50.5 and 57.0 °C, respectively. In 2 \times LD-PD loaded LN, only the shoulder at about 50.5 °C is visible. In addition, the peak intensity decreases, going from unloaded to 2 \times LD-PD loaded LN. The results obtained clearly indicate that LD-PD affects the thermotropic behaviour of LN and that the effect is related to the amount of prodrug loaded. This evidence is strengthened by the enthalpy values as a function of LD-PD within LN (data not shown). The enthalpy decreases when the amount of prodrug within the LN is increased. These data suggest that the prodrug becomes distributed inside the LN and this causes a decrease of the lipid molecules cooperativeness.

Additional studies were conducted to evaluate if LD-PD or its moieties (i.e. caffeic acid and diacetyl dopa) are absorbed by LN (see Supplementary Data section). The results obtained (Fig. S2) allowed us to demonstrate that the simple contact between compounds and LN does not cause their absorption by unloaded LN, hence confirming the feasibility of loading LD-PD into LN.

On the basis of the morphological results, the reference formulation was selected for the *in vitro* and *in vivo* studies. The *in vitro* studies, reported in the "Supplementary Data" section of the present article, indicate that the inclusion of LD-PD in LN not only facilitates its dissolution in aqueous media but also represents a source for the long lasting release of the prodrug. In addition, considering that LD-PD has to be bioactivated to LD to exert its pharmacological activity after *in vivo* administration, the degradation kinetics of 1 \times LD-PD loaded LN was investigated in the presence of FCS esterases [46]. The results obtained indicated that LN is able to increase LD-PD's half-life by 3.5-fold (data not shown).

3.3. *In vivo* studies

Due to its low solubility in water (or aqueous solution), LD-PD administration is very difficult – generally significant amounts of surfactants have to be used. However, this would result in significant toxicity and impairment of animal performance *in vivo*. Therefore, *in vivo* studies were performed comparing the antiparkinsonian activity of LD-PD loaded LN with that of LD, administered as an equivalent LD dose.

Six-OHDA hemilesioned mice showed marked akinesia and bradykinesia, mainly affecting the forepaw contralateral to the toxin injection

Table 3

LD-PD recovery after LN production.

LD-PD loaded LN	LD-PD % recovery in LN \pm s.d.	LD-PD % recovery in vessel/blade \pm s.d.
0.5 \times	83.01 \pm 9.38	12.04 \pm 1.22
1 \times	71.21 \pm 7.76	21.28 \pm 4.31
2 \times	43.36 \pm 6.63	52.72 \pm 8.67

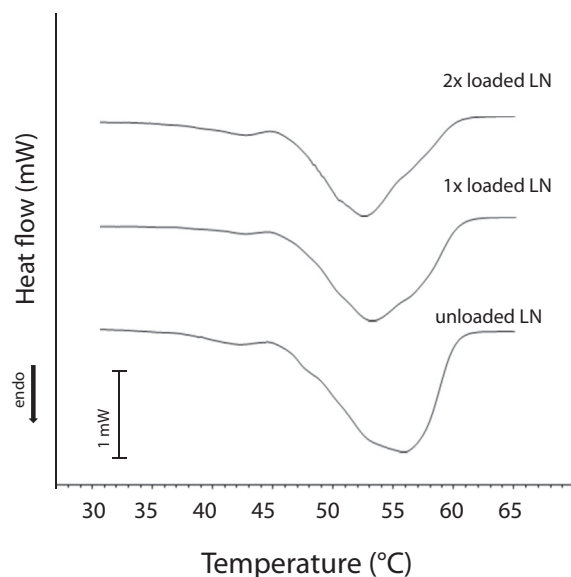
The reported results represent the average of four independent experiments \pm s.d.

side. Indeed, the time spent on the bars with the contralateral (parkinsonian) paw (45.2 \pm 2.7 s) was greater than that with the ipsilateral paw (28.0 \pm 4.3 s; $p < 0.01$, Student's *t*-test). Moreover the contralateral forepaw performed a reduced number of steps (2.2 \pm 0.3) compared to the ipsilateral one (14.8 \pm 1.1; $p < 0.01$, Student's *t*-test). LD was systematically administered (i.p.) at a dose (10 mg/kg or 0.05 mmol/kg, in combination with 12 mg/kg benserazide) that was reported to attenuate hypokinesia in MPTP-treated [36] or 6-OHDA hemilesioned [34] mice. LD reduced the immobility time (Fig. 5A) and increased the number of steps (Fig. 5C) at the contralateral paw. The reduction of immobility time peaked at 20 min after injection (–75%), was close to maximal at 120 min (–70%), was still significant after 3 h (–32%), but vanished after 6 h. A similar pattern was observed in the drag test, where an approximately fourfold increase in stepping within the 20–90 min time window was observed. The improvement was still remarkable (threefold) after 3 h, but vanished after 6 h.

LD-PD loaded LN (0.05 mmol/kg in terms of LD content) replicated the effect of LD in both tests, showing a slightly reduced maximal efficacy accompanied by a longer lasting action. In the bar test (Fig. 5A), the effect of this LD formulation was evident after 20 min (–32%), peaked after 90 min (–45%), was still close to maximal after 3 h (–37%), but vanished after 6 h.

In the drag test (Fig. 5C), the stepping-improving effect of LD-PD loaded LN was significant after 20 min (threefold), peaked at 90 min (fourfold), was still maximal after 3 h and was different from LD alone, and still remarkable between 6 and 24 h from administration (threefold).

We also monitored the effects of LD at the ipsilateral forepaw. LD alone reduced the immobility time within the 20–90 min time-window (–50%), but not at later time points (Fig. 5B). Likewise, a

**Fig. 4.** Calorimetric curves in heating mode of unloaded LN, 1 \times -LD-PD-loaded and 2 \times -LD-PD loaded.

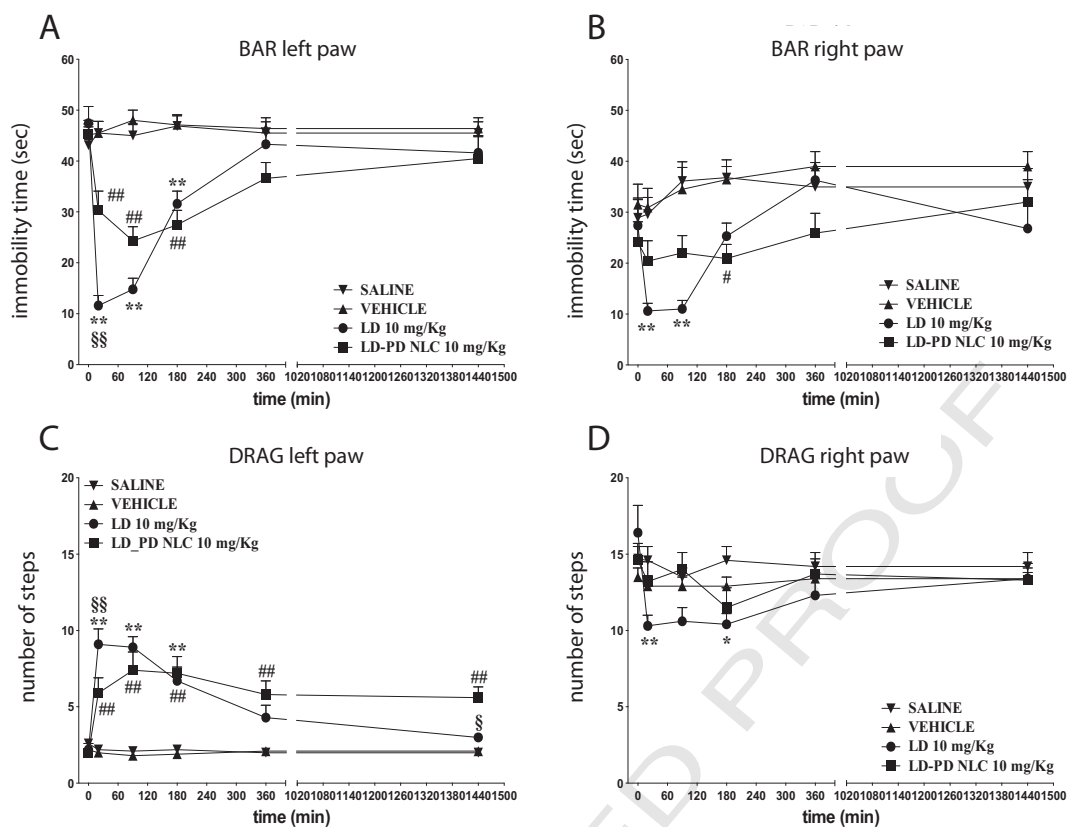


Fig. 5. In vivo experiments showing the effects of L-Dopa (LD, ●), LD-PD loaded LN (LD-PD LN, ■) and controls (saline ▼, and vehicle ▲, respectively) evaluated as immobility time in the bar test (A, B) and number of steps in the drag test (C, D). LD-PD LN was administered at the same equivalent dose of LD (10 mg/Kg, 0.05 mmol/Kg). Benserazide (12 mg/Kg) was administered 5 min before LD and LD-PD LN. Data are means \pm SEM of $n = 8$ determinations per group. * $p < 0.05$, ** $p < 0.01$ different from saline; # < 0.05 , ## < 0.01 = different from vehicle (empty LN); § < 0.05 , §§ < 0.01 different from LD-PD LN (1-way RM ANOVA followed by the Bonferroni test).

reduction of stepping was observed within the 20–180 min time window (-30% ; Fig. 5D). LD-PD loaded LN exerted only mild effects at the ipsilateral paw. A reduction of immobility time was observed 180 min after injection (Fig. 5B). Moreover, LD-PD loaded LN did not affect stepping activity at the ipsilateral paw (Fig. 5D).

These data clearly indicate the high potential of LD-PD as a compound to reverse the impairment of voluntary motor activity and the abnormal slowness of movement associated with experimental parkinsonism. Compared to the same dose of LD, the therapeutic benefit of LD-PD was extended by up to 24 h, particularly in the stepping test, a test more closely related to the striatal sensory-motor function [47]. This suggests that the inclusion of LD-PD within LN improves its water solubility, allowing for a reduction of the dosage. This formulation might also cause a prolongation of therapeutic concentrations of LD within the brain. This might be related to a prolonged release of the prodrug along with a longer stability of LN in the blood. Indeed, LN produced in the presence of poloxamer 188 may behave as a “stealth carrier”, being somewhat protected by opsonization [48,49].

4. Conclusions

LD is the gold standard in the treatment of Parkinson's disease, but its use is associated with some problems, such as fast metabolism and motor complications. As the disease progresses the frequency of LD administration is increased, leading to a complex treatment schedule with poor patient compliance. The development of long acting formulations ensuring continuous delivery is therefore of crucial importance. In the present study, we have proved the feasibility of producing LN to carry a LD prodrug. The produced formulation showed good characteristics in terms of size and morphology, remaining stable up to 2 months from preparation. In vitro studies showed that LP-PD stably interacted

with the lipid phase of LN from where it was released. In vivo tests demonstrated that LP-PD loaded LN replicated the therapeutic benefit of LD, with a slightly reduced maximal efficacy but a longer lasting action (up to 24 h). Although some improvement in the lipid composition of these LN is needed, in conjunction with more thorough pharmacokinetic studies, to fully understand the in vivo behaviour of this novel formulation, these data provide evidence for the long lasting antiparkinsonian activity of a novel LD prodrug.

Acknowledgements

This study was supported by grants from the Italian Ministry of Universities and Research (MIUR) (FIRB2010 to R.C., PRIN 2010–2011 to M.M). The authors are grateful to Dr. F. Bortolotti and Dr. F. Falsone for their help on technical issues.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.msec.2014.12.014>.

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