1	Numerical investigation of liquid and supercritical CO ₂ flow behaviors through
2	3D self-affine rough fractures
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13	

14 Abstract

15 In recent years, CO₂ has been utilized to be injected into natural and induced fracture reservoirs 16 with the purpose of enhanced natural energy resources recovery. In this study, the influence of liquid and supercritical CO₂ properties under different pressure and temperature conditions 17 on flow behaviors through a 3D self-affine fracture with rough surfaces is investigated with the 18 application of Lattice Boltzmann method (LBM). CO₂ has properties highly dependent on 19 pressure and temperature and this study focuses on the liquid and supercritical CO₂ properties 20 because it is very common for CO₂ to maintain liquid and supercritical states in deep reservoirs. 21 LBM was used to simulate liquid and supercritical CO₂ flow through a single fracture with 22 rough surfaces. In addition to CO₂ properties, the effects of pressure differences between the 23 24 injecting and discharging surfaces of the fracture were also considered. The density and dynamic viscosity of CO₂ display similar trends in responses to changes in pressure and 25 temperature. Simulation results show that the average velocity of CO₂ flow changes 26 considerably with temperatures and pressures. The streamlines distributions revealed the 27 28 changes of tortuosity under different temperature and pressure conditions, which follows a similar trend to that of the average velocity. A detailed analysis of the effects of the temperature, 29 pressure and upscaling velocity on tortuosity was conducted based on the relevant curves and 30 streamlines distributions. It was found that the values of tortuosity have a close relationship 31 with the kinematic viscosity, which depends on temperature and pressure conditions. 32

33 Keywords

34 CO₂ properties; rough fracture surfaces; tortuosity; streamlines

35 Introduction

The technologies for carbon capture, utilization and storage (CCUS) have been developed and implemented to reduce CO_2 emissions in the last decades [1-3]. There are several CO_2 utilization methods that have been applied in energy areas with taking CO_2 storage in the

- 39 reservoirs into consideration, including CO_2 flooding, liquid CO_2 fracturing, enhanced
- 40 geothermal systems (EGS) and methane displacement from gas hydrates [4-10]. In addition, 41 the supercritical temperature and pressure for CO_2 is 31.04 °C and 7.38 MPa, which means it
- 42 is easy for CO_2 to keep its liquid and supercritical states under reservoir conditions (oil, gas

- 43 and geothermal) [11-13]. Therefore, the understanding of liquid and supercritical CO_2 through 44 a fracture has a great significance for modelling CO_2 flow efficiently and accurately in natural
- 45 and induced fractured reservoirs.
- In recent years, many studies have mainly focused on investigating the fracture propagation 46 47 process and flow in the fracture networks of liquid and supercritical CO₂ as fracturing liquids through field testing, laboratory experiments and simulations [14-19]. The leak off properties 48 of liquid CO₂ fracturing are presented based on field and laboratory measurements [20]. The 49 growth behaviours of fractures induced by supercritical CO₂ in tight sandstones were explored 50 through a series of experiments under triaxial stress conditions [21]. The effects of water and 51 supercritical CO₂ on fracture propagation behaviours were compared, indicating that 52 supercritical CO₂ creates shorter fractures in comparison with water under similar injection 53 conditions [22]. And CO₂ has been used to improve geophysical identification and 54 characterization of fractures and faults in push-pull well tests at enhanced geothermal system 55 sites [23]. In addition, with taking CO_2 properties into consideration, a phase state control 56 model was developed to simulate supercritical CO₂ fracturing under different temperatures [24]. 57 As for mathematical model of the fluid flow through a fracture, the Parallel Plate theory for the 58 59 characterization of fractures has been the most popular method due to its convenience for quantitative analysis [25-28]. However, the complex roughness of natural fracture surfaces 60 under reservoir conditions is ignored. In order to gain a better characterization of fluid flow 61 into a fracture, it is of critical importance to investigate the effects of rough surfaces of the 62 fracture. Though the fracture roughness is very complex, some experimental methods, such as 63 X-ray computed tomography, have been proposed to characterize fracture roughness efficiently 64 [29-31]. Different experiments of water flow through a single fracture have been designed to 65 examine the effects of fracture surface roughness, apertures and Reynolds number [32-38]. The 66 experimental investigations of water flow paths through natural rough fractures with the 67 application of tracer have been presented [39]. Combined with the experiments under confining 68 69 pressure, the aperture distributions and fluid flow through a single rough facture are characterized [40]. In addition to the experiments, mathematical methods and theories have 70 been developed to the modelling of fluid flow through a fracture more accurately. A more 71 accurate solution corresponding to the Navier-Stokes equations was introduced to describe 72 fluid flow between slightly rough surfaces of real fractures [41]. The classical Local Cubic Law 73 with considering the fact that various values of fracture apertures are distributed in spatial 74 locations was proposed [42]. The use of various simplifications and applied ranges of Reynolds 75 Lubrication equation for fluid flow into a fracture were discussed and evaluated [43, 44]. A 76 model that corporates surface geometry of natural fractures has been upgraded with the purpose 77 78 of channelling flow evaluation [45]. And a modified Local Cubic Law that a low range of local Reynolds Numbers can be applied was developed, which also integrates fracture surface 79 roughness and local tortuosity [46]. 80 81 The Lattice Boltzmann method has been applied for mathematical model and simulation of fluid flow through a fracture with rough surfaces in the 21st century [47, 48]. It is shown that 82 fracture anisotropy has a greater effect on the fracture permeability compared with the mean 83
- aperture and fractal dimension of the fracture by analysing the flow behaviours through a
 fracture with rough surfaces on the basis of Lattice Boltzmann simulations [49]. The LBM was
- 86 also used to investigate the influence of wettability for different fluids on corresponding

- 87 interfacial areas in a rough fracture with self-affinity [50]. In addition, influences of main and
- secondary roughness for fracture surfaces on nonlinear behaviours of water flow in 3D rough
- 89 fractures with the characteristic of self-affinity were analysed with the application of the LBM
- 90 [51]. Another study shows that with the increase of fracture roughness, the eddy volumes
- become larger and the effective hydraulic conductivities decreases in rough fractures [52]. An
 experiment has been designed for the investigation of water flow through fractures with rough
- 93 surfaces that are generated by 3D printing technology and then the experimental results are
- 94 compared with simulation results from LBM [53].
- 95 In recent years, investigations of liquid and supercritical CO₂ through a single rough fracture
- are very limited, but several studies on heat transfer of water flow through rough fractures [54-
- 57]. The influences of supercritical CO_2 flow on the heat transfer and spatial distributions on the rough fracture surface was studied with the finite volume method [19]. In this paper, the
- 99 effects of relevant factors, including liquid and supercritical CO₂ properties, fracture surface
- roughness etc, on flow behaviors are presented and analysed when liquid and supercritical CO₂
- 101 flow into a rough fracture.

102 Self-affine rough fracture surfaces

In order to reflect the rough surfaces of natural fractures accurately, the fractal theory has been applied to create the rough fracture surfaces with the characteristic of self-affinity artificially [58-60]. The self-affinity is a characteristic of a fractal whose pieces can be scaled by different amounts along X and Y directions, meaning that the self-similarity of these fractal objects can be observed [61, 62]. And an anisotropic affine transformation should be used to rescale and test the self-affinity [61].

109 The variance of the surface height is defined as follows [63]:

110
$$\sigma^{2}(r) = \left\langle \left[Z(x + rh_{x}, y + rh_{y}) - Z(x, y) \right]^{2} \right\rangle$$
(1)

111 where σ^2 represents the variance, r is a constant and Z is the surface height, h is the 112 increment of surface height along X and Y directions.

113 When Hurst exponent is used for fracture generation, its range is usually between 0 and 1. It 114 should be noticed that the values of Hurst exponent have been found to locate in the range of 115 0.45 and 0.85 in most cases [59, 64]. In addition, it has been mentioned that the fracture 116 roughness follows a self-affine distribution that is produced by the fractal dimension. Here the 117 fractal dimension D_f has the following relationship with the Hurst exponent [59]:

118
$$D_f = 3 - H$$
 (2)

- 119 Another important parameter, power spectral density ratio, is also used for the generation of 120 rough fracture surfaces, which considers the variation between the top and bottom fracture 121 surfaces [65, 66].
- 122 On the basis of the proposed theories, the self-affine fracture with rough surfaces have been 123 generated by using the 64×64 data sets from the software SynFrac [66]. And Matlab R2017a 124 has been used to deal with the data sets from SynFrac. The examples of self-affine fracture 125 surfaces corresponding to different values of Hurst exponents with remaining other variables 126 that affect fracture rough surfaces constant are shown in Fig. 1. The length and width of fracture
- 127 models are both 30 mm and there are grids distribution on the X-Y plane in order to reflect

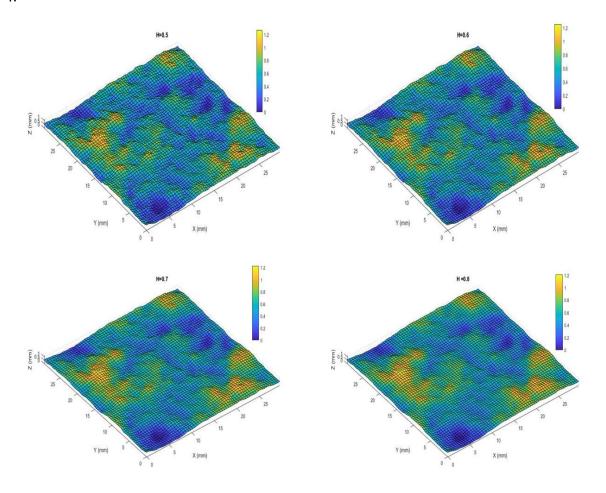
64×64 data sets of heights that varies due to self-affine fracture roughness. As is shown in Fig.1
the heights follows a self-affine fractal distribution and the heights of several grids increase
with Hurst exponent increasing.

131 In this study, a schematic of apertures that is with $\sigma = 0.2$ mm for the generated fracture

132 surfaces with H = 0.6 shown in Fig. 2 will be used for further simulations. In Fig. 2, deeper

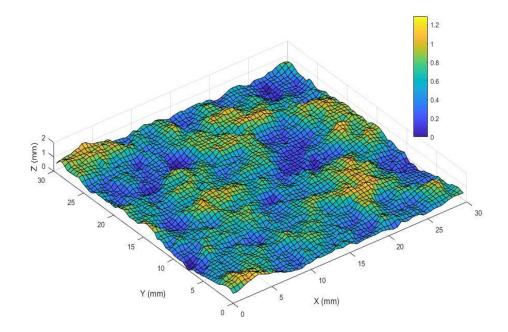
blue colors reflect the smaller apertures, up to zero, and larger values of apertures are

- represented by brighter yellow colors, which will be combined with streamlines distributions for analysis. The corresponding top and bottom surfaces are shown in Fig. 3. The statistical
- histogram of apertures of a self-affine fracture with $\sigma = 0.2$ mm and H = 0.6 is shown in Fig.
- 137 4.

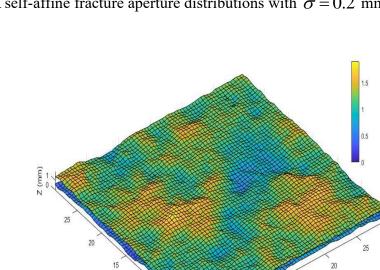


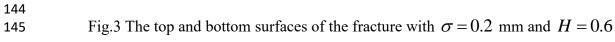


139 Fig. 1 Four self-affine fracture bottom surfaces corresponding to different Hurst exponents 140 with $\sigma = 0.2$ mm



141 142 Fig. 2 A self-affine fracture aperture distributions with $\sigma = 0.2$ mm and H = 0.6





X (mm)

10

Y (mm)

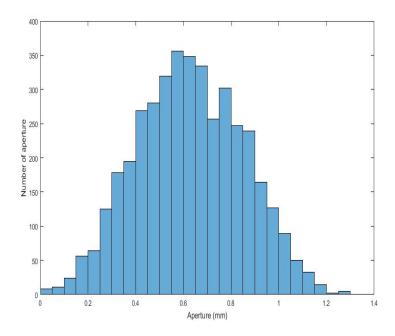




Fig.4 Statistical histogram of the apertures in a self-affine rough fracture with $\sigma = 0.2$ mm and H = 0.6

150 Lattice Boltzmann Method (LBM)

The LBM is a highly efficient method that simulates single and multiphase flow systems under the conditions of complex geometries, which has been applied in different areas, such as fluids flow though porous media and fractures, thermal fluids flow etc. [67-71]. In this paper, a D3Q19 model was used to simulate liquid and supercritical CO₂ through a single fracture model [72]. There are nineteen discrete velocities distributed in a cubic space shown in Fig. 5.

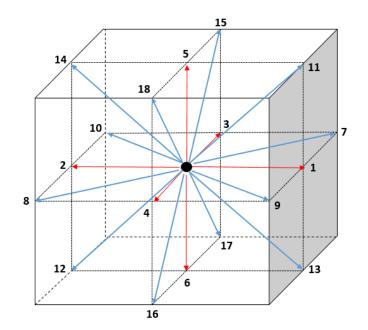


Fig. 5 D3Q19 model: velocity vectors in a cell

$$\vec{e}_i = \begin{cases} 0, & i = 0, \\ (\pm 1, 0, 0), (0, \pm 1, 0), (0, 0, \pm 1), & i = 1 - 6, \\ (\pm 1, \pm 1, 0), (\pm 1, 0, \pm 1), (0, \pm 1, \pm 1) & i = 7 - 18. \end{cases}$$

160 The distribution function satisfying the evolution rule based on the Chapman-Enskog 161 expansion of the Boltzmann equation is shown as follows [73]:

179

$$f_i(\vec{x} + \vec{e}_i \delta_t, t + \delta_t) = f_i(\vec{x}, t) + \Omega_{col}$$
(4)

(3)

where $f_i(\vec{x},t)$ is the fluid particle distribution function with velocity \vec{e}_i (the mesoscopic velocity in the *i*-th direction) at position \vec{x} and time t, δ_t is the length of time step and Ω_{col} is the collision operator representing the relaxation process due to the collision of the fluid particles.

167 The Bhatnagar-Gross-Krook model for the collision operator is applied here [68]:

168
$$\Omega_{col} = \frac{\delta_{i}}{\tau} \left(f_{i}^{eq} - f_{i} \right)$$
 (5)

169 where τ is the relaxation time and f_i^{eq} is the equilibrium distribution.

170 And the relaxation time τ is the parameter that governs the rate at which the fluid tends 171 towards equilibrium with the following expression [67]:

172
$$\tau = \frac{3\nu\delta_t}{\delta_x^2} + 0.5 \tag{6}$$

173 where v is the kinematic viscosity of fluid.

174 The f_i^{eq} is expressed as follows:

175
$$f_i^{eq} = \omega_i \rho \left(1 + 3 \frac{\vec{e}_i \cdot \vec{u}}{C^2} + \frac{9(\vec{e}_i \cdot \vec{u})^2}{C^4} - \frac{3u^2}{2C^2} \right)$$
(7)

176 with $C = \delta_x / \delta_t$ defined as a characteristic lattice velocity in a cell size. The density ρ and the 177 velocity \vec{u} at a cell position \vec{x} can be calculated respectively as:

178
$$\rho(\vec{x}) = \sum_{i=0}^{18} f_i(\vec{x})$$
(8)

$$\vec{u}(\vec{x}) = \frac{\sum_{i=0}^{18} f_i(\vec{x})\vec{e}_i}{\rho(\vec{x})}$$
(9)

180 Similar to the D3Q15 model, the weight factors in the D3Q19 model are:

181
$$\omega_i = \begin{cases} 1/3, & i = 0, \\ 1/18, & i = 1 - 6, \\ 1/36, & i = 7 - 18. \end{cases}$$
(10)

182 The relationship between pressure and density in LBM is defined as [67]:

183
$$P = \frac{1}{3}C^2\rho$$
 (11)

184 Numerical Modelling

To evaluate the influence of liquid and supercritical CO₂ properties on flow behaviors through
 a self-affine rough fracture, Equation of State is an efficient method to calculate relevant

158

- 187 properties, such as density and viscosity, under different temperatures and pressures. The 188 calculations of liquid and supercritical CO₂ properties have been realized by a commercial
- software (WinProp, CMG) on the basis of Peng-Robinson Equation of State. It should be
- noticed that the supercritical temperature and pressure for CO_2 is 31.04 °C and 7.38 MPa. Fig.
- 6 and 7 show the changes in density and dynamic viscosity of CO₂ with different pressures and
 temperatures. It can be seen that there are four regions in both Fig. 6 and 7: gas, liquid, two-
- 193 phase and supercritical regions. The chosen temperature and pressure ranges should satisfy the
- existence of liquid and supercritical CO_2 . In this study, the temperature range corresponding to
- 195 CO_2 is between 20 and 100°C and the pressure is from 10 to 60 MPa. With the gravity effect 196 being also neglected. In addition, flow behaviours of CO_2 under certain temperature and 197 pressure has been investigated with a series of pressure gradients between the injecting and 198 discharging surfaces.
- 199 In order to gain a more realistic simulation of liquid and supercritical CO_2 flow through self-
- 200 affine rough fractures, the numerical fracture model should reflect the fracture geometries
- accurately. The fracture model shown in Fig. 3 will be used for further numerical simulations.
- Its length and width equal to 30 mm and its height is no more than 2 mm with the solid boundary
- sealed on top and bottom surfaces. The fracture parameters including $\sigma = 0.2$ mm, H = 0.6 are kept constant. Because the fracture model is built based on the 64×64 data sets, the 30 mm×30
- mm X-Y plane can be divided into 256×256 grids. This means a resolution of 0.1171875 mm in X, Y and Z directions are used for the fracture model, which takes both fracture surface characterization and computational efficiency into consideration.
- Fig. 8 shows the injecting and discharging surfaces of the fracture model in the Lattice 208 Boltzmann domain. As is shown in Fig. 8, the red color represents the solid rock and the blue 209 color illustrates fracture space between the top and bottom fracture surfaces. The lateral sides 210 of fracture model are set as periodic boundaries and the fracture model is assumed to be non-211 deformable during the flowing process. Here periodic boundary condition is adopted to have a 212 better schematic of the fracture model. The simulation results calculated by the periodic and 213 solid boundary condition are compared for the validation of calculating accuracy. When the 214 pressure difference between the injecting and discharging surfaces equals to 0.01 Pa, the 215
- average velocities for the solid boundary under the pressure condition 40 MPa and temperature condition 20°C are 4.7227×10^{-6} m/s and the average velocity of the periodic boundary equals
- condition 20°C are 4.7227×10^{-6} m/s and the average velocity of the periodic boundary equals to 4.97×10^{-6} m/s at the same conditions, with a relative difference of 4.97 %. The simulation
- results of the solid boundary are a little smaller than those of the periodic boundary because
- the initial velocities on the solid boundary equals to zero. In addition, a smaller resolution of
 0.05859375 mm in X, Y and Z directions has been used to check the mesh independence. With
- the same conditions, the average velocities for a smaller resolution is 5.189×10^{-6} m/s. The comparisons show that the periodic boundary and resolution settings meet the simulation requirements for the research goal in this study.
- There are four different pressure differences between the injecting and discharging surfaces: 10, 1, 0.1 and 0.01 Pa that are used for the following simulations under different pressure and temperature conditions in this study. The changes of CO₂ density caused by such mall pressure
- differences can be negligible directly, which means CO_2 densities under different pressure and temperature conditions can be assumed to be constant. In addition, it should be noticed that

there are no phase transitions between liquid and supercritical CO_2 due to the same reasons. The heat transfer and spatial variations are also neglected with the temperature assumed to be constant because small scale of the fracture model and the pressure differences between the injecting and discharging surfaces are pretty small. As for transformation between real physical and lattice Boltzmann units, the following equations can be used with considering the fact that there are single liquid or supercritical CO_2 flow in the simulations [74]:

$$\operatorname{Re} = \frac{u_{real}L_{real}}{\upsilon_{real}} = \frac{u_{LBM}L_{LBM}}{\upsilon_{LBM}}$$
(12)

237 where Re is the Reynolds number, *L* is the characteristic length.

236

Before the beginning of the simulation, there is no velocity distribution in the fracture. In the 238 239 simulation, the CO₂ flow will reach a steady state after some time and the velocities at steady state will be used for further calculation and analysis. For example, Fig. 9 shows the velocity 240 distributions for the time $t_a = 40000$ and 50000 ts being the same in Lattice Boltzmann domain, 241 which means the flow has reached the steady state. It can be seen that Fig. 8 and 9 strictly 242 follow the fracture aperture distributions in Fig. 2. In Fig. 8, there is an area of fracture aperture 243 that is pretty narrow on the injecting surface, which corresponds to fracture aperture 244 distribution of the deepest blue color on the injecting surface in Fig. 2. In addition, there is a 245 large blank area on velocity distributions in Fig. 9, which is located at about 14-20 mm in X 246 direction and 16-22 mm in Y direction. In Fig. 2, this area on the X-Y plane has deep blue 247 colors that means the apertures are very small and the flow prefers other flow paths with larger 248 249 apertures. Three points a, b and c locating at 20.15625, 20.625 and 21.09375 mm at Y direction are used to generate the corresponding aperture and velocity distributions along X direction, 250 which is shown in Fig. 10. The Location a, b and c all belongs to the range of the blank area 251 mentioned above. In Fig. 10, it can be seen that the apertures from 14 to 16 mm along X 252 253 direction are much smaller and the velocities equal to zero, which reflects the existence of the 254 blank area in Fig. 9.

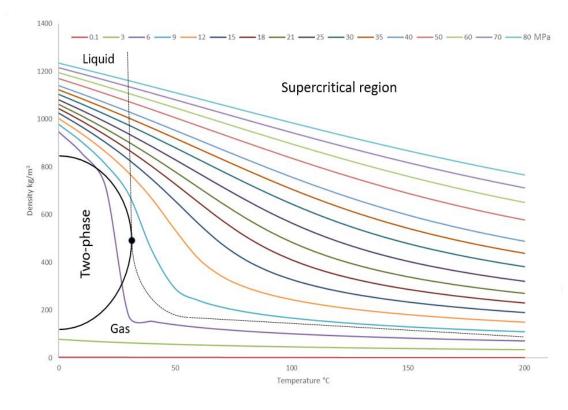




Fig. 6 CO₂ density corresponding to temperature and pressure

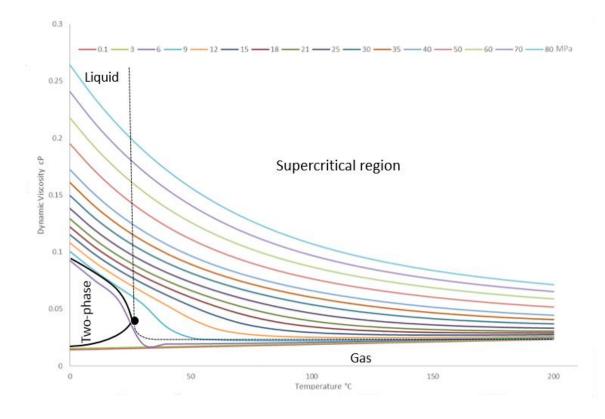


Fig. 7 CO₂ dynamic viscosity corresponding to temperature and pressure

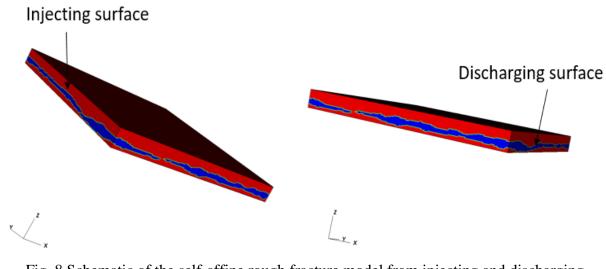
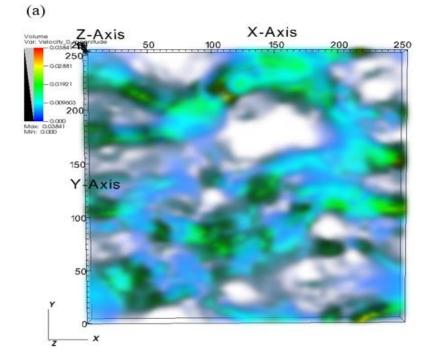


Fig. 8 Schematic of the self-affine rough fracture model from injecting and discharging
 surfaces



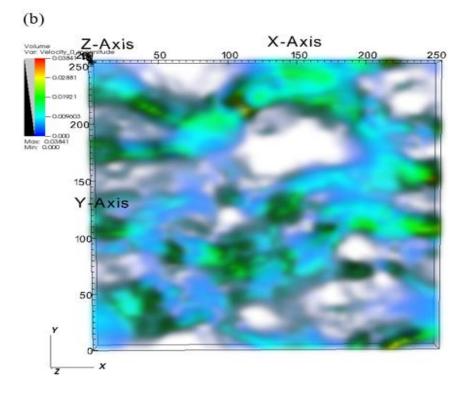
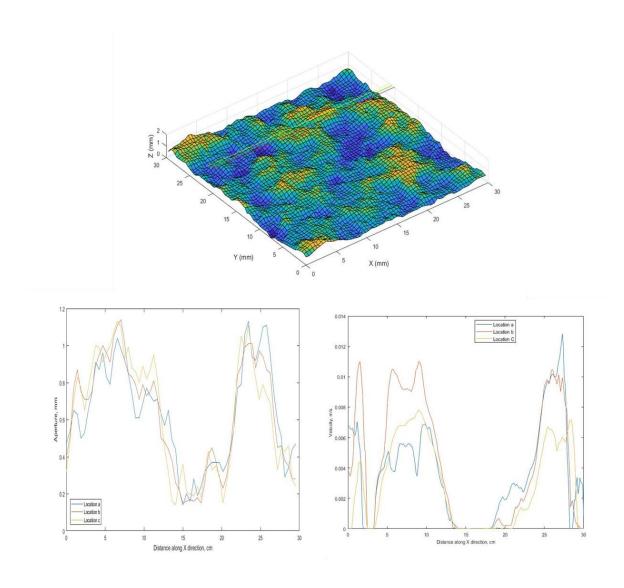


Fig. 9 Velocity distributions and magnitude for (a) $t_a = 40000ts$ and (b) $t_a = 50000ts$



268

Fig. 10 Aperture and velocity distributions along X direction for Transects a, b and c

269 **Results Analysis**

In Fig. 10, it is shown that the average velocity and tortuosity correspond to different pressure conditions at the temperature of 20, 60 and 100 °C with the pressure difference between the injecting and discharging surfaces (Δp) being 10 Pa. The tortuosity can be calculated based on the following equation [75, 76]:

274
$$Tortuosity = \frac{\sum |V(x, y, z)|}{\sum |V_x(x, y, z)|}$$
(13)

where $|V_x(x, y, z)|$ is the magnitude of velocity in X direction that is the main flow direction and |V(x, y, z)| is the magnitude of velocity vector at a certain location with the coordinates of (x, y, z):

278 $|V(x, y, z)| = \sqrt{V_x(x, y, z)^2 + V_y(x, y, z)^2 + V_z(x, y, z)^2}$ (14)

The values of velocity can be gained directly from LBM simulations and then transformed intothe real physical units. It can be seen that, with corresponding to the kinematic viscosities, the

- average velocity for the temperature conditions T=20 and $60^{\circ}C$ both have gradually decreasing
- trends with the increase of pressure conditions and the average velocity for $T=100^{\circ}C$ increases
- initially and then decreases in Fig. 11. The kinematic viscosity refers to the ratio of dynamic viscosity to density. For three temperature conditions, the values of the average velocity are
- around 0.004 and 0.005 m/s. In addition, the average velocity values of $T=60^{\circ}C$ are always
- larger than those of $T=20^{\circ}$ C. However, the values for $T=100^{\circ}$ C show a sudden hump with the
- changes of temperatures. The tortuosity has the same trend to the average velocity for each
- temperature condition. The values of tortuosity locate in the range of 1.104 to 1.108. Fig. 12
- shows the average velocity and tortuosity under the same pressure and temperature conditions
- with $\Delta p = 0.01$ Pa. With the same changing trends, the values of the average velocity are much smaller and the values of tortuosity for three temperature conditions become a little larger compared with the results in Fig. 11. Fig. 11 and 12 show that the average velocity and tortuosity of liquid and supercritical CO₂ for different pressure conditions change with
- changing temperature.
- Fig. 13 is an example of streamlines for two pressure conditions P=10 and 60 MPa with the 295 temperature condition T=20 and 100°C. Under these conditions, the CO₂ are at liquid and 296 supercritical state respectively. As it is known, tortuosity is the ratio of the length of a 297 streamline—a flow line or path—between two points to the straight-line distance between those 298 points. It should be noticed that velocity distributions in Fig. 9 and streamlines in Fig. 13 both 299 300 reflect the preferential flow paths of liquid and supercritical CO₂ flow through fracture rough 301 surfaces, which also represent CO₂ concentration on fracture rough surfaces because it can be seen that there is no liquid and supercritical CO₂ flow on some areas on the fracture rough 302 surface based on simulation results. In Fig. 13, small differences of streamlines that reflect the 303 304 tortuosity between two cases are caused by the changes of pressure conditions. And it can be found that the time for streamlines shaping varies when the pressure condition equals to 10 and 305 60 MPa from time legends next to the streamline distributions. The area that is surrounded by 306 red borders showing that the streamlines for P=60 MPa in this area become more tortuous than 307 those for P=10 MPa when the temperature equals to 20. As for T=100°C, the comparison of 308 streamlines do not show obvious differences. In addition to direct observations from the 309 streamlines distributions, the tortuosity values of the area surrounded by red borders are 310 calculated and compared with the tortuosity values of the whole fracture. As for the tortuosity 311 312 calculations in the surrounded area, the grids from 60 to 90 along Y direction and from 175 to 225 along X direction are chosen. In this area, the values of tortuosity for the temperature 20 313 and 100°C under the pressure condition 10 MPa are 1.1754 and 1.1742 respectively and those 314 under the pressure condition 60 MPa are 1.1785 and 1.1755. And the differences of the 315 tortuosity values for the whole area of the fracture surfaces between 10 MPa and 60 MPa for 316 the temperature 20 and 100°C are 0.0016 and 0.0006. Table. 1 shows a direct compassion for 317 better understanding. So it is obvious that the differences of the tortuosity values between 10 318 MPa and 60 MPa in the surrounded area are much larger than those in the whole fracture, which 319 are reflected on the observed streamlines distributions. Based on the above analysis, the 320 321 tortuosity has a tight relationship with the pressure conditions with considering the average velocities being similar. 322
- Table. 1 Comparisons of tortuosity differences between the surrounded and whole areas at
 different pressure conditions

	Tortuosity of P=10 MPa	Tortuosity of P=60 MPa	Tortuosity differences in the surrounded	Tortuosity differences of the whole area
			area	
T=20°C	1.1754	1.1785	0.0031	0.0016
T=100°C	1.1742	1.1755	0.0013	0.0006

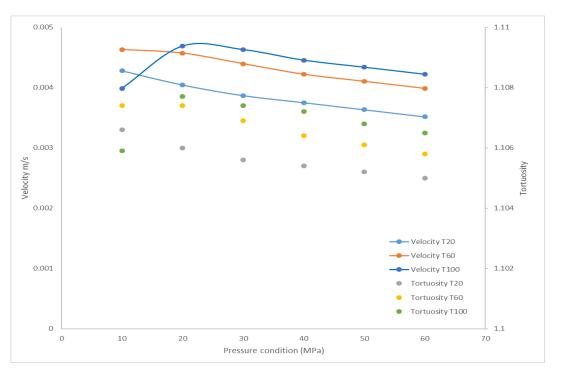
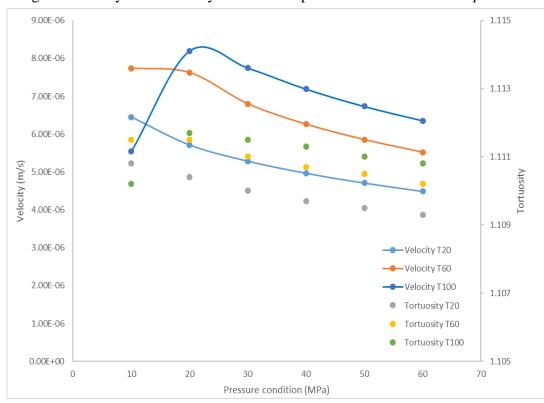
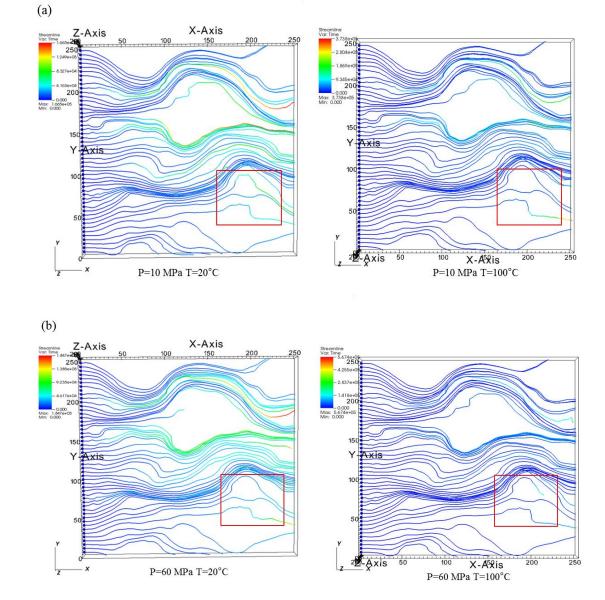




Fig. 11 Velocity and tortuosity for different pressure conditions with $\Delta p = 10$ Pa





330

Fig. 13 Streamlines for P=10 and 60 MPa with T=20 and 100°C with $\Delta p = 10$ Pa.

For Fig. 14 and 15, the temperature range is from 20°C to 100°C and corresponding pressures 333 are set as 10, 40 and 60 MPa. The relationships between the average velocity and temperature 334 in both Fig. 14 and 15 show increasing trends with the increase of temperature for P=40 and 335 60 MPa, which is because the kinematic viscosities of liquid and supercritical CO₂ in this 336 temperature range decreases while the temperature becomes larger. The values of the average 337 velocity equals to about 0.004 m/s with $\Delta p = 10$ Pa and P=40 MPa and the values for P=60 338 MPa is a little smaller than those of P=40 MPa. Similarly, when $\Delta p = 0.01$ Pa, the velocity 339 values of P=40 MPa are larger than those of P=60 MPa. And the average velocity for P=10 340 MPa shows an irregular trend, increasing and then decreasing with the increase of temperature. 341 As for tortuosity, the curves have almost same trends to the average velocity curves. In addition, 342 343 the tortuosity with $\Delta p = 0.01$ Pa is larger compared with tortuosity with $\Delta p = 10$ Pa. Fig. 14 and 15 summarize the liquid and supercritical CO₂ flow for the temperature between 20°C and 344

100°C in responses to $\Delta p = 10$ and 0.01 Pa respectively under the pressure condition 10, 40 345 and 60 MPa. It can be concluded that the tortuosity is also tightly related to the temperature. 346

Fig. 16 gives an illustration of streamlines for T=20 and 100°C with $\Delta p = 0.01$ Pa for two 347 pressure conditions. It can be seen that the time that streamlines flow through rough fracture 348 surfaces are different, which also reflect the effects of different temperatures. When 349 temperature equals to 20°C, the CO₂ stays at liquid state and supercritical CO₂ appears with 350 the temperature being 100°C. As is stated above, the increase of temperature leads to the 351 increase of tortuosity. The increases of tortuosity reflected in Fig. 16 shows that the small 352 proportion of streamlines become more tortuous for P=60 MPa. When pressure equals to 60 353 MPa, the tortuosity has a positive relationship with the temperature. With the pressure 354 condition being 10 MPa, the streamlines for T=20 °C are a little more tortuous than the 355 streamlines for T=100°C because the kinematic viscosity for T=20°C is smaller than that for 356 T=100°C. From the perspective of quantifying the tortuous behavior, the differences of the 357 tortuosity values for the pressure condition 10 and 60 MPa equal to 0.0006 and 0.0013 358 respectively. However, the corresponding differences of tortuosity values are much larger: 359 0.0029 and 0.0041 (The tortuosity values of the temperature 20°C equal to 1.1899 and 1.1832 360 and the tortuosity values of the temperature 100°C are 1.1928 and 1.1791), as is shown in Table. 361 2. 362

364

Table. 1 Comparisons of tortuosity differences between the surrounded and whole areas at 363 different temperature conditions

	Tortuosity of T=20°C	Tortuosity of T=100°C	Tortuosity differences in the surrounded area	Tortuosity differences of the whole area
P=10 MPa	1.1899	1.1928	0.0029	0.0006
P=60 MPa	1.1832	1.1791	0.0041	0.0013

In addition, it can be found that the average velocity and tortuosity curves for the pressure 365 condition P=40 MPa are both located higher than those for P=60 MPa in Fig. 14 and 15. 366 Similarly, the average velocity and tortuosity curves for the temperature $T = 60^{\circ}C$ are higher 367 368 than those for T=20°C. To summarize, the results shown in Fig. 14 and 15 and the results from Fig. 11 and 12 provide mutual validations. 369

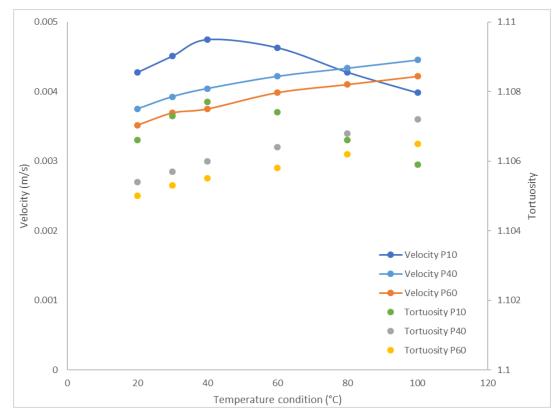




Fig.14 Velocity and tortuosity for different temperature conditions with $\Delta p = 10$ Pa

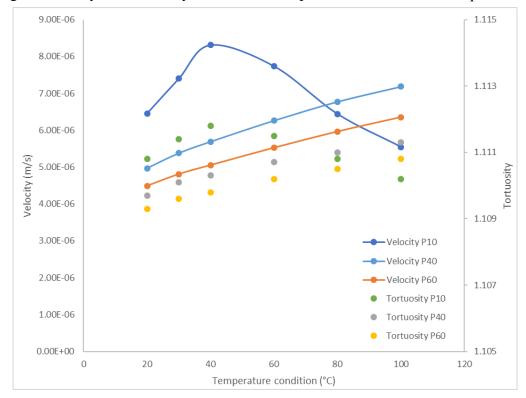
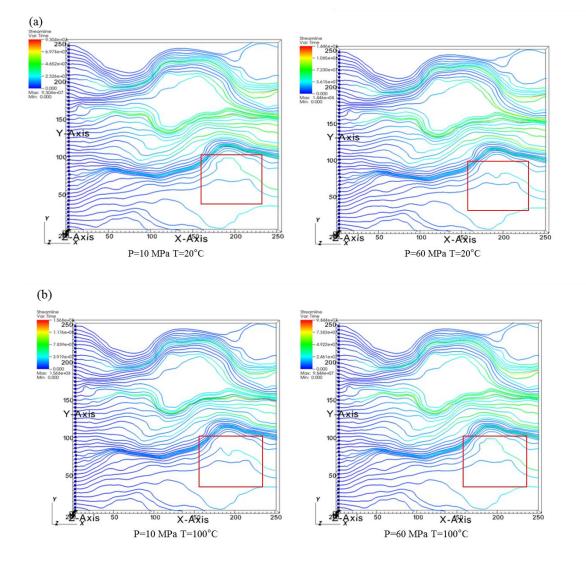




Fig. 15 Velocity and tortuosity for different temperature conditions with $\Delta p = 0.01$ Pa



375

Fig. 16 Streamlines for T=20 and 100°C with P=10 and 60 MPa and $\Delta p = 0.01$ Pa

In addition to the grid resolution validation, the validations of fracture surface roughness 378 (geometry) and scales of the fracture model size are also needed for consideration. A fracture 379 model with its size being 6.4×6.4 mm is used here. Similarly, the X-Y plane is divided into 380 128×128 grids. Fig. 17 shows the average velocity and tortuosity curves changes with the 381 increase of the fractal dimension that is used to generate corresponding fracture surface 382 roughness for different temperatures (20, 60 and 100°C) under the same pressure condition 383 P=20 MPa. The values of the fractal dimension are from 2.15 to 2.45 with the interval being 384 0.05. It can be found that the differences among the values of the average velocity and tortuosity 385 for different temperatures are almost same with corresponding to different fractal dimensions, 386 which validate results shown in above figures. Furthermore, the velocity and tortuosity 387 correlations don't show similar trends with the increasing fractal dimensions, which is different 388 from Fig. 11, 12, 14 and 15. This reflects that the average velocity and tortuosity curves have 389 similar trends due to the CO₂ density determined by the pressure and temperature conditions, 390 not affected by the fracture surface roughness (geometry). 391

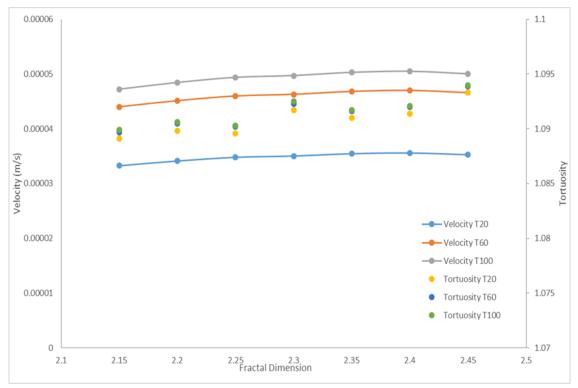
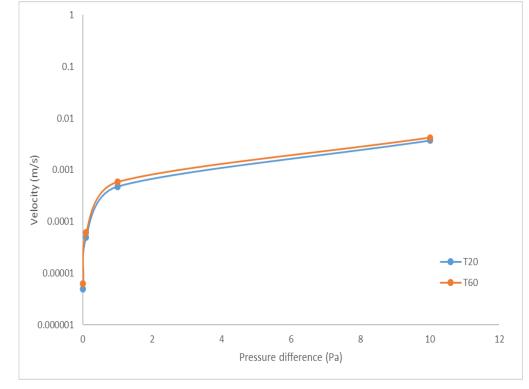


Fig. 17 Velocity and tortuosity for different fractal dimensions with the pressure condition 20
 MPa

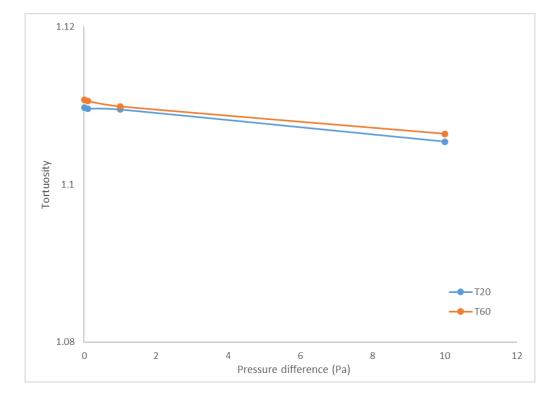
In Fig. 18, two semi-log curves for different values of the pressure difference Δp with the 395 temperature T=20 and 60°C under the condition of P=40 MPa is shown. The values of Δp 396 include: 10, 1, 0.1 and 0.01 Pa. The semi-log curves are adopted in order to have a better 397 identification for the differences of velocities among Δp values. The average velocity values 398 for T=60°C are larger than those for T=20°C because the kinematic viscosity for T=60°C is 399 smaller than that for T=20°C. And the average velocity values increase with the pressure 400 difference becoming larger. Fig. 19 shows that the tortuosity become smaller with the increase 401 402 of the pressure difference. And the values of tortuosity varies around 1.115. And the tortuosity for T=60°C is larger than the tortuosity for T=20°C. This is because the kinematic viscosity for 403 T=60°C is smaller than that for T=20°C when the pressure equals to 40 MPa. 404

Fig. 20 and 21 show the differences of streamlines corresponding to four pressure differences 405 for the temperature T=20 and $60^{\circ}C$ respectively, playing a complementary role in 406 demonstrating the changes of tortuosity in Fig. 18 and 19. In both Fig. 20 and 21, there are 407 differences in streamlines that can be observed to certain extent. The streamlines surrounded 408 by red borders are almost the same in both Fig. 20 and 21, which are reflected in the calculation 409 results of tortuosity differences. The tortuosity differences of the whole fracture between the 410 pressure difference 10 and 0.01 Pa for the temperature 20 and 60 °C both equal to 0.0043, 411 which are similar to the tortuosity differences of the surrounded area (0.0057 and 0.0063). In 412 addition, the streamlines in the area surrounded by the red border are easy to be seen the extent 413 414 of concentrations from 10 to 0.01 Pa. At these cases, with the temperature and pressure conditions remaining constant, various velocities that are determined by Δp result in different 415 streamlines. When the average velocity increases by scales in these cases, the streamlines 416

- 417 become more concentrated. As a result, the tortuosity decreases with the upscale of the average418 velocity.
- 419 Fig. 18, 19, 20 and 21 give detailed illustrations that the tortuosity becomes smaller and
- 420 streamlines become more concentrated due to the upscaling velocity that is caused by different
- sets of the pressure difference with combination of the streamline distributions, which is also
- 422 validated by the above results.

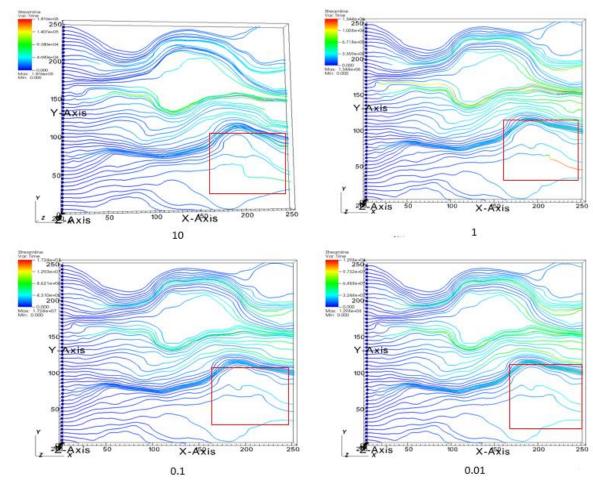


424 Fig. 18 Semi-log relationships between velocity and Δp for different temperature conditions 425 with P=40 MPa



426

427 Fig. 19 The relationship between tortuosity and Δp for different temperature conditions with 428 P=40 MPa



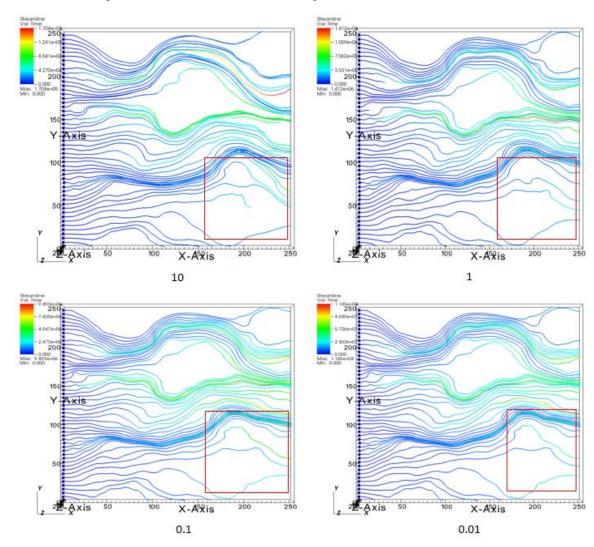




Fig. 21 Streamlines for different Δp with P=40 MPa and T=60°C

433 Conclusions

It is the first time to investigate the effects of liquid and supercritical CO₂ properties on flow 434 behaviors through a single 3D self-affine rough fracture by using the Lattice Boltzmann method. 435 A D3Q19 LBM code has been programmed to generate the numerical fracture model that gives 436 an accurate reflection of fracture surface roughness and to simulate the liquid and supercritical 437 CO₂ flow under various pressure and temperature conditions with certain pressure differences 438 between injecting and discharging surfaces. The different properties of liquid and supercritical 439 CO₂ were calculated by Peng-Robinson Equation of State through changing relevant pressures 440 and temperatures. Different CO₂ properties were used to generate corresponding average 441 velocity and tortuosity curves and was used to generate the velocity and streamlines 442 443 distributions under various pressure differences. The streamlines distributions show an irregular pattern due to the rough fracture surfaces and play a significant role in analysing 444 relevant tortuosity changes. It was found that the average velocity and tortuosity have tight 445 relationships with temperature and pressure conditions while other conditions keep constant, 446 which were validated mutually. The streamlines tend to be more tortuous with the gradual 447

- 448 increase of the kinematic viscosity when average velocities are similar at the same scale. The
- tortuosity decreases with the upscaling of average velocity. With upscaling the average velocity,
- 450 the streamlines become more concentrated for the same CO_2 properties. In addition, it has been
- proven that the similar trends of the average velocity and tortuosity curves are not affected by
- the fracture surface roughness. This paper provides an efficient and accurate evaluation of the
- 453 effects of CO₂ properties on flow behaviors at low velocities through a rough fracture, which
- has a great significance in the natural and induced fracture reservoirs for the purposes of CO_2
- storage, enhanced shale gas/oil recovery and enhanced geothermal systems.

456 Nomenclature

- C the characteristic lattice velocity in a cell size
- e_i velocity in the *i*-th direction in a LBM cell
- D_f fractal dimension
- *H* Hurst exponent
- L Characteristic length
- r a constant value
- *t* time
- *P* pressure
- *T* temperature
- *V* velocity magnitude
- *u* velocity in LBM
- X, Y, Z directions

469 Greek Symbols

- ρ density
- σ standards deviation
- au the relaxation time
- μ the dynamic viscosity
- v the kinematic viscosity
- Ω_{col} the collision operator
- ω_i the weight factor in the *i*-th direction
- δ_x the length of each grid
- δ_t the length of time step

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