Environmental Monitoring and Assessment

Distribution of Rare Earth Elements in soil and grape berries of Vitis vinifera cv. "Glera"

--Manuscript Draft--

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By the word "normalized" we meant a simple division between the REE concentration values in soil and the Upper Continental Crust values according to Rudnick and Gao (2003). Following the Reviewer's suggestions, we substituted the word "normalized" by the words "divided by" in the legends of Fig. 2 and 3, and in line 152 of the original manuscript.

Line 38: delete 'ones'

According to the Reviewer's suggestion, we deleted the word "ones" on line 38.

Line 60: electronic(s)

We corrected the word according to the Reviewer's suggestion.

Line 81:…by (the) Bioaccumulation.

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Lines 84-85: This sentence needs revision. REEs can be measured, of course, wherever one wishes. Does this sentence mean to say they can be detected in these part(s)?

We thank the Reviewer for his/her valuable suggestion and entirely rewrote the sentence to clarify the purpose of calculating the Bioaccumulation index: we also added other references.

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We thank the Reviewer for his/her valuable suggestion and added the data about the soil depth and the volume sampled.

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Table 1 The number of significant digits in the data presented seems arbitrary, and excessive. Did the authors really have precision to the thousandth place when values were in the tens? I would reduce to hundredths at a minimum.

The instrument we employed to measure the concentrations of REE in samples by inductively coupled plasma-mass spectrometry (a Thermo Electron Corporation X series spectrometer of the Thermo Fisher Scientific) yields the concentrations in ppb, that is in ten thousandths (fourth decimal digit). We therefore approximated the values to the third decimal digit. We feel it is relevant to the purpose of the paper to maintain the data of Table 1 at the third decimal digit.

Line 141: significant differences between what?

We thank the Reviewer for his/her valuable suggestion: indeed, we were not clear

about the significant differences and added "among the five vineyards" to the sentence. Line 144: change "resulted" to "were" According to the Reviewer's suggestion, we replaced "resulted" with "were". Line 146: p-values were non-significant.., change resulted to was According to the Reviewer's suggestion, we replaced "resulted" with "was". Line 166: As worded, it is unclear what is significantly different. Here it appears the test applied indicates that differences exist among the medians for that metal, but not whether any two specific medians are different. As worded, the statement implies all are significantly different from one another, which I suspect is not the case. We thank the Reviewer for his/her valuable suggestions and we apologize for having been unclear about the statistical analyses. The significant differences refer to the medians: to compare the data from the five vineyards we performed the Kruskal-Wallis test and represented the significant data as medians. After the Kruskal-Wallis test, we performed the Dunn's test, which verifies the significant values of the K-W test by a multiple comparison procedure (Miller J.N. and Miller J.C. 2010. Statistics and Chemometrics for Analytical Chemistry. Sixth edition. Pearson, Prentice Hall, UK). We added a new paragraph describing in detail our statistical analyses and added the reference by Miller and Miller (2010). Tables 2/3: The zero values in these tables misrepresent the facts even though the legend clarifies. These are not zero values, but below the detection limit of the instrument for that metal and procedure. It would be more informative and clear if the table listed them as <0.00X, where X represents the detection limit. We owe thanks the Reviewer for his/her valuable suggestion and for his/her comment: we accordingly changed Table 2 and Table 3. Line 177: why spell out calcium here? According to the Reviewer's suggestion, we replaced "calcium" with "Ca". Reviewer #2 This is an interesting paper that merits publication following some important revisions. It contributes knowledge about the specific study areas, but is somewhat lacking on generalizable (transferable) knowledge at this stage. However, it could be improved to address this deficiency. Answers to Reviewer #2 First of all we would like to thank Reviewer n.2 for his/her favourable judgement and interest in our work, and above all for his/her revision. Here are the detailed answer to his/her questions. 1. What specific insight or key discovery did you make that is applicable elsewhere, beyond the sites studied? The aim of our study is to establish territorial fingerprintings of the V. vinifera cultivar "Glera", employed in the production of the renowned Italian Controlled Designation of Origin (DOC) wine "Prosecco". "Prosecco" is the sparkling wine most popular in the world (recently outscoring even Champagne) and its trade amounts to 3.5 billions Euro per year. The increasing international demand for sparkling wine had recently caused an increase of falsification and fraudulent use of denomination labels. The organoleptic characteristics of each wine reflect the soil geochemistry, the specific climate area and viticultural practices of each specific district ("terroir"). The precise identification of the

Dear Dr Elvir,

the manuscript "Distribution of Rare Earth Elements in soil and grape berries of *Vitis vinifera* cv. Glera" by Pepi et al. (ref. EMAS-D-16-01575) has been extensively revised, following both Referees' suggestions. We highlighted in yellow the main corrections made to the manuscript and enclose with this letter the detailed answers to the Reviewers' comments.

We thank both Referees for the careful revision of the manuscript, and we hope that the revised manuscript is now suitable for publication on Environmental Monitoring and Assessment.

Thank you very much for your attention and best regards,

Salvatore Pepi

Salvatore Pepi, PhD

Department of Physics and Earth Sciences University of Ferrara (Ferrara, Italy)

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Answer to the Reviewers

Reviewer #1: General

The manuscript is generally well written and provides useful descriptive data on rare earth elements in wine grape cultivation in Italy. Figures and tables are clear and the interpretation is logical. The authors could have explore more sophisticated multivariate statistics to determine chemical fingerprints, but the data were simple enough here that their graphical approach likely is sufficient. There was not mention in the figure legends what 'normalized' means and how it was calculated, which should be included.

Answers to Reviewer #1

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Reviewer #2

This is an interesting paper that merits publication following some important revisions. It contributes knowledge about the specific study areas, but is somewhat lacking on generalizable (transferable) knowledge at this stage. However, it could be improved to address this deficiency.

Answers to Reviewer #2

First of all we would like to thank Reviewer n.2 for his/her favourable judgement and interest in our work, and above all for his/her revision. Here are the detailed answer to his/her questions.

1. What specific insight or key discovery did you make that is applicable elsewhere, beyond the sites studied?

The aim of our study is to establish territorial fingerprintings of the V. vinifera cultivar "Glera", employed in the production of the renowned Italian Controlled Designation of Origin (DOC) wine "Prosecco". "Prosecco" is the sparkling wine most popular in the world (recently outscoring even Champagne) and its trade amounts to 3.5 billions Euro per year. The increasing international demand for sparkling wine had recently caused an increase of falsification and fraudulent use of denomination labels. The organoleptic characteristics of each wine reflect the soil geochemistry, the specific climate area and viticultural practices of each specific district ("terroir"). The precise identification of the "terroir" protects the wine producers from unfair competition and falsification, and the customers from any kind of commercial (or health) fraud. For these reasons, it is relevant to precisely identify the geographical area of production by REE and other geochemical fingerprintings. This method could be applied to many other products to precisely identify their area of production.

2. Please discuss in greater detail the mineralogy and texture of the soils -- and their affinity for sorbing each element studied (attaching to soil particles versus dissolving in soil water). For example, it would be very interesting to estimate Kd values through laboratory batch experiments.

We thank the Reviewer for his/her valuable suggestion and added more sentences about the mineralogy and texture of the soils, in the Materials and Methods section (from line 101 onwards) and in the Results and Discussion section (from line 159 onwards). We also added another reference (Yanfei et al. 2016) to clarify the affinity of the studied elements for absorption on clay minerals.

Concerning the Kd values, we are presently expanding our study through a new series of experiments. According to the Reviewer's suggestion, we will include calculations of Kd values in a forthcoming paper.

Abstract

 The renowned *Vitis vinifera* L. cultivar "Glera" (Magnoliopsida Vitaceae) has been grown for hundreds of years in the Italian regions of Veneto and Friuli to produce the sparkling Prosecco wine, with Controlled Designation of Origin (DOC). We evaluated the relationship among the concentrations of rare earth elements (REE) in soil and in "Glera" grape berries in vineyards belonging to five different localities in the Veneto alluvial plain, all included in the DOC area of Prosecco. The concentration of REE in samples of soil and juice or solid residues of grape berries was determined by inductively coupled plasma mass spectrometry (ICP-MS) and the Index of Bioaccumulation was calculated to define the specific assimilation of these elements from soil to grape berries. The concentration of REE in soil samples allowed an identification of each locality examined and REE were mostly detected in solid 38 grape berry residues in comparison to juice. These data may be useful to associate REE distribution in soil and grape berries to a specific geographical origin, in order to prevent fraudulent use of wine denomination labels. **Keywords:** *ICP-MS, Index of Bioaccumulation, grape berry, Veneto, Prosecco*

Introduction

 The series of lanthanide elements of the Periodic Table, commonly called "Rare Earth Elements" (REE) includes 14 elements relatively abundant in rock and soil (Kabata-Pendias 2011; Aide and Aide 2012). The REE are divided in three groups: the first includes the light rare elements (LREE) from La to Gd, the second one the medium rare elements (MREE) from Sm to Ho, and the third one the heavy rare elements (HREE) from Tb to Lu. The REE typically exhibit trivalent oxidation states, except Europium and Cerium, which may also 59 occur respectively as Eu^{2+} and Ce^{4+} (Kabata-Pendias 2011; Aide and Aide 2012; Atwood 60 2012; White 2013). Due to their large use in the **electronics** industry and agricultural practices, the REE concentration has greatly increased in the environment (Emsley 2001; Xu et al. 2002; Shtangeeva and Ayrault 2007; Gonzalez et al. 2014). In many agricultural practises the application of a controlled amount of fertilizer containing REE has been shown to increase yield and quality of crops (Diatloff et al. 1995; Liang et al. 2005). In recent years, there is increasing interest in direct application of REE to plants, but they can exert positive or negative physiological effects depending on the dosage and other conditions (Zhang et al. 2013). Controlled doses of REE have been shown to exert some effects on growth and germination in native Canadian herbs and other plant species (Thomas et al. 2014). It is known that the REE distribution in rocks is maintained in soil and in plant tissues (Ichihashi et al. 1992; Wang et al. 1997; Zhang et al. 2002; Ding et al. 2006; Censi et al. 2014), and REE concentrations change according to species and soil type (Ichihashi et al. 1992; Wyttenbach et al. 1998; Oddone et al. 2009). The absorption rate of REE from the soil depends on the translocation rate towards the aerial plant organs: as expected, in *Triticum aestivum* (Liliopsida Poaceae) higher amounts of REE are observed in roots in comparison to shoots (Hu et al. 2002).

 Concerning *Vitis vinifera* L. (Magnoliopsida Vitaceae), studies of REE concentrations in grape berry samples have been previously conducted by ICP-MS on cultivars Chardonnay (Bertoldi et al. 2009), Cabernet Sauvignon, Marselan and Italian Riesling (Yang et al. 2010). These results have encouraged the use of REE in studies of geographical origin of the cultivars Moscato d'Asti and Sauvignon Blanc (Aceto et al. 2013; Censi et al. 2014). 81 The capacity of plant to uptake the nutrients can be evaluated by the Bioaccumulation index (BA), that is the ratio between the concentration of a given element in plant and the concentration of the same element in soil (Kabata-Pendias and Mukherjee 2007; Kabata-84 Pendias 2011). **BA can be measured in different parts of the plant (root, leaf or fruit) for a** 85 better evaluation of element behaviour in the soil-plant system and assess the influence of soil 86 on the composition of plant products, often in relation to environmental contaminants (Chopin 87 et al. 2008; Pessanha et al. 2010; Pèrez de los Reyes et al. 2013; Bravo et al. 2015). Recent studies (Amorós et al. 2013; Bravo et al. 2015; Pepi et al. 2016) dealt with uptake and bioaccumulation of major and trace elements in *V. vinifera*, but only one of them included two rare earth elements, La and Ce (Amorós et al. 2013). 91 The aim of this research was to investigate, by inductively coupled plasma mass spectrometry 92 (ICP-MS), the concentrations of REE in soil and in "Glera" grape berries from vineyards of five different localities in the Region Veneto, all included in the Controlled Designation of Origin (DOC) area of the renowned Prosecco wine. The data on REE distribution in soil and grape berries may be useful to identify the specific geographical origin of the vineyards of the cultivar "Glera".

- **Materials and Methods**
- *Study areas*

124 grounded in a mortar grinder (Laarmann LMMG 100, Roermond, The Netherlands).

- Afterwards, 0.20 g of soil powder were placed in a 50-ml Teflon digestion vessel, 43 x 60
- 126 mm (VWR International, Milan, Italy), adding 3 mL HNO_3 (65% in distilled water,
- Suprapur®, Merck KGaA, Darmstadt, Germany) and 6 mL HF (40 % in distilled water,
- 128 Suprapur®, Merck KGaA). The mixture was heated on a hotplate at 180-190 °C for 4-5 hours
- until complete drying: 3 mL NHO³ and 3 mL HF were then added and the mixture was heated
- 130 on the same hotplate for 3 hours. The dry residue was resuspended in 4 mL $HNO₃$,
- 131 completely dried on the hotplate and finally resuspended in 2 ml HNO₃.
- Grape berry samples (about 300 berries each) were carefully washed with MilliQ® water
- 133 (resistivity 18.2 MΩ cm⁻¹), taking care not to crush them to avoid juice loss. Each sample was
- centrifuged at 12600 rpm in a Centrika Metal centrifuge (Ariete, Florence, Italy) separating
- the juice residue (JR) from the solid residue (SR). A quantity of 4 g of JR and 2.5 g of SR
- 136 were accurately weighed in a Teflon vessel, digested with $HNO₃$ and $H₂O₂$, heated and finally
- resuspended in 2 mL HNO3, according to a previously established protocol (Pepi et al., 2016).
- All JR and SR samples were transferred to perfluoroalkoxy-copolymer flasks and made up to
- 139 100 mL with highly purified Milli- Q^{\circledast} water. All samples were analysed by inductively
- coupled plasma-mass spectrometry (ICP-MS) in a Thermo Electron Corporation X series
- spectrometer (Thermo Fisher Scientific, Waltham, Massachusetts) adding an internal Rh-Re
- standard to a final concentration of 10 ppb as a control. The accuracy of soil sample analyses
- was checked by NIST 2709 and USGS GXR-2 certified reference materials. The standard
- reference materials for ICP-MS were SRM 1547-Peach Leaves and SRM 1567a-Wheat Flour
- (National Institute of Standards and Technology, Gaithersburg, Maryland).

Statistical analysis

- 147 The data of soil, JR and SR samples from the five vineyards were statistically analysed by the
- non-parametric Kruskal-Wallis test, followed by Dunn's test to verify the significant values

Results and discussion

- *Soil*. The chemical composition of soil samples collected from each vineyard is reported in
- 154 Table 1. Statistically significant differences $(p<0.05)$ among the five vineyards were obtained
- for La, Ce, Pr, Nd, Eu and Gd, using the non-parametric multiple test (Test di Kruskal-
- Wallis). In all vineyards, the highest REE concentration value was Ce, followed by La, Nd,
- Pr, Gd and Eu. Examining the concentration differences among vineyards, Ce, Eu and Gd
- 158 were higher in Lonigo and Nardin vineyards in comparison to Bottazzo, Gaiarine and
- 159 Pattarello. Among the REE whose p-value was non-significant, Sm resulted higher in Lonigo
- and Pattarello vineyards.
- Generally, the REE concentrations apparently changed according to geographical origin of
- soils. The higher concentrations of REE in Lonigo and Nardin vineyards could be explained
- by the different soil origin: Lonigo originated from a substrate of volcanoclastic deposits,
- while Nardin originated from alluvional deposits rich in carbonates.
- 165 The concentration values of all REE in the five vineyards were divided by the Upper
- Continental Crust (UCC) values (Rudnick and Gao 2003) and plotted in Fig. 2. The REE
- distribution patterns indicate that all vineyard soils were enriched in light and medium REE,
- but showed a slight depletion in heavy REE.
- A positive Eu anomaly was detected in Lonigo and Pattarello vineyards. The conditions
- causing Eu anomalies are complex and related to the redox potential environment for Ca
- mineralization the Ca minerals (Kabata-Pendias 2011; Aide and Aide 2012). In the case of
- Lonigo and Pattarello vineyards, the Eu anomaly is probably related to the content in
- feldspars (Petrini et al. 2015).

174 The mobility of REE in soil is linked to parameters such as pH, chemical availability, organic 175 matter, fertilizers and conditioners, redox potential, clay content and soil texture (Kabata-176 Pendias 2011). The REE could be found in accessory minerals, such as hydrous oxides and 177 oxides, formed during the weathering process (Tyler 2004), thus the adsorption of REE on clay minerals can be affected not only by leaching but also by weathering (Yanfei et al. 2016). *Juice and solid residue.* The chemical composition of juice residue (JR) and solid residue (SR) of grape berries collected in the five vineyards are reported respectively in Tables 2 and 3. Overall, the REE concentration values are higher in SR than in JR, supporting previous data obtained with a slightly different protocol (Bertoldi et al. 2009). In JR, all concentration 183 differences among vineyards were statistically significant (p<0.05), except for Dy and Yb (Tab. 2). In all vineyards, the highest REE concentrations in JR were respectively La, Ce, Nd and Pr. In SR, all concentration differences among vineyards were statistically significant (p<0.05) except Er, and the highest concentrations were respectively La, Ce, Nd, Sm and Gd (Tab. 3).

 As for vineyard soils, also in JR and SR the REE concentrations showed differences related to the geographical origin. All JR and SR concentration values of REE were then normalized to UCC values, showing the results in Fig. 3. The REE showed a different distribution in each vineyard and REE concentration was always higher in SR than in JR. A higher amount of Eu was detected in both JR and SR in samples from Bottazzo, Pattarello, Lonigo and Nardin 193 vineyards (Fig. 3). This positive anomaly could be due to the interchangeability of Eu^{3+} with Ca²⁺ during physiological processes of plant growth occurring in soil (Zeng et al. 2003) or to protein binding in photosystem II (Kruk et al. 2003). Higher concentrations of Eu in SR could 196 also be related to accumulation of this REE in seeds in place of Ca (Ding et al. 2006; Rogiers et al. 2006; Bertoldi et al. 2009). The negative anomaly of Ce concentration in Lonigo

samples suggests a depletion in absorption and translocation, probably due to the lower

199 availability and mobility of Ce^{4+} (Wang et al. 1997; Wen et al. 2002).

Bioaccumulation of REE. In order to obtain more data about REE uptake in grape berries cv.

- Glera, the Index of Bioaccumulation (BA) was calculated as the ratio between the
- concentration of each element in JR or SR (Tab. 2-3) and the concentration of the same
- element in soil (Tab. 1) (Tyler 2004; Kabata-Pendias 2010; Amorós Ortiz-Villajos et al. 2013;
- Pepi et al. 2016). The BA provides information about the relative availability of REE in soil
- for uptake in plant tissues. The BA values for all REE examined in JR and SR for each
- vineyard are shown in Fig. 4. The range of BA values are the following: 1.00 0.1 for Eu in
- SR; 0.1 0.01 for La, Ce, Pr, Nd, Sm, Dy, Er in JR, and for La, Ce, Pr, Nd, Sm, Gd, Er in SR;
- 0.01 0.001 for Gd, Er, Yb, in JR, and for Dy, Yb in SR.
- All SR ranges were higher than JR ones, thus REE accumulation was higher in SR in
- comparison to JR. Each vineyard showed a different BA for REE, therefore BA was related to
- soil type and geographical origin (Fig. 4) (Tyler 2004; Kabata-Pendias 2011). Concerning
- 212 light rare elements (LREE) from La to Gd, the vineyard with the highest BA in JR was
- Bottazzo, followed by Gaiarine, Lonigo, Nardin and Pattarello. The highest BA of LREE in
- SR was Gaiarine, followed by Lonigo, Bottazzo, Nardin and Pattarello. The REE distribution
- in SR of grape berries of the cv. Glera from different vineyards confirms previous
- observations in grape berries of the cv. Chardonnay, in which skin and flesh resulted enriched
- in REE (Bertoldi et al. 2009). Moreover, LREE are known to be associated to chlorophyll (Hu
- et al. 2004) and could persist as a residue of the previous photosynthetic activity of the berry
- (Bertoldi et al. 2009).
-

Conclusions

 The concentration of rare earth elements (REE) was evaluated by ICP-MS in soil and grape berries of *Vitis vinifera* L. cultivar "Glera" from vineyards of five different localities in Region Veneto (Italy) included in the DOC area of Prosecco. Each vineyard soil was geologically characterized and identified on the base of the different REE concentrations. The ICP-MS analyses of juice and solid residues of grape berries and the values of Index of Bioaccumulation supported the identification of each vineyard based on REE accumulation.

 Overall, our data extend knowledge about uptake of REE in *V. vinifera* and about their behaviour in soil-plant relationships and could also be useful in characterization of geographical origin of vineyards based on REE concentration and distribution in soil and grape berries. The characterization based on geochemical markers is especially relevant to prevent frauds involving vineyards producing renowned Controlled Designation of Origin (DOC) wines.

Acknowledgments

 The authors owe thanks to Renzo Tassinari for technical advice and experimental support, and to Salvatore Cavaleri for elaboration of the geological map. The authors also wish to thank the personnel of the five Italian wineries "Bottazzo", "Gaiarine", "Lonigo", "Nardin" and

- "Pattarello" for help in collecting samples. This research was funded by the Italian Ministry
- of Education, Universities and Research (doctoral fellowship MIUR-27-GEO09-2012), by the
- Veneto Region Agency for Agriculture (Conegliano, Treviso, Italy).

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Figure Captions

Fig. 1. Geological map of the Veneto Region (Italy) showing the location of the wineries of *Vitis vinifera* cv. "Glera" studied: "Lonigo" (1), "Bottazzo" (2), "Pattarello" (3), "Gaiarine" (4), "Nardin" (5) .

Fig. 2. Concentrations of Rare Earth Elements (REE) determined by inductively coupled plasma mass spectrometry (ICP-MS), expressed in μ g/g and divided by the Upper Continental Crust (UCC) values, in soil from vineyards of the five wineries listed in Fig. 1.

Fig. 3. Concentrations of REE determined by ICP-MS, expressed in μ g/g and divided by UCC values, in juice residues and solid residues from grape berries of the vineyards "Bottazzo" (a), "Gaiarine" (b), "Lonigo" (c), "Nardin" (d) and "Pattarello" (e). The concentrations of Tb, Ho, Tm, Yb and Lu were below the detection limit of ICP-MS.

Fig. 4. Index of Bioaccumulation of REE determined by ICP-MS in juice residues (top) and solid residues (bottom) from grape berries of the five vineyards of Fig. 3. The concentrations of Tb, Ho, Tm, Yb and Lu were below the detection limit of ICP-MS.

Table 1. Median concentrations, minimum (Min) and maximum (Max) values (μg/g) of REE in soil samples of the five vineyards of Fig. 1, analyzed by ICP-MS. A non-parametric multiple test (Kruskal-Wallis) was applied. P-values: ns= not significant; $\text{*} < 0.05$; $\text{*} \text{*} < 0.01$; $\text{*} \text{*} \text{*} < 0.001$.

Table 2. Median concentrations, minimum (Min) and maximum (Max) values (μg/g) of REE in juice residues of grape berries of the five vineyards of Fig. 1, analyzed by ICP-MS. The non-parametric Kruskal-Wallis test was applied. P-values: ns= not significant; $* < 0.05$; $** < 0.01$; $*** < 0.001$. The concentrations of Tb, Ho, Tm, Yb and Lu were below the detection limit of ICP-MS.

Table 3. Median concentrations, minimum (Min) and maximum (Max) values (μg/g) of REE in solid residues of grape berries of the five vineyards of Fig. 1, analyzed by ICP-MS. The non-parametric Kruskal-Wallis test was applied. P-values: ns= not significant; $*$ < 0.05; ** < 0.01; *** < 0.001. The concentrations of Tb, Ho, Tm, Yb and Lu were below the detection limit of ICP-MS.

