

1 **Metformin prevents liver tumourigenesis by attenuating fibrosis in a transgenic**
2 **mouse model of hepatocellular carcinoma**

3
4 **Running title:** Metformin attenuates liver fibrosis and prevents HCC

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15
16 **Abstract**

17 Metformin is a hypoglycaemic agent used to treat type 2 diabetes mellitus (DM2) patients,
18 with a broad safety profile. Since previous epidemiological studies had shown that the incidence of
19 hepatocellular carcinoma (HCC) decreased significantly in metformin treated DM2 patients, we
20 hypothesised that intervention with metformin could reduce the risk of neoplastic transformation of
21 hepatocytes. HCC is the most common primary liver malignancy and it generally originates in a
22 background of liver fibrosis and cirrhosis. In the present study, we took advantage of a transgenic
23 mouse (TG221) characterized by microRNA-221 overexpression, with cirrhotic liver background
24 induced by chronic administration of carbon tetrachloride (CCl4). This mouse model develops
25 fibrosis, cirrhosis and liver tumours that become visible in 100% of mice at 5-6 months of age. Our
26 results demonstrated that metformin intervention improves liver function, inhibits hepatic stellate cell

27 (HSC) activation, reduces liver fibrosis, depletes lipid accumulation in hepatocytes, halts progression
28 to decompensated cirrhosis and abrogates development HCC in CCl4 challenged transgenic mouse
29 model. The study establishes the rationale for investigating metformin in cirrhotic patients regardless
30 of concomitant DM2 status.

31

32 **Introduction**

33 Liver cancer is the second leading cause of cancer related death worldwide, with about
34 841,000 new cases annually³. Therapeutic options are limited, and prognosis is generally poor, with
35 curative approaches available only for early stages of disease. Hepatocellular carcinoma (HCC) arises
36 from hepatocytes and is the most common primary liver cancer. About 80 to 90% of all HCCs occurs
37 within a background of chronic liver disease and cirrhosis, which represents the most important risk
38 factor for HCC¹³. The extended time of progression from liver fibrosis to malignancy make
39 individuals at risk for HCC identifiable and candidates for chemoprevention strategies to delay or
40 stop the natural course of chronic liver disease and curtail HCC incidence and mortality³⁷.

41 Hepatitis B vaccination programs or anti-hepatitis C therapeutics are examples of approaches
42 that not only protect from viral infection, but also reduce the incidence of virus-associated HCC^{25, 43}.
43 However, non-viral causes namely alcoholic or non-alcoholic fatty liver disease (NAFLD) and non-
44 alcoholic steatohepatitis (NASH) account for nearly 46% liver cancer deaths¹⁸. Additionally,
45 increasing epidemic of obesity and type 2 diabetes mellitus (DM2), both important risk factors of
46 NAFLD and NASH, might emerge as dominant risk factors for HCC incidence in future^{16, 56}. Hence,
47 prevention programs can have an important impact in decreasing HCC occurrence.

48 Metformin is a first-line dimethylbiguanide hypoglycaemic agent used to treat DM2 patients,
49 with a broad safety profile. Abundant epidemiological data have indicated that incidence of several
50 cancers, including HCC decreased significantly in DM2 patients on metformin treatment compared
51 to dietary restrictions alone, insulin or sulfonylureas^{2, 33, 34, 42}. Several mechanisms have been
52 proposed for metformin action. They include metabolic reprogramming of cancer cells by activation

53 of 5' adenosine monophosphate-activated protein kinase (AMPK)^{9,55}, altering vascular and immune
54 tumour microenvironment^{24,44} and suppressing stemness of cancer cells^{10,30}.

55 We hypothesized that intervention with metformin in non-diabetic liver fibrosis / cirrhosis
56 setting could reduce tumour formation. Despite numerous pharmaco-epidemiological data on cancer
57 preventive effects of metformin in DM2, impact of metformin on HCC prevention in non-diabetic
58 liver disease is largely unexplored. A meta-analysis of anti-tumour effects of metformin in HCC
59 animal models concluded that pre-clinical studies lacked sufficient indication of stage at which
60 metformin use is beneficial⁵. This is at least in part due to a dearth for animal models that uniformly
61 manifest HCC with a background of cirrhosis, typically seen in human disease. In the present study,
62 hepatoprotective effect of metformin was investigated in transgenic mice that develop liver tumours
63 in a cirrhotic liver background.

64

65 **Materials and methods**

66

67 **CCl4 induced HCC mouse model with cirrhosis background and metformin intervention.** Male
68 miR-221 transgenic (TG221) strain with B6D2F2 background mice (4-6 wks of age) were
69 administered 150ul of olive oil (Arm 1) or 20% v/v CCl4 in olive oil (Arm 2) by oral gavage (p.o.)
70 three times a week for a duration of 14 wks. Metformin intervention (Arm 3) was started after 3 wks
71 of initiating CCl4 challenge at 300mg/kg body weight (BW) daily p.o., dissolved in distilled water.
72 Dosage of metformin used in present study was calculated using Reagan-Shaw method⁴⁵. Briefly
73 mouse equivalent dose (mg/kg) = human dose (mg/kg) x human (k_m)/mouse (k_m). Where k_m factor,
74 unique to each species is a constant used to normalize dosage based on body surface area. For a 60kg
75 human adult k_m equates to 37 and a 20g mouse k_m equals 3. Metformin daily dosage in humans range
76 from 1000mg to 2500mg, usually prescribed twice daily. Therefore, a dose of 1500mg per day in
77 human adults translated to approximately 300mg/kg per day mouse dosage²⁴. Mice were randomly
78 assigned to the different experimental arms. Ellipsoid volume of surface nodules were measured ex-

79 vivo as $V = (\pi/6) \times (\text{long axis}) \times (\text{short axis})^2$ ³². Mice were maintained in vented cabinets at 24° C
80 with a 12-hour light-dark cycle. Food and water were provided ad libitum. All animal procedures
81 were performed according to the guidelines of the Italian Ministry of Health Public Health Service
82 Policy on Care and Use of laboratory animals, and in accordance with a protocol approved by the
83 Institutional Animal Care Committee of University of Ferrara and Italian Ministry of Health.

84

85 **Transaminase Assays.** At time points of 0, 3, 6, 9, 14 wks blood sampling of 20ul was done by tail
86 vein nick and post 24 wks by cardiac puncture after mice were euthanized by isoflurane inhalation
87 anesthesia and subsequent cervical dislocation. Serum was collected from blood samples of
88 corresponding experimental groups and AST and ALT levels assayed with respective colorimetric
89 kits (Sigma; MAK055/MAK052) according to manufacturer's instructions and absorbance read on
90 Tecan Infinite F200 Pro plate reader (TECAN, Switzerland).

91

92 **Ultrasonography.** Liver ultrasonography (Philips iU22 with a linear transducer) surveillance was
93 performed at fortnightly intervals after 14wks to monitor growth of liver nodules. Mice were
94 anesthetized with intraperitoneal (i.p.) cocktail of ketamine (90 mg/k BW) and xylazine (9 mg/kg
95 BW) in 0.9% sodium chloride solution and were always placed on temperature-controlled heating
96 pads during the entire imaging procedure. DICOM files were analyzed using an open source medical
97 image viewer (Horos project v3.1.2).

98

99 **Cell lines and reagents.** Human hepatocellular carcinoma cell line HepG2 (ATCC HB-8065,
100 Rockville, MD, USA and authenticated by the provider by cytogenetic analysis) were cultured as
101 monolayers in IMDM media (Sigma; I6529) supplemented with 10% fetal bovine serum (FBS,
102 Sigma; F7524), 0.1% gentamicin (Gibco; 15750-037). Human hepatics stellate cell line LX-2
103 (generous gift from Vienna Hepatic Experimental Hemodynamic-HEPEX Lab at the Medical
104 University of Vienna) were cultured as monolayer in DMEM media (Sigma; D5796) supplemented

105 with 5% FBS, 0.1% gentamicin. All cell lines were maintained in a 37°C humidified incubator
106 containing 5% CO₂ and tested free of mycoplasma contamination (MycoAlert Mycoplasma Detection
107 kit, cat#LT07-418, Lonza). Metformin (Sigma; D150959) was dissolved in phosphate-buffered saline
108 (PBS) at a concentration of 1 mol/L, stored at -20°C and subsequently diluted to appropriate
109 concentrations in complete media without antibiotics for each assay. All cell culture experiments
110 were carried out within 8 passages after being thawed, performed in triplicates and repeated twice.

111

112 **Western blot.** Snap frozen tissues were homogenized in RIPA buffer (Sigma R0278) with
113 protease/phosphatase inhibitors (complete ULTRA/PhosSTOP tablets, Roche) and sodium
114 orthovanadate using a tissue homogenizer. Lysates were centrifuged at 14,000 rpm for 20 min at 4°C.
115 Protein concentration of the supernatant was measured by modified Lowry protein assay before
116 boiling in 4x Laemmli buffer. Approximately 10µg of protein from lysates were loaded on precast
117 PAGE, separated by electrophoresis and transferred to pvdf membranes with Trans-Blot Turbo
118 system (Bio-Rad). Non-specific binding was blocked by 5% non-fat milk for 1hr. Membranes were
119 incubated overnight at 4°C with primary antibodies. Primary antibodies used: LKB1 (Cat#3047),
120 AMPK α (Cat#2532), pAMPK α Thr-172 (Cat#2535), AKT (Cat#4691), pACC Ser-79 (Cat#3661),
121 ACC (Cat#3662), S6 (Cat#2217), p4E-BP1 (cat#2855), 4E-BP1 (Cat#9644), PARP (Cat#9542),
122 cleaved-PARP (Cat#9544) at 1:1000 dilution and pAKT Ser473 (Cat#4060), pS6 Ser-235/236
123 (Cat#4858) at 1:2000 dilution. All antibodies listed above were obtained from Cell Signaling
124 Technologies (Danvers, MA, USA). Other antibodies used were: α -SMA (1:500 Cat#A5228, Sigma)
125 and GAPDH as loading control (1:5000 Cat# TA890003, Origene). Following day, after a brief wash,
126 membranes were incubated with anti-rabbit HRP (Cell Signaling 1:1000) or anti-mouse HRP (Cell
127 Signaling 1:5000) secondary antibodies. Membranes were developed with Clarity western ECL (Bio-
128 Rad) or high-sensitivity Westar Supernova Blotting Substrate (Cyanagen, Italy) and
129 chemiluminescence visualized on Chemidoc XRS+ imaging system (Bio-Rad).

130

131 **Histology and immunocytochemistry.** Formalin-fixed paraffin embedded (FFPE) samples were
132 sectioned into 5 µm-thick sections and stained with hematoxylin-eosin (H&E) and Masson's
133 trichrome according to standard procedures. All slides were reviewed by the same pathologist.
134 Formalin-fixed samples were further processed with 30% sucrose and embedded in optimal cutting
135 temperature (OCT) compound and frozen to -80°C. OCT embedded samples were sectioned into 10
136 µm-thick sections and stained with Oil Red O (ORO) staining according to standard procedures. Lipid
137 droplets were morphometrically quantified on ORO stained sections with image processing software
138 (ImageJ, NIH) as described previously ¹¹. In total, 10 fields of visions were analysed for each section
139 and lipid droplet size and number were averaged for comparison. HepG2 cells cultured with or
140 without metformin were stained with ORO and images were captured. Amount of lipid droplets were
141 quantified semi-quantitatively by extracting ORO from cells in 100% propanol and absorbance
142 measured at OD450nm with Tecan Infinite F200 Pro plate reader (TECAN, Switzerland). Fixed LX-
143 2 cells cultured on polylysine coated round coverslips were incubated with α-SMA antibody (1:100,
144 Cat#A5228, Sigma), followed by incubation with Alex Fluor[®] 488 goat-anti mouse (1:1000,
145 Cat#A11001, Thermo Fischer scientific) for 1hr at room temperature. Cells were then mounted with
146 Vectashield mounting medium with DAPI, observed with a Nikon Eclipse TE2000-E confocal
147 microscope (Nikon, Florence, Italy) and images acquired by Nikon DXM1200F digital camera.

148

149 **Gene expression microarray analysis.** Gene expression profiling was done with an Agilent whole
150 mouse gene expression 8x60K microarray (Cat# G4852A, Agilent Technologies). One-color
151 microarray-based gene expression was analysed according to standard operating procedures from
152 Agilent Technologies. Briefly, total RNA from snap frozen samples was extracted using Trizol
153 Reagent (FS-881, FMB, Trevose, USA) according to manufacturer's instructions. Quantity and
154 quality of RNA was assessed with RNA-600 nanochip (Agilent Technologies) on Agilent 2100
155 Bioanalyzer. Samples with RNA integrity number above 8 were utilized for microarray. Total RNA
156 of 100ng from each sample was used to synthesize cyanine 3-CTP (Perkin-Elmer Life Sciences,

157 Boston, USA) labelled cRNA with Low RNA Input Linear Amplification kit (Agilent Technologies).
158 Labelled RNA was hybridized at 65°C for 17hrs at 10rpm in an incubator. Images of slides were
159 captured by the Agilent scanner and raw microarray data was obtained by accompanying Agilent
160 Feature Extraction Software (v10.5). Quantile normalization of raw microarray expression and
161 downstream analysis was performed with Qlucore omics explorer (v3.4, Qlucore AB, Sweden).
162 Differentially expressed genes in two group comparison were sorted based on analysis of variance,
163 F-test and <0.01 false discovery rate (FDR-q). Heat maps were generated based on hierarchical
164 clustering of samples and genes. Genes that were differentially expressed with fold change >1.5 and
165 FDR <0.01 between two groups (CCl4+metformin vs CCl4 livers) on an unpaired t-test were
166 considered for Gene Set Enrichment Analysis (GSEA). Differentially expressed genes were
167 summarized into mouse Entrez gene IDs and mapped to human orthologs using mapping reports from
168 Mouse Genome Informatics database (Jackson laboratories- www.informatics.jax.org). Differential
169 genes between phenotypes were ranked according to t-statistics. Metformin mediated up-regulated
170 genes were given a positive score and corresponding down-regulated genes a negative score
171 compared to CCl4 only livers. Pre-ranked GSEA was applied with GSEA 3.0 software^{39, 49}. The
172 curated canonical pathways from MSigDB (Molecular Signature Database - Hallmark, KEGG and
173 Reactome) were used. Statistical significance of normalized enrichment score was estimated using
174 phenotype-based permutation (1000) testing and FDR<0.25. Raw data from microarrays are available
175 at Gene Expression Omnibus (GEO accession number: GSE131175). Predicted miR-221 mouse gene
176 targets were retrieved from miRDB excluding targets with >70 prediction score (244 genes,
177 **Supplementary excel file**) and commonality was compared with metformin mediated dysregulated
178 proteins elucidated by immunoblotting³⁶.

179

180 **Statistical analyses.** In mouse studies, no animals were excluded from the analysis. Investigators
181 were not blinded after simple randomization method used to assign mice to different experimental
182 arms. Sample size for animal experiments were calculated to be seven mice for each CCl4 and

183 metformin+CCl4 groups at significance level alpha of 5%, a priori power 80% and estimated effect
184 side (*d*) 1.5, using G*Power software¹⁵. An unpaired t test (two-tailed with unequal variance) was
185 used to compare differences between two groups throughout the study and significance with a
186 threshold of *P*-value <0.05 was considered. Variances between groups were compared by F-test.
187 Summary data are expressed as mean ± standard deviation (SD). Statistical analysis was performed
188 using data analysis software Prism 6.0 (GraphPad Software Inc., La Jolla, CA, USA).

189

190 **Results**

191 **CCl4 induced cirrhosis and HCC in the TG221 mouse model.**

192 We utilised a previously established transgenic mouse strain (TG221), which is predisposed
193 to the development of liver tumours⁴. Recurrent liver injury was induced in 4 to 6 weeks old male
194 mice by oral gavage of 150 ul 20% CCl4 v/v in olive oil, three times a week for the duration of 14
195 weeks. Control TG221 mice were administered 150 ul of olive oil only, at the same time points
196 (**Figure 1a**). Serum levels of liver enzymes aspartate transaminase (AST) and alanine transaminase
197 (ALT) significantly increased with time, denoting liver damage in CCl4 challenged mice when
198 compared to olive oil treated mice (**Figure 1b**). Notably, serum transaminase levels remained high
199 also after discontinuation of CCl4, thus indicating that an irreversible stage of liver damage was
200 reached.

201 Mice were sacrificed 3, 6, 9 and 14wks after initiating CCl4 or olive oil gavage (n=2 for each
202 time point) and liver tissues were harvested. Macroscopically, livers showed signs of the CCl4-
203 induced damage, characterized by a yellowish pale appearance rather than dark red, a harder than
204 normal consistency, an irregular surface with nodules of different sizes (**Figure 1c**). Trichrome
205 staining revealed a sequential progression of liver fibrosis with sustained CCl4 challenge. Thin septal
206 fibrosis was evident at 3wks, progressing to bridging fibrosis by 6 and 9wks but still maintaining liver
207 architecture. However, 14wks of CCl4 challenge led to progression of fibrosis, distortion of liver

208 architecture, nodule formation and ascites typical of cirrhosis (**Figure 1d, Supplementary Figure**
209 **1a**).

210 Following cessation of Olive oil (Arm1, n=7) or CCl4 gavage (Arm2, n=7), mice were
211 monitored by abdominal ultrasonography (USG) at bi-weekly intervals for signs of tumour
212 development. While small liver nodules could be identified at week 14, frank liver masses were
213 detected by USG at 24wks only in Arm2 mice (**Supplementary Figure 1a, b**).

214 At the experimental end-point (24wks), explorative laparotomy revealed hepatomegaly and
215 multiple surface nodules in 100% of CCl4 challenged mice. Olive oil treated mice did not present
216 with hepatomegaly or surface nodules in the liver (**Supplementary Figure 1c, d**). Histological
217 assessment of livers and corresponding nodules revealed different phases of tumourigenesis from
218 dysplastic focal nodular hyperplasia (FNH)-like, to frank HCC with abundant steatosis
219 (**Supplementary Table 1**). In accordance with gross pathology, histopathology of livers from olive
220 oil treated mice did not reveal any evident liver lesions.

221

222 **Metformin intervention abrogates fibrosis and steatosis in CCl4 challenged TG221 mice.**

223 In patients, progression from asymptomatic liver fibrosis to decompensated cirrhosis takes
224 years. Furthermore, once end-stage liver disease is reached, liver transplantation represents the only
225 option to improve quality of life in these patients¹⁴. Therefore, implementation of early intervention
226 strategies are aimed at preventing deterioration of fibrotic disease⁴⁰. Since, in the TG221 mouse
227 model, CCl4 induced fibrosis as early as 3wks, we hypothesized that chemoprevention strategies at
228 this stage could possibly prevent the progression of disease. TG221 mice (n=7) were treated daily by
229 oral gavage with metformin at a dosage of 300mg/kg, starting at 3wks post induction of CCl4-
230 challenge (**Figure 2a**). Dosage of metformin used in present study was calculated according to the
231 Reagan-Shaw method⁴⁵ to approximate human daily dosage of 1500 mg, typically taken by patients
232 affected by type 2 DM. These mice were administered CCl4 up to 14wks, like in Arm2 control, and
233 daily metformin treatment was continued until the experimental end-point at 24wks. Of note,

234 treatment with metformin significantly reduced serum levels of AST and ALT (**Figure 2b**). Three
235 hours post final metformin gavage, mice were sacrificed, and livers collected.

236 Metformin intervention significantly decreased CCl₄ induced liver fibrosis as evidenced by
237 collagen content, immunoblotting for α -SMA and gene expression analysis (**Figure 3a-c**). The
238 reduction of *Coll1a1*, *Col3a1*, *Col4a1* expression and α -SMA protein levels in livers indicates an
239 inhibitory effect on hepatic stellate cells activation by metformin. We confirmed that metformin
240 abrogated the expression of α -SMA in the human hepatic stellate cells LX-2 cells *in vitro*
241 (**Supplementary Figure 2a, b**).

242 Microvesicular steatosis is another feature of cirrhosis where excess lipid droplets are
243 observed in hepatocytes^{19, 50}. Metformin significantly decreased accumulation of lipid droplets in
244 livers when compared to untreated CCl₄ challenged mice (**Figure 4a, b**). One of the well-studied
245 mechanism of actions of metformin is its ability to activate AMPK²⁹. AMPK has been attributed to
246 be a master regulator of cellular metabolism and energy homeostasis²¹. Here, we confirmed by
247 immunoblotting, that metformin increased the levels of total LKB1 leading to increased
248 phosphorylation of AMPK at Thr-172. As a consequence of activation of AMPK, downstream
249 inhibitory phosphorylation of ACC at Ser-79 was also uncovered (**Figure 4c**). The enzyme ACC
250 catalyzes the carboxylation of acetyl-CoA to malonyl-CoA, the rate-limiting step in fatty acid
251 synthesis¹⁷. Abrogation of ACC activity might account for metformin mediated reduction of *de novo*
252 lipogenesis in hepatocytes. Further, *in vitro* metformin significantly reduced intracellular lipid
253 droplets in HepG2 cells in a dose and time dependent manner (**Supplementary Figure 3**).

254

255 **Metformin intervention prevents HCC in CCl₄ challenged TG221 mice.**

256 Metformin intervention at an early fibrosis stage dramatically abrogated formation of tumour
257 nodules (**Figure 5**). Histopathology of livers did not reveal any detectable in-situ nodules in

258 metformin treated mice, whereas in CCl4-only mice, abundant nodules varying from FNH-like to
259 HCC were noticed (**Supplementary Table 1, Supplementary Figure 4**).

260 Data on fibrosis and steatosis suggest that the change in the microenvironment could prevent
261 tumour appearance. Additionally, Gene Set Enrichment Analysis (GSEA) performed on microarray
262 data from livers of untreated and metformin treated CCl4 exposed livers suggested direct effects on
263 cancer-associated pathways. Hallmark gene sets enriched significantly for mTORC1, KRAS and
264 PI3K/AKT/mTOR signaling pathways (**Supplementary Figure 5**), with metformin negatively
265 regulating these pathways. Confirming these indications, we observed that metformin inhibited AKT
266 phosphorylation in liver tissue along with downstream key effectors S6 and 4E-BP1 (**Figure 6**).
267 Albeit, metformin had no apparent effect on total or phosphorylated status of mTOR (data not shown).
268 Since PI3K/AKT pathway is a key regulator of cell survival ⁵³, metformin-mediated inhibition of
269 AKT resulted in a higher level of apoptosis as demonstrated by the increased cleavage of PARP
270 (**Figure 6**). None of the proteins dysregulated through metformin treatment were direct predicted
271 miR-221 targets.

272 Metabolism and bioactivation of CCl4 in liver are primarily mediated by cytochrome P450
273 2E1 (CYP2E1) enzyme ⁵⁴. Thus, metformin could negatively regulate CYP2E1 expression and
274 abrogate CCl4-mediated liver injury. However, we observed that gene expression of *Cyp2e1* in olive
275 oil, CCl4 and metformin+CCl4 treated livers was not significantly dysregulated (**Supplementary**
276 **Table 2**). Furthermore, GSEA was not significant for genes involved in regulation of transcription
277 factor activity or several other cytochrome P450 enzymes involved in metabolism of xenobiotics or
278 drugs were not enriched upon metformin intervention (**Supplementary Table 3**).

279

280 **Discussion**

281 By acting on etiologic viral factors, like HBV or HCV, it has been possible to reduce the risk
282 of virus-associated HCC. Either Hepatitis B immunization programs or anti-HCV direct-acting
283 treatments have not only been beneficial to virus control, but also in reducing viral-associated HCC

284 incidence ^{25, 27}. However, chemoprevention strategies in non-viral related HCC remain a significant
285 unmet medical need. Although liver is the primary site of metformin function, to the best of our
286 knowledge, hepatoprotective effects of early metformin intervention has been previously reported
287 only once in a carcinogen-induced rat model of HCC ¹⁰. The effect of metformin has also been
288 investigated in patients with advanced HCC under sorafenib therapy. In this setting, metformin was
289 not found to be effective, even possibly responsible for an increased tumour aggressiveness and a
290 reduction of overall survival ⁶.

291 Several challenges are present in the preclinical development of secondary chemoprevention.
292 Firstly, the difficulty of developing reliable mouse models capable of simulating the progressive
293 evolution of liver disease from fibrosis to HCC has hitherto prevented testing experimental prevention
294 approaches. To this end, CCl₄ induced chronic liver injury in TG221 mouse model could reproduce
295 all the mentioned phases and was crucial to perform prevention studies reported in this present study.
296 Secondly, since pharmacological agents for early intervention are required to be administered for
297 long periods of time, dictated by extended time to cancer progression, these agents should preferably
298 be orally available and with minimal or no potential toxicity. Our results show that early intervention
299 with metformin was effective in preventing HCC occurrence in the TG221-CCl₄ mouse model. Oral
300 administration of 300mg/kg metformin, which approximately translates to standard 1500mg/day
301 taken by DM2patients, abrogated formation of liver tumours in mice, thereby suggesting that
302 secondary chemoprevention of HCC could be achieved in patients with early signs of fibrosis at
303 standard prescribed daily metformin dose.

304 Tumour initiation and progression is predominantly driven by conducive tissue environment
305 that facilitates epithelial-mesenchymal transition (EMT), dysregulated metabolism and sustained
306 proliferative signaling ^{20, 51}. Cirrhosis microenvironment comprises all these features and represents
307 the most important risk factor for HCC development.

308 Our results indicate that metformin acts primarily to normalize fibrotic and steatotic
309 microenvironment typical of cirrhosis. In fibrotic liver, activated hepatic stellate cells produce

310 various collagens and contribute to EMT ^{26,52}. Here, we show that metformin inhibited the activation
311 of hepatic stellate cells as evidenced by reduced α -SMA and collagen (*Col1a1*, *Col4a1*, *Col4a2*)
312 expression levels, thereby reducing liver fibrosis. Additionally, GSEA revealed negative regulation
313 of set of hallmark EMT genes (NES= -2.40, FDR=0.002) by metformin, which supports the inhibition
314 of EMT process. Furthermore, metformin intervention resulted in LKB1 mediated AMPK activation,
315 which is considered a key regulator of metabolic and energy homeostasis and downstream inhibition
316 of ACC ^{7,17}. This resulted in significant reduction of microvesicular steatosis in livers. Earlier *in vivo*
317 studies reported that loss of lipid droplets in HSCs in chronically injured livers might suppress
318 tumourigenesis ³¹.

319 GSEA provided additional valuable insights into effects of metformin on cellular
320 proliferation/survival signalling pathways, most importantly PI3K/AKT/mTOR pathway. Although
321 we did not detect any effect of metformin on mTOR, suppression of AKT and its downstream
322 effectors explains the pro-apoptotic liver environment which negatively influenced liver
323 tumourigenesis. Indeed, liver injury induced by CCl₄ resulted in liver enlargement and fibrosis.
324 However, the apoptotic effect promoted by metformin suggests that cell death could possibly
325 antagonize liver proliferative reaction to chronic injury. Previous studies in carcinogen-induced and
326 spontaneous mouse models have reported that targeting AKT or metformin mediated AKT
327 suppression, has been beneficial in preventing lung tumours ^{23,35,38}.

328 Our findings carry the promise for a medical application. While a number of clinical studies
329 established that metformin reduces the risk of developing HCC in type 2 DM patients ⁴⁸
330 (**Supplementary table 4**), our results indicate that its prophylactic activity can be expanded to
331 patients with liver fibrosis at risk of developing cirrhosis and HCC, regardless of concomitant type 2
332 DM. The translational value is high, as it involves a potentially large number of individuals.
333 According to epidemiological studies in US and Europe, prevalence of cirrhosis was estimated at
334 about 0.3% of population ^{1,46}, probably an underestimate considering that early stages of cirrhosis
335 are asymptomatic. In addition to reducing the risk of HCC occurrence, our results establish that the

336 use of metformin in early stages of liver fibrosis can effectively ameliorate the underlying liver
337 disease despite continued exposure to etiological risk factors, suggesting that this approach could
338 equip clinicians for a better management of chronic liver disease as well as prevention of HCC.

339 In conclusion, metformin intervention resulted in improved liver function, reduced fibrosis,
340 decreased dependence on lipids as primary source of energy and most importantly abrogated the
341 appearance of liver tumours. Low cost, long-term tolerability and safety of metformin provide a
342 strong rationale for chemoprevention in liver fibrosis patients who are at high risk of developing liver
343 cancer.

344

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348

349 **Competing interests**

350 The authors declare that there are no competing interests.

351

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562 **Figure legends:**

563 **Figure 1.** CCl4 administration induces chronic liver damage and fibrosis in the liver of mice. (a)
564 Experimental design: 4 to 6 weeks old mice were administered olive oil (Arm1) or 20% CCl4 in olive
565 oil (Arm2) per os (p.o.) for 14 weeks. Experimental end-point was at 24 weeks after initiation of
566 CCL4 challenge. (b) Liver damage was detected by the increase of liver Aspartate transaminase
567 (AST) and Alanine transaminase (ALT) enzyme levels. AST-ALT levels are depicted as percentage
568 change between Olive oil and CCl4 experimental arms. (c) Images of mouse livers at the indicated

569 time of sacrifice. (d) Trichrome staining of FFPE livers at corresponding same time points and
570 treatments as of (c) (40x magnification).

571 **Figure 2.** Experimental design of metformin treatments. (a) Mice were administered olive oil (Arm1)
572 or 20% CCl₄ in olive oil (Arm2 and Arm3) per os (p.o.) for 14 weeks. Additionally, mice in Arm3
573 were treated with Metformin at 300mg/kg dosage p.o., starting at 3weeks post initiation of CCl₄
574 challenge, until experimental end point at 24weeks. (n = 7 in each experimental arms) (b) Metformin
575 intervention improved serum levels of the liver enzymes AST and ALT. **** $P < 0.0001$ * $P < 0.05$.
576 AST-ALT levels are depicted as percentage change between experimental arms at 24 weeks time-
577 point.

578 **Figure 3.** Metformin intervention reduces fibrosis in CCl₄-challenged TG221 mice. (a)
579 Representative trichrome staining of FFPE livers at 24 weeks without and with metformin
580 intervention. (b) Western blot analysis of α -SMA protein in livers without and with metformin
581 intervention. (c) Heat map for the expression of several collagen and α -SMA genes (*Acta2*) in CCl₄
582 only livers, matched liver nodules, olive oil livers and CCl₄+metformin treated livers. Intense red
583 means the highest expression; intense green the lowest.

584 **Figure 4.** Metformin intervention reduces steatosis in CCl₄-challenged TG221 mice. (a) Oil Red O
585 (ORO) staining of sections from formalin fixed, OCT embedded and frozen livers at 24 weeks without
586 and with metformin intervention. (b) Lipid droplets were morphometrically quantified after ORO
587 staining for average number and percentage staining area per field of vision in CCl₄ and
588 CCl₄+metformin mice. *** $P < 0.001$ ** $P < 0.01$. Each data point represents a single mouse. (c)
589 Western blot analyses show that metformin induces LKB1 mediated AMPK activation and leads to
590 the downstream inhibitory phosphorylation of ACC at Ser-79, a rate-limiting step in fatty acid
591 synthesis.

592 **Figure 5.** Metformin intervention prevents the appearance of tumour nodules in CCl₄-challenged
593 TG221 mice. (a) Representative liver from a CCl₄-challenged mouse control. (b) Representative liver
594 from a mouse treated with CCl₄ and metformin. (c-d) Surface nodules: number and volume in CCl₄

595 mice in comparison with mice that received CCl4+metformin. The latter did not develop any tumour
596 nodules. **** $P < 0.0001$ ** $P < 0.01$ Each data point represents a single mouse.

597 **Figure 6.** Metformin intervention modulates the AKT pathway and apoptosis in liver tissue of CCl4-
598 challenged TG221 mice. Western blot analyses of AKT, S6, 4E-BP1 and PARP in liver tissue from
599 CCl4 and metformin treated mice. GAPDH was used an internal loading control.

600