# 2 ROLES AND CLINICAL IMPLICATIONS OF MicroRNAs IN ACUTE 3 LYMPHOBLASTIC LEUKEMIA

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#### 29 Abstract

MicroRNAs (miRNAs) are a class of small noncoding RNAs which regulate the expression of target genes by binding to messenger RNAs. miRNAs play a role in various biological processes, including proliferation, apoptosis and tumorigenesis. Dysregulation of miRNAs is implicated in invasion and metastasis in several human cancer types, and leukemia is not an exception.

Acute Lymphoblastic Leukemia (ALL) is an hematological malignancy characterized by the proliferation of early lymphoid precursors that replace normal hematopoietic cells of the bone marrow. The expression profiling of miRNAs in ALL could be used for the classification of the disease establishing specific diagnoses and offering prognostic values in the near future. The correlation of miRNAs dysregulation and biology of ALL demonstrates that specific miRNA may be a potential therapy target.

In this review we have focused our attention on the correlations between ALL and miRNAs, their
link with signaling pathways and transcription factors in the disease and miRNA targeting
therapeutic strategies with their advantages and potential use in clinical applications.

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# 67 Introduction

MicroRNAs (miRNAs), a new class of endogenous small noncoding RNAs, were originally 68 identified as small non-coding RNAs that control the timing of larval development in 69 Caenorhabditis elegans (Deb et al., 2017; Lee et al., 1993). They have subsequently been associated 70 71 with several types of cancer (Bartel, 2004) and involved in various cellular processes, including 72 DNA methylation, cellular growth, differentiation and apoptosis (Fabbri et al., 2007; Lima et al., 73 2017; Raji et al., 2017; Zhang et al., 2010). Their abnormal levels in tumors have important consequences since certain miRNAs overexpressed in tumors contribute to oncogenesis by tumor 74 75 suppressors downregulation (Chen et al., 2016). The dysregulation of miRNAs has been reported in 76 a variety of human cancers and hematological malignancies (Lu et al., 2005). In a microarray-based 77 study it has been demonstrated that miRNAs expression correlates with both the type of leukemia 78 and the prognosis of patients (Garzon and Croce, 2008; Mashreghi and Abolhassani, 2017).

Acute Lymphoblastic Leukemia (ALL) is a malignant disorder that originates from hematopoietic precursors of B- (80-85%) or T-cell (20-25%); the acquisition of a series of genetic aberrations leads to impaired maturation, with arrest of the differentiation process and abnormal proliferation. As a consequence, the accumulation of leukemic cells occurs in both the bone marrow, where it suppresses the physiologic hematopoiesis, and in extramedullary sites (Chiaretti et al., 2014).

ALL is most commonly a pediatric malignancy, but a significant percentage of cases occurs also in 84 85 adults. Although pediatric ALL is highly responsive to chemotherapy, relapse occurs in approximately 25% of children (Pica et al., 2015). The majority of adults diagnosed with ALL 86 87 relapse after treatment and their outlook is bleak, with further treatment including hematopoietic stem cell transplantation producing less than 10% overall survival at 5. In addition, the relatively 88 89 non-specific actions of anti-cancer drugs often result in unacceptable toxicity that can occasionally 90 prove to be fatal or produce lifelong consequences for survivors (Armstrong et al., 2007). The inability to further intensify current treatments in high-risk patients due to dose limiting toxicity 91 92 means that new agents are required for further significant increases in overall survival (Wong et al., 93 2014).

94 miRNAs expressed differentially in distinct stages of lymphopoiesis, can hybridize with target 95 messenger RNAs, regulating their post transcriptional expression, and influence the direction of 96 lymphoid precursor maturation. In malignant lymphopoiesis there is an aberrant expression of

miRNAs involved and those aberrations can be used as signatures of different ALL subtypes. In 97 addition, changes in the expression of several miRNAs may have functional relevance with 98 leukemogenesis or drug resistance. As a result, reversal of the expression of these miRNAs may 99 contrast the disease and improve clinical outcomes. However, among the studies of miRNAs, there 100 are still some problems that need to be solved to understand the function of miRNAs in ALL more 101 thoroughly (Luan et al., 2015). Recently, besides the immunophenotyping of ALL, an increasing 102 number of studies showed that the miRNA expression profiles in acute leukemia have cooperative 103 interactions in the development of leukemia. Therefore, the miRNA expression profile can be used 104 105 as biomarkers in diagnosis, differential diagnosis, prognosis, and therapy of hematologic cancers 106 (Li et al., 2014a; Tanaka et al., 2009; Wang et al., 2016).

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## 108 1. miRNA discovery, biogenesis and function

miRNAs are small noncoding RNA molecules, ranging in length from 20 to 25 nucleotides, which
primarily bind to the 3' untranslated region (UTR) of messenger RNAs, resulting in a
downregulation of target proteins through the degradation of this mRNA or through translational
inhibition (Fig. 1) (Tye et al., 2015).

113 Pri-miRNA sequences are transcribed and cleaved within the nucleus to form the pre-miRNA transcript by the Microprocessor, which consists of RNase III enzyme Drosha and its partner 114 protein DiGeorge Syndrome Critical Region Gene (DGCR8) (Mulrane et al., 2013; Zare-Maivan et 115 al., 2017). The pre-miRNA is then exported to the cytoplasm by the nuclear export protein Exportin 116 5 (XPO5) (a RanGTP-dependent dsRNA-binding protein) where it is processed by Dicer, 117 interacting with a trans-activator RNA (tar)-binding protein (TRBP) to produce short RNA duplexes 118 (Zheng et al., 2017). These are loaded into an Argonaute protein (AGO2), which generates the 119 effector complex RISC by dissociating the RNA duplex, leaving the single-stranded mature miRNA 120 to guide gene silencing (Fig.1) (Deb et al., 2017). miRNAs sequences distribute throughout the 121 whole genome and are classified as intergenic or intronic miRNA (Chen et al., 2013; Monteys et al., 122 123 2010). Currently, more than 24,000 miRNAs have been discovered, spanning more than 200 species 124 including flora, fauna, and some microorganisms and more than 2,000 human mature miRNAs have been identified and reported in miRBase and miRBase Tracker (Van Peer et al., 2014; Weilner et 125 126 al., 2015).

127 It has been demonstrated that miRNAs are frequently localized in common breakpoint regions 128 related to tumors or in fragile sites, minimal regions of heterozygosity lost, and minimal 129 amplification regions.

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## 131 2. Involvement of miRNAs in lymphopoietic process

Hematopoiesis refers to the process that generates new, mature blood cells. All such cells derive from a single progenitor cell termed the Hematopoietic Stem Cell (HSC) which undergoes a process of highly regulated division and differentiation that produces the gamut of mature blood cells. Lymphopoiesis is the process in which B- and T-lymphocytes develop from progenitor cells. B-cell lymphopoiesis is completed in the bone marrow, whereas T-cell lymphopoiesis occurs in the thymus.

Nonetheless, their development and activation at the periphery are controlled by complex protein signaling pathways, which are regulated by the miRNAs (Johanson et al., 2014; Slavov et al., 2010). Several research groups described miRNA expression profile and/or function during the normal (and malignant) hematopoiesis in murine and humans (Hu and Li, 2016) suggesting that miRNAs are pivotal regulators of hematopoiesis implicated in regulating both the maintenance of the 'stemness' of the early progenitors and the various stages of differentiation to mature cells.

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#### 2.1 miR-150

miR-150 is expressed in both mature B- and T-cells. The lymphoid progenitors express miR-150 to 146 give rise to mature B-cells and assist in the transition from progenitor B-cell (pro-B) to the 147 precursor B-cell (pre-B) stage. Premature expression of miR-150 results in blocked transition from 148 the pro-B-cell stage to the pre-B-cell stage (Havelange and Garzon, 2010; He et al., 2015). At 149 variance, the expression of miR-150 in the thymus may enhance T-cell development and 150 mechanisms, including upregulation of key pathways of T-cell development (like the Notch 151 pathway) and suppression of alternative lineage differentiation (like B-cell differentiation) in 152 progenitor cells (Ghisi et al., 2011). A confirmed target of miR-150 is c-Myb, an essential 153 transcription factor involved in early lymphoid development. Its targeted loss in B-cells leads to the 154 maturation arrest from pro-B to pre-B-cell stage, and, simultaneously, miR-150 is found to be 155 overexpressed (Thomas et al., 2005; Xiao et al., 2007; Zhang et al., 2009). 156

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## 2.2 miR-155

Recent data show the role of miR-155 in the differentiation of T-cells into different effectors Thelper (Th) cell subsets. miR-155 regulates the differentiation of T-cells into Th type 1 cells, and its absence results in the direct differentiation from T-cells to Th type 2 cells (O'Connell et al., 2010; Seddiki et al., 2014; Slavov et al., 2010; Thai et al., 2007; Zhang et al., 2009).

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#### 165 **2.3 miR-181**

Six members of the miR-181 family have been identified in the human genome, which include hsamiR-181a-1and hsa-miR-181b-1 locate on chromosome 1; hsa-miR-181a-2 and hsa-miR-181b-2
locate on chromosome 9; as well as hsa-miR-181c and hsa-miR-181d locate on chromosome 19
(Yang et al., 2014).

miR-181 expression is high in the early B-cell differentiation stage and progressively decreases
subsequently (Chen et al., 2004). In addition, miR-181 plays an important role in T-cell
development and is highly expressed in double-positive T-cells (Zhang et al., 2009). BCL-2, CD69,
EGR1and T-cell receptor are miR-181 targets, all involved in positive T-cell selection (Verduci et al., 2015).

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#### 176 **2.4 miR-17-92 cluster**

177 miR-17-92 cluster consists of six miRNAs: miR-17, miR-18a, miR-19a, miR-20a, miR-19b-1, and miR-92-1, is highly expressed in the B- and T-lymphoid precursors and is decreased after 178 179 maturation (Rao et al., 2015). As well as miR-150, absence of the cluster leads to the development 180 of disorders in the maturation from pro-B to pre-B-cell stage, due to the increased levels of the pro-181 apoptotic protein BIM that is a target of the cluster(Havelange and Garzon, 2010). Studies by Rajewsky's group demonstrated that mice with targeted overexpression of the miR-17-92 cluster 182 during lymphopoiesis develop severe lymphoproliferative disorders and autoimmunity (Xiao et al., 183 2008). 184

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## 186 **3. miRNA features related to ALL development**

187 The aberrations in the expression of miRNAs involved in malignant lymphopoiesis, can be used as signatures of different ALL subtypes. Moreover, changes in the expression of several miRNAs may 188 have functional relevance with leukemogenesis or drug resistance. As a result, the reversal of the 189 expression of these miRNAs may alleviate the disease to some extent and improve clinical 190 outcomes (Luan et al., 2015). Based on the relative expression of miR-148, miR-151, miR-424, 191 192 miR-425-5p, miR-191, miR-146b, miR-128, miR-629 and miR-126, the B and T lineages of ALL can be distinguished (Zhang et al., 2009). Analysis of over 430 miRNAs in 50 clinical T-ALL 193 samples have revealed a common signature: miR-223, miR-19b, miR-20a, miR-92, miR-142-3p, 194 195 miR-150, miR-93, miR-26a, miR-16 and miR-342. MiR-19b,-20a,-26a,-92 and 223 have been 196 shown to target T-ALL tumor suppressors such as IKAROS, PTEN, BIM, PHF6, NF1 and FBXW7 197 and lower expression of miR-223 has been reported in ALL cells isolated from pediatric patients 198 and cell lines (Table 1). miR-612 and miR-499 have significant correlations with ALL susceptibility

(Mavrakis et al., 2011). Target gene of MYC, TFs of CDX2 and lncRNA of XIST may play 199 200 important roles in the development of B-ALL, serving as a potential therapeutic target (Weng et al., 2006). Gain of function mutations in NOTCH1 are predominantly found in T-ALL, but recent 201 evidence implicate NOTCH1 and NOTCH2 also in subsets of mature B-cell malignancies (Kridel et 202 al., 2012; Martinez et al., 2014). Despite the fact that MYC is more prominently linked to B cell 203 lymphoma biology, its relevance to T-ALL pathogenesis is well established, at least in part due to 204 NOTCH1 ability to induce MYC expression (Klinakis et al., 2006; Weng et al., 2006). The miR-30 205 family represents a candidate for a putative MYC-dependent regulation of NOTCH1 and NOTCH2 206 207 expression. It has been confirmed that MYC negatively influences miR-30a expression and that this miRNA directly targets NOTCH1 and NOTCH2 (Schotte et al., 2009) (Table 1). Using genetic and 208 209 pharmacological models, it has been characterized a regulatory loop, where by the MYC-mediated 210 inhibition of miR-30a de-represses NOTCH, eventually modulating its own expression (Ortega et 211 al., 2015).

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#### 213 **4. ALL chromosomal abnormalities**

It has been well recognized in B-ALL a set of genetic lesions, mostly represented by 214 215 translocations and including BCR/ABL,ETV6/RUNX1,E2A/PBX1, and MLL rearrangements (Chiaretti et al., 2014). The hallmark of chronic myeloid leukemia (CML) is represented by the 216 BCR/ABL rearrangement t(9;22) (q34;q11) (Ph chromosome) (Ciarcia et al., 2016) and it can 217 also be detected in ALL (Kang et al., 2016). It induces constitutive activation of the ABL kinase, 218 which in turns activates the mitogenic signaling pathways and induces altered cellular adhesion, 219 inhibition of apoptosis, and proteasomal degradation of physiologically important cellular 220 proteins, ultimately contributing to tumor growth and proliferation (Melo and Deininger, 2004; 221 222 Sattler et al., 2003). The BCR/ABL oncogene has a very low frequency in childhood ALL, while 223 it starts to increase during adolescence and reaches more than 50% among the elderly (Chiaretti et al., 2013). Until the introduction of tyrosine kinase inhibitors, the prognosis of these cases was 224 poor and their use in clinical practice has profoundly changed the clinical management of these 225 226 patients (Ottmann et al., 2007; Vignetti et al., 2007).

ETV6/RUNX1 is another kind of mutation but it is more frequent in children and virtually disappears with age progression (Harrison, 2009). It originates from t(12;21) (p13;q22) which creates a fusion gene including the 5' portion of ETV6, a member of the ETS family of transcription factor genes, and almost the entire coding region of the transcription factor RUNX1 which encodes the  $\alpha$  subunit of the core-binding factor, a master regulator of the formation of hematopoietic stem cells (Medina et al., 2016). As a result, the chimeric ETV6/RUNX1 transcription factor retains an essential protein-protein interaction domain of ETV6 and the
DNA-binding and transcriptional regulatory sequences of RUNX1 (Loh and Rubnitz, 2002;
Speck and Gilliland, 2002). Children with ETV6/RUNX1 rearrangement usually have excellent
clinical outcomes.

In leukemias of B- and T-cell origin as well as in myeloid leukemias, the MLL gene can be 237 disrupted, and so far about 100 partners have been recognized (Meyer et al., 2013; Meyer et al., 238 2009). The MLL fusion proteins have a dominant gain-of-function effect that enhances their 239 240 transcriptional activity. These alterations mainly disrupt the normal pattern of expression 241 of HOX genes, causing a change in the self-renewal and growth properties of hematopoietic stem 242 cells and committed progenitors, thus eventually leading to leukemia (Corral et al., 1996; 243 Dobson et al., 1999). In all cases, MLL rearrangements are associated with a very unfavorable outcome. 244

E2A/PBX1 rearrangement arises from t(1;19)(q23;p13)and is strongly associated with a pre-B
immunophenotype as blasts usually express cytoplasmic immunoglobulins (Hunger, 1996).

The resulting protein induces cell differentiation arrest and tumor formation, most probably because of a reduction of the levels of wild-type E2Awhich eventually induce deregulation of lymphoid cell maturation and proliferation; furthermore, E2A/PBX1 itself induces the transcription activation of target genes. Its frequency is similar in children and adults, corresponding to 2-7%.

T-ALL is characterized by activating mutations of NOTCH1 and rearrangements of transcription 252 factors TLX1 (HOX11), TLX3 (HOX11L2), LYL1, TAL1. Although these rearrangements are 253 254 important initiating events in leukemogenesis and are widely used in diagnosis and risk stratification algorithms, they are insufficient to fully explain leukemogenesis. Rearrangements such 255 256 as ETV6-RUNX1 are present years before the development of leukemia, and many of them do not 257 alone result in the development of leukemia in experimental models. It is now known that the majority of ALL cases are characterized by distinct constellations of structural and submicroscopic 258 259 genetic alterations and sequence mutations (Mullighan, 2012).

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# 4.1 miRNA expression related to ALL chromosomal changes

Nearly three quarters of childhood ALL cases contain one or more total alterations of chromosome,
with different immunophenotypes harboring different miRNA signatures (Li et al., 2014b;
Mullighan, 2013). These signatures can help the diagnosis and classification of ALL (Luan et al.,
2015). Compared with normal pediatric BM samples, miR-100 (p<0.01) and miR-196b (p<0.01)</li>
were expressed at a lower level in samples of pediatric ALL, whilst miR-128a (p<0.01) and miR-</li>

181b (p<0.01) were overexpressed; miR-92a was similar for both groups (Table 1 and Table 2) (de 267 Oliveira et al., 2012b). miRNA-100 was related to t(12; 21) positive ALL (de Oliveira et al., 2012a; 268 Ohyashiki et al., 2010). B- and T-lineage ALL can be discriminated by the expression of miR-148, 269 miR-151, and miR-424 by statistical analysis (ANOVA test). Indeed Macino's group first compared 270 B-ALL versus T-ALL cases and found a few miRNAs whose expression differs between T-ALL 271 272 and B-ALL (Fulci et al., 2009). Furthermore, B-lineage ALL subsets with special molecular lesions such as BCR/ABL, E2A/PBX1 and MLL/AF4, can be differentiated by a set of six miRNAs: miR-273 425-5p, miR-191, miR-146b, miR-128, miR-629, and miR-126as shown by statistical analysis 274 275 performed by Macino's group. It has been observed differential expression patterns of ALL which 276 were composed of several known miRNAs and 20 newly identified miRNAs that had first been 277 discovered at the genomic level in human ALL (Zhang and Chen, 2009). These patterns constituted an ALL-specific miRNA signature for diagnosis. 278

A number of 118 single nucleotide polymorphisms (SNPs) has been analyzed in pre-miRNAs and
miRNA-processing genes. A total of eleven SNPs, three SNPs present in miRNA genes (miR-612,
miR-499, andmiR-449b) and eight present in six miRNA biogenesis pathway genes (TNRC6B,
DROSHA, DGCR8, EIF2C1, CNOT1, and CNOT6) were significantly associated with ALL
susceptibility. miR-612 and miR-499 had a more significant association with ALL susceptibility
(Gutierrez-Camino et al., 2014).

In seven major subtypes of pediatric ALL (T-cell, MLL-rearranged, TEL-AML1-positive, E2A-285 PBX1-positive, hyperdiploid ALL, BCR-ABL-positive and "B-other" ALLs) miRNA expression 286 levels have been compared. miR-708 was expressed from 250- to 6,500-fold higher in the 57 TEL-287 AML1, BCR-ABL, E2A-PBX1, hyperdiploid, and B-other cases than in the 20 MLL-rearranged 288 and 15 T-ALL cases (Schotte et al., 2011). In 81 cases of pediatric ALL and 17 normal 289 290 hematopoietic control cases it has been demonstrated the unique miRNA signatures of each subtype by analyzing the expression levels of 397 miRNAs. Additionally, the miRNA signature of TEL-291 AML1-positive and hyperdiploid cases overlapped partially, which may suggest a common 292 underlying biology. It has been also reported that five miRNAs, miR-19b, miR-20a, miR-26a, miR-293 294 92, and miR-223, were identified as being capable of promoting T-ALL development in a mouse model and accounting for the majority of miRNA expression in human T-ALL, which could be 295 296 used to reveal the pattern of gene interactions of T-ALL (Table 1) (Mavrakis et al., 2011).

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## 298 **5. Transcription factors in ALL**

The development of functional lymphocytes is dependent on the maturation of multipotent progenitors (MPPs) in the bone marrow (BM). The formation of lineage-restricted progenitors is co-

coordinated by the action of transcription factors (TFs) that activate lineage-specific genes as well 301 302 as restrict alternative cell fates. Considering the crucial roles for stage- and lineage-specific TFs such as PAX5, IKZF1 (IKAROS), TCF3 (E2A, TCFE2A), and EBF1 in the regulation of normal B-303 lymphocyte differentiation, it can be predicted that disruptions in the balanced action of these 304 proteins represent an underlying cause of phenotypic features such as developmental arrest 305 observed in B-lineage acute lymphoblastic leukemia (B-ALL) (Somasundaram et al., 2015). T-ALL 306 is commonly associated with acquired chromosomal translocations and other genetic abnormalities, 307 of a select group 308 which lead to aberrant expression of transcription factors 309 including HOX11/TLX1, TAL1/SCL, TAL2, LYL1, BHLHB1, LMO1, LMO2 and NOTCH1, resulting in their aberrant expression in developing thymocytes. Although the oncogenicity of these 310 311 proteins is well established by several researchers in the past, the understanding of the downstream 312 transcriptional programs that generate and maintain the T-ALL phenotype remains limited.

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# 5.1 Role of miRNAs related to the transcription factors in ALL

315 Both the TFs and miRNAs are regulators of gene expression, and they may mutually regulate each other to form feedback loops, or they regulate the same target gene to form a feed-forward loop 316 317 (FFL). It has been reported that hundreds of potential miRNA-mediated feedback and FFLs are available at the genome level (Re et al., 2009; Tsang et al., 2007). The hub genes are fragile points 318 in the regulatory network with many nodes linked to them and mutation of them may deadly disrupt 319 the normal function (Ye et al., 2012). In the miRNA-TF co-regulatory network, it has been 320 identified four hub genes (CYLD, HOXA9, BCL2L11 and RUNX1) and four hub miRNAs (miR-321 19, miR-17, miR-92a-1 and miR-20a) in the miR-17-92 cluster, that likely play important 322 regulatory roles in T-ALL. Meanwhile, it has been confirmed some well-studied T-ALL candidate 323 genes (e.g. NOTCH1, PTEN, FBXW7 and LMO2) and nuclear TFs (e.g. CREB1, MYC, STAT and 324 NF- $\kappa$ B) in the miRNA-TF network. Many of them are involved in important pathways, such as 325 PI3K/AKT pathway, NOTCH pathway, NF-kB pathway and JAK pathway (Leung et al., 2008; 326 Vilimas et al., 2007). As several groups have shown, miR-19 was significantly upregulated in 327 328 Notch-induced T-ALL, and its target genes like PTEN, BCL2L11 (Bim) and NOTCH1 have been identified in mouse models (Mavrakis et al., 2010). It has been reported that deletion of the 329 complete miR-17-92 cluster repressed the MYC-induced oncogenesis(Hong et al., 2010). This 330 331 effect was rescued by reintroduction of the full cluster, but not by the cluster lacking miR-19. 332 Recovery of miR-19 largely rescued the tumorigenicity, which identified miR-19 as the most important oncogenic miRNA in the miR-17-92 cluster (Olive et al., 2009). In T-ALL patients and 333

hematological malignant cell lines, miR-19 was significantly upregulated, and its target genes such
as PTEN, HOXA, BCL2L11, CYLD and NOTCH1 were repressed.

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# 337 6. PI3K/Akt/mTOR signaling pathway in ALL

Phosphoinositide 3-kinase, AKT, and mammalian target of rapamycin (mTOR) signaling pathway controls proliferation, differentiation, and survival processes of hematopoietic cells. Interest into targeting the PI3K/AKT/mTOR network in cancer has increased by the recent disclosure that PIK3CA of the PI3K pathway is the second most frequently mutated gene in cancer (Kandoth et al., 2013). Alterations of PI3K/AKT/mTOR are predominant in T-ALL with respect to other leukemia types.

In pediatric patients with pre-B-ALL, it has been shown that pAKT correlated with poor response to chemotherapy and overexpression of pAKT *in vitro* was enough to reverse the induction of apoptosis by standard anti-leukemic drugs (Evangelisti et al., 2011). Among other activated kinases, marked induction of mTOR signaling is present in CRLF2-rearranged B-ALL as measured by increased phosphorylation of pS6, 4EBP1 and eIF4e downstream of mTORC1. Pharmacological interruption of pathway elements of PI3K/mTOR abrogated target phosphorylation indicating a potential therapeutic window in this specific subgroup (Tasian et al., 2012).

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## 6.1 miRNAs and their signature in PI3K signaling pathway in ALL

miRNAs are important regulators of key genes in the PI3K/Akt/mTOR pathway, suggesting 353 miRNAs as a new therapeutic target also in leukemia (AlQurashi et al., 2013). It was shown in 354 promyelocytic cell line NB4 that miR-223 is able to reduce cell growth by the inhibition of the 355 insulin-like growth factor 1 receptor (IGF1-R), blocking PI3K signal (Jia et al., 2011) (Fig.2). 356 357 Instead, miR-193-a is downregulated, determining the activation of the PI3K cascade, through the inhibition of the tumor suppressor PTEN (Schotte et al., 2009). miR-22 targets PTEN directly 358 through a conserved site on the PTEN 3'UTR and it is upregulated by AKT, suggesting that miR-22 359 360 forms a feed-forward circuit in this pathway. A recent study revealed that miR-22 accelerated AKT 361 activity upon growth factor stimulation, and attenuated its down regulation by serum withdrawal. Thus, in this regulatory network, miR-22 acts to enhance AKT signaling (Bar and Dikstein, 2010). 362 363 Also miR-21 is involved in the regulation of PI3K/Akt/mTOR pathway and the expression of this miRNA is correlated to drug resistance by downregulation of PTEN (Bertacchini et al., 2015) 364 365 (Fig.2). The three members of the miR-29 family, -a,-b and-c, function as tumor suppressor in different tumors, including leukemia (Zaidi et al., 2017; Zhang et al., 2009). The downregulation of 366 367 miR-99-a and miR-110 is observed in ALL patients and their expression inhibit cell proliferation in

ALL cell lines. This inhibition is due by two prosurvival effectors such as mTOR and IGF-1 (Li et 368 al., 2012) suggesting that these two miRNAs have different roles in myeloid cell and lymphocyte 369 pathogenesis (Zhang and Chen, 2009). miR-100 and miR-99a overexpression inhibit IGF1R and 370 mTOR and down-regulated MCL1 (Induced myeloid leukemia cell differentiation) (Schotte et al., 371 2009) (Fig. 2). This evidence suggested that miR-100 and miR-99a act as tumor suppressors and 372 their restoration might be a possible therapeutic strategy for patients with ALL (de Oliveira et al., 373 2012b; Li et al., 2013). Finally, it has been also demonstrated that miR-221 negatively regulated 374 PTEN by binding to its 3'UTR leading to inhibition of PTEN translation and activation of AKT 375 376 pathway. Moreover, BCL-2, CCND1 and p27, downstream genes of pAKT, were regulated by miR-221 (Fig.2). therefore this miRNA is able to induce cell survival through targeting the 377 378 PI3K/PTEN/AKT pathway and could be detected as a promising gene with its oncogene role (Zhao et al., 2013). 379

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# 381 7. Role of miRNAs in ALL prognosis

382 miRNA signatures can be used not only in the diagnosis of the ALL, but also in their prognosis. Several miRNAs, involved in cell proliferation and apoptosis regulation, are implicated in 383 384 leukemogenesis and they may interfere with either oncogenic or tumor-suppressor pathways influencing the prognosis of patients. Cellular miR-92a expression was significantly increased in a 385 subset of ALL cells, and ALL patients with overexpression of miR-92a had poor prognoses (Table 386 1 and Table 2). Compared with peripheral blood mononuclear cells from healthy volunteers, the 387 cell-to-plasma ratio of miR-92a expression was particularly higher in both ALL and AML cells 388 389 (Table 1) (Ohyashiki et al., 2010).

It has also been suggested that expression level of miRNAs could be used as indicators of prognosis 390 391 in children with ALL, such as higher expression of miR-128b at diagnosis predicted a better 392 prognosis and prednisolon response (Nemes et al., 2015). A study of 147 patients with acute leukemia and 100 healthy individuals showed that acute leukemia (including both ALL and AML) 393 patients with high miR-24 expression had shorter overall survival (Organista-Nava et al., 2015). In 394 395 B- and T-ALL, patients with high miR-16 had a significantly shorter disease-free survival (DFS) (Kaddar et al., 2009). In another study the overall survival rate in miR-16 high-expression group 396 397 decreased, thus showing a worst prognosis (Xiao et al., 2014).

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## 399 8. Therapeutic implications of miRNAs in ALL

400 The recent advances in our understanding of the role of miRNAs in lymphoid malignancies 401 demonstrate that miRNAs can effectively be used as tumor biomarkers with diagnostic, prognostic and predictive-of-response-to-therapy implications (Fabbri and Croce, 2011). Glucocorticoids are
used in the therapy of ALL and related malignancies and they are able to induce apoptosis in
lymphoid lineage cells. However, a proportion of patients with ALL are insensitive to prednisone. It
has been shown that eight miRNAs (miR-18a, miR-532, miR-218, miR-625, miR-193a, miR-638,
miR-550, and miR-633) can help to distinguish the patients sensitive from those insensitive to
prednisone (Xu et al., 2011).

Both miR-128b and miR-221 are downregulated in MLL-rearranged ALL and it has been 408 409 hypothesized they may reduce GCs sensitivity (Kotani et al., 2010). Restoration Increase of miRNA-128b downregulates target genes including MLL, AF4, and their both MLL-AF4, and AF4-410 MLL fusion oncogenes, and whereas the restoration expression of miRNA-221 downregulates 411 412 CDKN1B cooperatively CON CHI?. Thus, the sensitivity of two cultured lines of MLL-AF4 ALL cells to GCs is strengthened. In a subsequent study, researchers illustrated that one novel mutation 413 414 of miR-128b significantly reduced its processing, and the resultant downregulation of mature miR-128b gave rise to GCs resistance due to the failure to downregulate the fusion oncogenes. By 415 416 regulating miRNAs, therapeutic effect of GCs may be improved (Luan et al., 2015). In a study by 417 Harada and colleagues in 2012 transiently overexpressed a miRNA precursor, pre-miRNA-17, in 418 the pre-B ALL cell line (SUP-B15) by electroporation and monitored the dexamethasone-induced levels of apoptosis using annexin/propidium iodide (PI) staining. They found that overexpression of 419 PREmiRNA-17 reduced dexamethasone-induced cell death. On the contrary, By-inhibition of 420 miRNA-17 through locked nucleic acid (LNA) inhibitor, to-increased dexamethasone sensitivity 421 <del>was (Harada et al., 2012)</del>. 422

423 2) The human NR3C1 gene is encoded on chromosome 5q31.3 and consists of 9 exons (Liang et al.,

2017). 1) It has been found that miR-142-3p decreased NR3C1 protein expression and led to GC
resistance by promoting T-leukemic cell proliferation but not apoptosis in ALL (Lv et al., 2012).

426 In a study, Liang YN. et al., (ANNO) firstly reported in ALL that miR-124 also targeting NR3C1

427 could enhance the resistance of prednisone sensitive CCRF-CEM cells to dexamethasone and

428 inhibits cell apoptosis, thus showing by was a similar novel mechanism for GC resistance. It was

not clearly why miR-124 and miR-142-3p had different cell effects although they both targeted

430 NR3C1.

For ALL with BCR-ABL fusion gene, the application of Tyrosine Kinase Inhibitors (TKI) may be a promising strategy, but the prognosis remains suboptimal (Faber et al., 2008). BCR-ABL1 and ABL1 are the direct targets of miR-203, which is silenced by genetic and epigenetic mechanisms in

hematopoietic malignancies expressing either ABL1 or BCR-ABL1, and the restoration of miR-203

expression reduces ABL1 and BCR-ABL1 levels and inhibits cell proliferation (Bueno et al., 2008;
Faber et al., 2008).

The inhibition of DNMT3A by forced expression of miR-217 may prevent drug resistance to TKI
treatment in Philadelphia-chromosome-positive ALL patients. Hence, it may indicate another

therapeutic strategy for BCR-ABL-positive ALL (Nishioka et al., 2014).

440 Demethylation could be a potential therapeutic strategy for ALL. In the MLL-AF4 ALL, miR-143 441 is epigenetically repressed by promoter hypermethylation in MLL-AF4-positive primary blasts and 442 cell lines, but not in normal BM cells and MLL-AF4-negative primary blasts. Meanwhile, miR-143 443 was identified as a regulator of MLL-AF4 expression, and its restoration could induce apoptosis, 444 negatively contributing to leukemia cell growth. Therefore, upregulation of miR-143 expression has 445 therapeutic promise for MLL-AF4 B-cell ALL (Dou et al., 2012).

The  $\alpha$  isoform of Protein kinase C (PKC $\alpha$ ), has long been recognized as a regulator of tumor growth 446 447 in many kinds a variety of cancers (Fang et al., 2016). There has been a great in Increasing interest targeting this kinase isoform for cancer therapy has developed. Targeting PKC $\alpha$ -mediated signal 448 449 transduction <del>pathway</del> induces cell killing death in AML cells by inhibiting Bcl-2 phosphorylation and blocking ERK activation. Fang ZH. et al. (ANNO) showed that forced overexpression of miR-450 451 150 significantly repressed endogenous expression of PKC $\alpha$ , and luciferase reporter/mutagenesis assays confirmed that PRKCA is a transcriptional target of miR-150. Furthermore, they 452 demonstrated that PKC $\alpha$  knockdown expression inhibited leukemia cell growth, supporting that 453 PKC $\alpha$  is an essential target PER COSA? miR-150? and plays acritical roles in miR-150-mediated 454

- 455 antagonism to leukemic growth.
- 456 457

#### 8.1 Current developments in hematological malignancies

Hematologic malignancies are among the top 10 malignant disorders with respect to the incidence 458 as well as cause of death in patients suffering from cancers. They constitute approximately 9% of 459 all tumor cases diagnosed in a year. Annual incidence rates of some of these cancers are 460 consistently increasing. However, a trend of significant decline in mortality due to many 461 462 hematological malignancies has been observed (Prakash et al., 2016). This positive trend is being observed predominantly because numerous advances have been made in the field of 463 464 hematological malignancies that have significantly improved the lives of patients with hematological disorders and blazed a trail for advances in other fields. 465

466 A powerful approach for treating hematological malignancies is allogeneic hematopoietic stem cell
 467 transplantation (allo-HSCT). Alloreactive T cells play a key role in de-bulking the patients'

468 leukemia (Nelson and Paulos, 2015). However, a fatal complication of graft versus host disease

(GVHD) may be due T cells which target non-malignant tissues. Immunotherapists have invested
time and effort to find alternative approaches to circumvent GVHD in patients. Recent advances in
gene transfer technology demonstrate that the patient's T cells can be engineered with a chimeric
antigen receptor (CAR) to recognize tumor antigen CD19 expressed on malignant cells (Brentjens
et al., 2013).

474 Monoclonal antibodies (mAbs) that specifically recognize tumor antigens have been widely investigated. They are easy to produce, they are off-theshelf reagents with high protein stability and 475 they can treat a wide range of patients with blood cancers. Most importantly, monoclonal 476 477 antibodies, such as rituximab, alemtuzumab and trastuzumab, **PATOLOGIE**? have been widely 478 used in patients and they are reported to mediate antitumor responses in the clinic (Weiner et al., 479 2010). Clinical trials suggest that hybrid antibodies (BiTEs) are promising for treating patients with hematologic malignancies. These artificial antibodies crosslink neoplastic T cells with tumors and 480 481 they are comprised of minimal binding domains of two distinct antibodies via a short peptide. This kind of antibodies redirect cancer cell death via T cells at sub-picomolar concentrations, activate 482 483 host T cells and drive a T cell's ability to serially kill tumor cells in vivo. This chain of events leads 484 to the sustained activation, proliferation, and cytotoxicity of T cells as long as target cells are 485 available (Nelson and Paulos, 2015). This effect capability has been successfully used in..... CITARE 2-3 PATOLOGIE EMATOLOGICHE. 486

487 Cancer chemotherapy is one of the major medical advances of the 20<sup>th</sup> century. For certain
488 hematological malignancies, such as ALL and subgroups of Hodgkin's (HL) and non Hodgkin's
489 lymphoma (NHL), chemotherapy can be curative and the promise of long term survival makes the
490 risk of adverse effects acceptable.

Targeted therapy is defined as a drug with a focused mechanism that specifically acts on a well-491 492 defined target or biological pathway that, when inactivated, causes regression or destruction of the 493 malignant process. The obvious advantage of such therapies is that they are less toxic, with a higher therapeutic index and potentially more effective. Moreover, the application of these therapies is 494 usually limited to patients known to carry the appropriate molecular target in their cancer cells, 495 496 therefore eliminating the toxic and financial implications of more generalized use. While in some circumstances these new therapies will eliminate the need for traditional chemotherapy regimens 497 498 QUALI?, in other cases it is likely that they will lower the threshold for induction of programmed 499 cell death, thus enhancing the effectiveness of conventional chemotherapy approaches (Bahrami et 500 al., 2017).

501 In the  $1950_s$  the only treatment for CML was radiation of the spleen, granting patients about 30 502 months of survival. The identification of the mutation in the BCR-ABL caused by a specific

chromosomal translocation led to the development of imatinib, a gene-fusion-targeting drug that is 503 504 the paradigm of a new generation of "smart" drugs. Imatinib is the first drug that has been designed to be so specific that it targets and corrects only the molecular defect that leads to CML, sparing 505 patients most of the toxic side-effects that usually come with chemotherapy. Treated with this non-506 toxic oral drug, more than 75% of patients diagnosed with CML now achieve a durable complete 507 cytogenetic remission. This development introduced a new way to think about treating cancer 508 patients. With the disease in long-term remission (inactive, but not necessarily cured) many CML 509 510 patients can now expect a normal life span.

511 Ibrutinib targets and inhibits the Bruton tyrosine kinase (BTK), a pathway that is deficient in X-

- linked a gammaglobulinemia, and critical for activation of the protein kinase B (Akt), extracellular 512
- 513 receptor kinase, and nuclear factor (NF)-kB pathways. It is now Food and Drug Administration (FDA)- approved in the United States for treatment of chronic lymphocytic leukemia (CLL), 514 515 relapsed mantle cell lymphoma (MCL), and Waldenström macroglobulinemia.
- Phosphatidylinositol-3 kinases transmit intracellular signals critical for multiple cellular functions. 516 517 Expression of the p110 delta isoform, targeted by idelalisib, is largely restricted to the hematopoietic lineage, and has roles in signaling through the B-cell receptor CD40, the chemokine 518 519 receptors CXC-chemokine receptor (CXCR)4 and CXCR5, B-cell activating factor receptor, integrins, and the IL-6 receptor. The putative involvement of these pathways in B-cell malignancies 520 underlies the FDA indications of idelalisib as monotherapy for relapsed follicular B-cell non-521 Hodgkin lymphoma (NHL) and relapsed small lymphocytic lymphoma, and in combination with 522 523 rituximab in relapsed CLL.
- The B cell leukemia/lymphoma-2 (BCL-2) protein??? was first identified as a fusion partner with 524
- 525 IgH in follicular lymphomas carrying t(14;18) translocation. The BCL-2 family proteins share

sequence similarities in the BCL-2 homology domains (BH1-4). They are either anti-apoptotic, such 526

as BCL-2, BCL-XL, MCL-1, BCL-W, BFL-1) or pro-apoptotic, such as BAX and BAK, by forming homo-or-heterodimers that regulate apoptosis in the mitochondria. Pro-apoptotic members 528

529 that share homology only in the BH3 domain induce apoptosis by interacting with other pro-530 apoptotic BCL2 proteins to release the activators or pro-apoptotic proteins. There is concomitant

expression, in cancer cells, of pro-apoptotic activators and anti-apoptotic proteins. It is the 531

532 predominant function of the latter that prevents the cells from undergoing apoptosis, a condition

known as BCL-2 addiction (Zhang et al., 2017). ?????????? 533

527

534 Finally, the latest development in the field of Acute Leukemias is use of minimal residual disease (MRD) after induction therapy for prognostication as well as for prognostic evaluation and 535 536 treatment planning. MRD is defined by residual leukemic cells following the achievement of complete hematologic remission (Prakash et al., 2016). After more than a decade of research, MRD
techniques appear finally ready to substitute morphological assessment of response in both
experimental trials and the general clinical praxis and may benefit the most from miRNA analysys
at the single cell level for precision and personalized medicine (Mosna et al., 2017).

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- 542

# 543 **9.** Conclusions

miRNAs are important regulators of hematopoiesis and control the gene expression of several transcription factors essential for the commitment, differentiation, and apoptosis of hematopoietic stem cells (Bissels et al., 2012). A single miRNA targets many genes and it this matches the question whether or not targeting a single or multiple genes leads to hematological malignancies, as well as interfering with several pathways which are involved in disease development.

549 The identification of the molecular effectors mediating the effects of the miRNome de-regulation on the development of malignancy is undergoing, and suggests potential new strategies to overcome 550 551 such aberrations. Moreover, for new promising therapeutic implications, these discoveries have clearly demonstrated that miRNAs can also serve as molecular biomarkers of cancer with 552 prognostic implications and as predictive biomarkers of response to treatment. It is likely that in the 553 next few years, new miRNA-based diagnostic, prognostic and predictive kits will be available for 554 clinicians and miRNA-derived treatments will begin their clinical trial journey towards the 555 development of new clinical agents. 556

557

## 558 Figure Legend

Figure 1. miRNA biogenesis and mechanism of action. miRNA biogenesis begins inside the
nucleus, then its processing and maturation take place in the cytoplasm of an eukaryotic cell.

561

**Figure 2. The effect of miRNA-mediated PI3K/Akt/mTOR signaling on leukemias.** Scheme of the effector miRNAs and PI3K/Akt/mTOR pathway inhibitors in different leukemias. miR-21 and miR-22 are activator miRNA, and miR-99a, miR-100, miR-193a and miR-223 are inhibitor miRNA of the PI3K/Akt/mTOR pathway.

566

### 567 **Conflict of interest**

568 The authors declare that they have no conflict of interest.

- 569
- 570 **References**

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