

Food and Bioproducts Processing

Antioxidant and antimicrobial extracts obtained from agricultural by-products: strategies for a sustainable recovery and future perspectives.

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| Manuscript Number: | FBP-D-20-00287 |
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| Keywords: | Cereals; by-products; bound phenolics; antioxidant; antimicrobial |
| Abstract: | <p>Durum wheat and rice brans are by-products deriving from the milling industry and source of important phytochemicals, mostly phenolics in bound form. The objective of this work was to exploit the agricultural waste of two Italian cereal industries, in order to evaluate the most effective method for the extraction of phenolic acids with antioxidant and antimicrobial activity. Free phenolics were extracted with ultrasound-assisted extraction and bound phenolics with alkaline hydrolysis and ultrasound-assisted alkaline hydrolysis. Extracts were analyzed with HPTLC (high-performance thin-layer chromatography) and RPHPLC-DAD-ESIMS (reversed-phase high-performance liquid chromatography-diode array detector-electrospray ionization mass spectrometry); the content of trans-ferulic acid, p-coumaric acid and total phenolics were also quantified. The antioxidant activity was investigated using DPPH and ABTS radicals. The antimicrobial activity was evaluated against <i>Staphylococcus aureus</i> and <i>Staphylococcus epidermidis</i> strains. Results obtained using ultrasound-assisted alkaline hydrolysis showed the most interesting data, suggesting this method as the most effective to obtain active extracts from rice and durum wheat by-products. Durum wheat, extracted with this method, exhibited the highest content of trans-ferulic acid ($406.14 \pm 0.65 \mu\text{g FA/mg extract}$), total phenolics ($610.58 \pm 57.60 \text{ mg GAE/g dried extract}$) and good antioxidant and antimicrobial activities and it has been selected to formulate oil-in-water cosmetic products.</p> |

Highlights

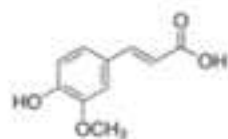
- 1) Durum wheat and rice brans are sources of bound phenolic acids whose extraction can be improved by combining ultrasonication to alkaline hydrolysis;
- 2) Extracts obtained with ultrasound-assisted alkaline hydrolysis demonstrated higher antioxidant activity compared to the commonly used chemical hydrolysis, despite the reduction of extraction time;
- 3) Durum wheat extract obtained with ultrasound-assisted alkaline hydrolysis demonstrated to be a promising ingredient for cosmetic products.

Cereal by-products valorisation



1. Cereal by-products

a) Free phenolics



b) Bound phenolics

a) Chemical analyses



b) Biological activities



4. Cosmetic formulation

2. Extraction strategies

3. Experimental

22 *Abstract*

23 Durum wheat and rice brans are by-products deriving from the milling industry and source of important phytochemicals,
24 mostly phenolics in bound form. The objective of this work was to exploit the agricultural waste of two Italian cereal
25 industries, in order to evaluate the most effective method for the extraction of phenolic acids with antioxidant and
26 antimicrobial activity. Free phenolics were extracted with ultrasound-assisted extraction and bound phenolics with
27 alkaline hydrolysis and ultrasound-assisted alkaline hydrolysis. Extracts were analyzed with HPTLC (high-performance
28 thin-layer chromatography) and RPHPLC-DAD-ESIMS (reversed-phase high-performance liquid chromatography-diode
29 array detector-electrospray ionization mass spectrometry); the content of *trans*-ferulic acid, *p*-coumaric acid and total
30 phenolics were also quantified. The antioxidant activity was investigated using DPPH and ABTS radicals. The
31 antimicrobial activity was evaluated against *Staphylococcus aureus* and *Staphylococcus epidermidis* strains. Results
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34 exhibited the highest content of *trans*-ferulic acid ($406.14 \pm 0.65 \mu\text{g FA/mg extract}$), total phenolics ($610.58 \pm 57.60 \text{ mg}$
35 $\text{GAE/g dried extract}$) and good antioxidant and antimicrobial activities and it has been selected to formulate oil-in-water
36 cosmetic products.







37
38 *Keywords*

39 Cereals; by-products; bound phenolics; antioxidant; antimicrobial

40
41 *Abbreviations*¹

¹ AH: Alkaline hydrolysis; FA: *trans*-ferulic acid; *p*-CA: *p*-coumaric acid; UAAH: Ultrasound-assisted alkaline hydrolysis; UAE: ultrasound-assisted extraction.

43 1. Introduction

44 *Triticum durum* (Desf.) and *Oryza sativa* L. are, after the primacy of maize, the two most produced crops worldwide and
45 they contribute to more than a half of human dietary calories. Counting a production between 9 and 24 MMT in 2014,
46 Europe contends the lead as the largest cereal waste producer together with USA and industrialized Asia (FAO, 2011);
47 moreover, Italy is one of the major European cereal producers. Faced with the issue of food waste management, the
48 exploitation of industrial wastes becomes a priority, as suggested by the European “Circular Economy Action Plan”
49 (European Commission 2015). Cereal by-products, coming from the cereal supply chain, are residues from the milling
50 industry and consist predominantly of brans and germs. The recovery of biomolecules from cereal wastes has become a
51 hot topic in the last years but the extraction techniques proposed in literature are still unsustainable and require long
52 working times. Liyana-Pathirana and Shahidi (2005) optimized the extraction of phenolics from wheat using response
53 surface methodology, with the same e Zhu et al. (2011) used various ethanol concentration, but both techniques
54 proposed are focused on the soluble phenolic fraction.  and phenolics from cereal by-products have been successfully
55 extracted with acid and alkaline hydrolysis by Kim et al. (2006) and Zaupa et al. (2014) using strong hydrolysis conditions
56 which can potentially be improved from the point of view of extractive timing and sustainability. Phenolics in cereal bran
57 are, in fact, predominantly bound to cell wall arabinoxylans and *trans*-ferulic acid (FA) is the most abundant phenolic
58 that characterizes cereal brans. Acosta-Estrada (2011) reported various bound phenolic percentages in wheat and rice
59 brans which were calculated to be between 60 and 80% of the total phenolic content; the hydrolysis step is, thus, required
60 to extract the majority of cereals bran phenols. Recent studies focused on the extraction of bound phenolic from plant
61 matrices (Gonzales et al., 2014; Burlini et al., 2020) suggest the use of ultrasounds in association with alkaline hydrolysis
62 in order to enhance bound phenolic extraction and  the extraction time. Ultrasonication causes the acoustic cavitation
63 effect on the plant matrix and permits a greater  penetration of the solvents into the sample with mechanical effects. The
64 contribution of the sonication effect during hydrolysis conditions has been investigated in the present study in order to
65 apply a more sustainable extraction method, from durum wheat and rice brans, compared to those commonly used.
66 Phenolics from cereal brans exhibited strong antioxidant activity in various literature reports (Esposito et al., 2005;
67 Abozed et al., 2014); moreover, a 0.5% of ferulic acid, in tion to 15% L-ascorbic acid and 1% alpha-tocopherol
68 increased the stabilization of a UV protector topical preparation (Murray et al., 2008). The antimicrobial activity of
69 various wheat brans has been demonstrated by Elhassan et al. (2017) towards gram-positive and gram-negative *bacteria*.
70 In 2013, Borges et al. explained that ferulic acid antibacterial anism of action is due to hydrophobicity, decrease of
71 negative surface charge and occurrence of local rupture and pore formation which cause irreversible changes in the cell
72 membranes. The antioxidant and antimicrobial activities of the extracts have been therefore evaluated to find possible
73 applications of cereal by-products in the dermo-functional and cosmetic industries.

74

75 2. Materials and Methods

76 2.1. Plant material

77 *Triticum durum* (Desf.) bran was provided by Molino Grassi (Parma – Italy) and obtained after decortication of durum
78 wheat caryopsis; *Oryza sativa* L. bran was provided by Grandi Riso S.p.a (Pontelagorino, Ferrara – Italy) and obtained
79 after the rice husking phase. After being received, all plant materials were stocked at -20°C until used. Prior to any
80 extraction, all plant materials were milled through a 2 mm sieving ring of a Variable Speed Rotor Mill (Fritsch, Germany).

81

82 2.2. Chemicals

83 All the solvents and reagents employed for analyses were chromatographic grade. *Trans*-ferulic acid standard, Trolox,
84 DPPH (1,1-diphenyl-2-picrylhydrazil), ABTS (2,2'-azino-bis (3-ethylbenzothiazoline-6-sulphonic acid)), ethanol, ethyl
85 acetate, ethanol, formic acid, acetic acid, toluene, natural products-polyethylene glycol reagents (NP/PEG), Folin &
86 Ciocalteu's Reagent and gallic acid were purchased from Sigma-Aldrich Italy (Milano, Italy). Muller Hinton Broth
87 (MHB) and Muller Hinton Agar (MHA) were obtained from Oxoid S.A. Madrid (Spain). Two clinical isolates of
88 *Staphylococcus aureus* (Methicillin-Resistant *Staphylococcus Aureus* 185087 and Methicillin-Sensitive *Staphylococcus*
89 *Aureus* 185960) and one clinical isolate of *Staphylococcus epidermidis* (185240) were obtained from the Hospital
90 Universitario Reina Sofia (Córdoba, Spain). One reference strain of *Staphylococcus aureus* (ATCC 29213) Culti-Loops
91 was obtained from (Oxoid S.A. Madrid, Spain). All antimicrobial agents were purchased from Oxoid (Oxoid, S.A.
92 Madrid, Spain).

93 2.3. Ultrasound-assisted extraction (UAE)

94 In order to extract free phenolics from cereal by-products matrixes, 5 g of each bran were placed into a volumetric flask
95 (100 mL), filled with a 65% ethanolic solution as extraction solvent and sonicated at room temperature. Ultrasound-
96 assisted extractions were performed in an ultrasonic cleaning bath (Ultrasonik 104X, Ney Dental International,
97 MEDWOW, Cyprus) under a working frequency of 48 kHz. Wheat bran extraction time was 25 minutes in according to
98 Wang et al. (2008); rice bran extraction time was 45 minutes in according to Tabaraki et al. (2011). The obtained extracts
99 have been filtered, the ethanol was removed with a rotary evaporator (100 rpm, 25°C) and finally extracts lyophilized
100 until use.

101 2.4. Bound phenolics extractions

102 Bound phenolic compounds were extracted as reported by Verma et al. (2009) from all UAE residues, which have
103 previously had the free phenolics removed. Briefly, 2.5g of each matrix was hydrolyzed with 50 mL of 2M sodium
104 hydroxide at room temperature for 1 h (alkaline hydrolysis, AH). After alkaline hydrolysis, the pH of the mixture was
105 adjusted to 3 with 6N HCl. Bound phenolics were then extracted three times with ethyl acetate. The ethyl acetate extracts
106 were evaporated to dryness under a stream of nitrogen at room temperature and the residue was dissolved again in ethyl
107 acetate, centrifuged 10 minutes at 4000 rpm. All samples were evaporated to dryness under a stream of nitrogen at room
108 temperature and finally weighed to calculate the extraction yields. Bound phenolics were also extracted coupling
109 sonication to alkaline hydrolysis (ultrasound-assisted alkaline hydrolysis, UAAH) as described by Gonzales et al. (2014),
110 thus following the same procedure described above for the alkaline conditions but for 30 min instead of 60. After the
111 extraction, all samples were evaporated to dryness under a stream of nitrogen at room temperature and finally weighed to
112 calculate the extraction yields.

113 2.5. High Performance Thin Layer Chromatography (HPTLC)

114 HPTLC silica gel 60 F₂₅₄-precoated high performance thin layer chromatographic plates (CAMAG, Muttenz, Swiss) were
115 used for the analyses with the Linomat V automatic sampler (CAMAG). WinCATS Planar Chromatography Manager
116 software (CAMAG) was used for the analyses. Twin Trough Chambers (20x10 cm) have been used and pre-saturated for
117 20 min with the eluent mixture.
118 8 µl of each free phenolics extracts (20 mg/mL in 60% ethanolic solution) were put on the chromatographic plate using
119 the automatic sampler. The chromatographic separation of free phenolics have been performed following the guidelines

123 of Wagner and Bladt (2009) with some modifications. A two steps elution has been performed. First phase: ethyl acetate/
124 formic acid/acetic acid/water (100:11:11:20); and mobile phase: toluene/ethyl acetate/acetic acid (100:90:10). For the
125 chromatographic separation of bound phenolic acids, the method described by Barberousse et al. (2008) was chosen with
126 some modifications. 8 µl of each bound phenolics extracts (7 mg/mL ethyl acetate) were put on the plate using the
127 automatic sampler. The mobile phase was: chloroform:ethyl acetate/methanol (7:2.5:0.5) + 10% acetic acid. Plates were
128 captured at 254 nm and 366 nm before and after derivatization with NP/PEG in order to highlight the presence of phenolic
129 compounds with TLC Visualizer (CAMAG).

130

131 2.6. (HP)TLC bioautographic assay for radical scavenging activity evaluation

132 HPTLC bioautographic assay was employed to determine antioxidant compounds of the extracts using DPPH radical and
133 ABTS, following the method described by Rossi (Rossi et al., 2011). Each extract was applied to HPTLC plates as
134 described for HPTLC analyses. After development, plates were sprayed with DPPH (i) and ABTS (ii).

- 135 (i) After development plates were sprayed with a methanolic solution of 2,2-diphenyl-1-picryl-hydrazyl radical
136 (2 mg/mL) and photographed at visible light after 30 min;
- 137 (ii) After development, plates were sprayed with 2,2'-Azino-bis(3-ethylbenzothiazoline-6-sulfonic acid)
138 solution obtained after the reaction of 2 mM ABTS and 70 mM of potassium persulfate (K₂S₂O₈) and
139 immediately photographed at visible light.

140

141 2.7. RP-HPLC-DAD-MS Analyses

142 The analyses of phenolic acid extracts for the identification and the quantification of FA and *p*-CA acids were performed
143 using a Waters modular HPLC system (MA, model 1525) coupled to a diode array detector (model 2998) and a
144 FinniganMAT LCQ (TermoQuestCorp./FinniganMAT; San Jose, CA) mass spectrometer module linked to an injection
145 valve with a 20µL sampler loop, following the method described by Robbins & Bean (2004). Separation of phenolic
146 acids was achieved with a Luna C18 column (Phenomenex, 250 x 4.6 mm; particle size 5 µm); the mobile phase consisted
147 of methanol (B) and 0.1% aqueous formic acid (A) as the binary solvent system. The solvent gradient in volumetric ratios
148 was as follows: 5–30% B over 50 min, held at 30% B for an additional 15 min; at 65 min the gradient was increased to
149 100% B and held at 100% B for an additional 10 min to clean up the column. The column was thermostatically controlled
150 at 30°C. The mass experiments were carried out on a FinniganMAT LCQ (TermoQuest Corp./FinniganMAT; San Jose,
151 CA) mass spectrometer module, equipped with an ion trap mass analyzer and an ESI ion source electrospray, in negative
152 ion mode. For ESI-MS and MS² experiments, the parameters were set as follows: the capillary voltage was 3.5 kV, the
153 nebulizer (N₂) pressure was 20 psi, the capillary temperature was 300°C, the auxiliary gas (N₂) flow was 9 l/min, and the
154 skimmer voltage was 40 V. The mass spectrometer was operated in the negative ion mode in the *m/z* range 100–1000.
155 Injection volume was set to 20 µl. Dedicated JASCO software (ChromNAV ver 2.02.01) was used to calculate peak area
156 by integration. Following chromatogram recording, sample peaks identification was carried out by comparison of UV
157 spectra and retention time (RT) with those of the pure standard. Each tested extract was prepared in a methanolic solution
158 (methanol/water, 80:20) at the concentration of 0.5 mg/mL. Four different concentration of *trans*-ferulic acid and *p*-
159 coumaric standard were prepared in methanol (5 - 500 µg/mL) and each solution was injected in triplicate. The obtained
160 calibration graphs allowed the determination of *trans*-ferulic and *p*-coumaric acids concentrations. Limit Of Detection
161 (LOD) and Limit Of Quantitation (LOQ) were calculated following the approach based on the standard deviation of the
162 response and the slope as presented in the “Note for guidance on validation of analytical procedures: text and
163 methodology”, European Medicine Agency ICH Topic Q2 (R1).

164

165 2.8. Total phenolic content

166 The Folin–Ciocalteu spectrophotometric assay was used to determine total phenolic content all extracts with a
167 ThermoSpectronic Helios-γ spectrophotometer and performed according to previously described methods (Singleton et
168 al., 1999; Tacchini et al., 2018). The mean of three readings was used and the total phenolic content was expressed as mg
169 of gallic acid equivalents (GAE)/ g of dried extract. Bound phenolics percentage was calculated with the following
170 formula: bound phenolics % = $(\text{TPC}_{\text{bound}} \times 100) / (\text{TPC}_{\text{bound}} + \text{TPC}_{\text{free}})$.

171

172 2.9. DPPH radical scavenging activity

173 The method of Cheng et al. (2006) was followed to evaluate the radical scavenging activity of the extracts with DPPH
174 (1,1-diphenyl-2-picrylhydrazyl) spectrophotometric assay. Extracts were tested at the following concentrations: UAE
175 48.88 - 3000 µg/mL; AHH 1.95 - 125 µg/mL; UAAH: 0.49 - 31.25 µg/mL. Eight different concentrations of Trolox (20 -
176 0.16 µg/mL) were prepared and used as positive control. After 40 min of incubation in the dark at room temperature,
177 microplates were analyzed with a microplate reader (Biorad, 680 XL) the absorbance was read at 515 nm in triplicate
178 against a blank. The DPPH inhibition in percentage was determined by the following formula: IDPPH% = $[1 - (A1/A2)]$
179 X 100; where A1 was the DPPH absorbance with the extracts and A2 without extracts. Antioxidant activity of the extract
180 was expressed as IC₅₀, concentration providing 50% inhibition of the radical, and calculated as described by Nostro et al.
181 (2016). All experiments were assessed in triplicate and values were reported as mean ± standard deviation.

182

183 2.10. ABTS radical scavenging activity

184 The ABTS, 2,2'-azino-bis (3-ethylbenzothiazoline-6-sulphonic acid), radical scavenging activity was performed using the
185 method of Horswald and Andlauer (2011). The same concentrations prepared for the previously described DPPH assay
186 were used for both extracts and Trolox. 7 mmol/L aqueous solution of ABTS (10 mL) and 51.4 mmolL⁻¹ aqueous solution
187 of K₂S₂O₈ (0.5mL) were used to obtain a radical cation solution that has been adjusted spectrophotometrically to 0.7 ±
188 0.05 at 734 nm. After 6 min of incubation in the dark at room temperature, microplates were analyzed with a microplate
189 reader (Biorad, 680 XL) and the absorbance was read at 734 nm in triplicate and against a blank. Antioxidant activity of
190 the extract was expressed as IC₅₀, the concentration providing 50% radical inhibition, and calculated as described for
191 DPPH. All experiments were assessed in triplicate and values were reported as mean ± standard deviation.

192

193 2.11. Antimicrobial susceptibility test

194 The antimicrobial susceptibility of the *bacteria* was determined on Mueller–Hinton agar (Oxoid S.A. Madrid, Spain)
195 using the disk diffusion method. Six different antimicrobial agents, widely used in human clinical, were studied:
196 ampicillin (10 µg/disk), penicillin (10 µg/disk), chloramphenicol (30 µg/disk), kanamycin (30 µg/disk), ciprofloxacin (5
197 µg/disk) and doxycycline (30 µg/disk). *Staphylococcus aureus* reference strain ATCC 25923 was used as a quality
198 control. Each antimicrobial agent has been tested against all bacteria strains and incubated overnight at 37°C. The
199 measurement and interpretation of growth inhibition diameters was performed following the CLSI guidelines for human
200 antimicrobial susceptibility tests for human pathogens (CLSI, 2015).

201

202 2.12. Microdilution assay for MIC calculation

203 The antimicrobial activity test was performed on the most interesting extracts in terms of FA content because of its already
204 well-known antimicrobial properties described in literature by Borges et al. (2013). Minimum Inhibitory Concentration


205 (MIC) of hydrolyzed extracts were determined using the microbroth dilution method. One reference strain (ATCC 29213),
206 two clinical isolates strains of *Staphylococcus aureus* (Methicillin-Resistant *Staphylococcus aureus*, MRSA 185087 and
207 Methicillin-Sensitive *Staphylococcus aureus* MSSA 185960) and one clinical isolate of *Staphylococcus epidermidis*
208 (185240) were used for the antimicrobial activity assay of alkaline hydrolyzed extracts. The MICs were determined
209 through microdilution method in accordance with the Clinical and Laboratory Standards Institute (CLSI, 2015). All strains
210 have been sub-cultured overnight in Muller-Hinton Agar (MHA) before testing. An inoculum was prepared for each
211 *bacterium* using a 0.85% saline solution and adjusting its OD_{595nm} to 0.08 ± 0.1 (1 x 10⁸ CFU/mL). 100 µL of each extract
212 was put in a sterile u-shaped 96-well PS-microplate (Greiner bio-one, USA) and twelve different concentrations (serial
213 twofold dilutions) were tested (2048 µg/mL) and the same volume of *bacteria* has been put in the microplates;
214 penicillin has been used as positive control and eight different concentrations of it (4 ± 0.06 µg/mL) were tested too.
215 *Bacteria* suspensions without extracts and Muller-Hinton Broth (MHB) were used as controls. The microplates have been
216 then incubated overnight at 37°C. MIC results were determined as the lowest concentrations of extracts at which no
217 *bacteria* growth was detected. A re-count of *bacteria* concentration was performed after each test by diluting 10 µL of
218 each bacteria suspension (negative control) in 10 mL of sterile water: 100 µL of this final suspension have been put on
219 MHA plate at 37°C for 24 hours in order to count the CFU. All tests have been performed in triplicate and compared with
220 controls.

2.13. Cosmetic formulation


223 Starting from the interesting antioxidant and antimicrobial activity results obtained, one extract has been selected to be
224 inserted in a cosmetic formulation, in order to propose a practical application of cereal by-products in the cosmetic market.
225 We decided to formulate the finished products using durum wheat bran UAAH extract in two concentrations: 0.3% (F1)
226 and 0.5% (F2).

227 The phenolic extract was included in cosmetic formulations (oil-in-water emulsion) with the following INCI
228 (International Nomenclature for Cosmetic Ingredients):

- 229 - *Aqua, Cocoglycerides, Coco-caprylate, Glycerine stearate citrate, Glyceryl stearate, Glycerin, Cetearyl alcohol,*
230 *Benzyl alcohol, Ethylhexylglycerin, Durum wheat UAAH extract (INCI: Triticum turgidum durum seed extract),*
231 *Xanthan gum.*

232 A common oil-in-water base protocol was used for all formulations. Phase 1 (hydrophilic) was prepared by weighting
233 each raw material and heating the phase up to 70°C. Phase 2 (lipophilic) was prepared and heated up to 40°C and
234 subsequently added to phase 1 by pouring the lipophilic phase into the hydrophilic one with the use of a turboemulsifier
235 mixer (Silverson®). lly, the emulsion obtained was divided into aliquots to add phase 3 (extract). The final pH was
236 adjusted within the range 5- 5.5 to which all preservative systems work best and to make the preparations suitable for
237 topical application. The stability of the cosmetic products was evaluated at both ambient temperature and at 40°C in oven
238 for 1 month.

2.14. Photochemiluminescence (PCL) method

241 The PCL assay, based on the methodology of Popov and Lewin (1994), was used to measure the antioxidant activity of
242 cosmetic products and of the selected extract in collaboration with Ambrosialab Srl (www.ambrosialab.it). The
243 antioxidant activity was measured with a Photochem. apparatus (Analytik Jena,Leipzig, Germany) against superoxide
244 anion radicals generated from luminol, a photosensitizer, when exposed to UV light (Double Bore. phosphorus lamp,
245 output 351 nm, 3 mWatt/cm²), using ACL (Antioxidant Capacity of Liposoluble substance) kit designed to measure the

246 antioxidant activity lipophilic compounds (Bosov and Lewin, 1994). In ACL studies, the kinetic light emission curve was
247 monitored for 180 s and expressed as micromoles of Trolox per gram of dry matter. The areas under the curves were
248 calculated using the PCL soft control and analysis software. As greater concentrations of Trolox working solutions were
249 added to the assay medium, a marked reduction in the magnitude of the PCL signal and hence the area calculated from
250 the integral was observed. This inhibition was used as a parameter for quantification and related to the decrease in the
251 integral of PCL intensities caused by varying concentrations of Trolox. The observed inhibition of the signal was plotted
252 against the concentration of Trolox added to the assay medium. The concentration of the added extract solution was such
253 that the generated luminescence during the 180 s sampling interval fell within the limits of the standard curve. The extract
254 for ACL measurements was centrifuged (5 min at 16,000 g) prior to analysis. The antioxidant assay was carried out in
255 triplicate for each sample, and 20 μ L of the diluted extract (1:40, v/v) HPLC-grade methanol (ACL) was sufficient to
256 correspond to the standard curve.

257 258 2.15. *Statistical Analysis*

259 All results were means of three independent experiments. The presented data show mean values \pm SD. The results were
260 evaluated for statistical significance using Student's t-test for two groups (GraphPad Software, San Diego, California,
261 USA) considering a significant difference of $P < 0.05$ and univariate analysis of variance for more than 2 groups with
262 Statistica 6.0 software (StatSoft, Inc., Tulsa, OK, USA) and Tukey's post hoc test to investigate significant differences
263 ($P < 0.05$) between the tested samples.

264

265 3. *Results and Discussions*

266 The yields obtained with the various extraction method applied are reported in Table 1: free phenolic extracts (UAE) gave
267 the highest extraction yields (around 17-20%) due to the hydroalcoholic solvent used which enable the extraction of other
268 molecules with a low extractive specificity. Bound phenolics (AH and UAAH) yields % are much lower but more
269 selective for our phenolic target. In contrast to what was previously verified with maize germ (Burlini et al., 2020), no
270 significant differences in % yields been obtained coupling sonication to alkaline hydrolysis ($P > 0.05$).

271

272 **Table 1** Phenolic extraction % yields. Different uppercase letters indicated significant differences in each group of data
273 ($P < 0.05$) for durum wheat bran and rice bran (analysis of variance).

274

275 A slightly higher percentage of yield was found by extracting the rice (0.54%) than durum wheat bran (0.41-0.48%).
276 Figure 1 shows the screening qualitative analyses performed with high-performance thin-layer chromatography
277 (HPTLC): plates were captured at 254 nm (A) and 366 nm (B) after derivatization with NP/PEG solution to verify the
278 presence of phenolic acids and of *trans*-ferulic acid (FA), in particular. UAE and hydrolyzed extracts have been eluted
279 with two different eluent mixture and, for this reason, FA is reported in both HPTLC plates: HPTLC plate of UAE
280 extracts, on the left, shows few phenolic spots mainly between R_f 0-0.3 but no spots were detected at FA R_f (0.78). The
281 HPTLC plates on the right, are those related to hydrolyzed extracts which report a blue spot at R_f 0.55 corresponding to
282 FA. This analysis was adopted as a rapid screening for the extracting efficacy of the techniques used, and from its results
283 both type of hydrolysis allowed the extraction of FA.

284

285 **Figure 1 (1.5 column fitting).** HPTLC plates detected at 254 nm and 366 nm after derivatization with NP/PEG. Plates:
286 (A) 254 nm; (B) 366 nm. Tracks: UAE-1 – durum wheat bran UAE; UAE-2 – rice bran UAE; 1- durum wheat bran AH;
287 2- rice bran AH; 3- durum wheat bran UAAH; 4- rice bran UAAH; FA- ferulic acid standard.

288
289 HPTLC bioautographic assays results are reported in Figure 2; this technique was performed to screen the antioxidant
290 capacity of the extracts with DPPH (C) and ABTS (D). Extracts 1, 2, 3, 4 are characterized by a discoloration at R_f 0.55,
291 corresponding to that of FA, suggesting that it could be the main molecule responsible for the radical scavenging activity
292 of the extracts. The presence of CH=CH-COOH group in the hydroxycinnamic acids is, in fact, considered as the main
293 responsible for the higher antioxidant activity compared to hydroxybenzoic acids characterized by the COOH (White &
294 Xing, 1997). Moreover, the same extracts exhibited antiradical activity at R_f 0.1-0.3 for the presence of other phenolic
295 compounds. UAE-1 and UAE-2 extracts shows some active molecules at R_f 0.9-0.95, particularly with ABTS.

296
297 **Figure 2 (1.5 column fitting).** HPTLC plates detected visible light after derivatization with DPPH (A, B) and ABTS (C,
298 D). Tracks: UAE-1 – durum wheat bran UAE; UAE-2 – rice bran UAE; 1- wheat bran AH; 2- rice bran AH; 3- wheat
299 bran UAAH; 4- rice bran UAAH.

300
301 The RP-HPLC-DAD analyses confirmed FA and revealed the presence of the *p*-coumaric acid (*p*-CA), previously not
302 detected with HPTLC probably because of the dark blue color that characterizes its spot at 366 nm and for the R_f very
303 similar to that of FA. The presence of the phenolic acids in the hydrolyzed extracts was performed thanks to the
304 comparison of chromatograms obtained, UV spectra and retention times with those of the reference standards and
305 literature (Kim et al., 2006; Tilay et al., 2008). Figure 3 reports RP-HPLC-DAD chromatograms of hydrolyzed extracts
306 where it can be noticed a main peak characterizing all extracts at RT 37 min, corresponding to that of the FA and a second
307 minor peak, corresponding to *p*-CA, at RT 31 min.

308
309 **Figure 3 (single column fitting).** RP-HPLC-DAD of hydrolyzed extracts. Peaks: FA- ferulic acid; *p*-CA: *p*-coumaric
310 acid. Extracts: 1- durum wheat bran AH; 2- rice bran AH; 3- durum wheat bran UAAH; 4- rice bran UAAH.

311
312 **Table 2.** Phenolic acids identified with RP-HPLC-ESI-MS

313
314 **Table 3.** RP-HPLC-DAD FA and *p*-CA quantifications. Different uppercase letters indicated significant differences in
315 each group of data ($P < 0.05$) for durum wheat bran and rice bran (Student's t-test).

316
317 FA and *p*-CA acids quantifications are reported in Table 3. Both matrices exhibited a higher concentration of FA when
318 extracted with UAAH compared to the AH commonly used. The ultrasounds irradiation allowed the sodium hydroxide
319 to better break the ester linkages of ferulic acid thanks to several mechanisms involved in the sonication, described
320 by Chemat et al. (2017) such as: fragmentation, erosion, sonocapillarity effect, sonoporation, local shear stress and
321 destruction of plant structures. UAAH wheat bran extract exhibited a content of FA of $406.14 \pm 0.65 \mu\text{g FA/mg extract}$
322 which is significantly higher than the quantity obtained with the commonly used alkaline treatment: $386.20 \pm 10.44 \mu\text{g}$
323 FA/mg extract ($P < 0.05$). Similar results were obtained with rice bran that counted $387.36 \pm 1.33 \mu\text{g FA/mg extract}$ with
324 UAAH compared to $369.05 \pm 4.91 \mu\text{g FA/mg extract}$ ($P < 0.05$). Durum wheat bran exhibited the highest difference
325 among the two hydrolysis techniques and the highest *trans*-ferulic acid quantity. *p*-CA was found mainly present in

326 hydrolyzed rice bran extracts (82.90 ± 1.0 mg *p*-CA/g extract for AH; 92.20 ± 0.1 mg *p*-CA/g extract for UAAH)
327 compared to wheat where the quantity found is much lower (1.50 ± 0.1 mg *p*-CA/g extract for AH; 1.10 ± 0.1 mg *p*-CA/g
328 extract for UAAH).

329

330 **Table 4.** Total phenolic content (TPC) and bound phenolic (BP) percentages. Different uppercase letters indicated
331 significant differences in each group of data ($P < 0.05$) for durum wheat bran and rice bran (analysis of variance).

332

333 The total amount of phenolics was quantified for UAE and hydrolyzed extracts and reported in Table 4. Both matrices
334 showed a greater amount of bound phenols than the soluble ones. Free phenolics quantification showed that wheat and
335 rice brans have a very similar free phenolics content (respectively of 80.90 ± 8.60 mg GAE/g dried extract and $79.02 \pm$
336 2.20 mg GAE/g dried extract). The quantification of total bound phenolics showed significant higher concentrations with
337 the UAAH compared to the AH ($P < 0.05$): wheat bran hydrolyzed extract exhibited the highest difference in TPC between
338 the two hydrolysis techniques and showed also the highest concentration. Wheat, in fact, showed a total of 437.58 ± 9.90
339 mg GAE/g of dried AH extract and 610.58 ± 57.60 mg GAE/g of dried UAAH extract. Bound phenolic percentage has
340 been calculated for both wheat and rice matrices and also reported in Table 4: wheat brans exhibited a bound phenolics
341 of 84.40% with AH and 88.3% with UAAH; and rice bran exhibited percentages of bound phenolics of 86.51% with AH
342 and 86.87% with UAAH. These numbers are in line with those of literature data that show a range of bound phenolics
343 respectively of 62.12-83.18% and 62-88% (Adom & Liu, 2002; Acosta-Estrada, 2011).

344 Table 5 reports the IC_{50} results of the radical scavenging activity of the extracts that has been investigated both with
345 DPPH and ABTS radicals. The tests have shown the same trend of activity with the highest IC_{50} obtained by UAE,
346 particularly with wheat bran (1194.80 ± 44.93 μ g/mL and 250.00 ± 18.79 μ g/mL for DPPH and ABTS respectively).
347 Results obtained with rice bran UAE gave a greater activity with both tests (275.10 ± 13.79 μ g/mL and 63.45 ± 6.61 μ g/mL
348 for DPPH and ABTS respectively) despite the content of calculated free phenolic molecules was equivalent. This result
349 may be due to a lipophilic fraction contained in rice bran that, during the hydroalcoholic extraction process, may have
350 contributed to the extract's activity. The lipophilic fraction of rice bran is, in fact, higher than that characterizing wheat
351 bran (Jiang and Wang, 2005) and it is the food source with the highest phytosterol content, class of molecules that have
352 demonstrated to be antioxidants (Lerma-Garcia, 2009; Wang et al., 2002). Regarding bound phenolic extracts, durum
353 wheat bran exhibited the best results both with DPPH (IC_{50} of 3.61 ± 0.09 μ g/mL) and ABTS (IC_{50} of 1.16 ± 0.1 μ g/mL);
354 in particular, DPPH activity of UAAH durum wheat extract is significantly higher than AH ($P < 0.05$). Moreover, the
355 same result is comparable to that obtain with Trolox (DPPH: 3.43 ± 0.32 μ g/mL) suggesting that the association of
356 ultrasounds to alkaline hydrolysis can be a valid technique to effectively obtain antioxidant extracts from cereal wastes.
357 Similar results have been obtained with rice bran extracts, although with slightly lower activity than durum wheat bran:
358 bound phenolics obtained with AH and UAAH gave IC_{50} respectively of 55.00 ± 5.37 μ g/mL and 38.01 ± 0.52 μ g/mL
359 with DPPH and IC_{50} respectively of 10.3 ± 0.96 μ g/mL and 4.22 ± 0.09 μ g/mL with ABTS.

360 Therefore, by-products from durum wheat and rice industry could be interesting sources of antioxidant compounds and
361 FA has been demonstrated to be the main responsible for the activity of the hydrolyzed extracts; this can be inferred
362 thanks to the results obtained through bioautographic and spectrophotometric assays and to the comparison with literature
363 data (Anson et al., 2008; Zou, Laux and Yu, 2004).

364

365 **Table 5.** Radical scavenging activity with DPPH and ABTS. Different uppercase letters indicated significant differences
366 in each group of data ($P < 0.05$) for durum wheat bran and rice bran (analysis of variance).

367

368 The antimicrobial susceptibility test was used to evaluate the susceptibility of the *bacteria* strains against six antimicrobial
369 agents which are commonly used to treat human infections with *S. aureus* and *S. epidermidis*. Figure 4 shows *bacteria*
370 growth diameters which has been used to decide whether the *bacterium* was susceptible (S), intermediate (I) or resistant
371 (R) to each antimicrobial agent. In Table 6 results of the antimicrobial susceptibility tests are summarized for each
372 antimicrobial agent: Ampicillin (AK30), Penicillin (P10), Chloramphenicol (C30), Kanamycin (K30), Ciprofloxacin (CIP
373 5), Doxycycline (DO30). *S. aureus* MRSA and *S. epidermidis* demonstrated to be resistant to two or more antimicrobial
374 agents (CLSI, 2015).

375

376 **Figure 4 (single column fitting)**, Bacteria growth diameter of each bacterium evaluate with disk diffusion method. (A):
377 *S. aureus* ATCC; (B): *S. aureus* MRSA; (C): *S. aureus* MSSA; (D): *S. epidermidis*.

378

379 **Table 6.** Antimicrobial susceptibility test (R: resistant; S: sensitive; I: Intermediate)

380

381 The Minimum Inhibitory Concentration (MIC) results reported in Table 7. The most interesting results were obtained
382 against the *Staphylococcus aureus* MRSA strain with MICs of 16 µg/ml and 32 µg/ml for wheat and rice brans
383 respectively. Regarding the *S. aureus* ATCC reference strain, UAAH extracts of wheat bran (128 µg/ml) and rice bran
384 (128 µg/ml) showed higher activity than AH extracts (respectively 521 µg/ml and 256 µg/ml). The same results were
385 obtained by comparing wheat bran UAAH extract on MSSA clinical isolated strain (128 µg/ml) and the AH one (256
386 µg/ml) even if a difference of one or two dilutions is not considered significant by the CLSI (CLSI, 2015). Extracts tested
387 against *S. epidermidis* showed high MICs values (> 1024 µg/ml) suggesting that the clinical isolated *bacterium* was
388 resistant to all treatments. Finally, according to CLSI guidelines (2015), all strains have been shown to be resistant to
389 penicillin with MICs above 0.25 µg/ml, as previously verified by the antimicrobial susceptibility test (disk diffusion
390 method). The antimicrobial activity test defined wheat and rice brans potentially good antimicrobial sources. They could
391 be use as active ingredients in cosmetic or dermo-functional products with antimicrobial activity or as additives for
392 microbiological stability and therefore as natural preservatives in finished preparations.

393

394 **Table 7.** Microdilution assay for MIC calculation

395

396 In order to propose a practical application of the most active extract, a cosmetic product has been formulated (O/W
397 emulsions) and tested for its stability both at ambient temperature and at 40°C. The high quantity of FA, characterized by
398 a deep yellow color, which was contained in durum wheat extract, caused a slight alteration of final preparation's color,
399 in particular with 0.5% of extract concentration. However, a slight color variation did not affect the pleasantness of the
400 finished product and it was not indication of a depletion of the product but enhance its naturalness. Its fragrance was
401 characteristic and pleasant.

402 The cosmetic products showed excellent stability at room temperature while a slight alteration occurred at 40° C, with a
403 slight surfacing of the oily phase, probably due to the reduced quantity of product taken for the investigation. Furthermore,
404 in both stability tests, a slight modification in the pH has been noted (Table 7) which was in any case within the suggested
405 limits for cosmetic formulations (pH: 3.5-6).

406

407 Since previous studies demonstrated that the type of cosmetic formulation, the pH and storage conditions significantly
408 influence the antioxidant activity of a functional ingredient (such could be natural extracts), it is necessary to evaluate the
409 antioxidant activity of a finished product in relation to that of the single active ingredient (Ziosi et al., 2010). Therefore,
410 the antioxidant activity has been tested and compared with PCL method which can provide evaluation of both cosmetic
411 formula and extract activities, consenting an effective comparison. The PCL results are reported in Table 8 and are
412 expressed as μmol equivalent of Trolox per gram of sample. The higher the value expressed in $\mu\text{mol TE/gram}$ the greater
413 is the activity. The results verified durum wheat UAAH extract high activity ($1728.20 \pm 21.76 \mu\text{mol Trolox/g}$), but its
414 presence inside the final product performs in proportion to the concentration: having tested low concentrations of extract,
415 the formulation activity exhibited average results. Even if such potencies are compatible with a potential efficacy on skin
416 (Mota et al., 2014), it would be sufficient to increase the concentration of the extract by up to 2% to obtain a very active
417 product.

418

419 **Table 8.** PCL and stability results of the cosmetic formulation

420

421 *4. Conclusions*

422 Cereal by-products are already well-known sources of interesting phytochemicals but, although their extraction has been
423 the subject of numerous literature studies, precisely because of the great application potential of these matrices, the
424 extraction techniques used up to now require long extraction times and methods that are still not sustainable. The overall
425 results of the present study confirm the possibility to apply a more sustainable and effective method for the release of
426 bound phenolic acids from cereal by-products. In particular, durum wheat and rice bran by-products are interesting
427 sources of phenolic acids, mostly in bound form, whose extraction can be improved by combining ultrasonication to
428 alkaline hydrolysis. Extracts obtained with this technique demonstrated higher content of FA and total phenolics
429 compared to the commonly used chemical hydrolysis, despite the reduction of extraction time from 60 to 30 min.
430 Moreover, the same extracts exhibited the highest antiradical and antimicrobial activities results. For this reason, durum
431 wheat UAAH extract has been used for the formulation of an oil-in-water emulsion for cosmetic use. The extract and the
432 formulations obtained have been tested for the antioxidant activity with PCL, obtaining interesting results given the
433 application potential in the cosmetic field. Since FA is already widely used as an active ingredient and preservative in
434 various topical cosmetic formulations, its extraction with this hybrid technique, makes it possible to boost its application
435 in the health sector, such as in the cosmetic market, with a more sustainable, cheaper and therefore more advantageous
436 scale-up for the industry.

437

438 *5. Declaration of Conflicting Interests*

439 The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this
440 article.

441

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444



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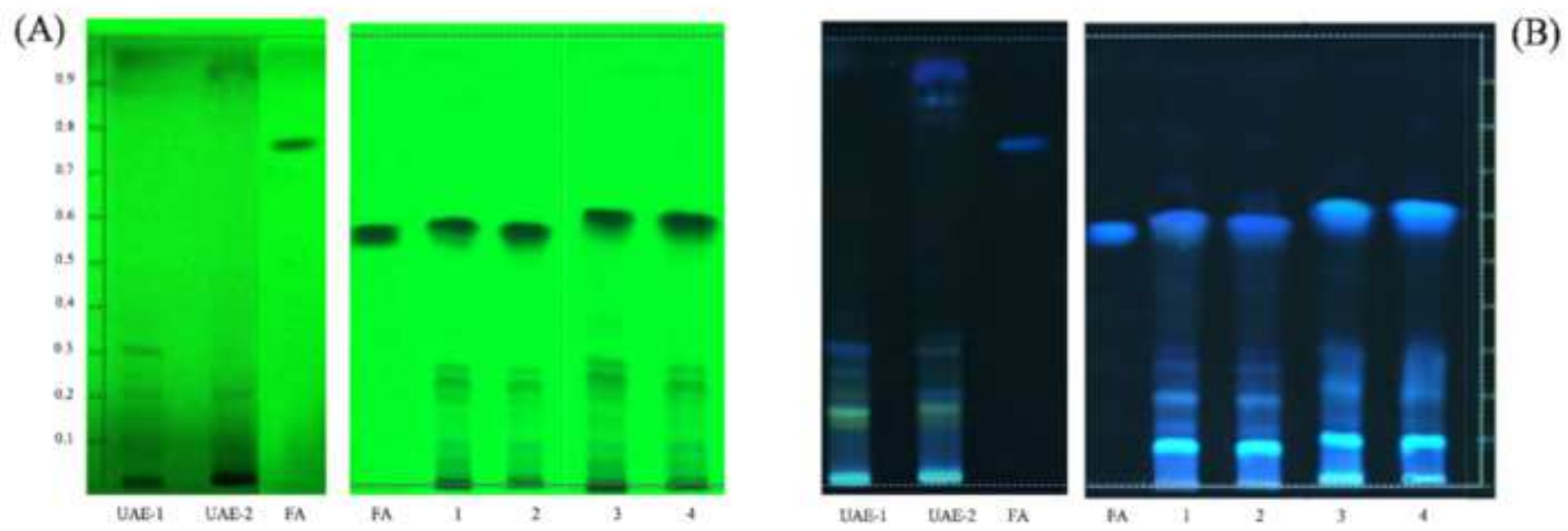
452 8. References

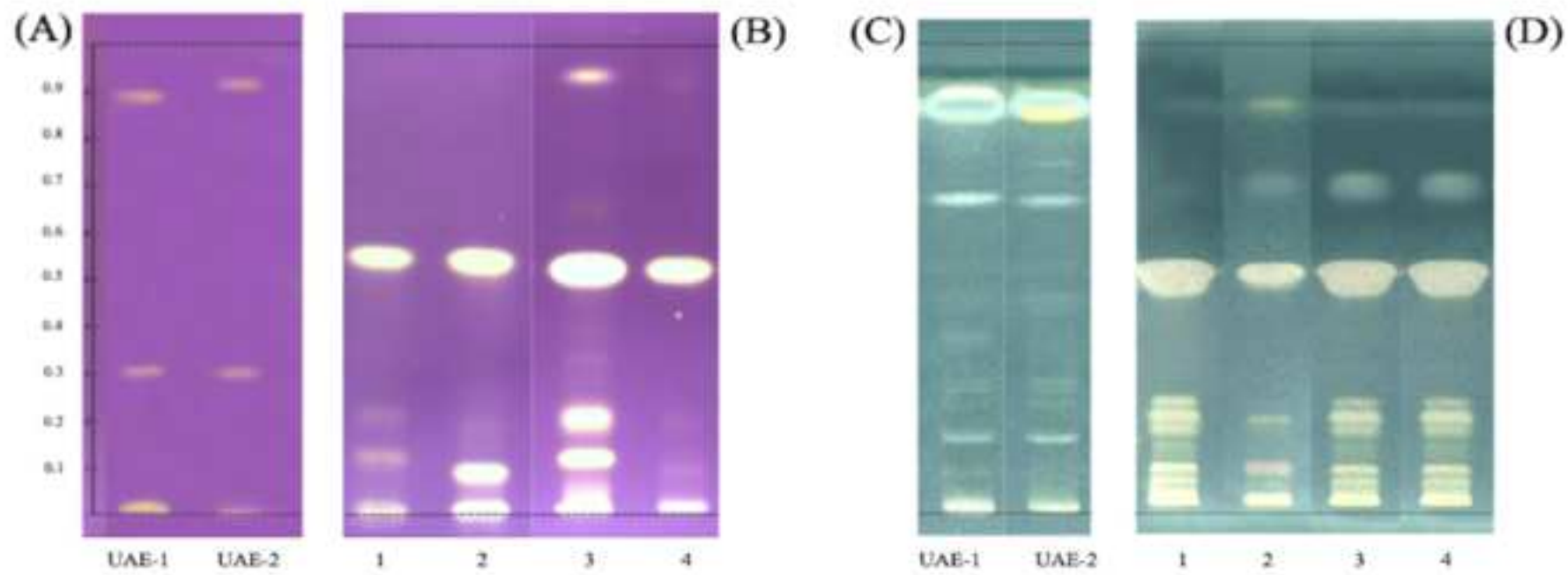
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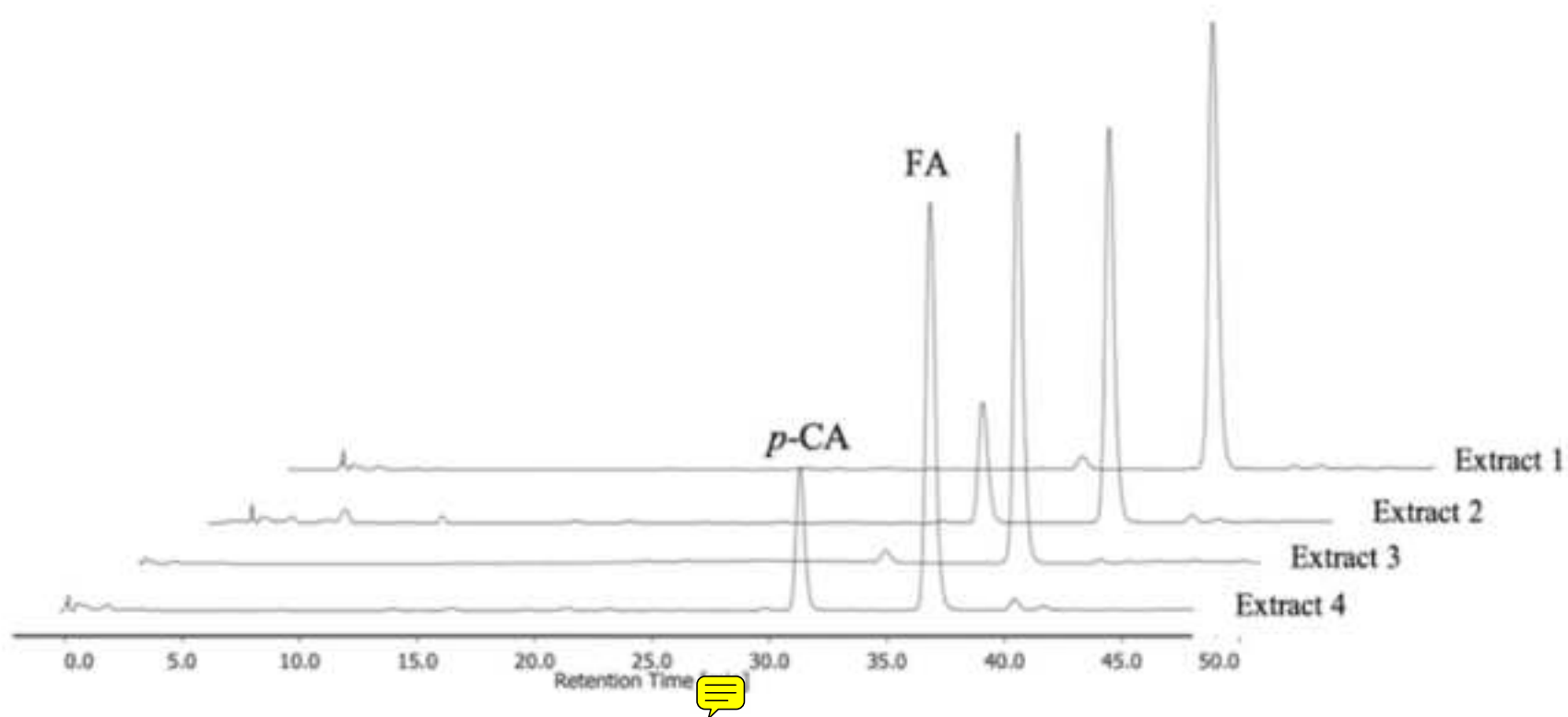
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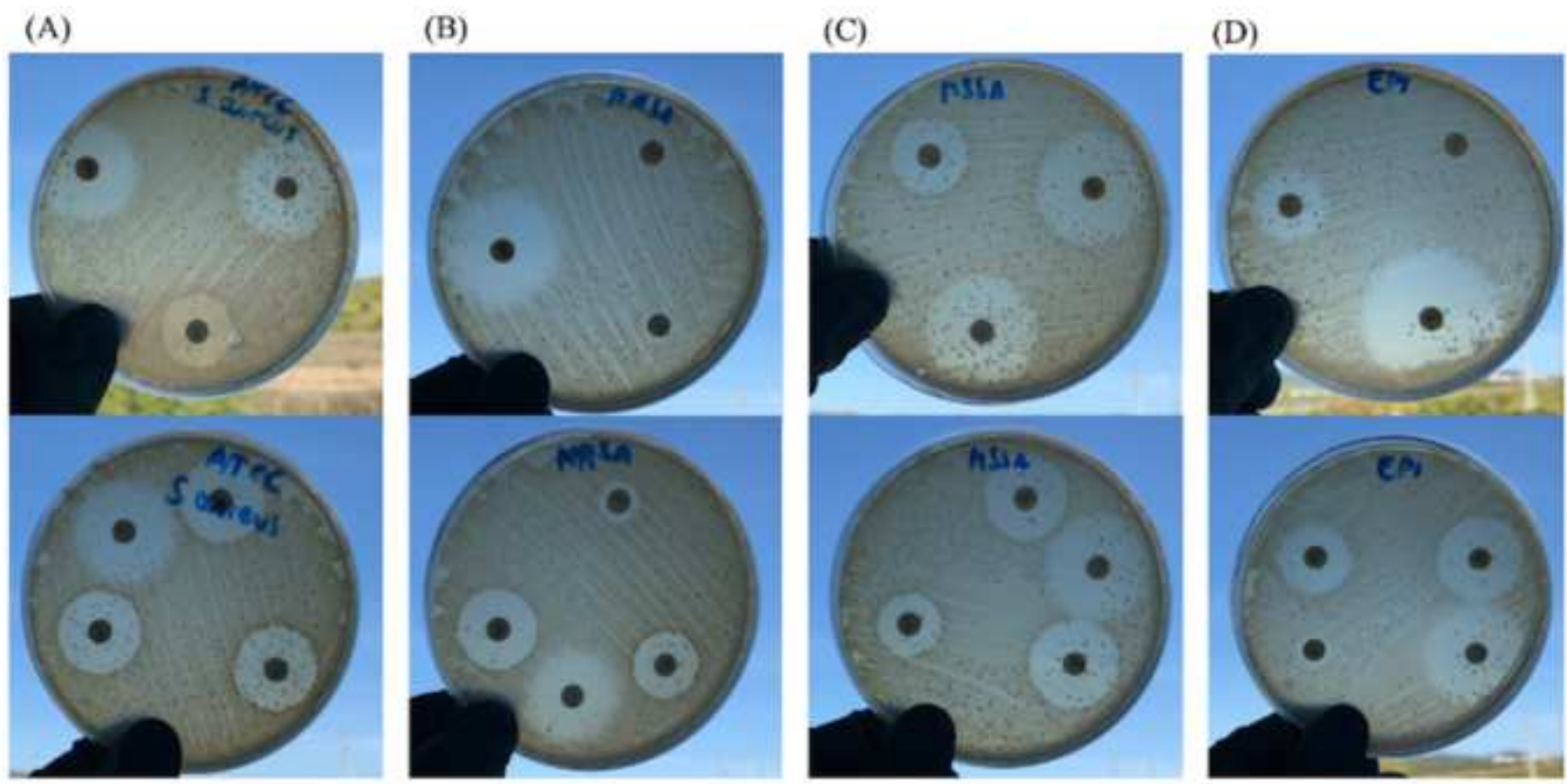
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