1	The HIV-1 Tat protein affects human CD4 ⁺ T cell programming and
2	activation, and favors the differentiation of naïve CD4 ⁺ T cells
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4	Running title: Tat induces CD4 ⁺ T cell activation
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Funding: This work was supported by grants from the University of Ferrara and by the Gilead Fellowship Program. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Word Count: 1778

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29 Abstract

Objective: HIV infection is characterized by several immune dysfunctions, such as chronic activation of the immune system, premature ageing and loss of CD4⁺ T cell, in particular within the naïve compartment. The Tat protein of HIV is released extracellularly and enters neighboring cells affecting their functionality, for instance impacting on CD8⁺ T cell programs and activity. As the presence and/or induction of anti-Tat immune responses is associated with reduced T cell dysfunctions and CD4⁺ T cell loss, we investigated whether Tat impacts human resting or activated CD4⁺ T cells.

Methods: Purified CD4⁺ T cells were activated by TCR engagement in the presence or absence of Tat. Cytokine production, surface phenotype and expression of transcription factors important for T cell programming were measured. Purified Naïve CD4⁺ T cells were cultured in non-polarizing conditions in the presence or absence of Tat and their proliferation and differentiation was evaluated.

42 **Results:** Tat favors the secretion of IL-2, IFN γ and TNF α in CD4⁺ T cells, as well as the up-43 regulation of T-bet and Eomes expression. Naïve CD4⁺ T cells cultured in the presence of 44 Tat showed enhanced expansion and differentiation toward memory phenotype, showing in 45 particular the recruitment into the effector memory T cell pool.

46 Conclusions: Tat affects the programming and functionality of CD4⁺ T lymphocytes
47 favoring the differentiation of naïve CD4⁺ T cells.

48

49 Keywords: HIV, Tat, CD4, immune activation, T cell programming

50 Introduction

51 HIV infection strongly affects cellular immunity, causing the depletion of CD4⁺ T cells, in 52 particular within the naïve compartment [1], and dysfunction of both CD8⁺ and CD4⁺ T 53 lymphocytes [2-6]. This status of chronic immune dysregulation involves the whole T cell 54 compartment, including uninfected T cells [7], and is not completely restored during effective 55 antiretroviral therapy (ART). There is a general consensus on the complexity of these 56 phenomena which seem to be due not only to viral replication and CD4⁺ T cells loss, but also to the immunomodulatory activity of HIV products, including Tat [5, 7]. Indeed, the HIV-1 57 Tat protein is released extracellularly [8], even during ART [9], and enters neighboring cells 58 affecting their functionality [10-15]. In this context, it has been shown that Tat has a strong 59 impact on CD8⁺ T cell programs and activity [15] and, in murine models, favors the 60 activation of CD8⁺ T cells and the modulation of antiviral responses [16], causing 61 dysfunctions similar to those observed in HIV-infected individuals. It is also noteworthy that 62 naturally acquired or vaccine-induced anti-Tat immunity limits T cell dysfunction, CD4⁺ T 63 cell loss and viral load, and is associated with the reduction of proviral DNA, resulting in the 64 65 delay of disease progression [17-21]. However, whether Tat has a direct or indirect effect upon the CD4⁺ T cell compartment is presently unknown. To shed light on this issue we have 66 determined whether extracellular bioactive Tat impacts human resting or activated CD4⁺ T 67 cells. Our results show that Tat promotes the activation of CD4⁺ T cells as well as 68 differentiation of naïve CD4⁺ T cells towards memory subtypes that may result in the 69 generation of new targets of infection. 70

71

72 Materials and Methods

73 Human cells and culture conditions

Buffy coats from healthy volunteers, that provided consent, were obtained from the University Hospital of Ferrara. Peripheral blood lymphocytes (PBLs) were separated by use of Ficoll–Hypaque (Lonza, Basel, Switzerland) density gradient centrifugation followed by 90 minutes of adhesion on a plastic support at 37 °C to remove monocytes.

78 Total and naïve CD4⁺ T cells were sorted by MACS magnetic selection (Miltenyi Biotec,

79 Bergish Gladbach, Germany) according to manufacturer's instructions and cultured, as

80 detailed in supplemental information, in the absence or presence of the Tat protein in 24-well

81 flat bottomed polystyrene plates pre-coated overnight at 4 °C with PBS or anti-CD3 mAb

82 (0.5 μ g/ml; R&D Systems, MN, USA). Naïve CD4⁺ T cells were cultured in non-polarizing

condition as previously described [22] and detailed in supplemental information.

84 Tat protein

85 HIV-1 Tat from human T lymphotropic virus type IIIB isolate (BH10 clone) was expressed

86 in *Escherichia coli* and purified by heparin-affinity chromatography and HPLC, as described

previously [10]. The lyophilized Tat protein was then stored at -80 °C and handled as

described [10]. Endotoxin concentration was below the detection limit (0.05 EU/ μ g).

89 Flow cytometry

90 Surface and intracellular staining were performed as detailed in supplemental information.

91 Gene expression analysis

92 Gene expression was evaluated by qPCR as detailed in supplemental information.

- 93 **Results**
- 94

95 Tat enhances CD4⁺ T cell activation

The HIV-1 Tat protein, which is released by infected cells, enhances the production of pro-96 inflammatory cytokines from activated PBLs and CD8⁺ T cells [15, 23, 24]. To understand 97 whether soluble Tat, at physiological concentration within a nanomolar range, may induce 98 cytokine production in CD4⁺ T cells, resting or anti-CD3/CD28 stimulated T helper 99 100 lymphocytes from healthy donors were cultured for 4 hours in the absence or presence of 0.1 µg/ml of Tat protein. As shown in Fig. 1a, Tat significantly increased the expression of IL2, 101 IFNγ and TNFα mRNAs in anti-CD3/CD28 stimulated CD4⁺ T cells, but not in resting 102 lymphocytes. This effect was observed at similar levels for Tat doses ranging from 0.01 to 1 103 104 µg/ml, and it was abolished after incubation with anti-Tat positive sera (Figure S1). This result was confirmed by cytokine intracellular staining of the cells that demonstrated 105 106 increased production of IL2, IFNy and TNF α (Fig. 1b) after 18 hours of treatment with Tat when compared to untreated cells. However, the expression of early (CD69) and late (CD25, 107 CD38, HLA-DR) activation markers was not affected by the presence of Tat (Fig. S2). Since 108 these results indicate that in human activated CD4⁺ T cells Tat enhances the production of 109 Th1-type cytokines, which are under the control of T-box transcription factors [25, 26], we 110 111 characterized the expression of T-bet and Eomes in resting and activated CD4⁺ T cells cultured in the absence or in the presence of Tat. As shown in Fig. 1c, Tat did not induce the 112 mRNA expression of T-box transcription factors in unstimulated CD4⁺ T cells, whereas it 113 114 increased significantly the expression of T-bet and Eomes transcription factors in CD3/CD28 activated CD4⁺ T cells as compared to CD4⁺ T cells activated with CD3/CD28 and cultured 115

in the absence of Tat. Thus, at a physiological concentration, soluble Tat protein enhances
the production of pro-inflammatory cytokines in activated CD4⁺ T cells, and influences the
expression of transcription factors crucial for T cell programming and functionality.

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120 Tat favors the expansion and the differentiation of naïve CD4+ T cells

The HIV-related chronic immune activation plays a major role in the increased proliferation 121 and differentiation of naïve T cells into memory cells [1, 27] leading to a decline of naïve T 122 cells. As our data clearly indicate that Tat favors the activation of CD4⁺ T cells and the 123 expression of transcription factors controlling T cell programming, we wondered whether 124 125 Tat had also an effect upon proliferation and differentiation of naïve lymphocytes, thus participating in immune activation and pathogenesis of HIV infection. To address this, 126 purified naïve CD4⁺ T cells were cultured, in the presence or absence of Tat, in non-127 128 polarizing (NP) conditions to induce their activation and differentiation toward a memory 129 phenotype avoiding potential biases due to polarization toward some specific T helper cell 130 subpopulations [22]. As shown in Fig. 2a, NP conditions induced the proliferation of naïve 131 CD4⁺ T cells starting from day 7 and reaching the peak at day 12. The addition of Tat 132 enhanced duration and magnitude of naïve T helper cell expansion which peaked at day 15 133 and remained higher till day 18. To determine whether Tat affected the differentiation of 134 naïve CD4+ T cells cultured in NP conditions, the phenotype of T helper lymphocytes was assessed. Overall, NP conditions prompted the loss of CD45RA expression (Fig. 2b), 135 suggesting a shift towards a non-naïve phenotype that had started by day 12, with a more 136 pronounced downregulation by day 18. Interestingly, this phenomenon was more pronounced 137

in the presence of Tat. In fact, higher numbers of central memory (CM, CD45RA⁻, CCR7⁺, 138 CD27⁺), transitional memory (TM, CD45RA⁻, CCR7⁻, CD27⁺) and effector memory (EM, 139 CD45RA⁻, CCR7⁻, CD27⁻) CD4⁺ T cells were generated in the presence of Tat as compared 140 to NP conditions alone (Fig. 2c). It is noteworthy that EM CD4⁺ T cells were almost absent 141 142 in cultures derived from naïve CD4⁺ T cells activated under NP conditions, whereas they 143 were strongly induced in the presence of Tat (Fig. 2c). Taken together, these data suggest that Tat supports the activation of naïve CD4⁺ T cells promoting their transition toward more 144 145 differentiated phenotypes.

146

147 **Discussion**

The Tat protein of HIV is released by infected cells [8] and interacts with neighboring cells 148 149 [10-15]. We showed here that soluble Tat favors the activation of CD4⁺ T cells inducing the release of pro-inflammatory cytokines and expression of transcription factors such as T-bet 150 and Eomes which are crucial for T cell activation and differentiation. In addition, Tat 151 152 increased the expansion and differentiation of naïve CD4⁺ T cells activated in non-polarizing conditions. These findings, together with the observations made in CD8⁺ T cells [15, 16, 28], 153 confirm that Tat plays an important role in the hyperactivation of the T cell compartment, a 154 phenomenon characterizing the progression to AIDS and possibly the residual disease 155 observed in successfully ART-treated individuals [29, 30]. 156

Naïve CD4⁺ T cells are resistant to productive HIV infection due to their quiescent state [31, 157 32]. However, their number dramatically decreases during AIDS [1], in part due to the status 158 of chronic immune activation which favors their differentiation into memory and effector 159 160 cells [27, 33]. Tat, by favoring naïve T cell activation, promotes their recruitment into the memory compartment and, fostering the exit from a quiescent state, might also contribute to 161 the generation of new potential targets of infection, in lines with previous observations 162 163 showing higher susceptibly to HIV infection by CD4⁺ T cells exposed to Tat [34, 35]. Tat 164 expression has been detected in tissues from patients on antiretroviral therapy [36], whose success is dependent by the levels of naïve CD4⁺ T cells [37], a compartment not always 165 166 fully reconstituted by ART [29]. Therefore, our data suggest that blocking Tat effects may favor therapy efficacy, as indeed observed in ART-treated individuals vaccinated with the 167 Tat protein that showed restored T cell responses against heterologous antigens and rise in 168 169 CD4⁺ T cell count [18, 19].

In previous works conducted with cell lines, Tat was alternatively shown to promote apoptosis or to have anti-apoptotic effects, for instance promoting the release of IL2 [38-40]. On primary human $CD4^+$ T cells, Tat immobilized on solid support, but not high concentrations of soluble Tat, was shown to mediate IL2 production [41, 42]. In contrast, we showed here that soluble Tat, used at physiological concentrations [43], induces IL2 production in primary human $CD4^+$ T cells. Thus, our data would argue against a direct effect of Tat on T cell death as the main mechanism of $CD4^+$ T cell depletion.

177 Tat does not promote the exit from a quiescent state of resting lymphocytes, thus probably not affecting viral reservoirs [44]. However, in activated T helper lymphocytes it favors the 178 179 production of IL2, IFNy and of TNF α , whose plasmatic levels are increased in HIV-infected individuals [45, 46]. Interestingly, loss of naïve T cells, accumulation of differentiated 180 181 lymphocytes and increased level of pro-inflammatory cytokines are hallmarks of the accelerated immunosenescence characterizing HIV-infected individuals [47-49]. Our data 182 suggest that Tat may support this phenomenon through the induction of pro-inflammatory 183 cytokines and differentiation of TCR-stimulated naïve CD4⁺ T cells toward late stages of 184 differentiation, such as effector memory T cells. Accordingly, Tat has been shown to induce 185 186 production of IL6 [50], which is associated with immunosenescence [51], reduction of telomerase activity in CD4⁺ T cells [52] and senescence of bone marrow mesenchymal stem 187 188 cells [53].

In conclusions, our data suggest that Tat may contribute to the exacerbation of severalimmune dysfunctions observed during AIDS progression, such as chronic immune activation

- 191 and premature ageing. Therefore, the induction of anti-Tat immune responses by Tat
- administration can be an effective strategy for restoration of the immune system.

Acknowledgments

FN and RG conceived and designed the experiments and analyzed the data. FN, FS, EG, VF and MC performed the experiments. FN, A. Cafaro, A. Caputo, CG, BE and RG wrote the manuscript.

Legends

Fig. 1. Tat favors CD4⁺ T cell activation. CD4⁺ T cells purified from healthy donors (n=7) unstimulated or activated with anti-CD3/CD28 were cultured in the absence or presence of soluble Tat (0.1 μ g/ml). After 4 hours, IL-2, IFN γ , TNF α (a), T-bet and Eomes (c) mRNA levels were quantified by qPCR and normalized to untreated cells. (b) PBLs from healthy donors (n=3-7) unstimulated or activated with anti-CD3/CD28 were cultured in the absence or presence of 0.1 μ g/ml of Tat. After 18 hours, IL2, IFN γ and TNF α production was measured by intracellular cytokine staining in CD4⁺ T cells. Dots represent single donors and lines represent the median. For statistical analysis two-tailed Wilcoxon signed rank test was used. *P<0.05: Tat-treated activated cells compared to Tat-untreated activated control cells.

Fig. 2. Tat affects homeostasis of naïve CD4⁺ **T cells.** Purified naïve CD4⁺ T cells from healthy donors were cultured in NPC in the absence or presence of 0.1 µg/ml of soluble Tat. (a) Cell number was evaluated along the course of the cell culture. One representative donor out of 7 (left) and means +/- SEM of data normalized to day 0 (right) are shown (n=7). (b) Expression of CD45RA was evaluated by flow cytometry at 12 and 18 days of culture. One representative donor out of 7 (left, expressed as histogram plot) and means +/- SEM of data normalized to baseline levels (right) are shown (n=7). (c) Percentages of different CD4⁺ T cell subpopulations were calculated at 18 days of culture. Data from 7 healthy donors are presented. For statistical analysis two-tailed Wilcoxon signed rank test was used. *P<0.05: Tat-treated cells compared to Tat-untreated control cells.

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