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## Recent achievements and future challenges in supercritical fluid chromatography for the enantioselective separation of chiral pharmaceuticals --Manuscript Draft--

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| <b>Abstract:</b>                                     | <p>During the last years, Supercritical Fluid Chromatography (SFC) has attracted a continuously growing number of users. Thanks to the introduction of state-of-the-art equipments, this technique has allowed to run 3 to 5 times faster separations than in High Performance Liquid Chromatography (HPLC) on columns packed with particles of comparable dimension, at lower pressure drops and without loss of efficiency. Thanks to its high versatility, its high-throughput screenings capability and "green" character of the mobile phase, SFC has become particularly attracting for the separation of chiral drugs in pharmaceutical industries. In this review we will consider the latest applications of SFC for the analysis of compounds of pharmaceutical interest and/or with biological activity essentially covering main achievements of the last three years. We also focus on some very recent, remarkable applications of SFC in ultrafast enantioseparations on chiral columns of latest generation. Technical improvements needed on commercial equipments in order to increase the competitiveness of SFC towards high-efficient enantioseparations are discussed.</p> |

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# Recent achievements and future challenges in supercritical fluid chromatography for the enantioselective separation of chiral pharmaceuticals

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Chiral chromatography, Pharmaceuticals, Ultrafast enantioseparations

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## 1 Introduction

Most of the molecules that play a key role for living organisms (such as amino acids, nucleic acids, sugars, pharmaceuticals) are chiral [1, 2]. Since biological interactions are strictly stereospecific, the two enantiomers may exhibit a completely different biological activity. It has been demonstrated that, in many cases, while one enantiomer is effectively active as therapeutic, the other one could be totally inactive or even toxic for human body or the environment. As a consequence, identification of possible impurities and full characterization of chiral Active Pharmaceutical Ingredients (APIs) are crucial steps for the development of drug substances. For this reason, the availability of high performance analytical methods is fundamental at any stage of the production of pharmaceuticals or biomedical products, whose commercialization is strictly monitored by specific guidelines recommended by regulatory agencies [3–5].

Chromatography represents the most powerful technique nowadays in use for the separation of chiral compounds for both analytical and preparative purposes. Even if chiral High (or Ultra High) Performance Liquid Chromatography (HPLC/UHPLC) still remains the first choice for the separation of enantiomers, during the last years the attention of separation scientists has been moved towards alternative methods, in particular supercritical fluid chromatography (SFC). This technique is based on the same principles as those of LC and, as a matter of fact, it makes use of the same software, hardware and very similar instrumentation. The main difference is the replacement of common liquids used as mobile phases in LC with mixtures of high-pressure carbon dioxide (CO<sub>2</sub>) mixed with another solvent (most often methanol or other alcohols). The use of CO<sub>2</sub> above its critical point leads to several advantages from a chromatographic viewpoint. Thanks to a lower viscosity and higher diffusion coefficients, chromatographic separations in SFC can be carried out at high flow rates without remarkable loss of efficiency and with very limited pressure drop along the column.

The development of SFC as a separation method has been somehow slow and discontinuous. The first report on the use of supercritical fluids in chromatography traces back to 1960s [6] but, at that time, this approach did not attract much attention within the analytical community, having to compete with well established and traditional techniques as LC and GC. Twenty years later, neat supercritical fluid CO<sub>2</sub> found use especially with open tubular columns but also with packed ones [7–14]. Despite promising kinetic performance, the widespread use of SFC has been limited by different factors. Firstly, due to the poor eluting strength of CO<sub>2</sub> (comparable to that of pentane), this technique has been restricted mainly to the analysis of nonpolar compounds. Other issues come from instrumental limitations such as the lack of UV sensitivity as well as poor reproducibility and robustness of the system. During the 90s, SFC has started to be considered a real chromatographic method, especially for the purification of chiral compounds. Moreover, the introduction of a co-solvent opened the door to the analysis of polar molecules [15].

However, it is after 2010 that SFC has seriously started attracting a growing number of users not only for preparative applications but also for analytical purposes. In that period, latest generation SFC equipments have been commercialized with different technical improvements that enhanced reproducibility, sensitivity and reliability of the system. As a matter of fact, in state-of-the-art equipments, extra

column variance is still dramatically larger (70-100  $\mu\text{L}^2$ ) than in modern UHPLC equipment (1-2  $\mu\text{L}^2$ ). As it will be shown later on, this is a main issue when using very efficient columns of reduced internal diameter packed with fine particles [16–18].

In general, the use of supercritical fluid  $\text{CO}_2$ /co-solvent mixtures has gained popularity over the last years as a “green” alternative to normal phase or reversed phase (NP or RP) LC separations. Another advantage is that, differently from LC, polar and hydrophobic stationary phases can be operated in SFC with the same mobile phase, representing a powerful orthogonal method for different analytical applications, especially for chiral separations, as described in past detailed review papers. [14, 15, 19]

This review is not intended to be a comprehensive overview of SFC covering all the aspects of this technique from fundamentals of separation to instrumental aspects. Many detailed works [14, 15, 18–21] have been recently published to which the interested reader is referred to. Scope of this paper is to provide an overview of the most recent advances in chiral SFC. In particular, we will focus on the last applications reported in literature for rapid high-throughput separation of chiral pharmaceuticals and on the last achievements in ultrafast (sub-minute) chiral SFC separations.

## 2 SFC in a glance

In SFC, the mobile phase is a supercritical fluid. This is a particular state of matter reached when temperature and pressure are near or above the critical point. For pure  $\text{CO}_2$ , which is the most common supercritical fluid used in chromatography, these values are  $T_c = 31^\circ\text{C}$  and  $P_c = 74$  bar. Fluids exhibit particular properties in supercritical conditions that are intermediate between those of gases and liquids. In particular, density is similar to that of liquids, viscosity is comparable to that of gases and diffusivity is midway. For these reasons, SFC is considered an intermediate separation technique between gas chromatography (GC) and HPLC. As a marginal remark, the term SFC is also often extended to applications in which temperature is kept below than the critical one, since there is no phase transition when pressure is maintained above 74 bar.

Neat supercritical fluid  $\text{CO}_2$  is a non polar solvent, comparable to pentane. However, it is rarely used as single eluent. Organic modifiers, such as methanol or other alcohols, are routinely used as co-solvents. Their introduction not only increases the polarity of the mobile phase, hence the solvating power, but it also affect density of the mobile phase. In addition, several different additives (e.g. phenols, polyhydroxy acids, trifluoroacetic acid, triethylamine, ammonium acetate) are often added to the mobile phase, enhancing solvating power or favouring ion-pairing with charged analytes. Also water is used sometimes as a ternary mixture, allowing for the elution of the most polar compounds, such as peptides and amphoteric molecules [21].

Density plays a key role on different chromatographic parameters in SFC. Firstly, molecular interactions, and hence retention, but also viscosity, diffusivity and mobile phase velocity are strongly influenced by changes in density. Density profile along the column is affected by any change in pressure and temperature. Significant variation in density could have a detrimental effect on column efficiency due

111 to the formation of radial temperature gradients when CO<sub>2</sub> is operated under high  
112 compressibility conditions [18]. For the reason above, modern SFC instruments are  
113 designed to ensure isothermal or adiabatic conditions of the column and a strict  
114 control of pressure.

### 115 **3 Recent applications of SFC for the analysis of pharmaceuticals** 116 **divided by the type of chiral stationary phase**

#### 117 3.1 Polysaccharide-based CSPs

118 The most employed class of CSPs used in SFC is that based on immobilized  
119 polysaccharide derivatives [14, 15, 22]. The widespread use of cellulose- and amylose-  
120 based CSPs can be ascribed especially to their large applicability and their high  
121 loadability. This latter characteristic has represented the main reason for the suc-  
122 cess of these CSPs for preparative purposes in the past [15, 23].

123 However, in the last few years, 3 or 5  $\mu\text{m}$  fully porous particles (FPPs) polysac-  
124 charide based CSPs has been mainly used for analytical purposes. In the following,  
125 the main applications of polysaccharide CSPs in SFC will be revised.

##### 126 *3.1.1 High-throughput screenings*

127 In a recent paper, different cellulose and amylose based 3  $\mu\text{m}$  FPPs CSPs have  
128 been successfully employed for high-throughput screening of 20 pharmaceuticals  
129 (including ketoprofen, ibuprofen and epinephrine) in only 4 minutes, followed by 2  
130 minutes of column equilibration [24]. The mobile phases used were CO<sub>2</sub>/methanol  
131 or CO<sub>2</sub>/2-propanol mixtures plus a combined additive of trifluoroacetic acid and  
132 diethylamine. The simultaneous presence of both the two organic modifiers was  
133 found to be beneficial for the enhancement of enantioselectivity.

134 Retention mechanism of thirteen pairs of enantiomers belonging to the same struc-  
135 tural family (phenylthiohydantoin-amino acids) has been studied on two different  
136 polysaccharide stationary phases (Chiralpak AD-H, amylose based and Chiral-  
137 pak OD-H, cellulose based) at five different temperatures ranging from 5 up to  
138 40°C. [25] The mobile phase used was CO<sub>2</sub>/methanol 90:10 %v/v. Some struc-  
139 tural changes seem to affect both CSPs above 20-30°C. Below this limit it was  
140 found that retention is substantially unaffected by temperature changes on the  
141 cellulose based column. On the contrary, remarkable differences in retention fac-  
142 tors have been observed on the Chiralpak AD-H by changing temperature. This  
143 result suggests the presence of more heterogeneous chiral sites on the amylose  
144 based CSP than on the cellulose one.

145 In order to enhance the possibility to carry out high-throughput analysis, Multi-  
146 ple Injections In a Single Experimental Run (MISER) chromatographic technique  
147 has been applied in SFC with a 10×4.0 mm (L×I.D.) Chiralpak AD-3 column  
148 packed with 3  $\mu\text{m}$  FPPs. This high-throughput method is based on multiple in-  
149 jections within a single chromatographic run to produce a continuous trace of  
150 chromatograms. The separation of Tröger's base enantiomers in an entire 96 well  
151 microplate of samples has been performed in 33 min (Fig. 1). [26] However, this  
152 approach is currently limited by the speed of autosamplers. Faster instrument con-

153 trol softwares are required to extensively apply MISER SFC to rapid enantiopurity  
154 screenings of a large number of samples.

### 155 *3.1.2 Determination of enantiopurity of APIs and their intermediates*

156 One of the most challenging tasks for pharmaceutical industries is the reduction  
157 of the number of separation modes required for the analysis of an API and its  
158 intermediates. Due to its versatility, SFC appears one of the most appealing chro-  
159 matographic method for this purpose.

160 Barhate et al. have investigated a large number of chromatographic CSPs by both  
161 RPLC and SFC for the determination of enantiomeric excess of verubecestat (em-  
162 ployed in clinical trials for the treatment of Alzheimer’s disease) and its interme-  
163 diates [27]. The best results have been obtained in RPLC by using a teicoplanin  
164 based CSP made on 2.7  $\mu\text{m}$  superficially porous particles (SPPs) but cellulose-  
165 based chiral columns produced very promising results in SFC for the determination  
166 of enantiopurity of the entire verubecestat synthetic route.

167 Bu et al. have also recently applied SFC for the analysis of poor UV absorb-  
168 ing drugs and synthetic intermediates with charged aerosol detection (CAD) [28].  
169 Enantiomeric excess has been determined under both gradient and isocratic elution  
170 conditions and compared with results obtained with UV detection. A strong cor-  
171 relation between UV and CAD responses under isocratic conditions was observed,  
172 while under gradient conditions higher absolute errors between the two measures  
173 were registered, due to the fact that high amount of organic modifier enhances  
174 CAD response of later eluting compounds. In the same work, the practical use of  
175 SFC-CAD has been investigated for high-throughput parallel screening of chemo-  
176 and bio-catalytic reactions for the quick identification of desired reaction condi-  
177 tions. 24 different hydrolase enzymes were screened in parallel on a well plate and  
178 the two isomeric monoacid products were separated on a Chiralpak AD-3 column  
179 in less than 2 hours.

### 180 *3.1.3 Comparison between chiral SFC and HPLC*

181 Different studies have been recently conducted in order to compare retention mech-  
182 anism of enantiomers on polysaccharide based CSPs in SFC and different LC con-  
183 ditions.

184 West et al. have compared retention of 24 chiral sulfoxides on seven different  
185 polysaccharide CSPs with  $\text{CO}_2$ /methanol mixtures as mobile phase proving that  
186 chlorinated cellulose CSPs are better in terms of both retention and enantioelec-  
187 tivity towards molecules containig a chiral sulfur atom [29]. By means of molecular  
188 modelling measurements, the authors of this paper demonstrated that molecules  
189 that could adopt a folded U-shaped conformation were most efficiently discrim-  
190 inated compared to linear ones. Moreover, SFC was compared to polar organic  
191 mode (POM) HPLC. It was found that the chiral selector must adopt a differ-  
192 ent conformation in the two operating modes. However, SFC outperformed POM  
193 HPLC in terms of enantioresolution.

194 Recently, retention mechanisms of different pairs of enantiomers on polysaccha-  
195 ride CSPs have been explored in both SFC and NPLC conditions. It has been  
196 demonstrated that the transposability of methods from NPLC to SFC can be  
197 challenging in some cases, mainly due to different interactions (hydrogen bonding

198 and accessibility of chiral cavities) contributing to retention in the two chromatographic modes [30,31]. Separations in NPLC resulted in shorter retention times  
199 and higher enantioresolution for the separation of dihydropyridone derivatives, especially if bearing two chiral centers [32]. Indeed scope of this work was the investigation  
200 of chromatographic conditions transfer from NPLC to SFC (this explains  
201 reported larger retention times in SFC). Despite higher retention times, selectivity  
202 was not consistently better in SFC, meaning that the additional retention is due  
203 to non-specific interactions of enantiomers with the CSP.  
204

205  
206 Vera et al. have compared selectivity of a Lux Cellulose-1 towards retention of  
207 FMOc-protected amino acids in SFC, NPLC and RPLC conditions [33]. In terms  
208 of retention, SFC lies in the middle between RPLC and NPLC. Although RPLC  
209 gave comprehensively the best enantioresolution, the introduction of 2% formic  
210 acid as additive in CO<sub>2</sub>/methanol mixture used in SFC provided comparable results  
211 in shorter run time, allowing for better resolution per unit of time.

#### 212 3.1.4 Chiral SFC-MS methods

213 Even if RPLC-MS is still considered the gold standard for the analysis of serum,  
214 urine and plasma samples, in the last years SFC has been efficiently hyphenated  
215 with mass spectrometry giving very promising results.

216 A reliable SFC-MS/MS method for the separation of amphetamine enantiomers in  
217 biological samples has been developed and validated for the first time by Hegstad  
218 et al in ref. [34]. R- and S- amphetamine enantiomers were baseline resolved by  
219 using a Chiralpak AD-3 column and CO<sub>2</sub>/2-propanol+0.2% cyclohexylamine as  
220 mobile phase. This method has been routinely used for the analysis of several  
221 human urine samples representing a reliable tool to discriminate between legal  
222 use of amphetamine as therapeutic (in most countries only the S-enantiomer is  
223 prescribed) and illegal use (as racemic mixture).

224 Jenkinson et al. have recently developed a new SFC-MS/MS method for the analysis  
225 of metabolites of vitamin D in human serum [35]. The separation has been  
226 achieved in 6 minute on a Lux Cellulose column by using CO<sub>2</sub>/methanol+0.1%  
227 formic acid as eluent. Concentrations of metabolites measured on 41 routine human  
228 serum samples have been found to be in accordance with those measured by  
229 means of UHPLC-MS/MS. In addition, structurally similar metabolites, differing  
230 only for the position or direction of an hydroxyl group, have been resolved and  
231 quantified by means of the optimized SFC-MS/MS method. The only issue reported  
232 by the authors is that latest generation mass spectrometers are required  
233 for the quantitation of very low concentration of analytes (in the order of pg/mL)  
234 due to the low injection volumes used in SFC.

235 A 3  $\mu$ m FFPs Chiralpak IA was efficiently used to separate panthenol enantiomers  
236 in cosmetic formulations (such as creams, body lotions and exfoliants) [36]. Since  
237 only the D-enantiomer of panthenol is active as therapeutic, reliable methods  
238 to assess enantiopurity of formulated cosmetics are required. The column was  
239 employed in SFC conditions (CO<sub>2</sub> with 11% methanol as mobile phase) with both  
240 UV and MS detection. The online coupling improved sensitivity (LOQ as low as  
241 0.5  $\mu$ g/mL) since underivatized panthenol has a poor signal in UV.

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### 242 3.1.5 Multidimensional chromatography

243 Chiral SFC has also been applied as the second dimension in highly selective mul-  
244 tidimensional chromatography approaches to assess purity of chiral APIs. A first  
245 (achiral) RPLC dimension is needed in order to assess the amount of impurities  
246 and related substances while the second (chiral) dimension is used to evaluate the  
247 possible presence of undesired enantiomers [37]. Such a system has been applied  
248 for the quantitative analysis of an API, its metabolites and their corresponding  
249 enantiomers in a mouse hepatocyte treated sample by using Chiralpak IB-3 and  
250 AD-3 columns (Fig. 2) [38].

### 251 3.1.6 Other applications

252 Polysaccharide based CSPs have been also successfully used for the separation of  
253 chiral compounds with biological activity such as pesticides containing sulfur or  
254 phosphorous atoms [39], fungicides (i.e. fenbuconazole) in foods [40] and herbicides  
255 (i.e. napropamide) [41].

## 256 3.2 Pirkle-type CSPs

257 Pirkle-type (or brush-type) chiral selectors are among the most versatile, allowing  
258 for the separation of a broad range of compounds. They represent the first class of  
259 CSPs that has been prepared on sub- $2\mu\text{m}$  format [42–44]. One of the main advan-  
260 tage of these CSPs is that they exist in both the enantiomeric versions. By using  
261 columns functionalized with the same chiral selector but with opposite configura-  
262 tion, it is possible to reverse the elution order of enantiomers (so-called “Inverted  
263 Chirality Columns Approach”, ICCA). This method has been recently applied by  
264 Mazzocanti et al. to determine the enantiomeric excess of phytocannabinoids in  
265 marijuana samples for therapeutic use [45]. Indeed, many problems can be faced  
266 when working with Cannabis plant extracts. Not only are they highly complex mix-  
267 tures but also the minor enantiomer is not always available as reference sample.  
268 Moreover, in many cases it can partially coelute with the main enantiomer. Two  
269 complementary (S,S)- and (R,R)- Whelk-O1 CSPs made on sub- $2\mu\text{m}$  FPPs have  
270 been employed in SFC conditions ( $\text{CO}_2$ /methanol, 98:2 %v/v) to determine the  
271 enantiomeric excess of (-)- $\Delta^9$ -THC in medicinal marijuana (Bedrocan<sup>®</sup>). Thanks  
272 to ICCA protocol, beside the major enantiomer ((-)-4 peak in Fig. 3), a not negli-  
273 gible concentration (0.13%) of the (+)-enantiomer ((+)-4 peak in Fig. 3) has been  
274 detected. The enantiomeric excess was estimated to be 99.73%.

275 The same sub- $2\mu\text{m}$  FPPs (S,S)-Whelk-O1 CSP has been used for the high-throughput  
276 screening of a large library of pharmaceutical compounds with different chemico-  
277 physical properties including  $\beta$ -blockers, antidepressants, anticancers and benzo-  
278 diazepines, to name but a few [46]. The overall screening was completed in 24  
279 hours under fast gradient elution (9 minute total analysis time, including column  
280 reconditioning) by using a mixture of  $\text{CO}_2$ /methanol as mobile phase. Even ba-  
281 sic racemic mixtures, whose separation is traditionally challenging on Whelk-O1  
282 CSPs prepared on larger particles, have been resolved with the sub- $2\mu\text{m}$  CSP used  
283 in this work.



### 3.3 Macrocyclic glycopeptide CSPs

Macrocyclic glycopeptides CSPs allow for the separation of underivatized amino-acids. This class of CSP has been rarely used in SFC to date.

Recently, however, a 5  $\mu\text{m}$  teicoplanin CSP (Chirobiotic T2) has been efficiently employed for the separation of D,L- enantiomers of underivatized phenylalanine (Phe), tyrosine (Tyr) and tryptophan (Trp) amino acids. Baseline separations have been obtained in less than 7 minutes by using  $\text{CO}_2$  and 40% of organic modifier made of a mixture methanol/water (90:10 %v/v) [47]. LOD in the range of 0.5-2.0  $\mu\text{g/mL}$  allowed for the determination of D-enantiomers up to 0.2%. This method has been applied for the determination of enantiopurity of five commercial food supplements confirming the absence of impurities in all of them. The authors have also investigated the possibility to simultaneously determine D,L-Phe and D,L-Tyr by coupling a diol achiral column (first dimension) with the Chirobiotic T2 (second dimension). The separation was obtained in about 15 minutes.

### 3.4 Cyclofructan based CSPs

Recently developed cyclofructan based 2.7  $\mu\text{m}$  SPP CSPs have been employed by Armstrong and coworkers to investigate the transposability of chromatographic methods from NPLC to SFC for the enantioseparation of 21  $\alpha$ -aryl ketones [48]. The mobile phase used in NPLC was a mixture of heptanol/ethanol with percentages ranging from 95:5 to 99:1 %v/v. The same compositions have been transposed to SFC by replacing heptanol with  $\text{CO}_2$ . 17 of the 21 compounds have been baseline separated in NP conditions, while 10 out to 21 compounds via SFC. Even if the latter allowed for lower analysis time, HPLC provided better resolutions due to greater enantioselectivity values.

### 3.5 Ion-exchange CSPs

The use of ion exchange CSPs in SFC is very recent. Lajkó et al. have firstly used Cinchona alkaloid based ZWIX(+) and ZWIX(-) CSPs for the enantioseparation of  $\text{N}_\alpha$ -Fmoc proteinogenic amino acids [49]. The effect of methanol content in the mobile phase and different additives (water, acids and bases) have been investigated in order to optimize separation conditions. The addition of water led to the formation of carbonic acid, imparting acidic character to the mobile phase. It was also found that a reduction of temperature has a beneficial effect on enantioresolution, meaning that chiral recognition mechanism is enthalpically-controlled.

The chromatographic behavior of the ZWIX(+) column in both HPLC and SFC conditions was compared for the separation of acidic, basic and zwitterionic species [50]. In general, SFC provided better enantioresolution than HPLC but there is evidence that separation mechanism is completely different. By constantly increasing the amount of organic modifier (methanol) in SFC, it was found that the ion-exchange mechanism is strongly influenced by the formation of transient acidic species (carbonic acid mono methyl ester). Finally, it was proved that basic additives are not strictly necessary when using the zwitterionic column and they could have an effect only on basic analytes.

#### 326 4 Towards high-efficiency ultrafast SFC enantioseparations

327 The recent achievements in particle manufacturing has allowed to prepare very ef-  
328 ficient particle formats, such as SPPs or sub-2 $\mu\text{m}$  FPPs, functionalized with chiral  
329 selectors. The introduction of these new CSPs packed into columns of very short  
330 length has represented a real breakthrough in the field of UHPLC enantiosepara-  
331 tions, not only in terms of efficiency (comparable to those of achiral RPLC) but  
332 also in terms of speed of separation (analysis time < 1 sec) [27, 43, 46, 51–62].

333 Since SFC allows to run chromatographic separations at higher flow rates than  
334 LC without remarkable loss of efficiency [63], the use of new generation CSPs  
335 under these conditions seems to be a promising approach to achieve even faster  
336 separations.

337 Some of the authors of this work have recently obtained very fast enantiosepara-  
338 tions by using both Teicoplanin and Whelk-O1 CSPs under SFC conditions [46,  
339 54].

340 In the first case, Teicoplanin was bonded to 1.9  $\mu\text{m}$  FPPs and packed into a 20 $\times$ 4.6  
341 mm (L $\times$ I.D) column operated at 4 mL/min. The enantiomers of Ketorolac have  
342 been resolved in less than 70 sec on a chiral selector which has been considered a  
343 "slow" one [54].

344 In the second case, by using a 50 $\times$ 4.6 mm (L $\times$ I.D) column packed with 1.8  $\mu\text{m}$   
345 FPPs functionalized with Whelk-O1, the separation of abscisic acid enantiomers  
346 has been obtained in less than 45 sec (flow rate 3.5 mL/min) with a resolution  
347 ( $R_s$ ) of 2.2 (see Fig. 4a) [46].

348 Very remarkable results in terms of ultrafast enantioseparations have been re-  
349 ported by Armstrong and coworkers. Sub-minute separations of different pairs of  
350 enantiomers of pharmaceutical interest have been obtained on teicoplanin and te-  
351 icoplanin aglycone CSPs made on 1.9  $\mu\text{m}$  FPPs packed into 50 $\times$ 4.6 mm (L $\times$ ID)  
352 columns at a flow rate of 7 mL/min [64]. Moreover, by using a 30 $\times$ 4.6 (L $\times$ I.D)  
353 column packed with 2.7  $\mu\text{m}$  SPPs functionalized with a quinine derivative, they  
354 were able to obtain the separation of different amino acids in 6-8 sec with a flow  
355 rate of 20 mL/min [65]. An example is shown in Fig 4b.

356 However, the use of new generation CSPs in SFC is often partially limited by  
357 some instrumental issues. The excessively large extra-column band broadening of  
358 current SFC instruments has a detrimental effect on the overall chromatographic  
359 performance.

360 Berger has recently modified a commercial 1260 Infinity SFC from Agilent Tech-  
361 nology, by replacing standard tubing (170  $\mu\text{m}$  ID) and flow cell (13  $\mu\text{L}$  internal  
362 volume) with 120  $\mu\text{m}$  ID tubing (of shortest possible length) and a 2  $\mu\text{L}$  inter-  
363 nal volume cell [66]. The extra column dispersion was reduced to about 6-9  $\mu\text{L}^2$ .

364 With the new configuration, he was able to achieve more than 280,000 plates/m  
365 (reduced HETP of 1.93) by employing a prototype 50 $\times$ 4.6 mm (L $\times$ ID) column  
366 packed with 1.8  $\mu\text{m}$  Whelk-O1 FPPs. In addition, he reported about the ultrafast  
367 separations of 5-methyl 5-phenyl hydrantoin enantiomers in roughly 10 sec (flow  
368 rate 5 mL/min).

369 By using the same instrumental setup, he has been the first to operate a sub-2 $\mu\text{m}$   
370 immobilized polysaccharide CSP in SFC conditions [67]. By using a 50 $\times$ 3 mm  
371 (L $\times$ ID) column packed with an amylose-based CSPs made on 1.6  $\mu\text{m}$  FPPs, he  
372 was able to obtain the ultrafast separation of warfarin enantiomers in less than 10  
373 sec (flow rate 3.75 mL/min) with a resolution of 1.5 (see Fig. 4c).

374 Recently, some of the authors of this work have modified a commercial Waters  
375 Acquity UPC<sup>2</sup> SFC instrument by a series of technical adjustments including the  
376 replacement of (i) standard tubings with shorter and narrower capillaries; (ii) the  
377 8  $\mu\text{L}$  flow-cell with a 3  $\mu\text{L}$  one; (iii) the injection system with a 200 nL fixed-  
378 loop external one and (iv), finally, by using an ad hoc designed external column  
379 oven [68]. The extra-column variance was reduced from about 85  $\mu\text{L}^2$  (original  
380 configuration) to slightly more than 2  $\mu\text{L}^2$  (optimized configuration) measured  
381 at 2.0 mL/min. Kinetic performance of a 50 $\times$ 4.6 mm (L $\times$ ID) column packed  
382 with 1.8  $\mu\text{m}$  Whelk-O1 FPPs operated on the optimized SFC instrument have  
383 been compared with that obtained on a commercial UHPLC instrument (Waters  
384 Acquity I-Class) with extra-column variance of 1  $\mu\text{L}^2$ . At the minimum of the  
385 van Deemter curve, SFC provided a gain of 10% on the efficiency of the second  
386 enantiomer (285,000 N/m *vs.* 260,000 N/m recorded in UHPLC) in roughly 50%  
387 shorter analysis time. The expression ultra-high performance SFC (UHPSFC) can  
388 be properly used under these conditions.

389 In addition, Barhate et al. have demonstrated that when running ultrafast SFC  
390 separations, some unexpected results could be observed [69]. These deviations, not  
391 detected in LC, are mostly due to the noise generated by back pressure regulators  
392 and the presence of low viscosity eluent inside connection tubings. The latter is  
393 responsible for the development of possible turbulent flow inside tubings which  
394 could change both retention time and peak shape.

## 395 5 Perspectives

396 Due to the unique properties of supercritical fluid CO<sub>2</sub>, SFC can be considered  
397 not only a “greener” alternative to HPLC but also an orthogonal and, in some  
398 cases, more versatile method of separation. This is particularly important for high  
399 throughput screenings at the beginning of the production of new drugs, when the  
400 number of unknown impurities could be relevant.

401 One of the field in which SFC will be increasingly used is in multidimensional  
402 applications, in particular,

403 RPLC $\times$ SFC achiral-chiral separations. SFC is particularly attractive as second  
404 fast dimension in <sup>2</sup>D separations. However, particular attention has to be put  
405 on the interface between the first RPLC dimension and the SFC one, especially  
406 to avoid the injection of large volumes of water. Different approaches have been  
407 already proposed. Particularly interesting is the use of collection loops [70] or acti-  
408 vate modulators [71] that seem to be able to solve some of the issues encountered  
409 in this coupling [72].

410 Thanks to the introduction of latest generation CSPs made on sub-3 $\mu\text{m}$  SPPs and  
411 sub-2 $\mu\text{m}$  FPPs packed into short columns (2-5 cm), the first examples of enan-  
412 tioseparations in less than one minute or even in the order of seconds have been  
413 obtained also in SFC. This is a very promising field in which SFC could be expected  
414 to emerge as a gold technique. However, as demonstrated in recent works [66–68],  
415 some technical optimizations aimed at the reduction of extra-column band broad-  
416 ening are needed on commercial equipments. This can be obtained not only by  
417 replacing standard tubings with small capillaries but also by using low-dispersion  
418 ovens and flow cells in the order of nanoliters. These improvements will increase  
419 competitiveness of UHPSFC towards UHPLC.

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420 Fundamental studies on mass transfer phenomena in SFC are necessary in order  
421 to understand how diffusion coefficients possibly change with pressure and tem-  
422 perature, thus their effect on column efficiency. Moreover, due to the lower mobile  
423 phase viscosity, turbulent flow effects have been clearly demonstrated through  
424 capillaries connecting the injector system to the column and the column to the  
425 detector. From an experimental point of view, this is accompanied by a nonlinear  
426 dependence of system backpressure on the flow rate (contrary to what happens  
427 when the Darcy's law is applicable). When turbulence is developing, increasingly  
428 growing inertial effects become dominant and the relationship between flow and  
429 pressure is not linear any longer [73].

430 The main consequence of turbulence is the improvement in mass transfer [74]. On  
431 the other hand, experimental findings show that through a packed bed turbulence  
432 is much more difficult to develop (at least at the flow rates commonly employed  
433 in SFC). These findings could be the basis to renew the interest in chiral open  
434 tubular columns for SFC applications since through them maintaining of turbulent  
435 regime should be possible. These concepts were proposed more than 50 years ago  
436 in the fundamental work of J. C. Giddings [74] when, however, technology was not  
437 advanced enough to permit their practical realization. The use of open tubular  
438 chiral columns on low-dispersion SFC equipments could lead to unmatched kinetic  
439 performance in chromatography.

#### 440 **Compliance with Ethical Standards**

441 **Conflict of interest:** the authors declare that they have no conflict of interest.

442 **Ethical approval:** this article does not contain any studies with human partici-  
443 pants or animals performed by any of the authors.

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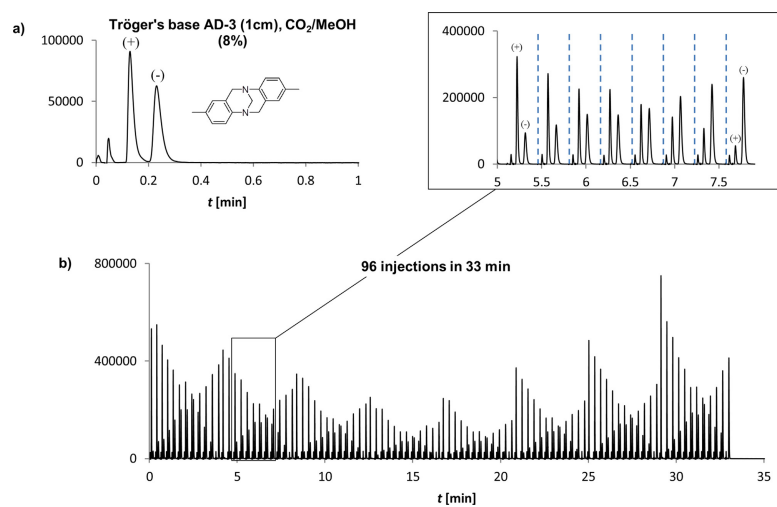
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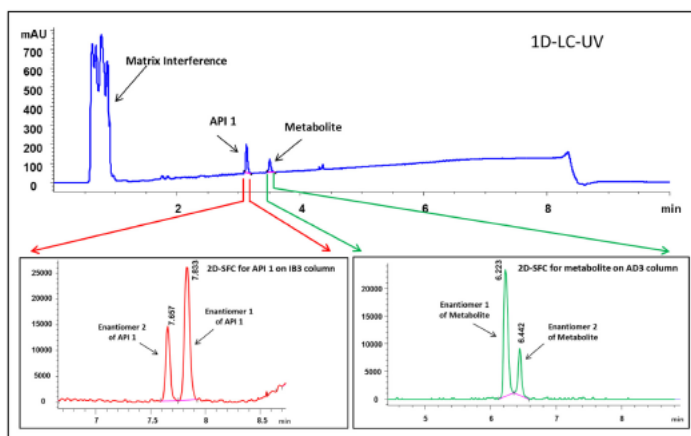
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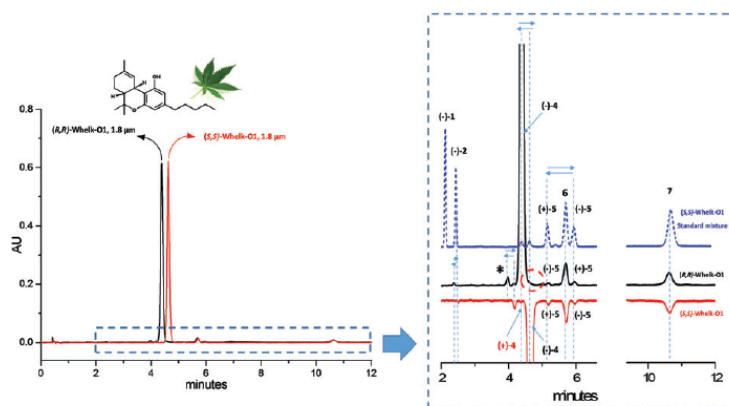




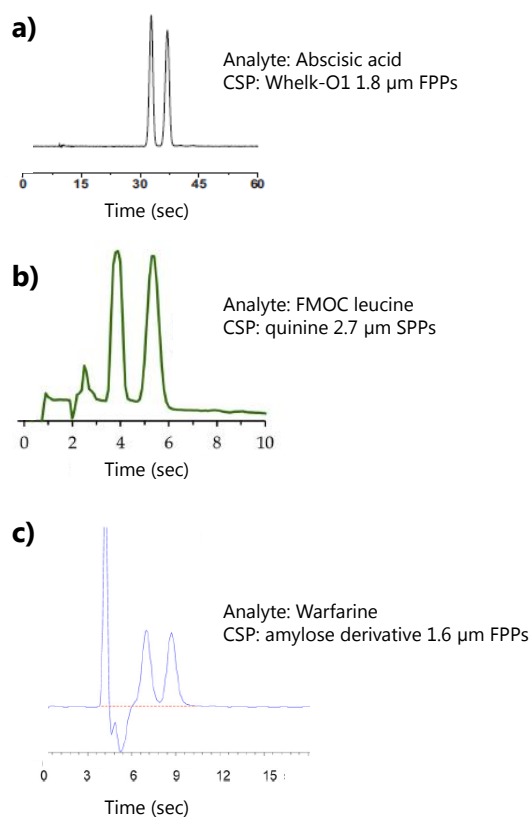
**Fig. 1** a) Separation of Tröger's base enantiomers on a 10×4.0 mm (L×I.D.) Chiralpak AD-3 column packed with 3  $\mu$ m FPPs functionalized with an amylose derivative. b) Injection of a 96 well plate with MISER approach on the same column. Tröger's base concentrations between 0.4-1 mg/mL. Reproduced with permission from Ref. [26].



**Fig. 2** 2D LC-SFC analysis of an API and its metabolite. First dimension: achiral RPLC (top), second dimension: chiral SFC (bottom). Reproduced with permission from Ref. [38].



**Fig. 3** Chromatograms of a Bedrocan<sup>®</sup> ethanol extract analyzed by applying the ICCA protocol. A zoom of the chromatogram between 2 and 6 minutes is shown in the inset together with the separation of a standard mixture of six-component cannabinoids (dotted chromatogram). The asterisk denotes a chiral unknown impurity. Reproduced from Ref. [45] with permission from The Royal Society of Chemistry.



**Fig. 4** Examples of ultrafast enantioseparations obtained in SFC. a) Abscisic acid enantiomers. Column: 50 $\times$ 4.6 mm (L $\times$ I.D), Whelk-O1 1.8  $\mu\text{m}$  FPPs. Flow rate: 3.5 mL/min. Instrument: Waters Acquity UPC<sup>2</sup>. Modified with permission from Ref. [46]. b) Fmoc leucine enantiomers. Column: 30 $\times$ 4.6 (L $\times$ I.D), quinine-based 2.7  $\mu\text{m}$  SPPs. Flow rate: 20 mL/min. Instrument: Jasco SFC-2000-7. Modified with permission from Ref. [65]. c) Warfarin enantiomers. Column: 50 $\times$ 3 mm (L $\times$ ID), amylose-based 1.6  $\mu\text{m}$  FPPs. Flow rate: 3.75 mL/min. Instrument: Low dispersion modified Agilent 1260 Infinity SFC. Modified with permission from Ref. [67]

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**Università  
degli Studi  
di Ferrara**

Dear Editor,

following Your invitation to write a contribution for the special issue for the Birthday Jubilee of Chromatographia, I am pleased to submit the paper titled:

*Recent achievements and future challenges in supercritical fluid chromatography for the enantioselective separation of chiral pharmaceuticals*

by: S. Felletti, O. H. Ismail, C. De Luca, V. Costa, F. Gasparrini, L. Pasti, N. Marchetti, A. Cavazzini, M. Catani\*

This is a critical review on a topic which is receiving particular attention during the last years.

Supercritical Fluid Chromatography (SFC) is attracting a continuously growing number of users, especially for the analysis of chiral compounds. Due to the unique properties of the mobile phase employed (mixtures of supercritical fluid CO<sub>2</sub>/co-solvent), SFC represents a faster and “greener” alternative to Reversed Phase (RP-) or Normal Phase (NP-) High Performance Liquid Chromatography (HPLC).

In this review we have considered the latest applications of SFC for the analysis of chiral compounds of pharmaceutical interest, covering main achievements of the last three years. We have also focused on some recent applications of SFC in ultrafast enantioseparations by using chiral columns of latest generation, such as those packed with sub-3µm Superficially Porous Particles (SPPs) or sub-2µm Fully Porous Particles (FPPs).

Finally, we have also discuss some technical improvements that are needed on commercial SFC equipment in order to reduce the still too large extra-column variance, that represents a technical limitation for high efficiency separations when using columns packed with very fine particles or with reduced internal diameter.

The paper is unpublished and has not been submitted for publication elsewhere.  
Thank you in advance for your time and consideration.

With best regards,  
Martina Catani and Alberto Cavazzini

*Martina Catani*

*Alberto Cavazzini*