

1 **Multivariate approach to gill pathology in European sea bass after experimental exposure to**  
2 **cadmium and terbuthylazine**

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4 Maurizio MANERA<sup>1,\*</sup>, Bahram SAYYAF DEZFULI<sup>2</sup>, Joseph A. DEPASQUALE<sup>3</sup>, Luisa GIARI<sup>2</sup>

5 <sup>1</sup>Faculty of Biosciences, Food and Environmental Technologies, University of Teramo, Piano  
6 d'Accio, I-64100 Teramo, Italy.

7 <sup>2</sup>Department of Life Sciences and Biotechnology, University of Ferrara, St. Borsari 46, I-44121  
8 Ferrara, Italy.

9 <sup>3</sup>Morphogenyx Inc, PO Box 717, East Northport, NY 11731, USA.

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12 **Running title:** Sea bass gill toxicologic pathology.

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14 \* Correspondence: Dr. Maurizio Manera. Faculty of Biosciences, Food and Environmental  
15 Technologies, University of Teramo, Piano d'Accio, I-64100 Teramo, Italy. Telephone number: +39  
16 0861 266980; e-mail: mmanera@unite.it.

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18 **ABSTRACT**

19 The combined use of guided quantitative expert analysis and of multivariate exploratory data  
20 analysis is reported as a robust, sensitive and sufficiently specific approach to study European sea  
21 bass gill secondary lamellar pathology after exposure to incremental doses of cadmium and  
22 terbuthylazine up to 48 hours. The following elementary pathological findings were considered:  
23 “epithelial lifting”, “epithelial shrinkage”, “epithelial swelling”, “pillar cells coarctation”, “pillar  
24 cells detachment”, “channels fusion”, “chloride cells swelling”, and “chloride cells invasion”. The  
25 relative spatial extension was determined according to exposure class and data were analysed by  
26 means of canonical correspondence analysis (CCA), linear discriminant analysis (LDA) and  
27 canonical variates analysis (CVA). Histologically and ultrastructurally, cellular  
28 shrinkage/coarctation prevailed in cadmium exposed lamellae, whereas cellular swelling and  
29 epithelial lifting were predominant in terbuthylazine exposed lamellae compared to unexposed fish.  
30 Both CCA and CVA permit a good graphical data grouping according to exposure classes by means  
31 of the convex hull minimum polygons. This also reveals exposure dose and time gradients in CCA  
32 plot. Accordingly, epithelial swelling and epithelial shrinkage were comparatively associated to  
33 higher exposure time, whereas epithelial shrinkage and pillar cells coarctation were comparatively  
34 associated to higher exposure dose. LDA with only “epithelial shrinkage”, “epithelial swelling” and  
35 “pillar cells coarctation” in the model classified correctly 87.5 % of the cross-validated cases. A  
36 possible pathogenetic relationship between the discriminant elementary lesions and the toxic mode  
37 of action at the cellular level of both cadmium and terbuthylazine is also discussed.

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39 **Keywords:** canonical correspondence analysis; linear discriminant analysis; guided quantitative  
40 expert analysis; toxicologic pathology; *Dicentrarchus labrax*.

## 41 1. INTRODUCTION

42 Fish gills are the primary site of gas exchange, osmoregulation, acid-base regulation, and excretion  
43 (Evans 1987; Evans *et al.* 2005; Brauner & Rombough 2012). The gills play a pivotal role in  
44 maintaining fish homeostasis and, being in direct and permanent contact with potential waterborne  
45 irritants, they account for practical biomarkers of aquatic pollution (Bernet *et al.* 1999). The  
46 complex structure of gills has been previously reported both in normal and in pathological  
47 conditions (Mallatt 1985; Wilson & Laurent 2002). The repertoire of gill responses to the multitude  
48 of pathogens (including toxicants) affecting their integrity is limited, and therefore should be treated  
49 as general biomarkers (Mallatt 1985; Dezfuli *et al.* 2003; Dezfuli *et al.* 2006; Giari *et al.* 2007;  
50 Gomes *et al.* 2012; Nascimento *et al.* 2012). Particular attention should be paid in avoiding both  
51 false positive (type I) and false negative (type II) errors, which can affect both the specificity and  
52 sensitivity of the adopted diagnostic technique (Mallatt 1985; Manera *et al.* 2016a). With regard to  
53 histopathology, pitfalls may arise throughout the entire diagnostic process, from tissue sampling to  
54 data analysis, according to the method (human mental algorithm vs. statistical discriminant  
55 approach) used to set the discriminant level between positive (i.e. “pathologic”) and negative (i.e.  
56 “normal”) samples. Importantly, from a biomedical perspective, false negative errors are more  
57 serious than false positive errors and should be adequately controlled by means of proper screening  
58 test sensitivity (Mallatt 1985; Manera 2013; Szczypinski *et al.* 2014; Manera *et al.* 2015).  
59 Accordingly, the need of a guided and possibly morphometrically based assessment of fish gill  
60 pathology has been stressed, in order to avoid type II errors (false negative) (Mallatt 1985; Manera  
61 *et al.* 2015).

62 A quantitative guided screening technique has recently been developed and proposed as a reliable  
63 method to objectively characterize fish gill pathology with regard to toxicological trials (Manera *et al.*  
64 2016a). It is anticipated that guided screening will become a powerful tool in environmental  
65 biomonitoring programs, ensuring standardization and reproducibility.

66 Effectively, fish gill condition is widely used in environmental studies and ecotoxicological trials,  
67 though gill lesions are normally only qualitatively or semi-quantitatively assessed (Mallatt 1985;  
68 Pawert *et al.* 1998; Pandey *et al.* 2008; Gomes *et al.* 2012; Nascimento *et al.* 2012). Furthermore,  
69 little or no attempt has been made to categorize discriminant elementary pathological findings  
70 according to xenobiotics (Mallatt 1985; Manera *et al.* 2015). In particular, each histopathological  
71 pattern relies on the sum of many elementary pathological findings, some of which may be artifacts  
72 and/or may be associated with many pathological patterns, whereas others may be strictly related to  
73 few or, possibly, only one pathological pattern (Manera *et al.* 2015; Colin *et al.*, 2016).

74 In the present study the authors describe the combined use of semithin sections, of guided  
75 quantitative expert analysis, and of multivariate exploratory data analysis as a robust, sensitive and  
76 specific approach to study sea bass [*Dicentrarchus labrax* (Linnaeus, 1758)] gill lamellar pathology.  
77 The approach discriminates among exposure classes with respect to unexposed compared to  
78 cadmium and terbuthylazine experimentally exposed fish. The pathogenetic relationship between  
79 observed discriminant elementary lesions and the toxic mode of action at the cellular level is  
80 discussed.

81 Multivariate exploratory data analysis relies on pattern detecting and data structure exploration. Its  
82 objectives embrace the extraction of crucial data features and the finding of latent structural  
83 relationships. Summarization, visualization and description of biological pattern in the form of  
84 mathematical constructs are also performed (Podani 2000). Multivariate exploratory data analysis is  
85 widely used in ecological and population genetic studies, whereas it is relatively neglected or  
86 partially applied in bio-medical disciplines, with particular regard to pathology, which typically  
87 utilize uni- and bivariate statistical procedures. Multivariate analysis on the other hand, is an  
88 extension of these, relying on functional relationships between a dependent variable and many  
89 independent variables and allowing significance testing of statistical hypotheses (e.g. multivariate  
90 analysis of variance – MANOVA) (Cavalli-Sforza *et al.* 1994; Podani 2000; Lepš & Šmilauer  
91 2003). ter Braaka & Šmilauerb (2014) have recently stressed the use of constrained ordination

92 methods, based on an ANOVA/regression approach, instead of unconstrained methods relying on  
93 the least-squares (eigenvector) methods.

94 In the present study, multivariate exploratory data analysis and, particularly, its graphic, intuitive  
95 data ordination/classification, was shown to be a robust, sensitive and specific approach to study  
96 fish gill lamellar pathology resulting from cadmium and terbuthylazine experimental exposure, to  
97 discriminate among exposure classes, by means of the identification of the best combination of  
98 discriminant elementary pathological findings.

99 Cadmium is a heavy metal widely used in industry (batteries, electroplating, plastic stabilizers,  
100 pigments). Its emission into the environment has dramatically increased during the 20th century,  
101 leading to contamination of aquatic systems (Zelikoff, 1993; Jarup, 2003), and ultimately having a  
102 harmful effect on fish. Cadmium accumulates mainly in kidney, liver and gills (Cattani et al.,1996)  
103 of fish, causing pathological changes in these tissues and organs (Lemaire-Gony & Lemaire, 1992;  
104 Battaglini et al., 1993; Thophon et al., 2003; Giari et al., 2007; Manera et al., 2016a-b).

105 Terbuthylazine (2-chloro-4-tart-butylamino-6 ethylamino-s-triazine) is a relatively widespread  
106 triazine herbicide, a common substitute of the well-studied atrazine (Steinberg et al., 1994). Though  
107 its toxicity has been addressed by several studies in terrestrial animals (Lang et al., 1996-1997;  
108 Salminen et al., 1996), little is known with regard to its impact on aquatic organisms (Steinberg et  
109 al., 1994; Marchini et al., 1988; Szarek et al., 2000; Dezfuli et al., 2006). The molecular basis of  
110 toxicity of both cadmium and terbuthylazine thus certainly deserve further study (Manera et al.,  
111 2016a-b). Regardless of any particular toxicant, fish gills have the greatest external host-to-water  
112 interface directly exposed to waterborne agents and thus are particularly susceptible to direct toxic  
113 effects (Giari et al., 2007; Manera et al., 2016a-b).

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## 118 2. METHODS

119 The present study was carried out on semi-thin sections images taken from previous experimental  
120 trials (Dezfuli *et al.* 2006; Giari *et al.* 2007). Therefore, the experimental design is only briefly  
121 summarized below.

### 122 2.1. Experimental fish and acute exposure

123 Specimens of intensively reared *D. labrax* (mean total length = 124.4 mm; mean mass= 18.8 g, n=  
124 45), previously acclimated for two weeks in 200 l aquaria containing 22 ‰ salt water at a mean  
125 temperature of 19.9 °C under a standard photoperiod 12 h daylight, were experimentally exposed to  
126 four incremental doses of Cd (standard solution for atomic absorbance spectrophotometry, Code  
127 497471 Carlo Erba, Milan, Italy) 4.47 mg l<sup>-1</sup> (0.0398 mM), 5.63 mg l<sup>-1</sup> (0.0501 mM), 7.08 mg l<sup>-1</sup>  
128 (0.0630 mM), 8.91 mg l<sup>-1</sup> (0.0793 mM) and three incremental doses of terbuthylazine (TERB SC,  
129 Terranalisi s. r. l., Cento FE, Italy) 3.55 mg l<sup>-1</sup> (0.0155 mM), 5.01 mg l<sup>-1</sup> (0.0218 mM), 7.08 mg l<sup>-1</sup>  
130 (0.0308 mM), in 20 l polycarbonate exposure tanks, up to 48 h. Fish were fed daily with  
131 commercial feed (crude protein 62%, crude fat 11%, fibre 0.8%, ash 10%, phosphorus 1.1%) and  
132 starved 48 h before and during the experiment. Unexposed, control fish remained in the acclimation  
133 tank. Fish were sampled from each experimental tank after 24 and 48 h post exposure, killed by a  
134 blow to the head, pithed and their gills were dissected and immediately fixed in 2% glutaraldehyde  
135 solution, buffered with 0.1 M sodium cacodylate pH 7.2 at 4 °C for 2 h. The study complied with  
136 Italian national guidelines governing the use of experimental animals and related procedures  
137 (Legislative Decree 116/1992, according to 86/609/EEC Directive). In particular, the experimental  
138 part was performed in the facilities of the Ferrara section of the Regional Environmental Protection  
139 Agency of Emilia-Romagna Region, as an institutional mission on behalf of the Italian  
140 Environmental Ministry. Actually, the gills and other organs of European sea bass were sampled  
141 from fish institutionally tested for acute and subacute toxicity against “priority substances”,  
142 according to Italian Legislative Decree 106/1999 (Belli *et al.*, 2003).

### 143 2.2. Tissue processing and histological observation

144 After glutaraldehyde fixation tissue was post-fixed in 1% osmium tetroxide in 0.1 M sodium  
145 cacodylate at pH 7.2 for 2 h, dehydrated in a graded series of ethanol, transferred to propylene oxide  
146 and embedded in an Epon-Araldite mixture. Semithin sections (1.5  $\mu$ m) were cut with a Reichert  
147 Om U2 (Reichert Optische Werke A.G., Wien, Austria) ultramicrotome with glass knives and  
148 stained with toluidine blue. Semithin tissue section were observed and photographed with a  
149 microscope (Nikon Eclipse 80i; Nikon, Tokyo, Japan) equipped with a digital color camera (DS-  
150 5M; Nikon, Tokyo, Japan) manually set to ensure the same exposure parameters, light intensity, and  
151 white balancing. Selected images were saved in TIFF (Tagged Image File Format; RGB-Red,  
152 Green, Blue method) uncompressed file format.

153 In order to characterize the best gill lesions, ultrastructural observation was performed on selected  
154 resin embedded samples. Ultrathin sections were contrasted in a 50% alcohol-uranyl acetate  
155 solution and lead citrate, and examined in a Hitachi H-800 (Hitachi Ltd., Tokyo, Japan) electron  
156 microscope operated at 80 kV. Detailed results of microscopic and ultrastructural patterns have been  
157 previously reported elsewhere (Dezfuli *et al.* 2006; Giari *et al.* 2007).

### 158 2.3. Guided expert analysis

159 Representative histopathological patterns at the level of the secondary lamellae were selected,  
160 images acquired, and the TIFF images submitted, with no indication of exposure group, to a trained  
161 fish pathologist. He/she quantitatively described lesions according to a precompiled table, and to  
162 the relative spatial occurrence of some selected elementary pathological findings, in accordance  
163 with previous studies (Mallatt 1985; Dezfuli *et al.* 2006; Giari *et al.* 2007; Wolf *et al.* 2015) and a  
164 previously developed categorization (Manera *et al.* 2016a). Table pathological entries were:  
165 “epithelial lifting”= detachment and lifting of the epithelial cells lining the secondary lamella due to  
166 fluid accumulation beneath them; “epithelial shrinkage”= shrinkage/curling of epithelial cells due to  
167 the acute coagulation of cellular protoplasm as a result of the exposure to denaturant toxicants;  
168 “epithelial swelling”= degenerative swelling/enlargement of epithelial cells due to the failure of  
169 membrane-associated ionic pumps; “pillar cells coarctation”= active or passive contraction

170 (shrinkage) of pillar cells, resulting in the reduction of the lumen of the blood channel/sinuses;  
171 “pillar cells detachment”= detachment of the cellular body of pillar cells from the basement  
172 membrane, which separate them from the overlying epithelial cells; “channels fusion”= blood  
173 channels/sinuses fusion caused by pillar cells rarefaction; “chloride cells swelling”= degenerative  
174 swelling/enlargement of chloride cells due to the failure of membrane-associated ionic pumps;  
175 “chloride cells invasion”= hyperplastic chloride cells response resulting in the occurrence of the  
176 latter on the secondary lamella. The relative spatial extension was determined for each of the above  
177 lesions using Image J (v1.50b; Rasband W., National Institute of Health, USA) and expressed as  
178 cover classes (percentages referred to overall tissue area): 0, absent; 1,  $0 < \text{cover} < 0.1 \%$ ; 2,  $0.1 \% \leq$   
179  $\text{cover} < 1 \%$ ; 3,  $1 \% \leq \text{cover} < 10 \%$ ; 4,  $10 \% \leq \text{cover} < 50 \%$ ; 5,  $\text{cover} \geq 50 \%$ . Therefore, expert  
180 analysis should be considered effectively as a “guided quantitative expert analysis” as previously  
181 proposed by Manera *et al.*(2015).

#### 182 2.4. Multivariate exploratory data analysis

183 Canonical Correspondence Analysis (CCA) was performed on the obtained data. Cover classes of  
184 each pathological finding were introduced as main (primary) matrix data, exposure time (in hours)  
185 and exposure dose (as mM), the latter two log-transformed [ $\log(n+1)$ ], as secondary, environmental  
186 matrix. Exposure class data were introduced as categorical variable. The null hypothesis of no  
187 structure in the main matrix (elementary pathological findings) and therefore non relationship  
188 between matrices [namely main matrix and secondary matrix (i.e. exposure dose and time)] was  
189 specifically tested. Accordingly, the correlations between each histopathological scoring and  
190 exposure dose and time was tested by means of Monte Carlo test. PC-ORD was used as multivariate  
191 analysis software. Furthermore, linear discriminant analysis was performed on numerical data in  
192 order to evaluate the discriminant power of elementary pathological findings in exposure class  
193 detection. In particular, stepwise analysis, Mahalanobis distance and both pooled covariance matrix  
194 and separate covariance matrices were adopted in the analysis as previously reported (Manera *et*



195 *al.*2015). ANOVA has also been employed to test significant differences among exposure classes.  
196 SPSS® 14.0.2 (SPSS Inc., Chicago, IL, USA) was the statistical package for data analysis.

197

### 198 3. RESULTS

199 Figures 1b and c show the pathology of the secondary lamellae resulting, respectively, from  
200 cadmium and terbuthylazine exposure compared with unexposed lamellae (Fig. 1a). In general,  
201 cellular shrinkage/coarctation predominated in cadmium exposed lamellae. Cellular swelling and  
202 epithelial lifting were both widespread in terbuthylazine exposed lamellae, and mixed patterns were  
203 common. The ultrastructure of a cadmium exposed secondary lamellae is shown in Figure 1d and is  
204 a representative example of the elementary pathological findings recorded during the study.

205 The CCA triplot is shown in Figure 2. Elementary pathological findings points (centroids) are  
206 reported as asterisks. The closer a single case is to a centroid, the greater the probability that  
207 lamellae displayed the related elementary pathological finding. An approximate ordering of each  
208 elementary pathological finding with respect to exposure dose and time, can be obtained by  
209 projecting centroids onto the respective vector. The same holds true for each sampling point.

210 Accordingly, epithelial swelling and epithelial shrinkage were comparatively associated to higher  
211 exposure time than the other elementary pathological findings, whereas epithelial shrinkage and  
212 pillar cells coarctation were comparatively associated to higher exposure dose than the others.

213 Sampling to exposure parameters (species to environment) correlation was 0.663 (randomized  
214 0.516;  $p= 0.060$ ) for Axis 1 and 0.632 (randomized 0.344;  $p= 0.006$ ) for Axis 2. Considering the  
215 correlation of the main matrix variables with the ordination axes, “epithelial swelling” shows the  
216 highest  $R^2$  (0.705, with Axis 1), followed by “epithelial shrinkage” (0.689, with Axis 2) and “pillar  
217 cells coarctation” (0.519, with Axis 2). With regard to the correlation of the second matrix variables  
218 with the ordination axes, both experimental parameters show the best correlation with Axis 2  
219 (exposure dose and time, respectively  $R^2$ : 0.378 and 0.369), though exposure time correlates also  
220 with Axis 1 ( $R^2$ : 0.281). Graphically, the convex hull polygons for each exposure class are

221 adequately separated according to exposure class, although partial superimposition appears between  
222 cadmium and terbuthylazine exposed samples. In particular, cases n. 5, 12, 16 are enclosed in the  
223 convex hull polygon of the terbuthylazine exposed cases. Interestingly, and with particular regard  
224 to case 12 and 16, such imperfect ordination result (in term of exposure class separation)  
225 corresponds to relatively lower exposure doses.

226 The classification table (Table 1), presents correct classification percent, test sensitivity and  
227 specificity (according to linear discriminant, stepwise analysis – Mahalanobis distance) with the  
228 following elementary pathological features retained in the model: “epithelial shrinkage”, “epithelial  
229 swelling” and “pillar cells coarctation”. As previously reported these features showed the highest  $R^2$   
230 with the ordination axes and are the most distant centroids with respect to the convex hull polygon  
231 of unexposed samples. Case number 22 appears misclassified both with original and with cross-  
232 validated cases. Specifically, it is misclassified as a terbuthylazine exposed case, resulting in a false  
233 positive, type I error. Furthermore, case number 14, with original cases, and cases number 14 and  
234 17 (terbuthylazine exposed), with cross-validated cases, are misclassified as unexposed, resulting in  
235 false negative, type II errors. When only an exposure category is considered (unexposed vs. both  
236 cadmium and terbuthylazine exposed) only one false positive case – number 22 – is detected (95.8  
237 % of both original and cross-validated cases are correctly classified), yielding 100 % sensitivity and  
238 75 % specificity, and only “epithelial shrinkage” is retained in this stepwise analysis. Interestingly,  
239 cadmium exposed cases are never misclassified either as unexposed or terbuthylazine exposed by  
240 means of the linear discriminant, stepwise analysis – Mahalanobis distance.

241 Figure 3 displays the canonical discriminant function plot with all the discriminant variables in the  
242 model. Convex hull polygons are clearly separated and the discriminant variables are reported as  
243 vectors. The total length of the vectors accounts for the related discriminant power and their  
244 orthogonal projection with respect to the ordination axis for the related contribution to ordination.  
245 Interestingly, “epithelial shrinkage” appeared to be the most discriminant pathological feature and it  
246 lays exactly opposite to the unexposed convex hull polygon and its major axis, and, as a vector,

247 approximately equally orthogonally projected with respect to the two ordination axes. It is followed  
248 first by “pillar cells coarctation”, mainly orthogonally projected with respect to Axis 1, along the  
249 major axis of the convex hull polygon of cadmium exposed cases, and then by “epithelial swelling”,  
250 mainly orthogonally projected with respect to Axis 2, along the minor axis of the convex hull  
251 polygon of terbuthylazine exposed cases. The misclassified cases 22 and 14 are effectively  
252 neighbours (Fig. 3). This also holds true for the cross-validated misclassified case 17, but only in  
253 the canonical discriminant function plot with only the three previously mentioned discriminant  
254 variables (not shown). A clear overlap was found between unexposed cases convex hull polygon  
255 and terbuthylazine exposed cases convex hull polygon in the canonical discriminant function plot  
256 calculated with only three of the previously mentioned discriminant variables. In contrast cadmium  
257 exposed cases convex hull polygon showed no overlapping with both of the ordination methods.  
258 Figure 4 reports the mean and the 95% confidence interval values of the selected discriminant  
259 elementary pathological findings, according to exposure class. The related ANOVA contrast table in  
260 reported in Table 2.

261

#### 262 4. **DISCUSSION**

263 Guided quantitative expert analysis and multivariate exploratory analysis approaches are reliable  
264 methods to objectively describe fish gill lesions and discriminate with confidence among  
265 terbuthylazine, cadmium exposed and unexposed fish gill. Guided quantitative expert analysis has  
266 previously been used by the authors, but only discriminant analysis was performed in that study  
267 (Manera *et al.*2015). A multivariate graphical approach was utilized in the present study, resulting in  
268 a more reliable and visibly appreciable ordination/classification method.

269 In the present study the following multivariate exploratory data analysis techniques were adopted:  
270 CCA and LDA/CVA. As in other ordination methods CCA arranges points originally distributed in  
271 a multidimensional space, into groups in an artificially reduced space. However ordination is not  
272 entirely based upon the “species” (main matrix) since the axes are also influenced by the

273 “environmental” variables (secondary matrix), in order to detect possible species to environment  
274 relationships within the ordination of objects (Podani 2000, ter Braaka & Šmilauerb 2014).  
275 Interestingly, because a permutation test may be performed within CCA, it is possible to test  
276 species to environment correlation for significance. Indeed only the correlation referred to Axis 2  
277 was fully significant ( $p < 0.01$ ), though the correlation referred to Axis 1 was close to significance  
278 ( $p = 0.06$ ). Nevertheless this fact reflects the good ordination and separation of the exposure groups  
279 along the Axis 2 and the partial convex hull polygon superimposing along Axis 1.

280 LDA is a special ordination technique in which axes are derived in order to maximize the separation  
281 of *a priori* defined groups. This terminology is mainly used when the objective is to determine  
282 which variable is the most discriminant one (i.e. enhances group separation) and to assign new data  
283 into the defined groups. On the other hand, “canonical variates analysis” (CVA) is used when the  
284 main goal is the reduction of dimensionality as in the other ordination techniques. In the previous  
285 case, possibly the most diffuse bio-medical application, ordination plot is not even performed  
286 (Podani 2000). In the present study LDA was applied in both the above mentioned procedures with  
287 particular attention to the biplot, namely the projection, as vectors, of the discriminant variables in  
288 order to visibly evaluate their relative contribution to ordination/classification.

289 The occurrence of both type I and type II errors in the evaluation of fish gill structural changes has  
290 been extensively reviewed and discussed by Mallatt (1985). In particular, false positive (type I)  
291 errors were ascribed to artifacts of fixation and tissue processing, unsuitable control selection,  
292 incorrect and uneven sectioning, and post-mortem alterations. Interestingly, provided proper  
293 controls are used, type I errors are not critical, while type II errors cannot be readily dismissed. The  
294 need for both a guide to correctly assess fish gill pathology and of morphometry in order to avoid  
295 false negatives has been stressed previously (Mallatt 1985). Type II errors impact test sensitivity  
296 and are a serious concern from a clinical perspective, as compared to false positive errors (Mallatt  
297 1985; Manera 2013; Szczypinski *et al.* 2014; Manera *et al.* 2016a,).

298 The authors have recently assessed fish gill pathology due to cadmium and terbuthylazine exposure  
299 by means of two replicable methods: guided quantitative expert analysis, which was compared to  
300 fractal analysis (Manera *et al.* 2015). Despite the presence of significant differences in the analysed  
301 features according to exposure groups with both methods, only expert analysis was shown to  
302 discriminate among treatment groups, which drastically reduced classification errors. Nevertheless,  
303 LDA was performed without the CVA and the ordination plot analysis performed here (Manera *et*  
304 *al.* 2015).

305 The dramatic improvement and usefulness of image analysis techniques in bio-medicine has been  
306 recently stressed in several studies (e.g., Sertel *et al.* 2009, Manera 2013, Szczypinski *et al.* 2014,  
307 Manera *et al.* 2016b). Pathology is a somewhat conservative diagnostic discipline, relying on  
308 descriptive and qualitative analysis of tissue samples by trained individuals. Thus the need of  
309 objective, quantitative diagnostic methods has been advocated to assist, rather than substitute for  
310 trained pathologists (Al-Janabi *et al.* 2012, Manera *et al.* 2016b).

311 Although fish gills are extensively used in environmental and ecotoxicological studies, there is to  
312 date no standardized method to quantify their pathological patterns and thus gills pathology is  
313 mainly approached by means of qualitative or semi-quantitative analysis (Mallatt 1985, Pawert *et*  
314 *al.* 1998, Pandey *et al.* 2008, Gomes *et al.*, 2012; Nascimento *et al.*, 2012), with the notable  
315 exception of the quantitative studies of Manera *et al.* (2016a, b) which like the present study also  
316 assessed the sensitivity and the specificity of the adopted methods. Methods relying on cell  
317 counting, evaluation of tissue elements and/or reaction pattern recognition are rather time  
318 consuming and potentially prone to human bias unless adequately assisted (Manera *et al.* 2016b).

319 The topic of misclassification in fish histopathology has recently been reviewed by Wolf and  
320 collaborators (2015), where the authors stress that with regard to gill pathology, the limited  
321 repertoire of pathological response to multitudes of physico-chemical injuries is a significant cause  
322 of mis-interpretation. Thus fish gills are a very sensitive but general and nonspecific biomarker  
323 (Mallatt 1985; Takashima & Hibiya 1995; Pawert *et al.* 1998; De Oliveira Ribeiro *et al.* 2002;

324 Dezfuli *et al.* 2003; Dezfuli *et al.* 2006; Giari *et al.* 2006, 2007, 2008; Pandey *et al.* 2008; Gomes *et*  
325 *al.*2012; Nascimento *et al.* 2012; Wolf *et al.*2015). Chloride cell hyperplasia, epithelial lifting and  
326 lamellar edema were reported as possible sources of error caused by incorrect positioning during  
327 tissue processing, suboptimal/improper fixation, and poor water quality (Mallatt 1985; Wolf *et al.*  
328 2015). The use of a guided expert analysis, limiting the possible pathological entries, the area  
329 extension measurement of the recorded elementary pathological features, and the multivariate  
330 approach enables concentration only on the really discriminant lesions. In particular, “epithelial  
331 shrinkage” was able to discriminate exposed from unexposed fish, while “epithelial swelling” and  
332 “pillar cells coarctation” were able to characterize, respectively, terbuthylazine and cadmium  
333 exposed fish. False positive and false negative classification errors occurred only between  
334 unexposed and terbuthylazine exposed fish. In other words, swelling artifacts were more frequently  
335 encountered compared to coarctation artifacts. Moreover, possible suggestions could be inferred  
336 with regard to the pathogenesis induced by each toxic condition. Although not toxicant-specific,  
337 some lesions are more frequently associated with some irritants and/or environmental conditions.  
338 For example, heavy metals frequently induce epithelial necrosis, whereas epithelial lifting was  
339 observed less frequently in saltwater than in freshwater fish, possibly due to an osmolarity driven  
340 pathogenesis (Mallatt, 1985). The authors have emphasized the need to evaluate the fine pathology  
341 occurring at the level of secondary lamellae where diagnoses are reliable, such as in Epon-Araldite  
342 semithin tissue sections, while excluding prominent and frequent pathology cues such as gill  
343 telangectasia which are easily detectable in paraffin-embedded sections (Manera *et al.* 2016a). Fish  
344 gill pathology resulting from cadmium and terbuthylazine experimental exposure has been  
345 previously reported by Dezfuli *et al.*2006, Giari *et al.* 2007 and Manera *et al.*2015. Both toxics were  
346 shown to induce epithelial lifting, and hyperplasia and swelling of chloride cells, with necrosis and  
347 inflammatory reactions occurring at the highest concentrations and exposure time.

348 Cadmium toxicity is known to be dependent on protein denaturation, oxidative stress and the  
349 interference with the homeostasis of essential metals (Stohs 1995; Stohs & Bagchi 1995; Suzuki *et*

350 *al.* 2001; Moulis 2010). Cadmium interferes with the integrity of the actin cytoskeleton and the  
351 function of cadherin-based cell-cell adhesion (Prozialeck and Edwards 2012; Choong *et al.* 2013a)  
352 and has also been shown to disrupt vinculin- and focal adhesion kinase-rich focal contacts through a  
353  $\text{Ca}^{2+}$ /calmodulin-dependent protein kinase II (CaMK-II) pathway (Choong *et al.* 2013b). Physical  
354 disruption of cell-cell and cell-matrix adhesions might well explain the cell coarctation and curling  
355 observed here and in a previous study (Manera *et al.* 2016a). Furthermore, cadmium is known to  
356 have a concentration-dependent effect on vasoreactivity (Takahashi *et al.* 2004) and has been  
357 reported to produce changes of the  $\alpha$ -actin cytoskeleton in cultured mesangial cells, inducing their  
358 contraction (L'Azou *et al.* 2002). Pillar cells contain contractile microfilaments involved in their  
359 contraction and in the regulation of the blood flow through the lamellae (Evans *et al.* 2005).  
360 Consequently, the reported known effects of cadmium on cytoskeleton contractility suggest a  
361 pathogenetic basis for the high discriminant power of pillar cells coarctation/contraction with regard  
362 to cadmium exposed fish and dose-related action.

363 Terbutylazine [IUPAC name is 6-chloro-N-(1,1-dimethylethyl)-N'-ethyl-1,3,5-triazine-2,4-  
364 diamine] is a relatively widespread and selective chloro-triazine pre- and post-emergence herbicide,  
365 affecting photosynthesis. In particular, Hill reaction in the photosynthetic electron transport is  
366 inhibited, whereas mitochondrial electron transport is not affected (Hostovsky *et al.* 2014). The  
367 mechanism of action of terbutylazine and other triazines in animal cell has not yet been fully  
368 elucidated, although non-polar narcosis (non-specific toxicity) was suggested by Bermúdez-Saldaña  
369 *et al.* (2005).

370 Narcosis mode of action is based on hydrophobic chemicals accumulating within cell membranes,  
371 disrupting the native hydrophobic protein-protein or protein-membrane interactions within them,  
372 and ultimately disturbing their function (Schultz *et al.* 2003). Interestingly, a proton-pump leak  
373 mechanism for narcosis has been proposed (Bangham & Hill 1986). This hypothesis assumes that  
374 concentration gradients in living beings are in a steady-state, where passive leaks across membranes  
375 are balanced by temperature, pressure, and energy dependent ion pumps. The alteration of the

376 chemical potential of the hydrophobic membrane phase by hyperbaric, hypothermic, anoxic or toxic  
377 conditions causes the resetting of the steady-state parameters (Bangham & Hill 1986). The possible  
378 impairment of active/passive ions flux across the membrane, as a consequence of the disruption of  
379 the hydrophobic interactions within the latter, may explain why epithelial swelling, as a result of  
380 vesiculation, vacuolization of the lamellar epithelial cells, due to intracellular aqueous solution  
381 accumulation, resulted to be discriminant for terbuthylazine exposure.

## 382 **5. CONCLUSIONS**

383 The combined use of semithin sections, which enhanced the appreciation of the overall lamellar  
384 structure evaluation; of guided quantitative expert analysis, which minimizes the risk of  
385 histopathological misinterpretation and permits an objective evaluation of lesions extension; and of  
386 multivariate exploratory data analysis, which allows a graphic, intuitive data  
387 ordination/classification, was shown to be a robust, sensitive and sufficiently specific approach to  
388 study fish gill lamellar pathology and to discriminate among exposure classes in experimental  
389 surveys, isolating the effectively and really discriminant elementary pathological findings from  
390 misdiagnosis and artifacts. Furthermore, the identification of the really discriminant elementary  
391 lesions establishes a firm foundation upon which to conduct further study into the mechanisms of  
392 action of each toxic at the cellular level.

393

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396

## 397 **CONFLICT OF INTEREST**

398 The authors declare there is no conflict of interest that could be perceived as prejudicing the  
399 impartiality of the results reported.

400

401



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543

544 **Table 1. Classification table according to linear discriminant, stepwise analysis – Mahalanobis**  
545 **distance, with the following elementary pathological features: epithelial shrinkage, epithelial**  
546 **swelling and pillar cells coarctation.**

|                             | <b>Case number</b> | <b>Given group</b>     | <b>Classified group</b> | <b>Error type</b>           |
|-----------------------------|--------------------|------------------------|-------------------------|-----------------------------|
| <b>Original<sup>a</sup></b> | 1                  | Cd exposed             | Cd exposed              | -                           |
|                             | 2                  | Cd exposed             | Cd exposed              | -                           |
|                             | 3                  | Cd exposed             | Cd exposed              | -                           |
|                             | 4                  | Cd exposed             | Cd exposed              | -                           |
|                             | 5                  | Cd exposed             | Cd exposed              | -                           |
|                             | 6                  | Cd exposed             | Cd exposed              | -                           |
|                             | 7                  | Cd exposed             | Cd exposed              | -                           |
|                             | 8                  | Cd exposed             | Cd exposed              | -                           |
|                             | 9                  | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 10                 | Cd exposed             | Cd exposed              | -                           |
|                             | 11                 | Cd exposed             | Cd exposed              | -                           |
|                             | 12                 | Cd exposed             | Cd exposed              | -                           |
|                             | 13                 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 14                 | Terbuthylazine exposed | Unexposed *             | False negative<br>– Type II |
|                             | 15                 | Cd exposed             | Cd exposed              | -                           |
|                             | 16                 | Cd exposed             | Cd exposed              | -                           |
|                             | 17                 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 18                 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 19                 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |

|                             |    |                        |                         |                             |
|-----------------------------|----|------------------------|-------------------------|-----------------------------|
|                             | 20 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 21 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 22 | Unexposed              | Terbuthylazine exposed* | False positive<br>– Type I  |
|                             | 23 | Unexposed              | Unexposed               | -                           |
|                             | 24 | Unexposed              | Unexposed               | -                           |
| <b>Cross-validated</b><br>b | 1  | Cd exposed             | Cd exposed              | -                           |
|                             | 2  | Cd exposed             | Cd exposed              | -                           |
|                             | 3  | Cd exposed             | Cd exposed              | -                           |
|                             | 4  | Cd exposed             | Cd exposed              | -                           |
|                             | 5  | Cd exposed             | Cd exposed              | -                           |
|                             | 6  | Cd exposed             | Cd exposed              | -                           |
|                             | 7  | Cd exposed             | Cd exposed              | -                           |
|                             | 8  | Cd exposed             | Cd exposed              | -                           |
|                             | 9  | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 10 | Cd exposed             | Cd exposed              | -                           |
|                             | 11 | Cd exposed             | Cd exposed              | -                           |
|                             | 12 | Cd exposed             | Cd exposed              | -                           |
|                             | 13 | Terbuthylazine exposed | Terbuthylazine exposed  | -                           |
|                             | 14 | Terbuthylazine exposed | Unexposed *             | False negative<br>– Type II |
|                             | 15 | Cd exposed             | Cd exposed              | -                           |
|                             | 16 | Cd exposed             | Cd exposed              | -                           |
|                             | 17 | Terbuthylazine exposed | Unexposed *             | False negative<br>– Type II |



|  |    |                       |                        |                            |
|--|----|-----------------------|------------------------|----------------------------|
|  | 18 | Terbutylazine exposed | Terbutylazine exposed  | -                          |
|  | 19 | Terbutylazine exposed | Terbutylazine exposed  | -                          |
|  | 20 | Terbutylazine exposed | Terbutylazine exposed  | -                          |
|  | 21 | Terbutylazine exposed | Terbutylazine exposed  | -                          |
|  | 22 | Unexposed             | Terbutylazine exposed* | False positive<br>– Type I |
|  | 23 | Unexposed             | Unexposed              | -                          |
|  | 24 | Unexposed             | Unexposed              | -                          |

547 \*Misclassified data. <sup>a</sup> 91.7 % of cases correctly classified; sensitivity of 95.45 %, specificity of 75

548 %. <sup>b</sup> 87.5 % of cases correctly classified; sensitivity of 91.3 %, specificity of 75 %.

549

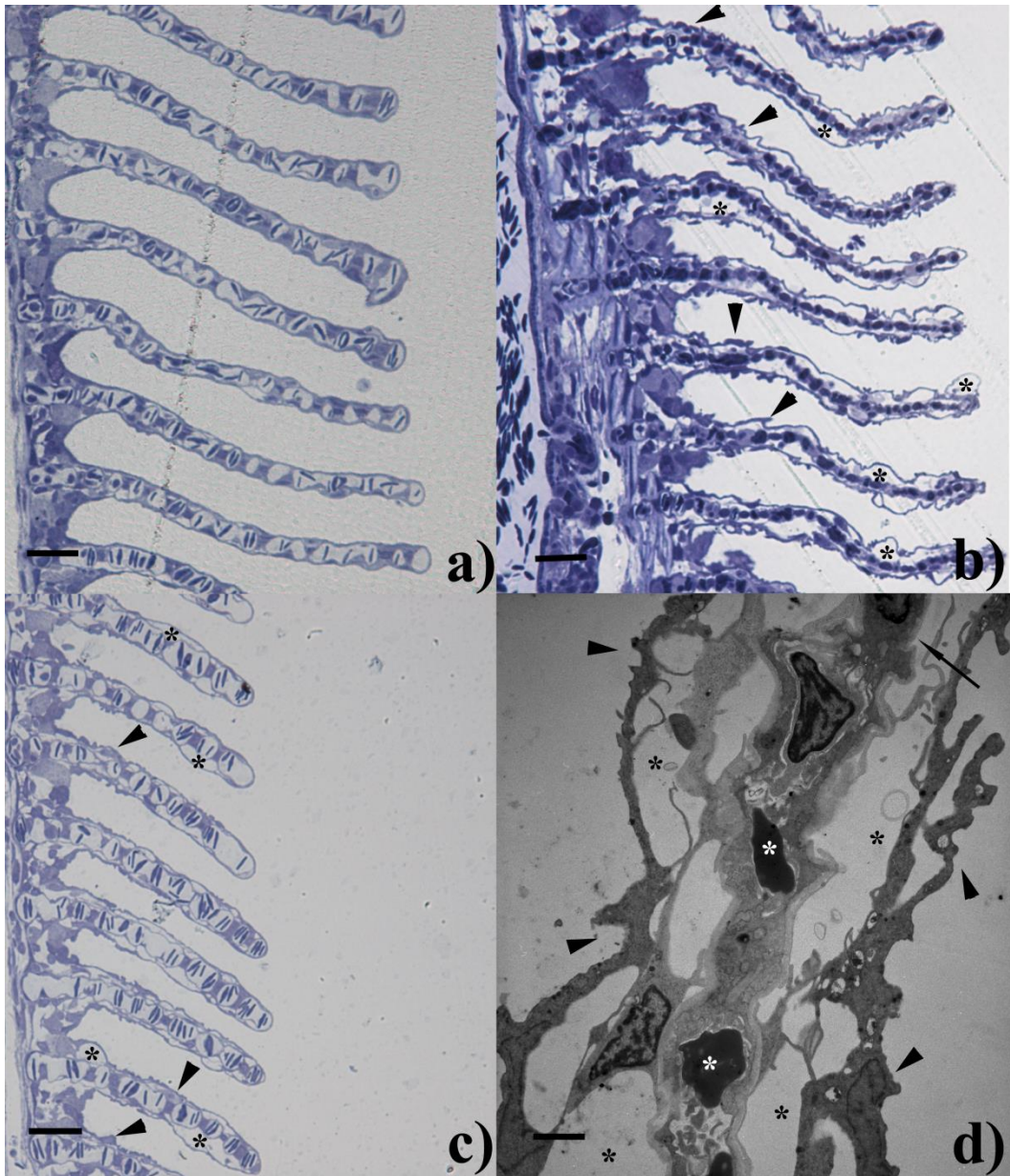
550 **Table 2. ANOVA contrast table related to Figure 4.**

|                             | Contras<br>t | Unexpose<br>d | Cd<br>exposed | Terbutylazine<br>exposed | Significance <sup>1</sup>       |
|-----------------------------|--------------|---------------|---------------|--------------------------|---------------------------------|
| Epithelial shrinkage        | 1            | 2             | -1            | -1                       | <i>p &lt; 0.01</i>              |
|                             | 2            | 0             | 1             | -1                       | <i>p &gt; 0.05</i> <sup>2</sup> |
|                             | 3            | 1             | -1            | 0                        | <i>p &lt; 0.01</i>              |
|                             | 4            | 1             | 0             | -1                       | <i>p &lt; 0.01</i>              |
| Epithelial swelling         | 1            | 2             | -1            | -1                       | <i>p &lt; 0.05</i>              |
|                             | 2            | 0             | 1             | -1                       | <i>p &gt; 0.05</i>              |
|                             | 3            | 1             | -1            | 0                        | <i>p &gt; 0.05</i>              |
|                             | 4            | 1             | 0             | -1                       | <i>p &lt; 0.05</i>              |
| Pillar cells<br>coarctation | 1            | 2             | -1            | -1                       | <i>p &gt; 0.05</i>              |
|                             | 2            | 0             | 1             | -1                       | <i>p &lt; 0.01</i>              |
|                             | 3            | 1             | -1            | 0                        | <i>p &gt; 0.05</i>              |
|                             | 4            | 1             | 0             | -1                       | <i>p &gt; 0.05</i>              |

551 <sup>1</sup>Significant contrasts are reported in italic. <sup>2</sup>p= 0.056.

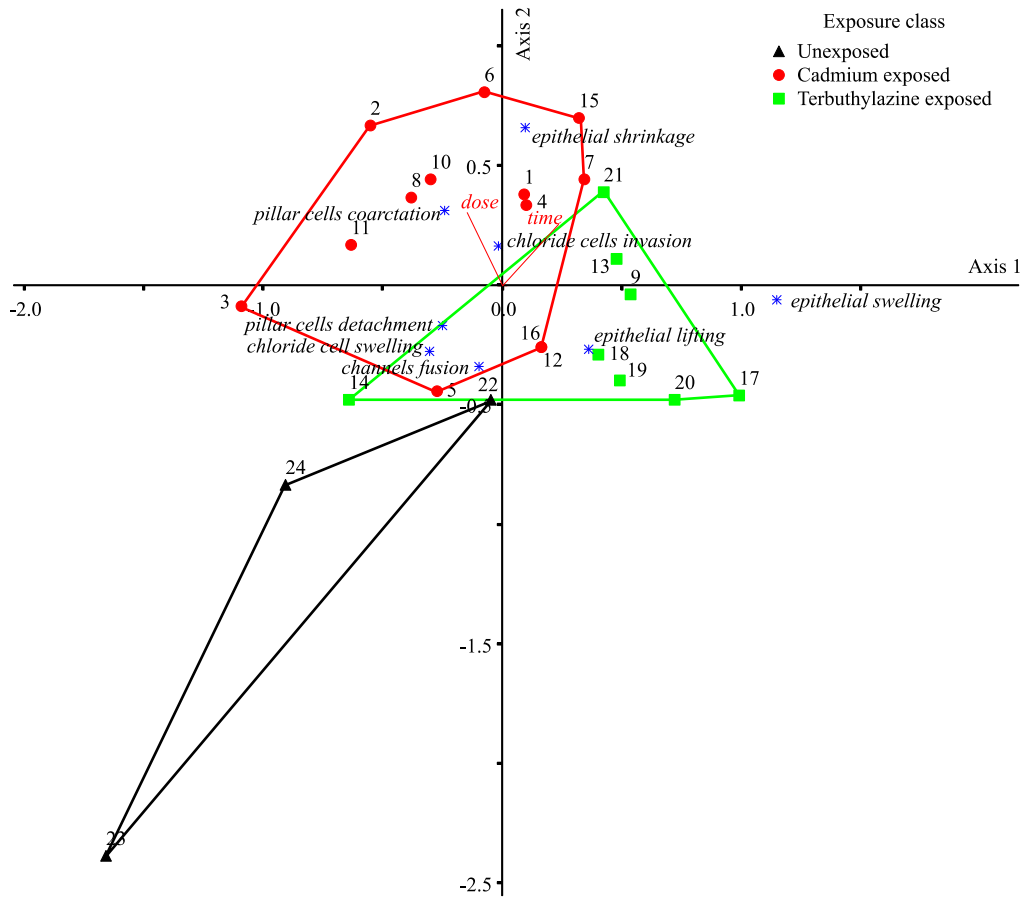
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554

555 **Figure 1.** Sections of European sea bass secondary gill lamellae. (a). Normal lamellar architecture  
 556 is appreciable in the unexposed lamellae. Scale bar = 20 μm. (b). Epithelial lifting (black  
 557 asterisks), shrinkage/curling of epithelial cells (arrow heads) are appreciable in cadmium  
 558 exposed lamellae. Scale bar = 20 μm. (c). Epithelial lifting (black asterisks), epithelial swelling  
 559 (arrow heads) are visible in terbuthylazine exposed lamellae. Scale bar = 20 μm. (d)  
 560 Ultrastructural appearance of a secondary gill lamella exposed to cadmium. Epithelial lifting  
 561 (black asterisks) and shrinkage/curling of epithelial cells (arrow heads) are shown. Pillar cells  
 562 coarctation/contraction is also appreciable (thin arrow). Erythrocytes in blood sinuses are visible  
 563 (white asterisks). Scale bar = 2.33 μm.

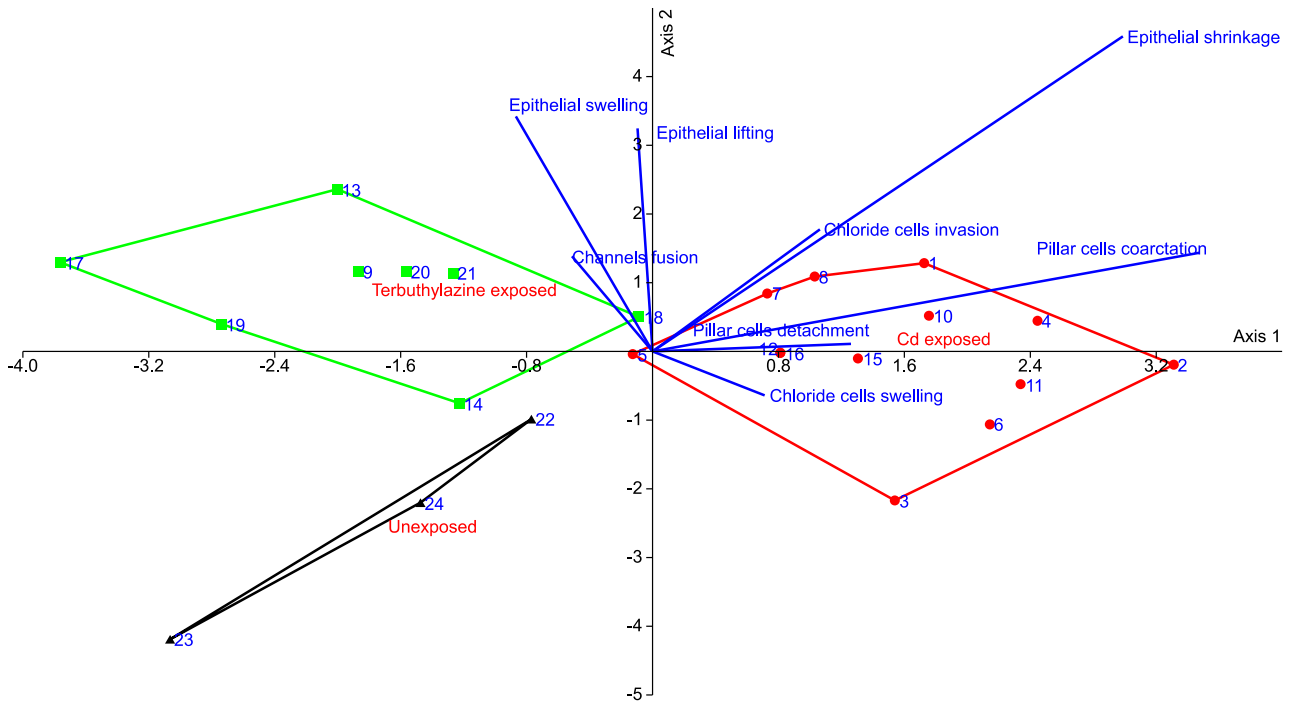


564

565 **Figure 2.** Canonical Corresponding Analysis ordination tri-plot of expert analysis data and  
 566 exposure parameters. Centroids of the elementary pathological findings are reported as asterisks  
 567 and second matrix variables (exposure time and dose) as vectors.

568

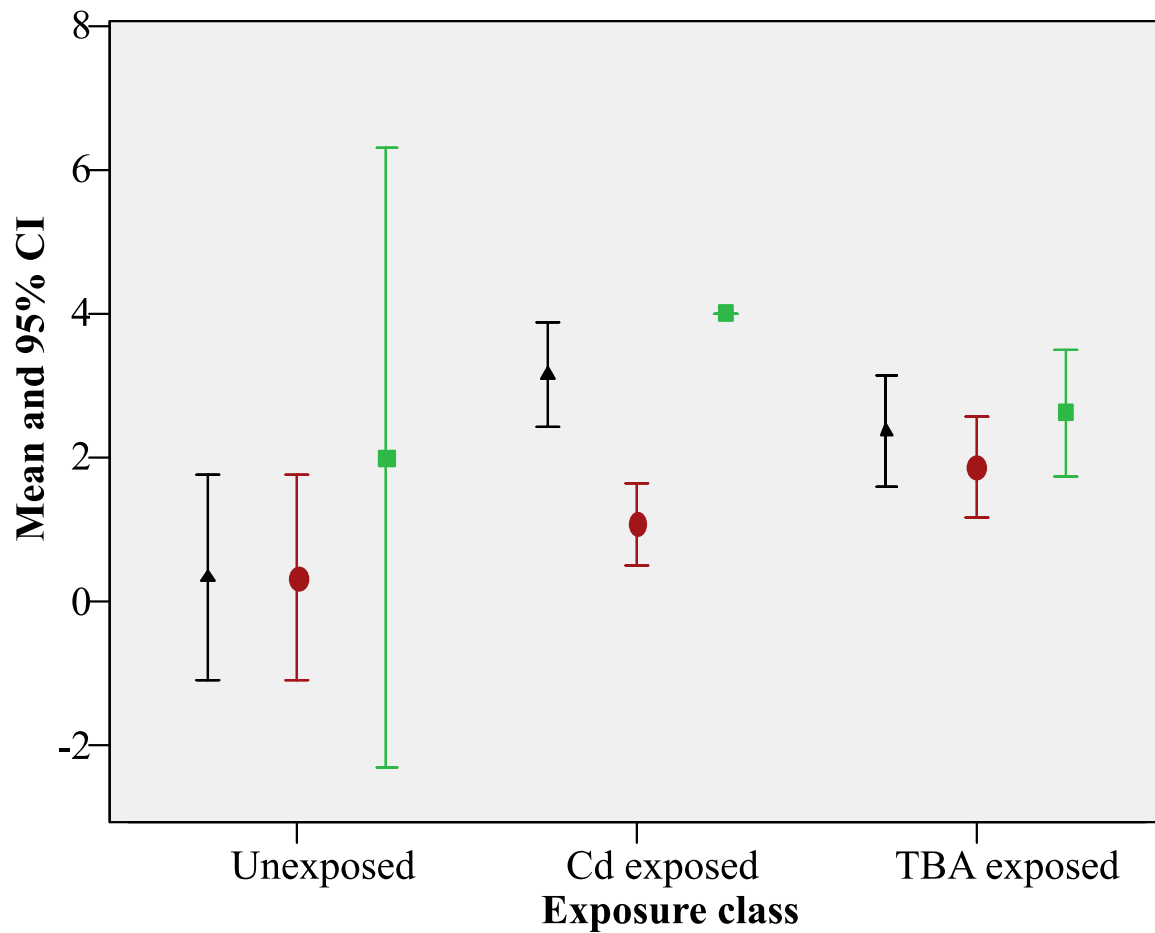
569



570

571 **Figure 3.** Canonical discriminant function plot. Discriminant variables are reported as vectors. The  
 572 length of the vector is proportional to the discriminant power of the ordination variables and their  
 573 orthogonal projection with respect to the ordination axis is related to the respective contribution  
 574 to ordination. Convex hull polygons are clearly separated according to exposure group.

575



576

577 **Figure 4.** Mean and the 95% confidence interval values of the selected discriminant elementary  
 578 pathological findings (▲: epithelial shrinkage, ●: epithelial swelling; ■: pillar cells coarctation),  
 579 according to exposure class. Table 2 is the related ANOVA contrast table.

580

581