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**Alessio Suman<sup>1</sup>**

Dipartimento di Ingegneria,  
Università degli Studi di Ferrara,  
Ferrara 44122, Italy

**Mirko Morini**

Dipartimento di Ingegneria Industriale,  
Università degli Studi di Parma,  
Parma 43124, Italy

**Nicola Aldi**

Dipartimento di Ingegneria,  
Università degli Studi di Ferrara,  
Ferrara 44122, Italy

**Nicola Casari**

Dipartimento di Ingegneria,  
Università degli Studi di Ferrara,  
Ferrara 44122, Italy

**Michele Pinelli**

Dipartimento di Ingegneria,  
Università degli Studi di Ferrara,  
Ferrara 44122, Italy

**Pier Ruggero Spina**

Dipartimento di Ingegneria,  
Università degli Studi di Ferrara,  
Ferrara 44122, Italy

# A Compressor Fouling Review Based on an Historical Survey of ASME Turbo Expo Papers

*Fouling afflicts gas turbine operation from first time application. Filtration systems and washing operations work against air contaminants in order to limit the particles entering the compressor inlet and remove the existing deposits. In this work, a global overview of the operational experience of the manufacturer, the filtration systems, and the particle deposition of the compressor are reported. The data reported in this review have been collected from 60 years (1956–2015) of ASME Turbo Expo proceedings. This conference is recognized as the must-attend event for turbomachinery professionals. Through the years, many issues have been resolved by the contributions of this conference. Regarding the compressor fouling phenomenon, the contributions presented at the ASME Turbo Expo mark the high level of development in this field of research, thanks to the simultaneous presence of manufacturers, government, and academia attendees. The goal of the authors is to describe the technological evolution and challenges faced by manufacturers and researchers through the years, highlighting the state of the art in the knowledge of fouling, and defining the background on which further studies will be based.*

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Author Draft

27 **1 Introduction**

28 Each gas turbine manufacturer has his own tolerances and  
29 design constraints, each installation site its own peculiar climatic  
30 conditions, and each user his own operational requirements. Land-  
31 based (desert, city, rural, etc.) and offshore (marine, platform,  
32 etc.) power plant locations are characterized by different sources  
33 of contaminants due to the combination of natural/artificial sources  
34 and weather (rain, fog, wind, etc.). In each location, the gas  
35 turbine is involved in performance degradation. As reported by  
36 Diakunchak [1], types of engine performance deterioration may  
37 be listed under the following headings:

- 38 • permanent performance deterioration (aging), which is theo-  
39 retically recoverable after the overhaul and refurbishment of  
40 all clearances and the replacement of damaged parts. The “as  
41 new condition” depends on the manufacturer’s capability of  
42 restoring the initial condition of eccentricity, surface rough-  
43 ness, and distortions (of platform, struts, airfoil, etc.);
- 44 • performance deterioration, which is non-recoverable with  
cleaning/washing operations,
- 45 • performance deterioration which is recoverable with clean-  
46 ing/washing operations.

47 In the light of the three aforementioned points, the three main  
48 families that cause degradation in compressor gas turbines are: (i)  
49 corrosion, (ii) erosion, and (iii) fouling. In general, corrosion and  
50 erosion are classified as nonrecoverable with cleaning/washing  
operations, while fouling is classified as recoverable with clean-  
ing/washing operations. Diakunchak [1] estimated that the extent

51 of nonrecoverable deterioration is usually less than 1% and Hep-  
52 perle et al. [2] summarized the performance trend affected by the  
53 degradation and the effects of subsequent actions in order to reach  
54 the best possible performance of the gas turbine.

55 Fouling mechanisms involve three specific aspects: (i) the envi-  
56 ronmental conditions (airborne contaminant, salt, etc.) in which  
57 the gas turbine operates, (ii) power plant design and management  
58 (filtration system, washing operation, etc.), and (iii) compressor  
59 characteristics (pressure ratio, number of stages, etc.). Kurz and  
60 Brun [3] summarized all of these aspects, and pointed out that in  
61 order to resolve the fouling issues, specific analyses must be dedi-  
62 cated to each of the aforementioned aspects. These aspects work  
63 together in determining the fouling mechanism. In Fig. 1, some  
64 blade contaminations are reported [3,4]. All blade areas could be  
65 affected by the contaminants which could stick to the blade sur-  
66 face as a function of (i) the material of the bodies in contact, (ii)  
67 the surface conditions, (iii) the particle size, (iv) the impact vel-  
68 ocity, and (v) the impact angle. The conditions under which these  
69 contaminants stick to blade surface are still less clear. Over the  
70 years, several contributions and analyses related to the fouling  
71 phenomenon have been proposed, and this review aims to summa-  
72 rize and highlight the basis upon which further studies will be  
73 carried out.

74 **2 Manufacturer State of the Art**

75 Starting from the field experience, manufacturers have changed  
76 their test-paradigm from in situ to in-laboratory. Empiric relation-  
77 ships, based on the data taken from power plants, have been cre-  
78 ated in order to relate the results obtained by testing gas turbine  
79 prototypes (or power units before shipping), by means of specific  
80 laboratory tests, and real operating conditions. Land-based and  
81 off-shore environments have been considered during the inspec-  
82 tions and tests. Particle deposition and salt in the air represent the

<sup>1</sup>Corresponding author.

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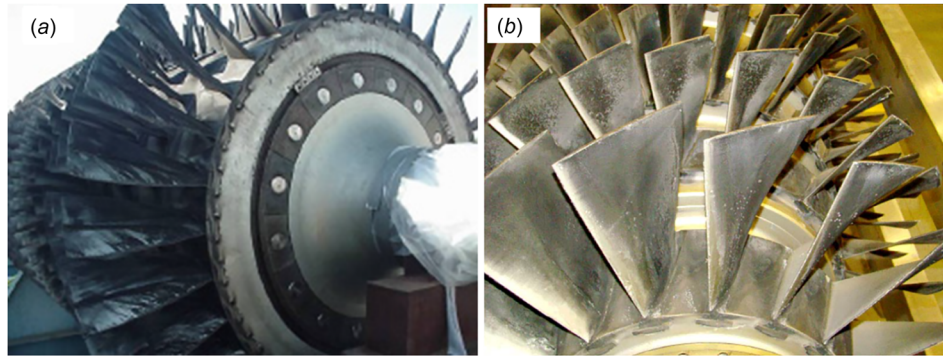


Fig. 1 Blade contamination: (a) oily deposits on axial compressor blades as a result of oil leakage on a large heavy duty gas turbine [4] and (b) salt deposits on compressor blades after 18,000 h [3]

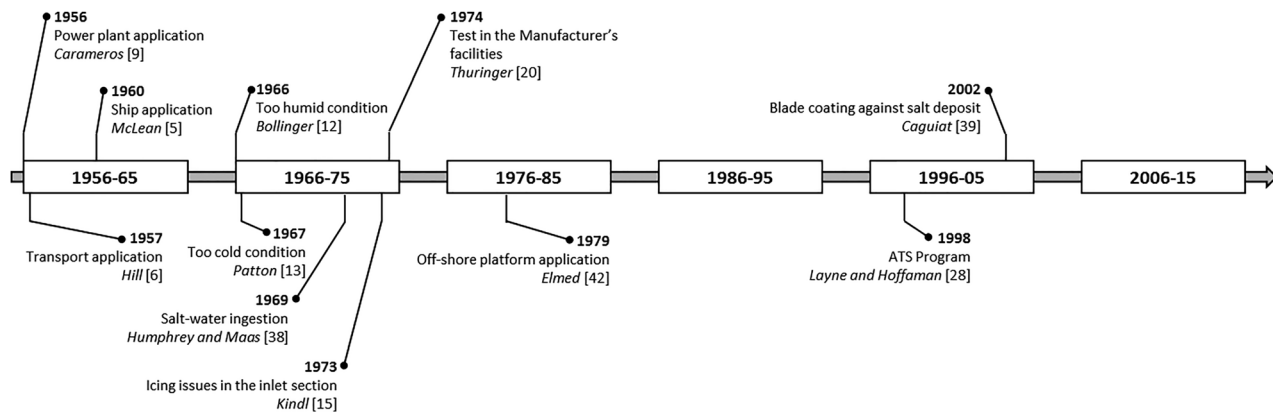


Fig. 2 Manufacturer state of the art timeline

83 major issues. Figure 2 shows a timeline which summarizes the  
84 principal contributions within this field.

85 **2.1 Land-Based Applications.** Since the beginning of the  
86 1950s, gas turbines have quickly become widespread. McLean [5]  
87 reported extensive use of GE gas turbines in the middle of the  
88 1950s. A review is made of all General Electric Company Gas  
89 Turbines installed and in operation prior to January 1, 1958. At  
90 the end of 1957, there were 134 General Electric Gas Turbines  
91 installed and in operation. These gas turbines operated in three  
92 basic applications: 80 industrial, mechanical drive; 28 transporta-  
93 tion (27 locomotive and one marine), and 26 power generation.  
94 Electric utility applications included base load, end of line, peak-  
95 ing, and stand-by service. The industrial applications included  
96 natural-gas pipe-line compressor drives, refinery compressor  
97 drives, oilfield pressure maintenance, crude-oil pipe-line pumping,  
98 and chemical-process compressor drives.

99 In early gas turbine applications, manufacturers pushed for the  
100 testing of turbine capabilities beyond the power plants or com-  
101 pressor stations. Some field experiences can be found regarding  
102 the application of gas turbines for transportation. These applica-  
103 tions are characterized by the contemporary presence of erosion  
104 and fouling phenomena [6-8].

105 One of the first reports on gas turbine operation in a power plant  
106 can be found in Carameros's study [9]. This is a summary of El  
107 Paso Natural Gas Company's operating experience, covering the  
108 design and operating problems encountered during the period  
109 between September 1952 and January 1956. Some discussion on  
110 operating and maintenance costs is also offered. The paper reports  
111 operating experiences with 28 gas turbines from 1952 to 1956.  
112 The power station used air washers for both cleaning and cooling

the inlet air and for this reason, fouling affected the axial com- 113  
pressors. This type of cleaning gave the turbine additional horse- 114  
power capability, but also introduced the possibility of fouling the 115  
axial-flow compressor with water-soluble solids if any water was 116  
carried over into the compressor. Another heavy-duty application 117  
can be found in Aguet and Von Salis [10]. In this case, the heavy 118  
environmental conditions due to proximity to the furnace are 119  
reflected in the extremely high amount of deposits in the turbine 120  
sections. The build-up of deposits in the turbine took place rela- 121  
tively rapidly, owing to the fairly high dust content of the blast- 122  
furnace gas. These deposits caused a drop in the power output of 123  
about 15% after 6 months of operation and about 25% after a full 124  
year. This deficiency could be nullified to a certain degree if it 125  
were possible to overhaul the group in the spring. The plant would 126  
then remain relatively clean during the summer months, whereas 127  
the effect of the deposits would be largely compensated for during 128  
the following winter, owing to the lower ambient air temperature. 129  
The first gas turbine overhaul showed slight corrosion in the com- 130  
bustion chamber and on some blades in the first stator row of the 131  
turbine. In this case, no data were given regarding the compressor 132  
sections. 133

Thanks to the increase in the number of gas turbine applica- 134  
tions, over the years some reports related to gas turbine operations 135  
in "exotic" environments have become available. Arvidsson [11] 136  
compared two operating experiences with gas turbines in arctic 137  
(Sweden and Canada) and tropical (Venezuela and Nigeria) condi- 138  
tions. As well as the different operating temperatures experienced 139  
by the gas turbines, a huge quantity of insects was always 140  
collected on the filters in the tropics. A similar problem could 141  
potentially arise in arctic zones, where big swarms of mosquitoes 142  
are present during summertime. For these reasons, equipment 143  
was provided for compressor washing during normal operations. 144



145 The average interval between washings in the tropics was  
 146 1000–2000 h in installations with air filters, whereas 500 h was  
 147 achieved without filters in the arctic. During icy conditions, the  
 148 air filter had to be removed, and thus, the inlet fairing, bellmouth,  
 149 inlet guide vanes, and nosecone had an anti-icing system using  
 150 compressor bleed air. No problems with ice formation were experi-  
 151 enced on these parts. On the other hand, the specific problems  
 152 associated with the gas turbine operation in the tropics are mainly  
 153 due to torrential rain, high temperature, and high humidity levels,  
 154 as also reported by Bolliger [12].

155 Regarding arctic conditions, experience and reports from cus-  
 156 tomers indicate four principal problem areas in extremely cold  
 157 weather operations [13]: (i) air-handling combustion and ventila-  
 158 tion, (ii) lubricating oil systems, (iii) fuel-handling systems, and  
 159 (iv) materials and construction. Patton [13] and Dickson [14] pro-  
 160 vided a description of some issues due to the gas turbine operation  
 161 in cold conditions. Related to air handling, Kindl [15] reported the  
 162 correlation between the drop in air temperature and air velocity,  
 163 highlighting that the droplets in the vapor phase that enter the air  
 164 filtration inlet could freeze and produce entrained ice particles.  
 165 This correlation is reported in Fig. 3. In the same context, Bag-  
 166 shaw [16] provided the results of experimental tests conducted in  
 167 order to investigate the effect of ice ingestion. A purpose-built test  
 168 rig was used to discover the effects of ice ingestion. Field service  
 169 evaluation and laboratory testing were combined to determine the  
 170 standard design criteria regarding future intake and plenum, which  
 171 will go a long way toward reducing, if not eliminating ice inges-  
 172 tion. Cleveland and Humphries [17] reported a complete overview  
 173 of the application of an arctic gas turbine. The issues reported  
 174 include: (i) environment, (ii) accessibility and transport, (iii) seis-  
 175 mic risk, (iv) site selection, (v) foundation design, and (vi) mainte-  
 176 nance and cost. Ice problems were also encountered by Maas and  
 177 McCown [18] and Ojo et al. [19].

178 In the 1970s, some companies moved experimental tests from  
 179 the field to laboratories. To ensure success on the field, in some  
 180 cases a special test facility was constructed in order to test the  
 181 power unit-simulated field condition. One of the first was Thuer-  
 182 inger [20], who reported an extensive factory full-load test

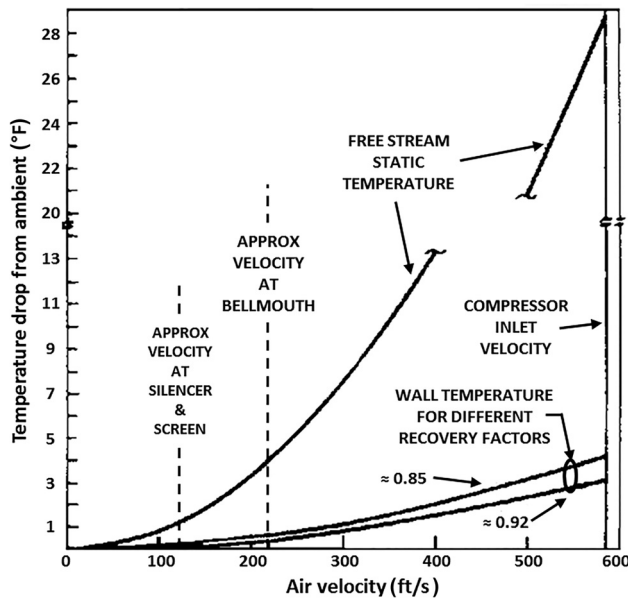
program before the shipment of two gas turbines. Subsequently, in  
 Refs. [21–27], the authors highlighted the importance of prelimi-  
 nary testing during power unit design. Full load and transient test-  
 ing with and without instrumented rotors can, and did, minimize  
 the risk of both the manufacturer and the customer in installing a  
 prototype machine in a critical process application. In the light of  
 this consideration, in the latter part of the 1990s, the program  
 named Advance Turbine System (ATS) pushed the manufacturer  
 to increase the efficiency and overall service of the gas turbine. In  
 the light of these measures, some contributions can be found in  
 the literature. Layne and Hoffman [28] and Layne [29] described  
 the ATS program, while the authors in Refs. [30–34] reported the  
 updates of Westinghouse’s gas turbine and power plant.

**2.2 Near Shore and Off-Shore Applications.** Salt deposits  
 determine blade shape variation and could determine the issue of  
 corrosion. In this case, the operational experience is strongly cor-  
 related with the washing operation reported in the following para-  
 graph. Hill [35] focused his analysis on salt particles carried by  
 the air as a function of wind speed, highlighting the results  
 reported in Table 1.

The first evaluation of the operational experience of this com-  
 pressor is reported by McLean et al. [36], who made a detailed  
 report based on the inspection of gas-turbine parts housed on a  
 Liberty ship. The authors pointed out that it was a routine to clean  
 the compressor and turbines through water washing after each  
 long sea passage (10 days’ duration). Other attempts to use gas  
 turbines in different applications can be found in Ref. [37]. The  
 authors report the evolution of the “Auris project,” whose objec-  
 tive was the development of gas-turbine propelling machinery for  
 medium-sized tankers and other types of merchant ships. When  
 adverse weather caused sea-water spray to enter the intake, effi-  
 ciency levels fell and could only be restored by shutting down and  
 injecting water and a detergent into the intake, with the machine  
 rotating at about 400 rpm.

In the light of these initial applications, during the years, other  
 contributions have been made regarding gas turbine marine appli-  
 cations. Reports and design criteria can be found in Humphrey  
 and Maas [38], who provided a highly detailed report on an exper-  
 imental test related to salt-water ingestion, and the authors in  
 Refs. [39–41], who dealt with the development of a particular  
 compressor blade coating which reduces the blade surface con-  
 tamination caused by the saltwater. The experimental results dem-  
 onstrated that the modification of the surface roughness  
 determines the modification of the deposition rate and in this case,  
 its reduction.

Until now, the description of off-shore applications has been  
 related to gas turbines installed in coastal locations and used for  
 ship propulsion. There is, however, another gas turbine applica-  
 tion within the marine environment which is related to off-shore  
 platform installation. Elmed et al. [42] reported some considera-  
 tions regarding this type of application. In particular, the operation



**Fig. 3 Inlet system temperature drop as a function of the air acceleration. The higher inlet velocity results in a reduction of the free stream air temperature. This may determine water condensation or ice. The air in the boundary layer immediately adjacent to any stationary surface has slowed to almost zero velocity and is restored to almost its initial static temperature (recovery factor lines) [15].**

**Table 1 Salt particles (parts per million by weight) as a function of the wind dispersion and particle diameter. The data shown are taken from several samples [35].**

Particle size range ( $\mu\text{m}$ )	Wind velocity (kn)		
	20	30	40
2	0.0038	0.0038	0.0038
2–4	0.0122	0.0212	0.0377
4–6	0.0286	0.01404	0.5585
6–8	0.0364	0.3060	1.9000
8–10	0.0364	0.4320	3.5000
10–13	0.0416	0.6480	8.0000
13	0.1040	2.0486	36.0000
Total	0.2630	3.6000	50.0000

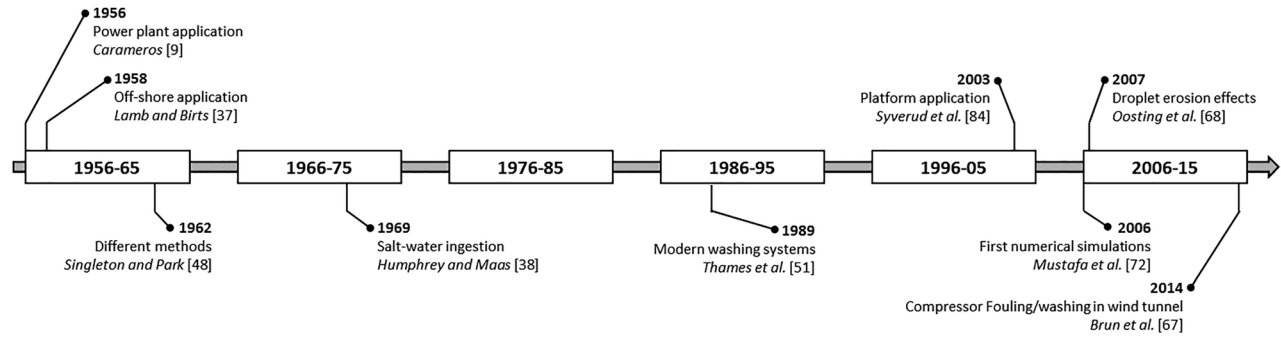


Fig. 4 Washing operations timeline

234 duty is long and continuous and requires long periods of activity  
 235 between overhauls. The development has to be provided for the  
 236 gas turbine itself and the installation lay-out, including air intake  
 237 filter designs, operational mode, and service. Other off-shore plat-  
 238 form operational experiences can be found in Refs. [43,44]. The  
 239 authors in Refs. [45–47] summarized the field experience of gas  
 240 turbines used in platforms, starting from the environment, layout,  
 241 maintenance, compressor station, and future improvements.

### 242 3 Washing Operations

243 Washing operations are still present in the early gas turbine  
 244 operation reports. Different methods have been discovered over  
 245 the years, but only through the use of specific tests has it been pos-  
 246 sible to determine the influence of: (i) water droplet size, (ii)  
 247 effectiveness of cleaning fluids, and (iii) the influence of washing  
 248 operation on compressor blade erosion. Washing operations must  
 249 be carried out periodically for all of the off-shore (and near shore)  
 250 applications, from ship equipment to platform installations. Figure  
 251 4 reports the timeline that summarizes the principal contributions  
 252 to this field.

253 This work does not deal with the compressor washing techni-  
 254 ques even though it is one of the operational techniques used in  
 255 order to contrast the issue of fouling. There have been numerous  
 256 contributions related to washing operations over the years and in  
 257 order to provide a complete review of this issue, a brief descrip-  
 258 tion is outlined in this paragraph. In Ref. [8] in fact, washing op-  
 259 erations were performed. The author described his experience in  
 260 detail, pointing out in particular that washing operations take  
 261 place only when the relative humidity is below 50%, and the  
 262 ambient temperature is above 10 °C (50 °F). This method of op-  
 263 eration calls for cleaning the axial-flow compressor every  
 264 10,000–15,000 h, depend mostly upon the dust conditions. Single-  
 265 ton and Park [48] showed a comparison between the fouling sus-  
 266 ceptibility of single-shaft and two-shaft gas turbines. The authors  
 267 reported a comparison between a single-shaft gas turbine and a  
 268 two-shaft turbine as a prime mover for natural gas pipeline op-  
 269 erations. The authors injected about 2.5 kg (6 lb) of spent catalyst  
 270 into the air intake every 30 days. This is a very fine abrasive ma-  
 271 terial which eliminated part of the build-up on the blading. After  
 272 several months of operation, the units still needed cleaning by  
 273 some other method. The units were steam cleaned twice a year  
 274 and using this method on the single-shaft unit proved to be highly  
 275 effective. The percentage gain in compressor efficiency and power  
 276 output of the two-shaft turbine was about the same as that of the  
 277 single-shaft unit immediately after cleaning. However, the two-  
 278 shaft unit lost part of this gain within a few days. In cleaner envi-  
 279 ronmental conditions, such as a Swedish island, compressor foul-  
 280 ing, and washing systems were adopted in the earliest power  
 281 plants. Schnittger [49] reported a general description thereof and a  
 282 discussion on the initial operational experience of a 40 MW gas  
 283 turbine installation on the Swedish East coast. In this case, com-  
 284 pressors were equipped with a purpose-built detergent-spraying  
 285 system. Some tests were performed in order to evaluate the

washing capabilities in restoring gas turbine performance. The  
 results are reported in Fig. 5. It is interesting to note that the invol-  
 untary shutdown resulted in certain recovery, although no positive  
 cleaning measure was effected. Turbine washing apparently led to  
 an almost complete recovery of output.

Hondius and Meyer [50] reported the ten years of gas turbine  
 operation in compressor stations. The power units were equipped  
 with inertia-type dust filters in the air inlets. The filters worked  
 satisfactorily, capturing 90% of the dust of 10 μm and larger. The  
 deposits in the compressor consisted of an oily layer with very  
 fine dust, necessitating water washing every 200 h, and subse-  
 quently, a soak wash and unfired rinse using the starter motor.  
 This system kept the compressor in reasonable shape, but in the  
 second year corrosion became evident on the surface of the com-  
 pressor blades.

In the 1980s, experiences related to compressor washing gained  
 interest, and some useful reports were provided [51]. Mezheritsky  
 and Sudarev [52] described a washing operation and the effects of  
 corrosive materials used as a washing agent on the compressor  
 sections. Some field experiences can be found in Refs. [53–63].

The improvement and diversification of washing systems can  
 be found in Ref. [64], while Mund and Pilidis [65] reported a  
 review of gas turbine online washing systems. Roupa et al. [66]  
 and Brun et al. [67] reported a study regarding the effectiveness of  
 cleaning fluids. Oosting et al. [68] proposed some improvements  
 to on-line washing techniques in order to diminish the blade  
 erosion. Blade erosion, especially the leading edge erosion is  
 involved in compressor washing, as also reported by

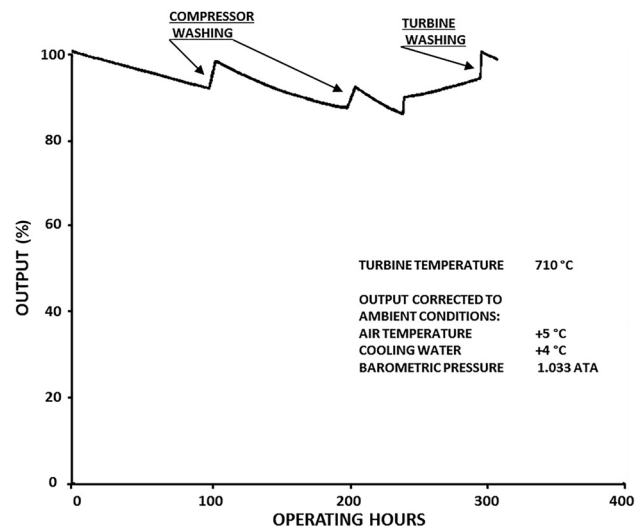


Fig. 5 Normalized output versus operating hours using heavy oil. This test was conducted for approximately 1 month (February) with periodic compressor washing and single turbine washing [49].

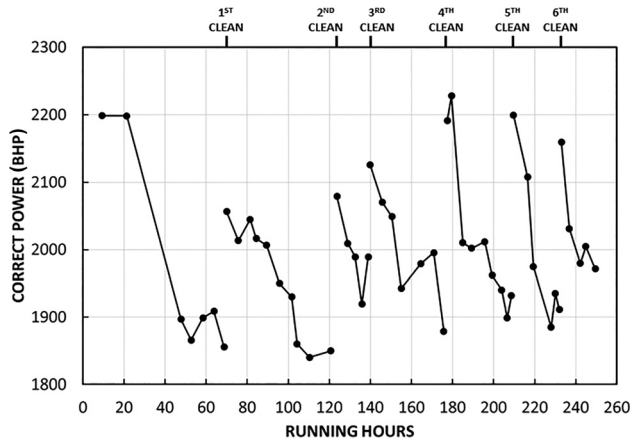


Fig. 6 Gas turbine power output (measured at the propeller shaft by a torque meter) over a long period of sea trials, showing the effect of occasional water-spray cleaning [76]

314 Kurz et al. [69]. Behavior of droplet that impacts on the leading  
 315 edge (i.e., splashing) is reported by Eisfeld and Joos [70]. Shorter  
 316 periods of on-line washing and redesign of the spray and washing  
 317 systems in order to avoid overspray conditions could reduce or  
 318 eliminate this type of erosion [67]. Recently, Botros et al. [71]  
 319 showed the performance degradation of five compressor stations  
 320 associated with different environmental characteristics and different  
 321 washing periods. Numerical simulations related to washing  
 322 operations can be found in Refs. [72,73] (investigation of the  
 323 detrimental effect of water ingestion on gas turbine operation,  
 324 especially due to the torque increase) and in Refs. [74,75].

325 Washing operations are also fundamental in off-shore and near-  
 326 shore applications. The authors in Refs. [36,37,76] represented the  
 327 first contributions to this field. In the study of McLean et al. [36],  
 328 the washing of the compressor and turbines was a simple operation  
 329 taking less than 3 h to complete. The compressor was washed  
 330 (while being cranked at 1400 rpm) through spray heads perman-  
 331 nently fitted in the inlet ducting. Washing the compressor always  
 332 removed the dirt and salt deposits from it and restored it to the  
 333 design efficiency.

334 Lamb and Birts [37] and Harris [76] removed the salt deposits  
 335 in the compressor sections by washing operations (spray cleaning)  
 336 performed at about 93% of the full speed. The effects on the gas  
 337 turbine power are reported in Fig. 6. The authors remarked that  
 338 the washing operations used for restoring full power are comple-  
 339 tely successful only when the deposit on the compressor blades  
 340 is water-soluble, such as the salt deposited after operations in  
 341 clean sea air. Distilled or demineralized water (sometimes in con-  
 342 junction with kerosene) was also used in systematic washing opera-  
 343 tions [77,78]. Other field experiences on washing operations in  
 344 Navy applications are reported in Refs. [79–83], while the field

experiences on washing operations in off-shore platform applica- 345  
 tions are reported in Refs. [84–86]. 346

#### 4 Filtration Systems 347

348 Multistage filtration systems allow the reduction of particles 348  
 349 entering the gas turbine. A correct combination of inertial separa- 349  
 350 tors, wet barriers, self-cleaning filters, and coalesces has to be 350  
 351 defined for each environmental condition. Salt particles represent 351  
 352 the principal issue for marine, off-shore, and near shore applica- 352  
 353 tions. Compressor salt ingestion is due, in particular, to the action 353  
 354 of wind and wave splashing. Starting from rudimental vestibules, 354  
 355 filtration systems were developed in conjunction with the gas tur- 355  
 356 bine air intake position. 356

357 Filtration system performance cannot be described by using an 357  
 358 absolute value but should instead be compared with the contami- 358  
 359 nation of the surrounding environment and contaminant typology. 359  
 360 Therefore, each rule of thumb refers to the paradigm of a proper 360  
 361 filtration system for each gas turbine application. Standard meth- 361  
 362 ods for the evaluation of filtration efficiency represent the basis 362  
 363 for proper gas turbine management. Unfortunately, manufacturers 363  
 364 and government organizations have only provided tests for the 364  
 365 quantification of filtration efficiency since the last decade. 365

366 Pressure drop and filtration system maintenance represent the 366  
 367 greatest side effects. Filtration methods and the design of the fil- 367  
 368 tration chambers could be adjusted according to the life cycle cost 368  
 369 management related to the entire maintenance program of the gas 369  
 370 turbine power plant. Figure 7 reports the timeline that summarizes 370  
 371 the principal contributions in this field. 371

372 Inlet air can have a significant impact on the operation, per- 372  
 373 formance, and life of the gas turbine. An inlet air barrier for gas 373  
 374 turbines is required for several reasons: (i) to prevent the erosion 374  
 375 and fouling of axial compressor blades, (ii) to reduce corrosion of 375  
 376 the compressor air path and blading, (iii) to reduce corrosion in 376  
 377 the hot gas area, (iv) for weather protection, (v) for cooling, and 377  
 378 (vi) for sound attenuation [35]. 378

379 Compressor blade fouling is normally due to one of the two ele- 379  
 380 ments. The first is solid particulate mineral and/or plant matter, 380  
 381 and the other is carbon smoke and/or hydrocarbon fumes, which 381  
 382 create a sticky “fly paper” substance when deposited on the tur- 382  
 383 bine blades. One contributory source of carbon smoke and hydro- 383  
 384 carbon fumes is the gas turbine itself, with its exhaust combustion 384  
 385 gases and lube-oil tank vent vapors. Fouling of the compressor 385  
 386 blading is predominantly caused by the fraction of normal atmos- 386  
 387 pheric dust which has the greatest surface area. Brake [87] 387  
 388 explained the issue related to contaminant transportation in detail. 388  
 389 A 20 μm particle will fall at around 350 m/h. If the particle has 389  
 390 been lifted to 2100 m, it would take 6 h to fall back to earth. A 390  
 391 wind speed of 20 km/h would give this particle a range of 120 km. 391  
 392 However, in the same situation, a 5 μm particle would settle at 392  
 393 around 35 m/h, meaning it would take 60 h to fall back to earth, 393  
 394 giving it a range of 1200 km under the same circumstances. This 394  
 395 means that even if the contaminant sources are recognized and 395  
 396 characterized in the proximity of the gas turbine installation, the 396

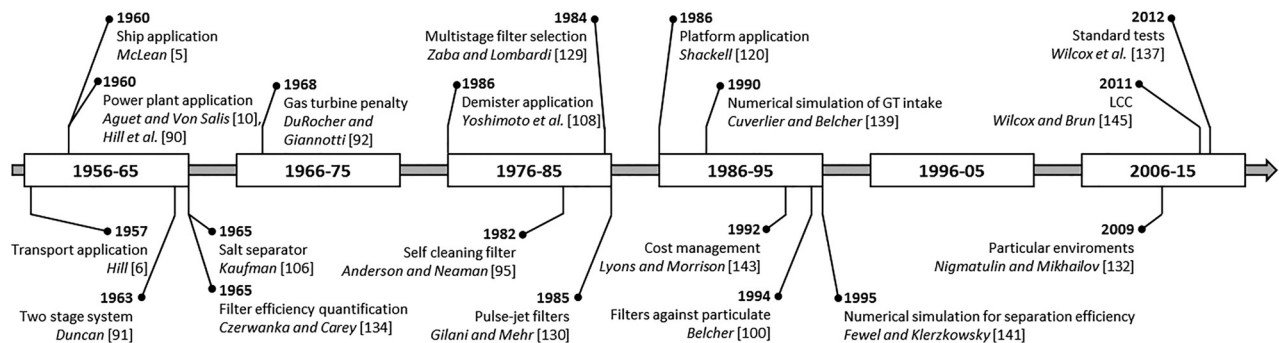


Fig. 7 Filtration systems timeline



397 contaminant transportation provided by the wind could determine  
398 a strong variation in the contaminant composition. Salt particles  
399 and soot transportation are recognized as the major issues.

400 The positive effects of the air filtration systems are well known,  
401 but the authors also highlight the undesirable associated properties  
402 of an air cleaner, summarized as: (i) pressure losses in the induc-  
403 tion system, (ii) the space required to install the air cleaner with  
404 its accessories, (iii) the weight the air cleaner adds to an installa-  
405 tion, (iv) additional labor and parts required to maintain the air  
406 cleaner, (v) the initial cash outlay for the air cleaner, and (vi) other  
407 structural and environmental properties [88].

408 In light of these preliminary considerations, this chapter is  
409 developed according to the following points:

- 410 • evaluation of different filtration systems and filtration evolu-  
411 tion over the years in the case of land-based applications;
- 412 • evaluation of different filtration systems and filtration evolu-  
413 tion over the years in the case of offshore applications;
- 414 • the relationship between the filter type and environmental  
415 conditions and, as a consequence, the selection of filter;
- 416 • evolution of the experimental tests and setting a standard in  
417 order to define a unique filtration efficiency;
- 418 • evaluation of the side effects of the filtration systems, such as  
419 pressure drops, costs of maintenance, management of the  
420 power unit, and degradation of the power unit performance  
421 and its production capabilities.

417 **4.1 Land-Based Filtration Systems.** The first reports on the  
418 use of filtration systems for a gas turbine can be found for trans-  
419 portation uses. In this application, both environmental conditions  
420 and space requirements could be highly detrimental for the com-  
421 pressor, which experiences erosion issues [6,8,89].

422 The first applications of a filter system to a heavy-duty gas tur-  
423 bine can be found in Refs. [10,90]. The authors reported the desert  
424 heavy-duty application and some issues due to the environmental  
425 conditions. Precipitators and a viscous-impingement-type inlet air  
426 filter were the proposed filtration technology.

427 Mund and Murphy [88] reported an extensive review of the  
428 actual gas turbine operation issue (erosion and fouling), while  
429 Duncan [91] proposed an evaluation of the gas turbine filtration  
430 system, starting from the air cleaners used in a piston engine. The  
431 author pointed out that the best heavy-duty air cleaners combine  
432 an inertial separator-type first stage with a dry-paper second stage.  
433 The first stage may or may not be self-scavenging. These two-  
434 stage designs are able to handle heavy dust concentrations because  
435 the first stage does not store the separated dirt in the filtering  
436 device and allows only a fraction of the ambient dust to pass on to  
437 the second stage, where removal is accomplished by storing the  
438 dirt in the filter material. Cleaning or replacing the second-stage  
439 filter is a necessary maintenance feature of this type of air cleaner.  
440 In general, the efficiency of the inertial separators decreases with  
441 particle size. Small-diameter cyclone types and close spacing of  
442 the louver types are required to separate the smaller particles.

443 The operating principles of the inertial separator are simple.  
444 The dirty air enters through the open end of the V-element. As the  
445 air passes through this element, its flow direction is reversed, and  
446 dust separation occurs because of the inertial forces on the dust  
447 particles. The primary or clean air then leaves the element in a  
448 direction almost 180 deg opposite to the dirty air entering the ele-  
449 ment. The dust particles, being heavier than air, tend to continue  
450 on their original path. To assist the separated dust particles in fol-  
451 lowing their original direction toward the apex of the V for subse-  
452 quent removal through the secondary air outlet, a separate  
453 secondary dirt air circuit is used [8]. The design of the inertial sep-  
454 arators must fulfil these points: (i) space requirements, (ii) pres-  
455 sure drop, (iii) efficiency requirements, and (iv) acoustic  
456 performance. The inertial-separation concept has extreme flexibil-  
457 ity and can therefore be constructed in many shapes and sizes.

DuRocher and Giannotti [92] reported on innovative ballistic sep-  
458 arators able to collect particles equal to 5  $\mu\text{m}$ .  
459

Regarding the second stage of filtration, dry or oil-wetted filters  
460 work in a similar manner, and it is difficult to say which is supe-  
461 rior. The wet type has better economy in severe dust conditions,  
462 whereas the dry type is preferred in clean areas and when opera-  
463 tion times are short [11]. There is always a risk with oil-wetted fil-  
464 ters that small droplets of filter oil will be drawn into the  
465 compressor intake, or that the filter oil will adhere to fine dust par-  
466 ticles, which subsequently causes compressor fouling. Tests car-  
467 ried out on oil-wetted filters show that these problems can be  
468 severe if the flow velocity at any point of the filter exceeds 3 m/s.  
469 These problems can of course be avoided if a dry filter is installed  
470 downstream of the wet filter, but this is a rather expensive solu-  
471 tion. The oil-wetted solution works better in the presence of  
472 insects, which are automatically washed away. Kevil and Drost  
473 [93] also reported on the filtration performance of the rollomatic  
474 grease cleaner compared to the classic electrostatic dry cleaner.  
475 They found that the equipment of the gas turbine on the dry air fil-  
476 ter media was in a much better condition than that on the grease  
477 side.  
478

During the decade when filtration systems first gained attention,  
479 information about their operational experience was not wide-  
480 spread. This information became available in the 1980s, with Pul-  
481 mood [94], for example, who outlined the field experience gained  
482 from the modular retrofitting of four gas turbine inlet systems  
483 with a second-stage high efficiency media filter to reduce gas tur-  
484 bine fouling conditions. The original gas turbine inlet systems  
485 were furnished with inertial filters. Field inspection revealed  
486 excessive fouling of the gas generator axial compressor sections,  
487 and crusty dust particles built up within the gas turbine internals  
488 and thermocouples. A second-stage high efficiency media filter  
489 was retrofitted to capture the fine dust particles that passed  
490 through the inertial filters. The different capabilities of particle  
491 collection are reported in Fig. 8.  
492

In the 1980s, a new type of filter was introduced. Anderson and  
493 Neaman [95] reported on the application of self-cleaning filters in  
494 the desert. They discussed the results of two years' continuous  
495 operation of automatic self-cleaning air filtration systems  
496 designed to provide the gas turbine protection in a desert environ-  
497 ment subject to high ambient concentrations of sand, dust, and  
498 salt. The cleaning system consists of pressurized air which, unlike  
499 processed air, pushes the dust far from the filter and cleans the fil-  
500 ter surface. Filter cleaning is also reported by Reinauer [96],  
501 although in this case water action was used instead of pressurized  
502 air. Water was also used by Donle et al. [97], who employed  
503

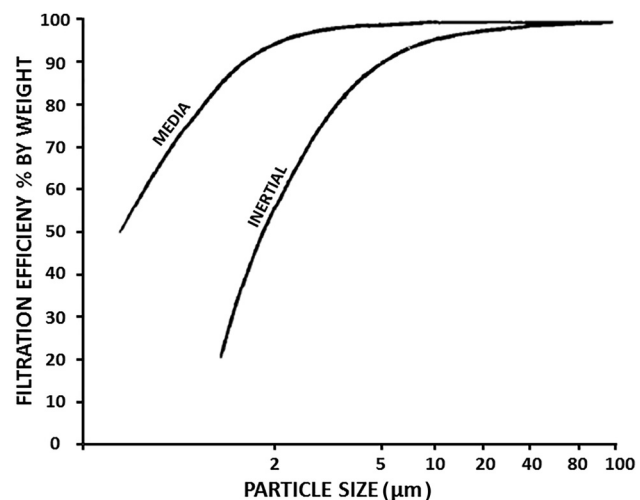


Fig. 8 Comparison between inertial and media filter efficiencies according to particle dimension. Media filters were added to the existing inertial separators [94].

504 artificial and natural fog to reduce the air contaminant at the compressor inlet. Operational experiences in air filtration systems are reported in Goulding et al. [98]. The authors summarized the filtration efficiency as a function of the particle diameter and type of filter. Some field data are reported for a specific filter manufacturer.

510 In the 1990s, particulate concentration became the major issue for gas turbine operators and, consequently, for the manufacturer of the filtration systems. The first data related to particulate concentration date back to 1974 [99]. As reported by the authors, the particulate concentration is localized in the neighborhood of the power plant and industrial areas. For this reason, an appropriate filtration system that removes particulate matter from the airflow stream has gained increasing attention through the years. Belcher [100] dedicated his tests to improving filter capability and its duration against very small particulate particles (sub-micron size). Issues related to particulate concentration were also reported by Johnson and Thomas [101]. They pointed out that heavy particulate loading due to the gas turbine surrounding gypsum environment was identified as the root cause of the problems affecting the first and second filtration stages and the evaporative cooling stage of the engine inlet air systems. A number of modifications and upgrades were studied to improve the performance of the inlet air treatment. A bag filter system for first-stage filtration was implemented in the power plant. The performance of this solution showed an increment in separation capability and a reduction in gas turbine failure.

531 As stated above, modern filtration systems are comprised of multiple filtration stages. Each stage is selected based on the local operating environment and the performance goals for the gas turbine. In a three-stage arrangement, a prefilter or weather louver

535 can be used first to remove erosive particles, rain, and snow. The second may be a low to medium-performance filter selected for the type of finer-sized particles present or a coalescer to remove liquids. The third filter is usually a high-performance filter to remove smaller particles less than 2 μm in size from the air (particulate). In Table 2, a comparison in terms of the number of particles at the compressor inlet is reported for two-stage and three-stage filtration systems [102].

543 Recently, Ingistov et al. [103] have reported the evolution of inlet air filter systems utilized in a cogeneration plant since 1987. The data, collected over 25 years of operation and summarized in Table 3, show that the implementation of the high efficiency particulate air filter system provides a reduced number of crank washes, gas turbine performance improvement, and significant economic benefits compared to the traditional synthetic media type filters. Starting from this configuration of intake filtration systems, Ingistov [104] compared the use of long or short filter cylindrical elements in terms of gas turbine performance. A longer cylinder allows the reduction of the pressure drop with a life time longer than 3 years. The author underlines the importance of knowing the ambient conditions (size of contaminant and its nature) during the filter selection process.

557 Regarding the analysis of different filtration technology, Perullo et al. [105] reported the effects of different filters (F8, F9, E10, E11, E12 filter types, according to EN779:2002 and EN1822:2009 filter classification) on the performance of the GE7FA gas turbine. The authors reported the long-term trends of power output and heat rate corrected to a standard day for one of the units. Large recoveries in gas turbine performance after washing indicate that the filtration system is not doing a good job at preventing compressor fouling. Small or minimal changes in performance after

**Table 2 Comparison of the filter collection efficiencies of two-stage and three-stage filter systems as a function of particle dimension. The number of particles per unit of volume is proposed before and after the filtration barrier [102].**

#-Stage filtration	Particle size (μm)	Particle in the atmosphere (#/m <sup>3</sup> )	Initial efficiency filtration (%)	Particle penetration (#/m <sup>3</sup> )
Two-stage	0.3–0.5	20,000,000	64	7,200,000
	0.5–1.0	4,000,000	80	800,000
	1.0–2.0	300,000	95	15,000
Three-stage	0.3–0.5	20,000,000	98.9	220,000
	0.5–1.0	4,000,000	99.9	4,000
	1.0–2.0	300,000	99.999	3

**Table 3 Report of inlet air filters and key characteristics in relation to maintenance and power unit management (frequency of compressor washing) [103]**

Period	Filter Type	Comments
Start (Nov. 1987–1995)	Cellulose media cylindrical element Tenkey design (324 mm dia. × 680 mm long)	<ul style="list-style-type: none"> <li>• Originally supplied and designed to operate in self-cleaning mode</li> <li>• Crank-wash once a month</li> <li>• Replacement of filter elements every 18 months</li> </ul>
1995–2002	Cellulose media, long cylindrical Tenkey design (324 mm dia. × 1016 mm long)	<ul style="list-style-type: none"> <li>• Modified to operate without self-cleaning mode (Modification #1)</li> <li>• Crank-wash once a month</li> <li>• Replacement of filter elements every 18 months</li> </ul>
2002–2011	Synthetic media, long cylindrical Tenkey design (324 mm dia. × 1016 mm long)	<ul style="list-style-type: none"> <li>• Changed filter element media to synthetic (Modification #2)</li> <li>• Crank-wash every 2 months</li> <li>• Changed every 24 months</li> </ul>
Oct 2011–2014	HEPA Class 12, long cylindrical design (324 mm dia. × 1016 mm long)	<ul style="list-style-type: none"> <li>• Major filter type change (Modification #3)</li> <li>• No crank-wash required in more than 2-years of operation</li> <li>• Currently operating on one GT unit (Unit #2), and the plan is in progress to install on remaining three GT units</li> </ul>

**Table 4 Average data values for F8 and E10, isolated data points for F9, E11, and E12 filters according to EN EN779:2002 and EN1822:2009 classification. The data reports the compressor efficiency recovery values and the power output recovery values obtained after washing operations as a function of the filter type installed on power units [105].**

Filter Rating	Compressor Efficiency Recovery per MMWh(%)	Power Output Recovery per MMWh(%)
F8	2.0 (Average across all sites)	5.0 (Average across all sites)
F9	1.5 (Single data point)	2.2 (Single data point)
E10	0.33 (Average across all sites)	1.5 (Average across all sites)
E11	Not available	0.9 (Single data point)
E12	0.4 (Single data point)	0.25 (Two data points)

566 each wash indicate that the filters are performing well at prevent-  
 567 ing compressor fouling. Table 4 reports the relationship between  
 568 performance recovery due to offline washing and the type of filter.

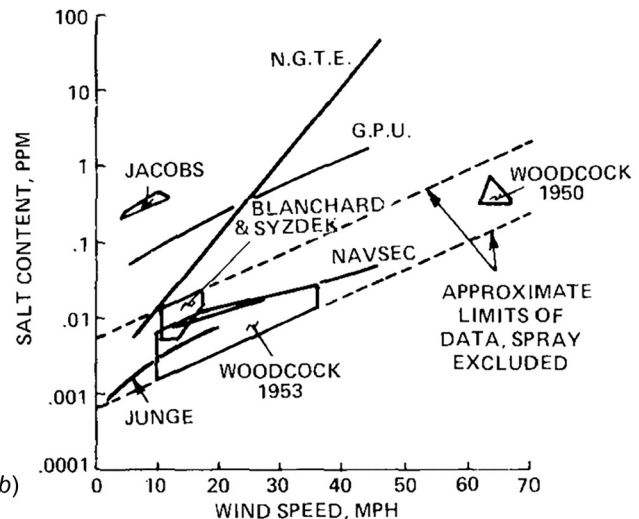
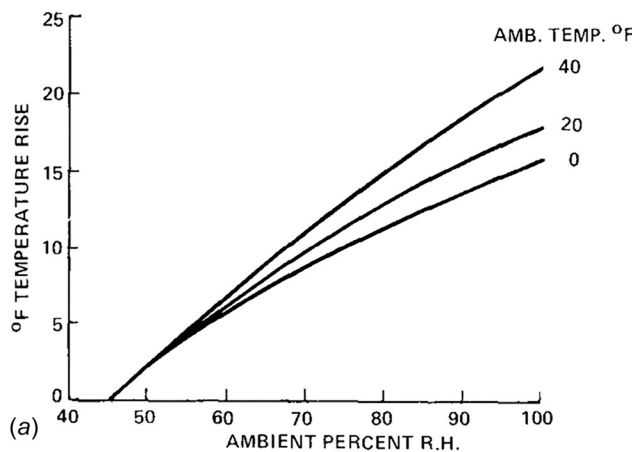
569 **4.2 Near-Shore and Off-Shore Filtration Systems.** For  
 570 these applications, the removal of salt from the airflow stream is  
 571 essential in order to diminish the fouling issues of the compressor  
 572 section. In early marine applications, vestibules were added to the  
 573 main air intakes to prevent the induction of heavy salt spray under  
 574 severe weather and ship roll conditions [36]. Starting from this  
 575 structural change, in the 1960s some air filtration methods were  
 576 presented. Separators were employed in order to separate the sea  
 577 salt from the airflow stream [106,107] in conjunction with electro-  
 578 static precipitators in order to collect particles less than 5 μm. In  
 579 1976, Yoshimoto et al. [108] proposed some analyses related to a  
 580 new demister applicable to gas turbines employed in ships. In the  
 581 first part of their work, they provide data regarding concentration  
 582 and size distribution in the light of geophysical theories and other  
 583 effects such as elevation and ship velocity. More recently, the  
 584 authors in Refs. [109,110] reported the results of some tests  
 585 related to salt separators used for reducing the salt ingestion of the  
 586 gas turbine. In this work, a detailed description of a sea-salt aero-  
 587 sol test facility, and the real-time test techniques and instrumenta-  
 588 tion employed is provided.

589 In the 1980s, evaluation of the commercially available moisture  
 590 separators, statistical description of the salt level, and the field  
 591 experience related to ships, platforms, and coastal applications  
 592 were reported in Refs. [111,112].

593 Since relative humidity in maritime air very rarely falls below  
 594 45%, salt will almost always be present in droplet form. The  
 595 exception to this could be gas turbine installations using anti-icing  
 596 systems to heat the inlet air. Based on the assumption that the inlet  
 597 heating system adds negligible moisture to the air, Fig. 9(a) shows  
 598 the temperature rise required to decrease the relative humidity to  
 599 45%, as a function of ambient conditions. If the inlet heating  
 600 schedule has a temperature rise equal to or greater than that  
 601 defined by the appropriate curve, the relative humidity of the  
 602 heated air will drop to levels such that salt will exist as dry crys-  
 603 tals [111]. Wind action changes the salt particle concentration and  
 604 dispersion. Wind action in terms of concentration at off-shore and  
 605 coastal installations is summarized in Fig. 9(b), while Fig. 10  
 606 shows data taken during onshore winds, plotted in such a way as  
 607 to emphasize the rate of decay of salt level with distance. It is  
 608 obvious that a drop of one order of magnitude is experienced in  
 609 going from the surf line to the leeward side of the barrier beach—  
 610 it can be assumed that this is due to the fall-out of spray generated  
 611 by the waves [111].

612 Experimental evaluations on the filtration systems used in  
 613 marine applications are reported in Refs. [113,114]. Their papers  
 614 cover various aspects with respect to the selection and operation  
 615 of air filtration associated with offshore gas turbine installations.  
 616 Other contributions to marine air filtration systems can be found  
 617 in Refs. [115–117] related to a high velocity spray salt eliminator  
 618 and in Refs. [118,119]. McGuigan [119] described how salt is pro-  
 619 duced, how it varies climatically and how it varies from location  
 620 to location. Salt concentrations are reported using useful maps as

**TEMPERATURE RISE TO GIVE 45% R.H.**



**Fig. 9 (a) Inlet heating which would result in the generation of dry salt crystals as a function of relative ambient humidity and temperature, (b) salt content of maritime air (parts per million by weight) as a function of wind velocity. Several data were reported provided by different authors and locations: Blanchard and Syzdek (Windward shore of Oahu, Hawaii), GPU, General Public Utilities, now FirstEnergy Corporation (New Jersey shore), Jacobs (Seashore, La Jolla, CA), Junge (Round Hill, MA), Navsec (no data available), NGTE—National Gas Turbine Establishment, Woodcock 1950 (Lighthouse, FL), Woodcock 1953 (data taken from ship, Florida, Hawaii, and Australia) [111].**

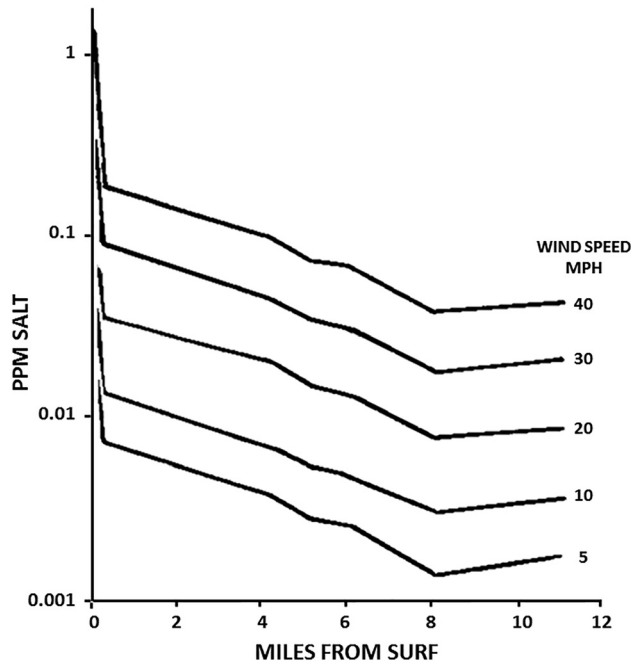


Fig. 10 Variation in salt level (parts per million by weight) as a function of distance from the surf. Data were taken during onshore winds of varying intensity [111].

a function of the boreal seasons. More detail regarding specific issues regarding offshore platform applications can be found in Refs. [120–123].

**4.3 Filter Versus Environmental Conditions and Filter Selection.** In this section, the resources reported are related to the study realized in order to evaluate filter performance as a function of the environmental conditions. Ernst [124] reported the different operating environments of gas turbines and described the filtration characteristics that are required in different environments. Six typical installation sites of gas turbines are reported: (i) countryside, (ii) large cities, (iii) industrial areas, (iv) desert, (v) tropics, and (vi) mobile installation. Each condition requires a different set of filtration systems as a function of the contaminant typology. With the same accuracy level used in Ref. [124], Giannotti [125] described the primary filtration methods (impingement, diffusion, electrostatic, and sedimentation) and the secondary methods of separation (viscous air cleaner, ultrasonic agglomerator, thermal precipitators, and wet scrubber), while Mund and Guhne [126] cover three types of gas turbine air cleaners, both in the laboratory and the field, on wheeled and tracked vehicles, in helicopters and air cushion vehicles. In the same way, Hill [35] reported the differences in the air filtration systems as a function of the environment (large cities, industrial areas, desert locations, tropical environment, and arctic environment) summarized in Tables 5 and 6. In many instances, it is possible to encounter several environmental situations in one location, thus making proper selection of the intake filters even more critical.

Table 5 Relationships between locations and local contaminants on the gas turbine. Some environments experience very different conditions over the years, determining variable effects on the power unit [35].

Environment	Country side	Coastal (sea side)	Large cities (power station and chemical plant)	Industrial areas (steel works, petro-chemical, mining)
Types of dust	Dry-non erosive	Dry-non erosive Salt particles and corrosive mist	Sooty-oily May be erosive also corrosive	Sooty-oily Erosive. May be corrosive
Dust concentration (mg/m <sup>3</sup> )	0.01–0.1	0.01–0.1	0.03–10	0.1–10
Particle size (μm)	0.01–3	0.01–3 Salt < 5	0.01–10	0.01–50 <sup>a</sup>
Effects on GT	Minimal	Corrosion	Fouling (sometimes corrosion and fouling)	Erosion (sometimes corrosion and fouling)
Temperature range (°C)	–20 to 30	–20 to 25	–20 to 35	–20 to 35
Weather conditions	Dry and sunny, rain, snow, fog	Dry and sunny, rain, snow, sea mist, freezing fog in winter	Dry and sunny, rain, snow, hailstone, smog	Dry and sunny, rain, snow, hailstone, smog

<sup>a</sup>In emission area of chimney.

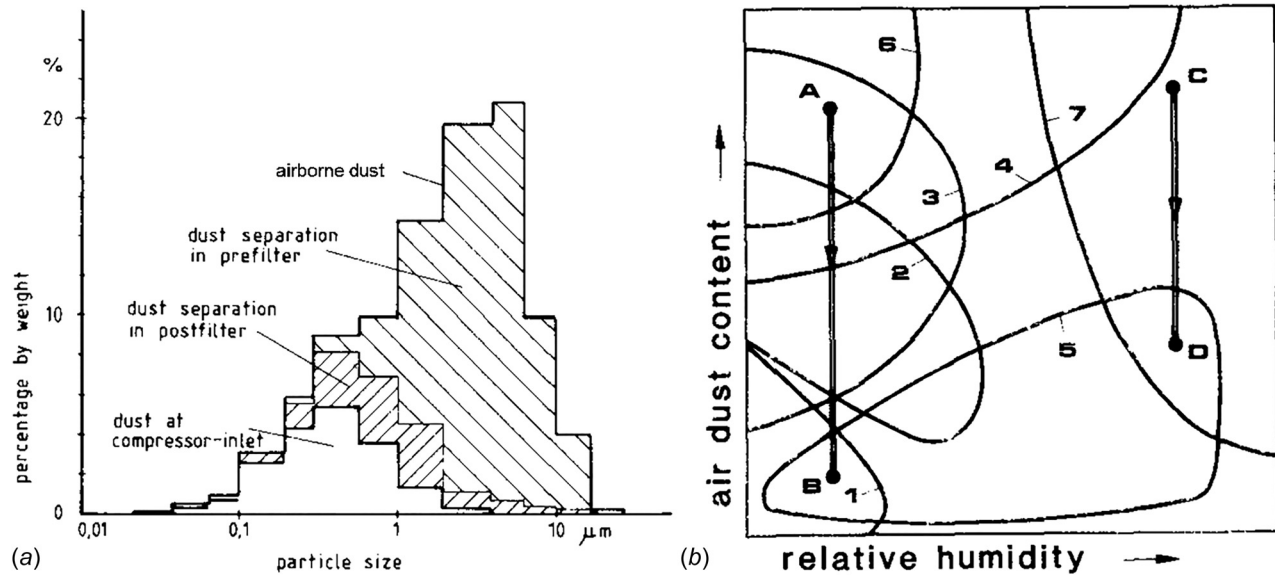
Table 6 Relationships between locations and local contaminants on the gas turbine. Some environments experience very different conditions over the years, determining variable effects on the power unit.

Environment	Deserts (sand storms, dusty ground)	Tropical	Arctic	Mobile installations
Types of dust	Dry-erosive in sand-storms areas Fine talc like in areas of non-sand storms but dusty ground	Nonerosive may cause fouling	Nonerosive	Dry-erosive Sooty-oily corrosive
Dust concentration (mg/m <sup>3</sup> )	0.1–700	0.01–0.25	0.01–0.25	0.01–700
Particle size (μm)	1–500 <sup>a</sup>	0.01–10	0.01–10	0.01–500 <sup>b</sup>
Effects on GT	Erosion (Plugging of filter with insect swarms)	Fouling	Plugging of air intake system with snow and ice	Fouling, erosion and corrosion
Temperature range (°C)	–5 to 45	5–45	–40 to 5	–30 to 45
Weather conditions	Long dry sunny, high winds, sand and dust storms, sometimes rain	High humidity, tropical rain, insect and mosquito swarms	Heavy snow, high winds, icing condition, insect swarms in summertime	All possible weather conditions

<sup>a</sup>During severe sand storms.

<sup>b</sup>At track level and/or during dust storms [35].

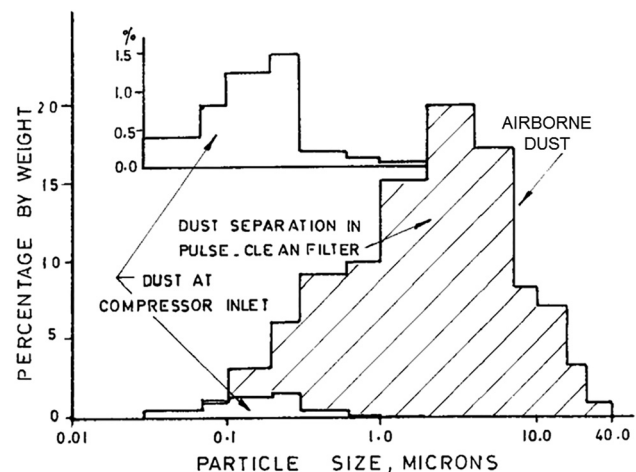




**Fig. 11 (a) Dust particle distribution in a two-stage filtration system. The reduction in the weight percentage of contaminants is provided by the multiple stage filtration system. (b) The practice of filter system selection. The zones are: (1) high efficiency filters, (2) roll and mat type filters, (3) pulse and bag filters, (4) oil bath filters, (5) electrostatic filters, (6) inertial separators, and (7) wet separators. The selection has to be made beginning with the initial condition (air contaminant concentration and humidity) [129].**

648 Goldbrunner and Savoie [127] estimated the effects of the air  
 649 filtration system by means of a field test. Their paper reports the  
 650 results of a controlled site test program on two gas turbine units to  
 651 evaluate the effectiveness of inlet air filtration in reducing mainte-  
 652 nance costs. One unit incorporated two-stage inlet air filters; the  
 653 other had no inlet air filtration. The units were located next to  
 654 each other, and each unit was run simultaneously, exposing both  
 655 to the same environment and operating conditions. The inlet air  
 656 filter selected for this test was a two-stage type, consisting of an  
 657 inertial separator as the first stage and a 5- $\mu\text{m}$  fiberglass media as  
 658 the second stage. The test consisted of operating these engines  
 659 simultaneously, exposing both the filtered and unfiltered engines  
 660 to the same operating conditions. The data collected during the  
 661 test are very useful in evaluating filtration efficiency. The findings  
 662 of Goldbrunner and Savoie [127] can be summarized as follows:  
 663 (i) the filters should have a guaranteed, field demonstrated, air-  
 664 borne salt removal efficiency of at least 90 percent and (ii) the fil-  
 665 ter should have a guaranteed removal efficiency, utilizing  
 666 standard Arizona Road Dust (85% mean efficiency on atmos-  
 667 pheric test, 95% on particles of 2  $\mu\text{m}$  and larger using gravimetric  
 668 tests and 99.7% on particles of 10  $\mu\text{m}$  and larger also using gravi-  
 669 metric tests). The authors also reported the results obtained by  
 670 engine inspection, which showed that unfiltered engines have  
 671 nearly twice as much tip wear, thus implying greater values of tip  
 672 clearance. The authors do not report which filter type (inertial sep-  
 673 arator or fiberglass media) contributes most to erosion reduction.  
 674 Regarding salt deposits, Labadie and Boutzale [128] reported the  
 675 relationship between increasing levels of air filtration and decreas-  
 676 ing sulfidation corrosion over a 6-year period for a 17 MW gas tur-  
 677 bine located adjacent to a dry lake. The authors propose a  
 678 procedure for the selection of adequate air filtration based on air  
 679 sampling data and known filter properties.  
 680 Zaba and Lombardi [129] report their experience in gas turbine  
 681 filtration systems. Some interesting results are reported in Fig. 11.  
 682 Figure 11(a) shows the filtration effect of the two filters as a func-  
 683 tion of the size of the particles. The first filter stage is of a sturdy  
 684 structure and is designed to remove coarse dust particles. The  
 685 high efficiency filter that follows is designed to remove fine dust  
 686 particles. Figure 11(b) reports an example of an easy-to-use qual-  
 687 itative filter selection. The zones depicted in Fig. 11(b) are: (1)  
 688 high efficiency filters, (2) roll and mat type filters, (3) pulse and

bag filters, (4) oil bath filters, (5) electrostatic filters, (6) inertial  
 689 separators, and (7) wet separators. According to Fig. 11(a), point  
 690 A has been selected as the initial condition for the first example.  
 691 According to Fig. 11(b), an inertial separator can be selected as  
 692 the first-stage filter. The amount of dust in the inertial separator  
 693 will be reduced to Point B. It can be seen that a dry filter is suit-  
 694 able for the second stage. In the second example, the air is rela-  
 695 tively moist. The initial condition is located at Point C. A wet  
 696 separator or an oil-bath filter would be considered for the first-  
 697 stage filter. The amount of dust in this filter will be reduced to  
 698 Point D. An electrostatic filter would be advantageous for the sec-  
 699 ond stage.  
 700 Comparing the results reported in Fig. 11(a) with the results  
 701 reported in Fig. 12, taken from Ref. [130], it can be observed that  
 702 the amount of dust at the compressor inlet is less. Different filtra-  
 703 tion systems determine highly significant differences in the  
 704 amount of dust that could afflict the power unit. Gilani and Mehr  
 705 [130] reported their operating experience related to different types  
 706



**Fig. 12 Dust separation in a pulse-jet self-cleaning filter. The reduction in the weight percentage of contaminants is provided by the self-cleaning type filter [130].**

707 of filters on a Saturn power unit. Figure 12 reports the improve-  
 708 ment in the filtration efficiency of an existing gas turbine. A pre-  
 709 existing two stage filtration system was substituted by a pulse-jet  
 710 filter that worked in more efficient way. In fact, only 4.3% of the  
 711 total dust could penetrate through the filter, which means that this  
 712 system is about seven times more efficient than the existing one.  
 713 The system utilizes 64 cylindrical cartridges in a single stage of  
 714 filtration with a paper media of P12-5306 and P14-6555 types.  
 715 Pulse-jet filters were also studied by Brusca and Lanzafame [131],  
 716 who propose a mathematical model for evaluating the variation in  
 717 the performance of the gas turbine before, during, and after the  
 718 cleaning procedure. Local evaluation of air filtration systems and  
 719 particular environmental conditions can be found in Refs.  
 720 [132,133].

721 **4.4 Experimental Tests and Standard Methods.** Reports on  
 722 filtration tests are not widespread in the literature. Tests are con-  
 723 ducted especially for the filter used by the manufacturer and, for  
 724 this reason, the type of filter which is tested is strongly related to  
 725 the filter development. The first tests are related to louvre and  
 726 media filters. Tests on louvre and glass-fiber media filters are  
 727 reported by Czerwinka and Carey [134]. The authors proposed a  
 728 general purpose centrifuge method for measuring the particle-size  
 729 distribution of the air filter inlet, outlet, and collected dust sam-  
 730 ples. The efficiency of a collector can be computed by obtaining a  
 731 particle-size distribution of the dust to be collected. Other test  
 732 methods for determining the effectiveness of air filters used for  
 733 the collection of atmospheric dust are reported by May [135]. The  
 734 three proposed methods are: (i) weight, (ii) dust spot, and (iii)  
 735 DOP. The weight method consists of the evaluation of the weight  
 736 of the dust passing the test filter and entering the clean-air stream.  
 737 In conducting dust-spot efficiency determinations, samples of air  
 738 are taken upstream and downstream of the filter in question. The  
 739 dust removed from each upstream and downstream sample pro-  
 740 duces a spot or target as it passes through the filter paper. The  
 741 DOP method consists of the evaluation of the filter capability to  
 742 remove dioctyl-phylate droplets (with a controlled diameter) from  
 743 the airflow stream. The weight method provides an excellent basis  
 744 for comparing the relative performance of air cleaners in the  
 745 medium-efficiency range but it has certain shortcomings where  
 746 high efficiencies are concerned. In this case, the dust-spot test is  
 747 more suitable to evaluate filters with higher filtration efficiency.  
 748 The third method is used for the determination of the efficiency of  
 749 super interception types of filters.

750 The standard methods used for testing and verifying the  
 751 capacity of a filter are not well reported in the literature. Gidley  
 752 et al. [136] pointed out that the standard tests have, for the most  
 753 part, been developed for the heating, ventilating, and air condi-  
 754 tioning industry, using developed synthetic dust that simulates an  
 755 air composition comprised mostly of recirculated air blended with  
 756 outdoor make-up air. Other available standard tests and standard  
 757 test dusts have been developed for diesel and gasoline-powered  
 758 engines. At the same time, in the early 1990s, no standard air filter  
 759 test method had been developed for filters to be used on combus-  
 760 tion turbine air intakes using typical outdoor air. The standards  
 761 have to provide sufficient detailed information on the extent of  
 762 penetration of small micron particulates. In the 2010s, Wilcox  
 763 et al. [137] pointed out the same problem relating to the lack of  
 764 standardized methods for the filter test in the case of liquid or  
 765 soluble particles. The liquid phase can greatly influence filter per-  
 766 formance as the filter is affected when loaded with salt and/or  
 767 water.

768 **4.5 Side Effects: Pressure Drops, Maintenance Costs and**  
 769 **Power Unit Management.** All of the aforementioned separation  
 770 methods determine pressure losses at the compressor inlet. DuR-  
 771 ocher and Giannotti [92] were the first authors to address this  
 772 issue. They pointed out the gas turbine power penalty from air  
 773 cleaners, and their results are summarized in Fig. 13. Their

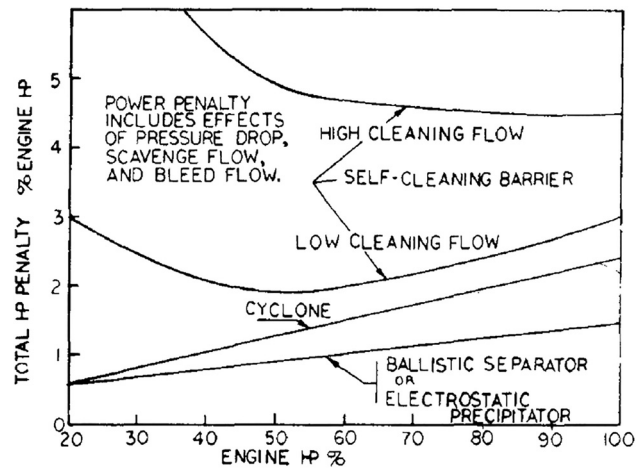


Fig. 13 Gas turbine power penalty from different types of air cleaners (the 100% hp points would apply to the single shaft, or free turbine at full power) [92]

774 application refers to vehicle systems characterized by limited  
 775 space which is reflected in a smaller inlet surface area than in  
 776 power plant installations and consequent higher inlet velocity and  
 777 pressure drops. Schroth and Cagna [102] also reported the differ-  
 778 ences in terms of the pressure drop between the two filtration  
 779 methods. The comparison is depicted in Fig. 14, where the curves  
 780 marked with “two-stage system” and “three-stage system,”  
 781 respectively, represent the total pressure drop of the two-stage or  
 782 three-stage systems. The significantly lower pressure drop in the  
 783 two-stage filter system can be seen, with the average pressure  
 784 drop over the entire year being approximately 300 Pa less com-  
 785 pared to the three-stage system. Different filter selections and  
 786 sequences are implemented—for the two-stage system, F6 and F8  
 787 classes were used, while for the three-stage system, F6, F9, and  
 788 H11 classes were adopted according to EN779:2002 and  
 789 EN1822:2009 filter classifications.

790 Pressure drops may also generate another side effect due to the  
 791 humid condition that could occur after the filtration barrier. As  
 792 reported by Zaba and Lombardi [129], the airflow accelerates at  
 793 the level of the first rotor, and the static temperature decreases  
 794 immediately. Figure 15 reports this aspect with a qualitative  
 795 superimposition of first compressor blade rows. Multiple stage fil-  
 796 tration systems (where applicable, matching the space require-  
 797 ments) reduce this phenomenon, although it remains strongly  
 798 dependent on the environmental conditions. Analogous considera-  
 799 tions are reported in Ref. [138].

800 Regarding the pressure drop due to the inlet duct and filtration  
 801 systems, numerical simulations have been used to reduce the  
 802 impact of these aspects. Cuvelier and Belcher [139] showed an  
 803 improvement in the design of the inlet compressor chamber in  
 804 order to diminish the footprint area and improve the filtration  
 805 capability. In this work, numerical simulations are used to validate  
 806 the new filter chamber project, and filed data validate the results  
 807 obtained by the new design. Other authors, including You and  
 808 Goulding [140] used numerical simulation to improve the filtra-  
 809 tion capability of high-efficiency filters. In their paper, through a  
 810 mathematical analysis and design optimization, a new type of  
 811 ultra-high efficiency (<97% for 0.3  $\mu\text{m}$  diameter particle)  
 812 filters were developed. The authors pointed out that numerical  
 813 simulation allows the improvement of the filter which, together  
 814 with the correct match of air filter system to environment and  
 815 duty, will greatly improve combustion turbine protection. Numeri-  
 816 cal simulation is also used in Refs. [141,142] in order to improve  
 817 the separation capability of the intake separator used in marine  
 818 gas turbines. In these cases, the design quality was established  
 819 using CFD without the use of a costly physical scale model of the  
 820 installation.

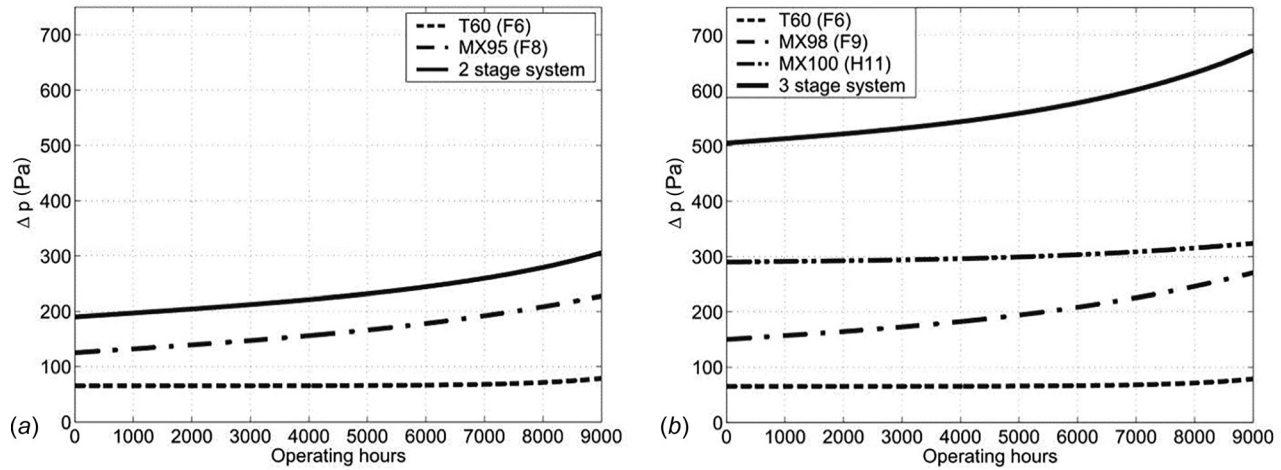


Fig. 14 Pressure drop curve (Pa) at 4250 m<sup>3</sup>/h volume flow rate per filter element: (a) two-stage filter (classes F6 and F8), (b) three-stage filter (classes F6, F9, and H11) according to EN779:2002 and EN1822:2009 filter classifications [102]

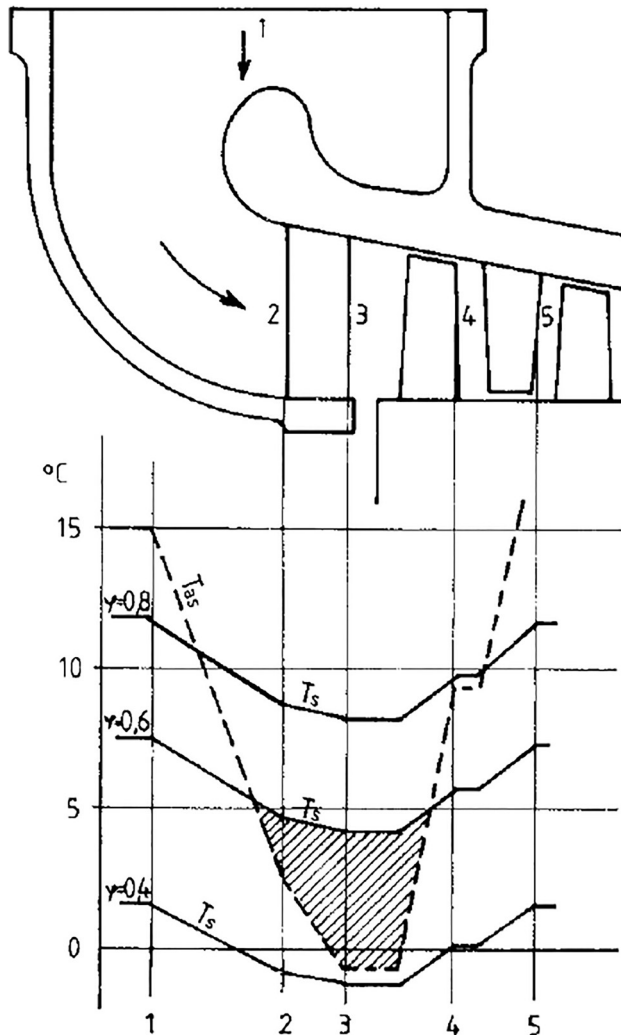


Fig. 15 Change in the saturation temperature at the compressor inlet.  $T_{as}$  is the static air temperature,  $T_s$  is the saturation air temperature, and  $\phi$  is the relative humidity [129].

The cost management of inlet filtration systems only gained 821  
 attention in the 1990s. Lyons and Morrison [143] introduced cost 822  
 management of the power plant in the evaluation of the filter. 823  
 Cost management is related in particular to the installation phase, 824  
 compressor damage (and consequently, maintenance), and losses. 825  
 Modern power plants have to be designed to fulfil the requests 826  
 related to power production and to revenue. Filtration systems and 827  
 their maintenance play an important role in this topic. The filter 828  
 engineer must consider the efficiency of the filtration system, particle 829  
 sizes to be filtered, the maintenance necessary throughout the 830  
 life of the filtration system, acceptable pressure losses across the 831  
 filtration system, the required availability and reliability of the gas 832  
 turbine and how the filtration system affects this, washing 833  
 schemes for the turbine, and the initial cost of any new filtration 834  
 systems or upgrades [144,145]. Wilcox and Brun [145] proposed 835  
 a life cycle cost analysis of inlet filtration systems, which provides 836  
 a fairly straightforward method for analyzing the lifetime costs. It 837  
 provides a method to directly compare different filter system 838  
 options based on: (i) initial cost, (ii) maintenance cost, (iii) cost 839  
 due to the gas turbine power loss and heat rate increase, (iv) failure, 840  
 (v) availability and reliability, and (vi) the overall gas turbine 841  
 degradation. 842

### 5 Particle Deposition 843

Wet and dry contaminants are able to stick to blade surfaces in 844  
 very different ways. The deposits can contaminate multiple stages 845  
 of a compressor as a consequence of the different type, nature, 846  
 and path of a single particle. Experimental evaluation and tests are 847  
 not widespread due to the complexity in the quantification of the 848  
 deposits that stick to the blade and vane surfaces. At the same 849  
 time, numerical analysis involves complexities due to particle 850  
 motion/impact modelization. Experimental tests and analytical/ 851  
 CFD approaches have to be developed in order to define general 852  
 rules for fouling characterization. Figure 16 reports the timeline 853  
 that summarizes the principal contributions in this field. 854

The finely dispersed aerosols in the air supplied through the 855  
 filter are the principal source of compressor fouling. Compressor 856  
 deposits can become a problem over extended periods of time in 857  
 the smoky, oily atmosphere of engine rooms and factories. Deposits 858  
 determine a modification of the airfoil shape and the increment 859  
 of blade surface roughness. Both of these effects determine the 860  
 deterioration of compressor performance. This review aims to 861  
 report only the study and experimental evaluation of the deposit 862  
 characteristics on the blade surface. The impact of fouling effects 863



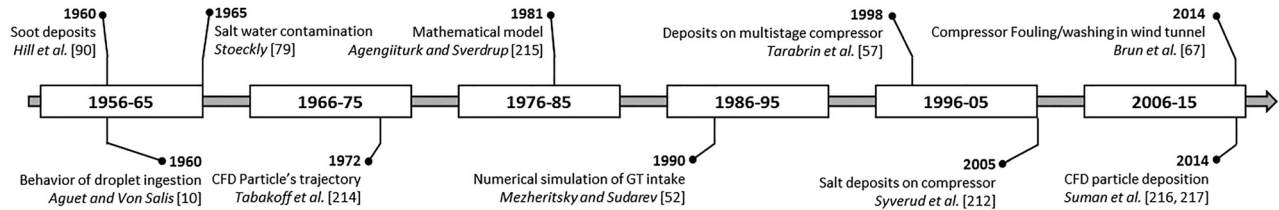


Fig. 16 Particle deposition timeline

864 on compressor performance are not reported here, but are high-  
 865 lighted in some studies and analyses [146–156]. Meher-Homji  
 866 et al. [4] reported some examples of compressor deposits exempli-  
 867 fied in Fig. 1(a). The authors split the fouling deterioration into  
 868 two aspects: (i) the susceptibility of a gas turbine to fouling, i.e.,  
 869 the compressor’s propensity to foul given a certain environment  
 870 and foulants and (ii) the sensitivity of the gas turbine to the impact  
 871 of fouling on its performance. According to these definitions,  
 872 some analyses can be found in the literature.

873 **5.1 Particle Sticking Mechanisms.** Particle sticking is the  
 874 phenomenon on which compressor fouling is based. Particle adhe-  
 875 sion on a clean blade surface or particles which stick to a previ-  
 876 ously deposited layer determine all the phenomena discussed in  
 877 the previous chapters. Therefore, in this section, attention is given  
 878 to the contributions regarding particle sticking. Mezheritsky and  
 879 Sudarev [52] discussed the baseline principles and deposit forma-  
 880 tion mechanism in axial and centrifugal compressors. The authors  
 881 provided a detailed description of the fouling mechanism in a  
 882 multistage axial compressor. Finely dispersed particles of an aero-  
 883 sol liquid fraction impact the compressor guide vanes and rotating  
 884 blades under a large angle of attack, even with a gas turbine oper-  
 885 ating at the design point. When impinging, the droplets are  
 886 deformed and splashed over the entire blade surface, generating  
 887 favorable conditions for dust, soot, and salt particle sticking. The  
 888 dust particles coagulate and serve as a basis for the formation of  
 889 viscous deposition. As the pressure and temperature increase, the  
 890 moisture evaporates, resulting in a reduction in the deposit volume  
 891 in the direction of the air motion (from the first to the last stages)  
 892 in a multistage axial compressor. The authors proposed a numeri-  
 893 cal approach in order to establish the adhesive ability of the blade  
 894 profile relative to the particles, by using the entrainment factor.  
 895 The entrainment factor depends on the Stokes critical number, the  
 896 air flow velocity, and the blade chord. By using the blade entrain-  
 897 ment capability value, one can determine the amount of deposition  
 898 in any compressor section. Mezheritsky and Sudarev [52] also  
 899 proposed adhesion criteria able to predict the capability of a com-  
 900 pressor to collect particles. In addition, the authors give an estima-  
 901 tion of the thickness of the deposits based on experimental data.  
 902 In axial compressors, the stability deposition layer of the first  
 903 stages takes place at a layer thickness of (0.8–1.5) mm. The layer  
 904 stability is provided by the effects of air stream pressure, generat-  
 905 ing the shifting stresses on the deposition surface. At the begin-  
 906 ning, the thickness of the deposition layer is inconsiderable and  
 907 the cohesive forces between the particles and the blade metallic  
 908 surface (adhesive forces) are greater than the shifting forces. As  
 909 the deposition layer on the blade becomes thicker, the cohesive  
 910 forces between the particles decrease and the flow velocity  
 911 increases because of the narrowing of the flow section area. Equi-  
 912 librium between cohesive/adhesive forces thus ensures a definite  
 913 deposition layer thickness. Other contributions are related to the  
 914 modelization of the particle-boundary layer interaction. Numeri-  
 915 cal studies on the interaction between particle and boundary layers  
 916 are reported by Gökoğlu and Rosner [157], while El-Batsh and  
 917 Haselbacher in Refs. [158,159] studied the effect of turbulence  
 918 models on particle dispersion, deposition on turbine blade surfa-  
 919 ces, and detachment from the surfaces. Kozlu and Luis [160,161]

920 focused, respectively, on the experimental study of the deposition  
 921 of particles with a diameter in the range (1–5)  $\mu\text{m}$ , and on the  
 922 interaction between transpiration and the inertial impactation of par-  
 923 ticulates using glass particles (0.5–3)  $\mu\text{m}$ .

924 Other contributions related to particle sticking are devoted to  
 925 the study of turbine sections. Studies related to the hot particle  
 926 deposition that takes place in the turbine nozzle are widespread in  
 927 the literature, using both experimental and numerical approaches.  
 928 Turbine sections represent a critical component, especially in  
 929 aeronautical applications, since deposits and turbine section  
 930 obstruction could be highly detrimental. These contributions could  
 931 be a starting point, in terms of methodology, experimental setup,  
 932 and numerical strategy, to improve the knowledge of fouling phe-  
 933 nomena related to compressor sections. Several models exist, and  
 934 just a concise explanation is reported here.

935 The critical film height model is applied by Georgiou and  
 936 Paleos [162]. The turbine blades of gas turbines operating with  
 937 dirty fuels are sometimes covered by a very thin liquid film, which  
 938 originates from the condensation of the alkalic sulfates in the flue  
 939 gases. These films may drastically influence the collision coeffi-  
 940 cient of the impinging particles. This phenomenon influences the  
 941 future trajectories of these particles and their adhesive properties.  
 942 In the same decade, Kladas and Georgiou [163,164] applied a  
 943 method based on a stopping-distance reported in the literature.  
 944 This was able to predict particle deposition as a function of the  
 945 diffusion phenomena taking place in the boundary layer compared  
 946 with cascade characteristics [165]. Diffusive deposition is also  
 947 presented in Refs. [166,167] in relation to thermophoresis and  
 948 eddy impaction phenomena. Fackrell et al. [167] reported two  
 949 approaches for accounting the deposition of smaller particles. The  
 950 first one takes into account only the heat exchange, while, the sec-  
 951 ond one models the particle diffusion within the boundary layer  
 952 and calculates the particle deposition using the stopping distance  
 953 criterion. Sticking model and the subsequent particle deposition  
 954 mechanism due to liquid film is reported also by Nagarajan and  
 955 Anderson [168]. Their analysis refers to different coal fuel in  
 956 order to investigate the effects of the coal-ash constituents on  
 957 sticking regime.

958 The critical viscosity method is widespread in the literature.  
 959 Many authors have applied this method and validated its results  
 960 with experimental tests [169]. Critical viscosity relates particle  
 961 sticking to material-dependent properties like viscosity. In terms  
 962 of sticking probability, viscosity at or below the critical viscosity  
 963 is assumed to have a sticking probability of unity and at all other  
 964 particle temperatures. Sreedharan and Tafti [169] proposed also a  
 965 modification in order to account the transition across the critical  
 966 viscosity value. Critical viscosity method is applied for numerical  
 967 analyses on particle deposition [170–174]. Barker et al. [175]  
 968 reported the deposition on a gas turbine section using the critical  
 969 viscosity model and the critical velocity model. Singh and Tafti  
 970 [176] modified the critical viscosity model to cover particle stick-  
 971 ing at lower temperatures (lower compared to the melting temper-  
 972 ature). At lower temperatures, energy losses due to particle-  
 973 surface impact will determine whether an impacting particle will  
 974 be able to leave the surface. These energy losses are a function of  
 975 impact parameters such as the properties of particle/surface,  
 976 impact velocity, and angle. In order to account for these energy  
 977 losses due to collision, an improved model is proposed in this



978 study which accounts for both the mechanisms of collision losses  
 979 and particle temperature, to predict final sticking probability. The  
 980 model for particle rebound based on an energy-based balance  
 981 model is reported by the same authors in Ref. [177]. Other contri-  
 982 butions can be found in relation to the effects of the electrostatic  
 983 charge on particle deposition [178,179] and for evaluating the  
 984 effects of the temperature of the particle and target surface and the  
 985 turbulence intensity [180–187].

986 Regarding experimental tests, particle deposition is investigated  
 987 in order to understand the turbine section contamination and the  
 988 interaction between cooling hole and particle deposition. The  
 989 setup of the test bench and the postprocess could be useful in  
 990 understanding how to create a fouling-oriented compressor test  
 991 bench. Ahluwalia et al. [188] formulated an analytical scheme to  
 992 extract sticking coefficients from the measured weight gain data,  
 993 particle size spectrum, and particle density and composition.  
 994 Other experimental contributions can be found in Refs.  
 995 [171,173,185,189–210].

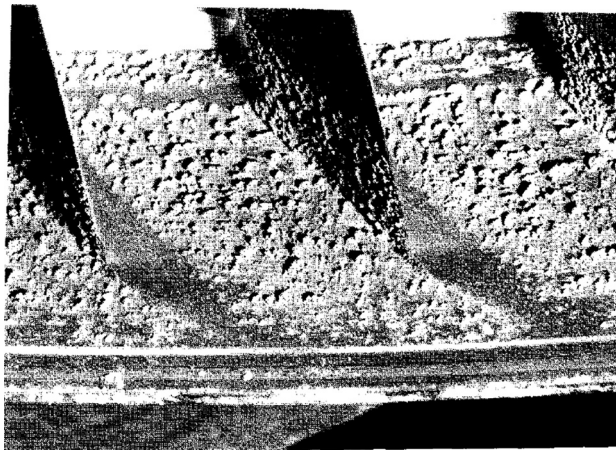


Fig. 18 Stator blade deposits [211]

996 **5.2 Experimental Analysis.** Regarding deposits on the blade  
 997 surface, the first study conducted by Aguet and Von Salis [10]  
 998 reported that the only occasion when deposits build up in air com-  
 999 pressors is during heavy rain conditions, when water can enter the  
 1000 subterranean air passage between the air intake and the inlet  
 1001 flange of the low-pressure compressor. This water is then carried  
 1002 away as droplets in the airstream and evaporates in the compres-  
 1003 sors, so that the solid particles contained therein remain on the  
 1004 blades. Another heavy-duty application is reported [90]. During  
 1005 overhaul, some fouling issues were found in the compressor sec-  
 1006 tions. Fouling of the compressor took the form of solid particles  
 1007 of dust or soot sticking to stator blading and, to a lesser degree, to  
 1008 the rotating blades. Figure 17 shows this type of deposit and  
 1009 although the rate and magnitude of fouling varied from machine  
 1010 to machine, appreciable magnitudes occurred in very few hours.

1011 A particular compressor blade deposit was found by Bultzo  
 1012 [211]. He reported that the fourth to the eighth stages were fouled  
 1013 with the pigmentation material used in paint (titanium dioxide,  
 1014 verified by X-ray diffraction). Use of a scanning electron micro-  
 1015 scope showed the layering of the primer and finished coats. The  
 1016 author concluded that since painting was in progress within 30 m  
 1017 (100 ft) of the turbine inlet, airborne aerosol-like droplets were  
 1018 being ingested by the gas turbine. After sufficient work had been  
 1019 done on the air by the axial compressor, the solvent was still con-  
 1020 tained in the droplets of aerosol, resulting in localized fouling.  
 1021 The heaviest fouling was in the sixth-stage position. As shown in  
 1022 Fig. 18, the deposited material was very tightly bonded to both the

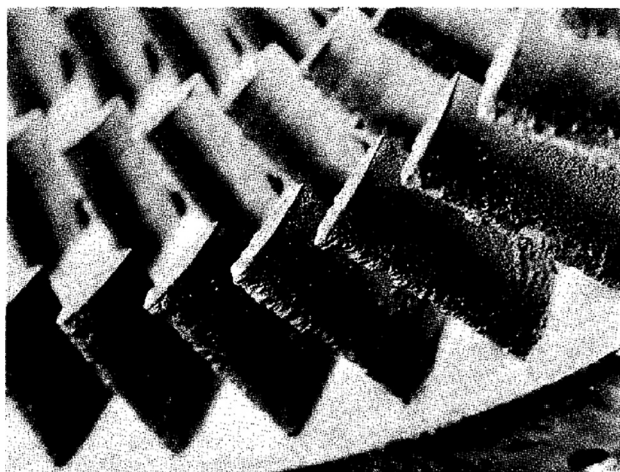


Fig. 17 Axial-flow compressor stator blading showing oily carbonaceous deposits [90]

rotating and stator blades of the axial compressor. It would have  
 been impossible to clean the rotor and the stator blades in place.

Tarabrin et al. [57] reported an investigation of compressor  
 blade contamination for a Nuovo Pignone MS5322 R(B) gas tur-  
 bine engine. This power unit operated for a long time without  
 blade washing but only the first five to six stages of 16 were sub-  
 jected to blade fouling due to deposits. Figure 19 depicts the  
 weight distribution of deposits for rotor blades (Fig. 19(a)) and  
 stator vanes (Fig. 19(b)). The inlet guide vane blades, as well as  
 the rotor and stator blades of the first stage have more deposits on  
 the blade convex side. The deposit masses on the blades of the  
 other stages are approximately equal for the convex and concave  
 side, with deposit masses decreasing from the first to the sixth  
 stage. From the seventh stage, the amount of deposits on the  
 blades is insignificant. The authors point out that the amount of  
 deposits is greater on the stator blades than on the rotor blades due  
 to the cleaning effects provided by the centrifugal forces on dirt  
 particles.

Recently, Brun et al. [67] and Perullo et al. [105] have provided  
 a detailed picture of compressor deposits. Figure 20 depicts sev-  
 eral fouled blades (convex and concave sides), reported by Brun  
 et al. [67], which have varying degrees of contamination but also  
 some common characteristics: (i) the deposits were primarily on  
 the front (convex) portion of the blade (see pictures of the front  
 and back of Blade 13 and Blade 10), (ii) the streaking patterns evi-  
 dent on all the blades suggest that the material is deposited via  
 radial flow from the root of the blade outward, and (iii) the  
 cleaned area in Fig. 20 (Blade 14) shows where a paper towel was  
 rubbed lightly on the blade to remove the material. The dirt does  
 not appear to adhere tightly to the blades. A small amount of force  
 is all that was required to dislodge the material, (iv) the leading  
 edge of the blade was cleaner than the rest of the blade. This sug-  
 gests that areas with a high velocity and incident angle are less  
 susceptible to dirt deposits. However, other blades suggest that  
 potential separation areas are less susceptible to having the dirt  
 stick, (v) some deposits, like those shown for Blade 9, appear to  
 have a substantial amount of hydrocarbon mixed in with the  
 “dirt.” Brun et al. [67], starting from a sample of blade surface  
 fouling dirt taken from various field sites, develop a representative  
 dirt formula and blade coating procedure. With this procedure it is  
 possible to generate a dirty compressor blade in agreement with  
 the actual contamination behavior and to study compressor foul-  
 ing (flow deviations, washing operations, etc.) in a wind tunnel.

Perullo et al. [105] also reported a visual inspection of IGVs  
 and first-stage compressor blades when using the F8 and E10 fil-  
 ters. The results of inspection are reported in Fig. 21. These two  
 units are located at the same site, with similar operating profiles,  
 which reduces the possibility of operating environments leading

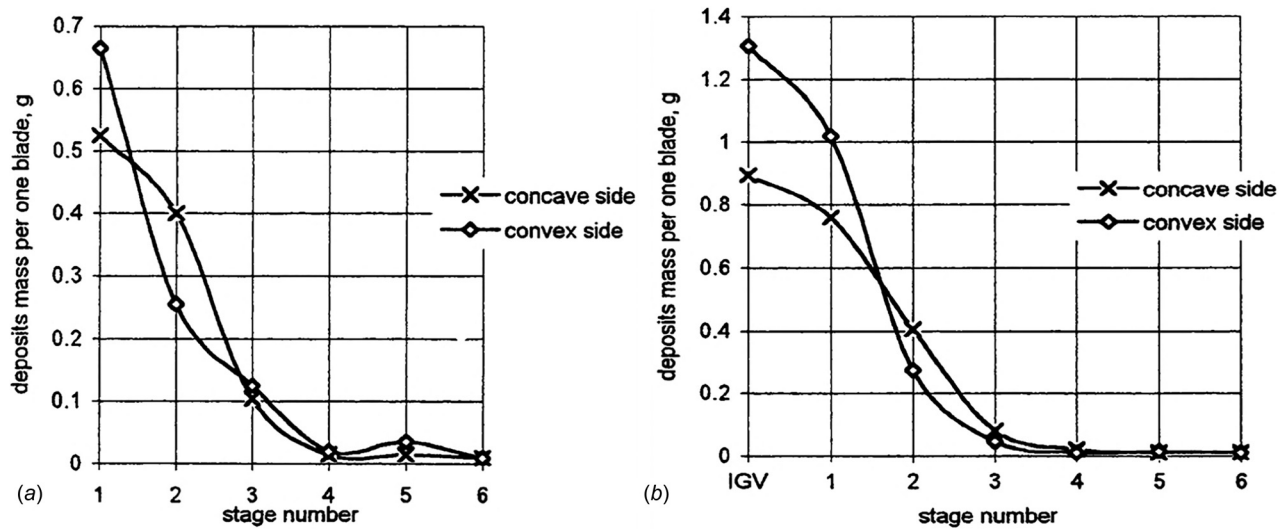


Fig. 19 Weight distribution of deposits on the convex and concave sides of the axial compressor blades: (a) rotor and (b) stator [57]

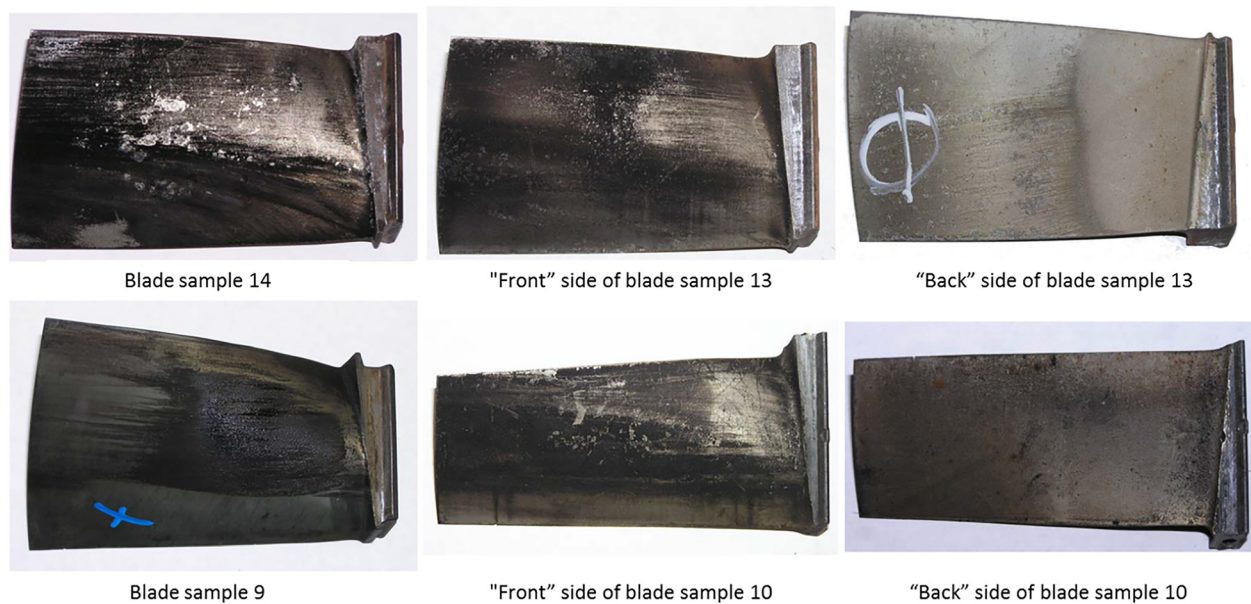


Fig. 20 Blade samples with varying degrees of contamination. Blade 9 shows deposits with a dirt mixed with hydrocarbon; blades 10 and 13 show deposits located on the front portion of the blade and blade 14 shows the manual cleaned area where the deposits are not too sticky [67].

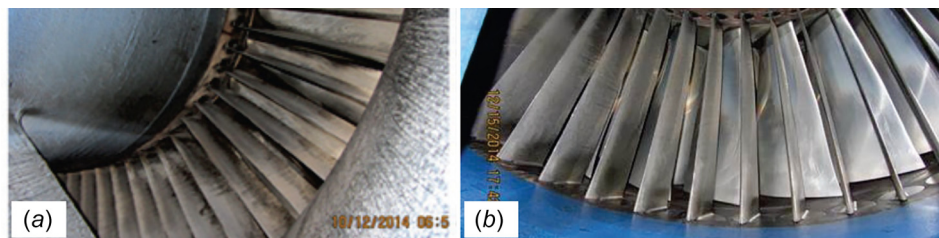


Fig. 21 Different deposit patterns after visual inspection: (a) deposits after 5000 h with two off-line washes and F8-type filter, (b) deposits after 6500 h without washes and E10-type filter. The differences in the deposit patterns are located at the leading edge zones [105].



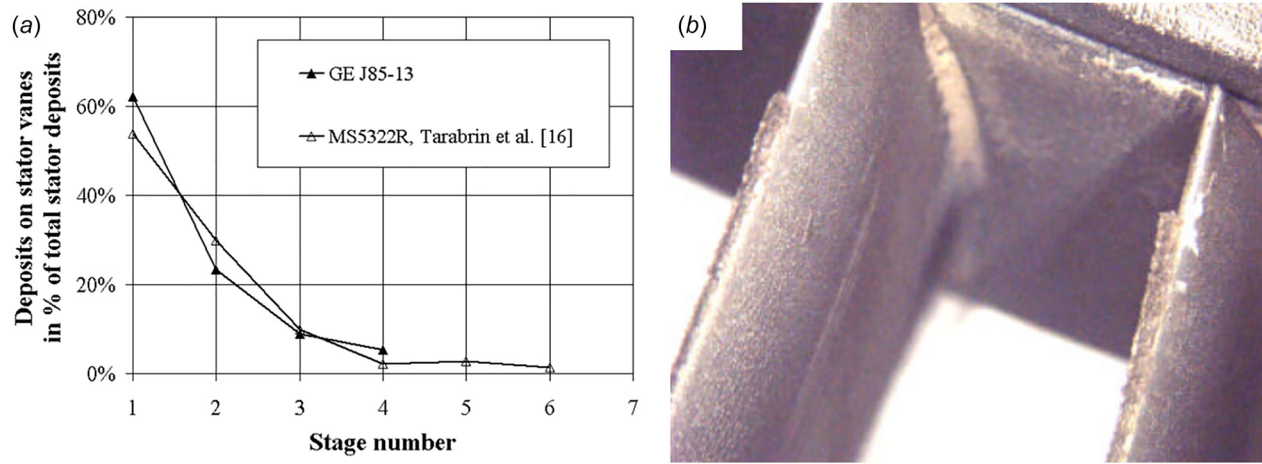


Fig. 22 Salt deposits found after experimental tests with salt ingestion: (a) percentage distribution of deposits with respect to the total stator deposits on stator vanes, (b) salt deposits at the leading edge of the second-stage stator vanes (at 6.5× magnification). The hub is at the top in this image. The partial detachment of the salt deposits close to the hub is clearly visible [212].

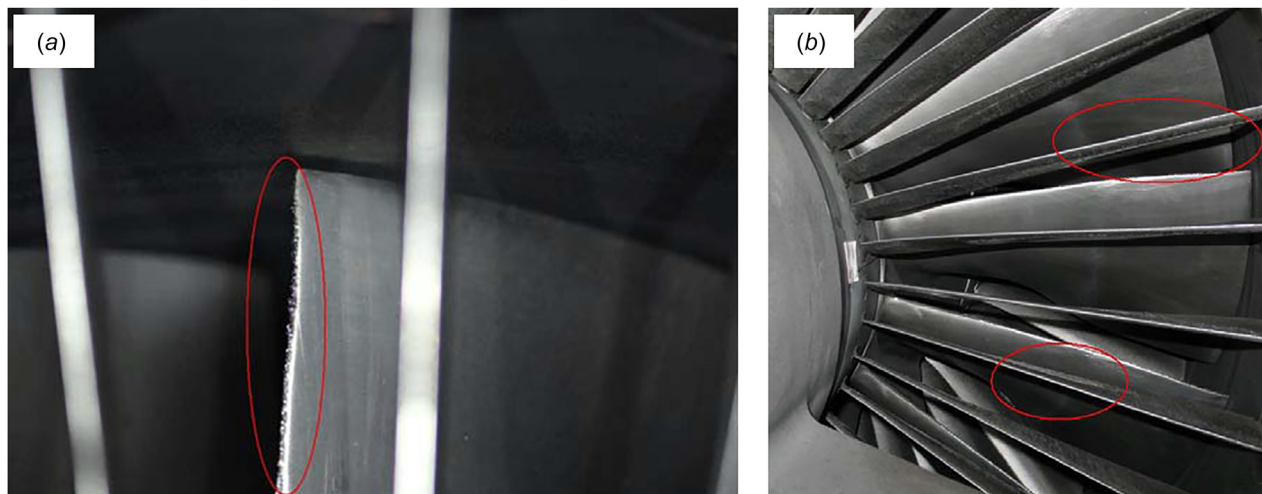


Fig. 23 (a) deposits on the leading edge of a first-stage rotor blade and (b) deposits on the inlet guide vanes [213]

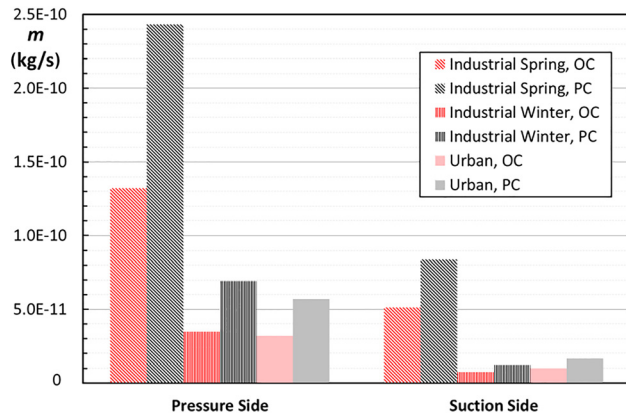
1071 to any observed differences. The F8 filter, which had two offline  
 1072 washes during 5000 h of operation showed significant fouling on  
 1073 the IGVs and first-stage blades. After 6500 h, the E10 filters  
 1074 showed little evidence of fouling compared to the F8 filter. This is  
 1075 especially noticeable when examining the leading edge of the first  
 1076 stage blades.

1077 Regarding offshore and nearshore applications, salt deposits are  
 1078 presented by Stoeckly [79]. A 10 h endurance test was performed  
 1079 to determine the effects of ingesting salt water into the engine  
 1080 inlet at a rate of one part of salt solids per million parts of air.  
 1081 Post-test inspection of the compressor revealed moderate to heavy  
 1082 dirt and salt deposits over the inner half of the first-four stages.  
 1083 The stator vanes and passages were covered with a white powdery  
 1084 substance determined to be salt. Areas in line with the salt-water  
 1085 sprays on the front frame struts had their protective coating worn  
 1086 away and were rusty.

1087 More recently, Syverud et al. [212] and Brekke et al. [213]  
 1088 showed the compressor deposits due to salt ingestion for two gas  
 1089 turbines installed in offshore platforms. Syverud et al. [212]  
 1090 reported the location of salt deposits in a General Electric J85-13  
 1091 axial compressor. The experimental tests have shown that the salt  
 1092 deposits were mainly found along the leading edge of the first-  
 1093 four stages and on the pressure side of the stator vanes along the  
 1094 hub, as reported in Fig. 22(a). The salt deposits were generated by

the salt carried by the water droplets and, for this reason signifi- 1095  
 cantly fewer deposits were observed on the rotor blades compared 1096  
 to the stator vanes due to the centrifugal force. Figure 22(b) 1097  
 depicts the salt deposits on the leading edge of the stator blade. 1098  
 Heavy leading edge deposits are probably caused by the constant 1099  
 shaft speed during salt ingestion. Close to the hub, a part of the 1100  
 deposits were broken off by the airflow probably due to the varia- 1101  
 tion of the incident angle when the compressor was tested at a dif- 1102  
 ferent rotational velocity. In the same way, Brekke et al. [213] 1103  
 report the location of salt deposits in a General Electric LM2500+ 1104  
 axial compressor. Figure 23(a) shows the salt deposits on the lead- 1105  
 ing edge, while Fig. 23(b) shows the deposits on the inlet guide 1106  
 vane. The authors point out that the apparent separation lines 1107  
 (indicated by two red ovals) between the cleaner and more heavily 1108  
 deposited areas of the vanes were typically seen on all of the inlet 1109  
 guide vanes in this unit. 1110

5.3 Numerical Analysis. Even though the first numerical cal- 1111  
 culation of particle motion was reported by Tabakoff et al. [214], 1112  
 the first conference contribution concerning theoretical and 1113  
 numerical approaches regarding particle deposition is provided by 1114  
 Agengiitürk and Sverdrup [215]. The authors present a theory 1115  
 for the prediction of deposition rates of fine particles in 1116



**Fig. 24 Contaminant mass on the blade surface with filtration system.** Contaminant mass flow rates were reported as a function of the blade side (pressure and suction), environmental condition (Industrial Spring, Industrial Winter, Urban), and charge level of the electrostatic filters (optimal charge, OC and poor charge, PC). The environmental conditions are characterized by different contaminant concentration—Industrial Spring is the most detrimental condition, while Urban is characterized by lower levels of particle concentration [219].

larger ones (1.00–1.50)  $\mu\text{m}$  determine the highest amounts of deposits on the leading edge of the compressor airfoil. The same analyses were conducted for a transonic rotor and subsonic rotor.

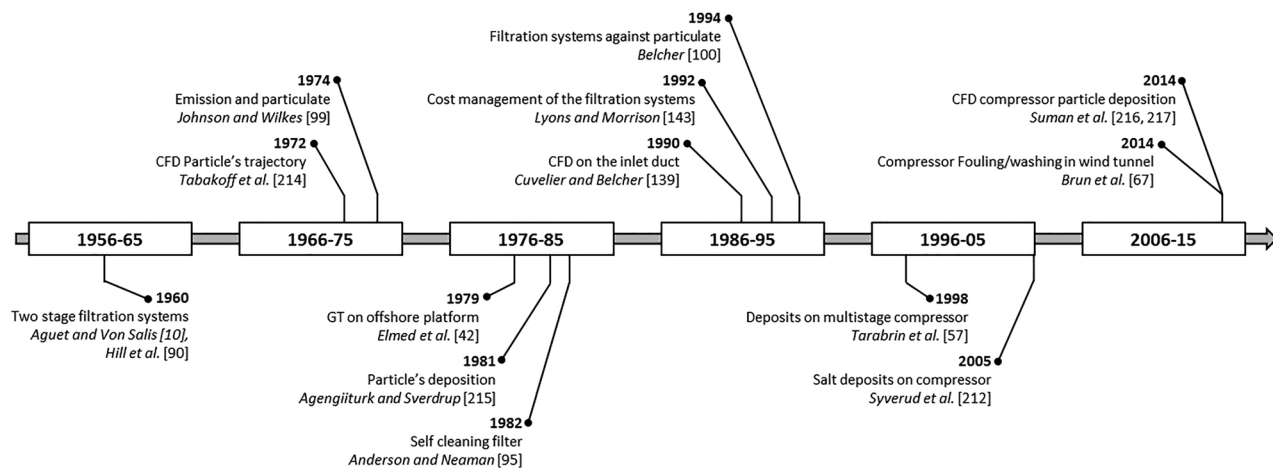
From these works, it is possible to describe the main difference involved in the two compressor types. In particular, the comparison related to the particle impact behavior can be summarized as follows: (i) for both rotors the percentage of the particles that hit the blade surface increases with the diameter of the particles but the transonic rotor is more affected by the particle impact; (ii) for both rotors, by increasing the particle diameter the pressure side is more affected by the impacts, thus the particles tend to hit the pressure side in increasing quantities as the particle diameter increases and (iii) by increasing the particle diameter the suction side is less affected by the impacts in the case of the transonic rotor, while in the case of the subsonic rotor, a particular impact pattern in the leading edge (thicker than the transonic rotor) influences the results. Starting from the results reported in Ref. [217], the authors in Ref. [219] proposed an estimation of the deposits that afflict a transonic blade surface. The quantitative analysis of the deposits on a blade surface is strongly related to: (i) actual air contamination data, (ii) actual filtration efficiency, and (iii) particle adhesion. Transonic blade surfaces appear more contaminated on the pressure side and in the leading edge area. However, even if the peak of contaminant is higher on the pressure side, the deposits on the suction side appear more distributed on the blade surface.

Suman et al. [219] also reported the influence of the electrostatic filter charge and its relationship between air contaminant concentration. Figure 24 reports the results related to blade contamination. Two conditions are reported: optimal charge and poor charge of the filtration system. The charge level influences the overall mass deposits on both of the blade sides and in particular, the optimal charge allows a consistent reduction of mass deposits. The reduction is in the range of (39–50)% depending on the environmental conditions. It is possible to observe that the characterization of the contaminant concentration in the air is more important than the filter charge. In fact, Industrial Winter and/or Urban conditions in the case of poor charge, are less dangerous than the Industrial Spring condition in the case of optimal charge.

## 6 Remarks

In the last part of this review, a brief recap of the principal contributions proposed in this work and an analysis of the contributors in fouling analysis are reported. Figure 25 shows the timeline related to the 60 years of ASME Turbo Expo proceedings and highlights the first contributions related to a particular analysis or innovation. Starting from 1960s, the first application of two-stage

two-dimensional compressible boundary layer flows. The mathematical model developed accounts for diffusion due to both molecular and turbulent fluctuations in the boundary layer flow. Particle inertia was taken into account for the particle flux near the surface. The theory was compared with a number of pipe and cascade experiments, and good agreement was obtained. This model was applied to a cascade turbine but represents the first theoretical and numerical model for studying particle deposition. Numerical studies related to the particle deposition on axial compressors are not widespread in the literature, and some analyses have only become available in the last few years. The challenges involved in this type of analysis are linked to the size of particles (submicron particle) and computational efforts. Suman et al. [216–218] reported the combination of the impact/adhesion characteristics of the particles obtained through a CFD numerical simulation and the actual size distribution of the contaminants in the air swallowed by the compressor. Their works combine the kinematic characteristics of particle impact on the blade with fouling phenomenon through the use of a quantity called *sticking probability* adopted from the literature. The analysis shows that particular fluid-dynamic phenomena such as separation, shock waves, and tip leakage vortex strongly influence the deposition pattern. The combination of smaller particles (0.15–0.25)  $\mu\text{m}$  and



**Fig. 25 Timeline showing the progress in the field of fouling contributions**



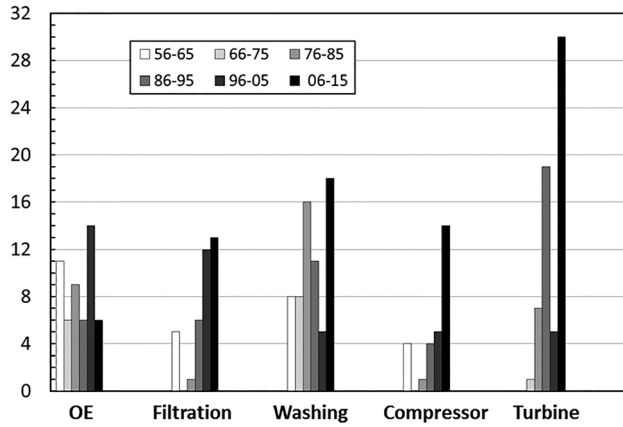


Fig. 26 Overall contributions in gas turbine fouling

contributions divided according to three main topics analyzed in this review. In detail, the operational experience and filtration systems are subdivided according to the land-based and offshore (and near shore) field of interest, while compressor deposition is divided according to experimental and numerical analyses. Land-based contributions are more numerous than offshore contributions, which refer to two main gas turbine applications—(i) in early marine gas turbine applications, offshore analyses are only related to ship installations, while (ii) starting from the 1980s, marine gas turbine applications refer in particular to platform installations. An analogous trend can be found for filtration system analyses, which involve in particular salt separation in the case of ship and platform installations. Regarding particle deposition on the compressor, experimental and numerical analyses are on the increase, even though numerical analyses have only been available since the 1990s (due to the increase in computational resources).

The last analysis is related to the contributions and contributors involved in this review. Starting from the contributions reported in this work, Fig. 28 summarizes the contributors and their affiliations. The number of papers related to the fouling issue has increased from 35 (in the first decade) to 170 (in the last decade), as reported in Fig. 28(a). This trend is related to the global conference trend, reported in Fig. 28(b), which shows the increasing number of contributions (ordinate) through the years (abscissa). In the latter decades (1996–2005 and 2006–2015) the number of papers regarding fouling represents almost 0.6% with respect to the overall total. This value is lower than that of the first three decades, when it was equal to 9.9%, 1.3%, and 1.4% for 1956–1965, 1966–1975, and 1976–1985, respectively.

Regarding affiliation, from Fig. 28(c) it is possible to note that the academic contributions cover 51% of the global production in the last decade while in the first decades, academic contributions were very scarce. Government actors are especially related to military factors (in the first decades) and to research institutes (in the last decades).

In conclusion, compressor fouling is an operational problem highlighted by the manufacturer which, over the years, has involved academic researchers in a bid to limit and manage the fouling issue. Compressor performance drops are strongly related to the fouling issue, and therefore, the reliability, performance, and efficiency of gas turbines will only reach higher levels if knowledge is improved by the use of experimental tests and numerical models.

## 7 Perspectives

Based on the ASME Turbo Expo contributions presented in 2016, it is possible to highlight the most recent research trends. In this paragraph, contributions related to compressor fouling and gas turbine hot section particle deposition are reported separately.

**7.1 Compressor.** Regarding compressor fouling the contributions can be categorized into three main topics:

filtration technology was reported [10], while in the 1970s the first numerical analysis of particle trajectory [214] and the first-air contaminant data concentration (realized specifically for the particulate analysis by Johnson and Wilkes [99]) were proposed. Subsequently, the first report on gas turbine platform installation [42] and the first numerical analysis on particle deposition [215] were reported. Filtration technology covers a very wide range of interest, in fact in almost 10 years applications related to self-cleaning filters [95], numerical investigation of the inlet duct system [139], analysis of the cost management of filtration systems [143] and new filters that work against the particulate [100] have been reported. In the last 20 years, contributions have been dedicated to compressor deposits. In fact, deposits on a multistage compressor [57] and salt deposits due to the platform operation of axial compressors [212] have been reported. Finally, experimental [67] and numerical [217–219] determinations of compressor deposits have been carried out.

As previously mentioned, Fig. 25 reports the first contributions in the different fields of interest related to the fouling issue but a different analysis can be performed by dividing all the contributions reported in this review according to the previous timeline block division. Figure 26 shows the contributions grouped into five categories: (i) operational experience and field data sources, named operational experience (OE), (ii) filtration technology and filter performance, named Filtration, (iii) washing operation and optimization, named Washing, (iv) deposits and fouling characterization on compressor sections, named Compressor, and (v) deposits and fouling characterization on turbine sections, named Turbine. From the data reported in Fig. 27, it is possible to note that operational experience is the only topic with a negative trend. Through the years, in fact, reports on gas turbine operation with special attention to the issue of fouling are even more scarce. By contrast, data and analyses related to the other fields of interest are even more numerous due to the overall increment in the number of Turbo Expo proceedings. Figure 27 reports the

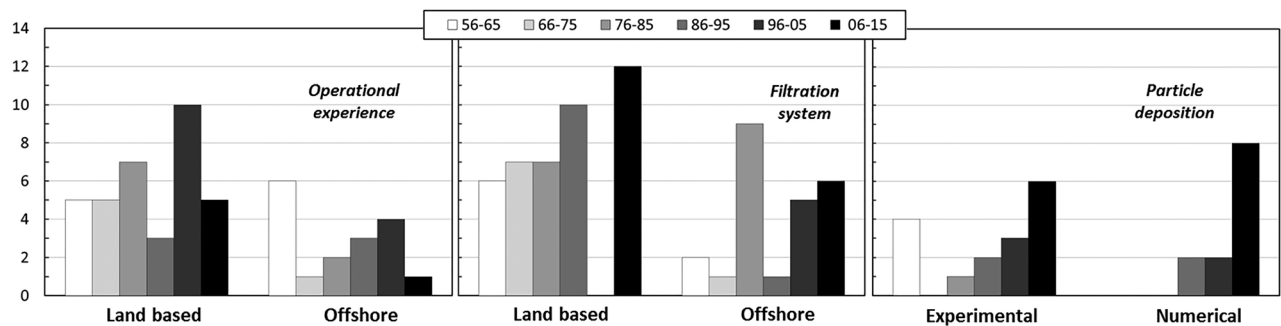


Fig. 27 Detailed subdivision of resources: operational experience, filtration system, and compressor deposition

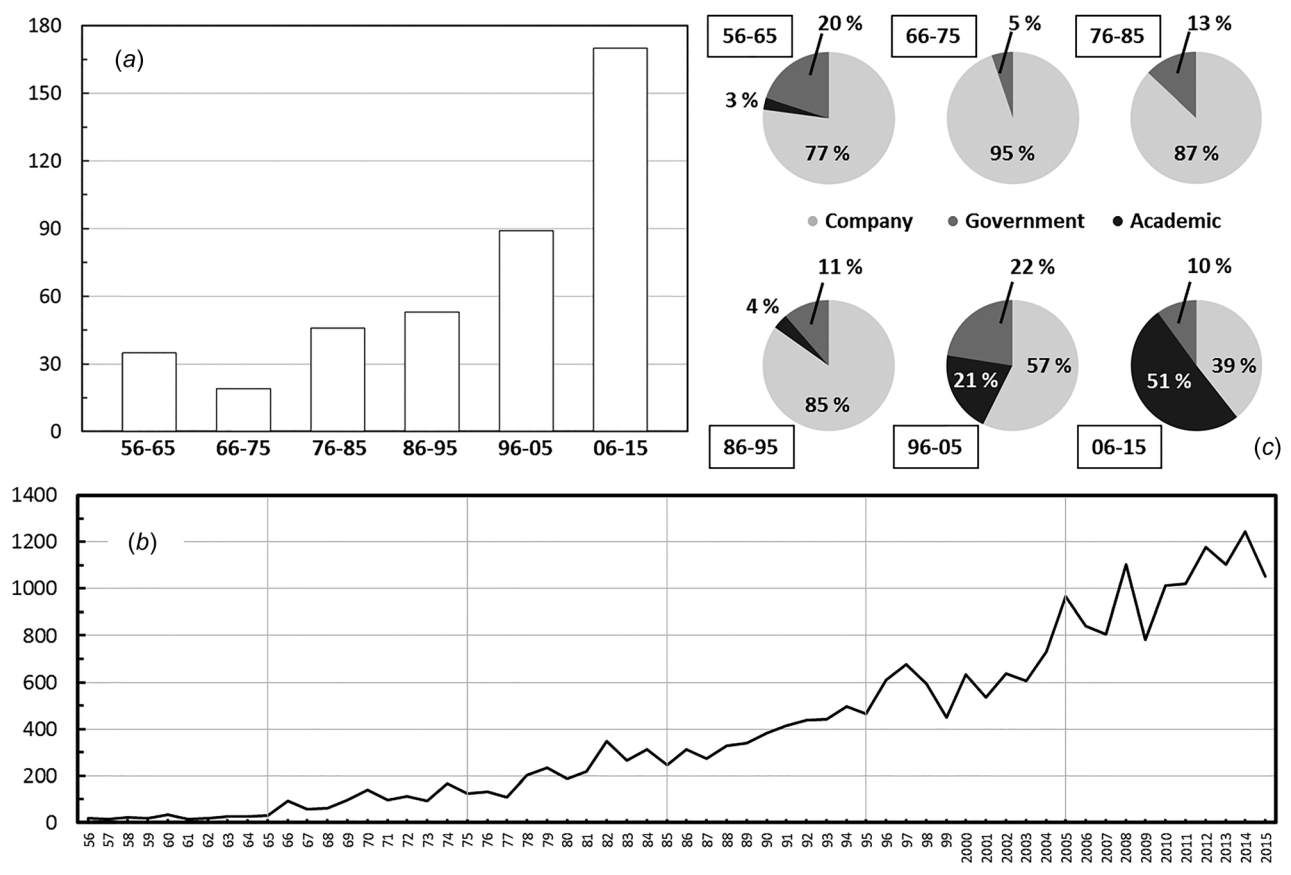


Fig. 28 Overall count of fouling contributors: (a) contributions devoted to the fouling issue, (b) overall ASME Turbo Expo contributions, and (c) affiliation of contributors involved in the study of fouling

1273 (1) Prediction models for gas turbine performance able to take  
 1274 into account compressor fouling and other degradation  
 1275 mechanisms,  
 1276 (2) Numerical and experimental applications in order to investigate which mechanisms mostly drive compressor fouling, and  
 1277 (3) Filtration systems and washing operations.  
 1278 Prediction models are reported by four papers: (i) Hanachi et al.  
 1279 [220] base their model on actual data that takes into account the  
 1280 ambient conditions (especially temperature and humidity) for estimating the compressor performance drop due to fouling effects,  
 1281 (ii) Qingcai et al. [221] proposed a gas turbine performance prediction model based on genetic algorithm of 11.8 MW three-shaft unit accounting compressor erosion and fouling phenomena, (iii)  
 1282 Qui et al. [222] proposed a geometry parametrization for dirty  
 1283 compressor blade in order to take into account the geometry  
 1284 effects (nonuniform and stochastic) due to fouling and erosion  
 1285 phenomena and finally, (iv) Roumeliotis et al. [223] realized a gas  
 1286 path analysis coupled with an economic module for the economic  
 1287 assessment of recoverable degradation maintenance actions (compressor washing, filter change, etc.).  
 1288 Numerical and experimental applications are reported by four  
 1289 papers. Only one of these refers to experimental analysis of compressor fouling [224]. Kurz et al. [224] reported an experimental investigation that provides experimental data on the amount of foulants in the air that actually stick to the blade for different conditions of the surface. The authors run experimental tests with dry and humid conditions. Numerical analysis is reported by Suman et al. [225] related to a subsonic axial compressor blade performing an analysis in line with that reported in Ref. [219]. Aldi et al. [226] studied the particle deposition in a transonic axial compressor stage, based on the model reported in Refs. [216,217], coupled with a particular treatment of particle data across the interface

1303 between the rotor and stator. Finally, Saxena et al. [227] reported  
 1304 numerical simulations of erosion phenomena in a multistage axial  
 1305 compressor. A general overview related to fouling phenomena is  
 1306 reported in Borello et al. [228], where the authors provided a wide  
 1307 investigation into the fouling issues involved in a modern power  
 1308 plant (subsonic compressor, turbine vane, internal cooling channel,  
 1309 and extraction fan).

1310 Filtration and washing applications are based on three papers.  
 1311 Two of these refer to off-shore applications, namely Madsen and  
 1312 Bakken [229], who focused on multiple stage filtration systems  
 1313 and Luan et al. [230], who focused on wave-plate separators.  
 1314 Schirmeister and Mohr [231] provided the only contribution  
 1315 related to land-based gas turbine installation. They present a quantification of the effect of different air filter classes on the performance degradation of 12 gas turbines from six different power stations.  
 1316  
 1317  
 1318

1319 **7.2 Turbine.** Regarding particle deposition and fouling issue  
 1320 in gas turbine hot sections, several contributions are present. In  
 1321 this case, two main topics are present:

- 1322 (1) numerical applications and analytical models to predict particle deposition, and
- 1323 (2) experimental analysis.

1324 An analytical model able to predict particle deposition is  
 1325 reported by Casari et al. [232], where the authors propose an innovative model based on an Arrhenius-type equation able to predict  
 1326 the particle deposition on a gas turbine section. The model is  
 1327 based on experimental data reported in the literature that refers to  
 1328 several types of materials. Bons et al. [233] defined a new deposition model that includes elastic deformation, plastic deformation,  
 1329 adhesion, and shear removal, and it is validated against five literature cases. This model is applied in the numerical simulations  
 1330  
 1331

performed by Prenter et al. [234] for particle deposition in a cooled high-pressure turbine stage. Agati et al. [235] reported a numerical modelization of particle deposition that occurs in gas turbine hot sections over a wide temperature range. Their model is able to account for particle deposition from 500 K to 1500 K. The transition between these two extreme conditions is modeled through a temperature-driven modification of the mechanical properties of both particles and target surfaces. Finally, an innovative numerical strategy for particle tracking in secondary air systems is presented by Forsyth et al. [236], and Boulanger et al. [237] generated a statistical model to predict the effect of gas path temperature (up to 1100 °C) and target angles. The authors estimated the uncertainty due to the surface temperature and particle injection rate based on the experimental results.

Regarding experimental applications, authors focus mainly on the interaction between the particle deposition and film cooling holes and their relative effects. Whitaker et al. [238] performed an experimental campaign to discover how particulate loading, particle size, and temperature affect the deposition and flow blockage development in an impingement-film cooling turbine section. Lundgreen et al. [239] provided an experimental test of dust deposition on a gas turbine cascade with a film cooling hole. Working up to 1350 °C as a temperature inlet, the authors show different deposition patterns on the turbine nozzle. Wylie et al. [240] reported the results of particle deposition in the internal cooling passage of a high-pressure turbine. The authors perform particle deposition using actual volcanic ash. Experimental deposition on film cooling holes is also reported in Wang et al. [241].

**7.3 Vision.** From the previous analyses, it emerges that recent research is mainly focused on the modelization of particle deposition, especially for gas turbine hot sections. Models should be able to predict particle deposition based on basic boundary conditions such as gas temperature, materials, and contaminant dimension. Numerical analyses are used as a tool for matching the numerical results obtained using the deposition model and the actual experimental data. Experimental tests are devoted to discovering the interaction between the particle deposition and film cooling and the effects of deposits on cooling holes and channels.

Analyses and tests related to compressor fouling remain uncommon in the literature. Although fouling issues for land-based and off-shore gas turbines are detrimental, the difficulties involved in this type of analysis lead to a real lack of contributions to this field. Dry and humid conditions coupled with contaminant type are the main contributors to fouling. Experimental analyses and numerical models have to take into account the effects of the presence of third material (such as water, oily substances, etc.) at the particle/surface interface, implying several difficulties in the modelization of compressor fouling. These aspects represent the upcoming challenges, considering that both experimental and numerical analyses have to reflect the actual condition in which power units operate.

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