



1 Type of the Paper (Article)

# Lignin degradation efficiency of chemical pre-treatments on banana rachis for bioethanol production

#### 5 Stefania Costa<sup>1</sup>, Irene Rugiero<sup>1</sup>, Christian Larenas Uria<sup>2</sup>, Paola Pedrini<sup>1</sup>, Elena Tamburini<sup>1,\*</sup>

- Department of Life Science and Biotechnology, University of Ferrara, Via L. Borsari, 46 | 44121 Ferrara,
   ITALY; <u>stefania.costa@unife.it</u> (S.C.); <u>irene.rugiero@unife.it</u> (I.R.) <u>pdp@unife.it</u> (P.P.);
- 8 <sup>2</sup> Universidad Politécnica Salesiana, Calle Turuhuayco 3-69 y Calle Vieja, Quito, ECUADOR;
   9 <u>clarenas@ups.edu.ec</u> (C.L.U.)
- 10
- 11 \* Correspondence: tme@unife.it; Tel.: +39-0532-455329
- 12
- 13 Received: date; Accepted: date; Published: date

14 Abstract: Valuable biomass conversion processes are highly dependent on the use of effective 15 pretreatments for lignocellulose degradation and enzymes for saccharification. Among the 16 nowadays available treatments, chemical delignification represents a promising alternative to 17 physical-mechanical treatments. Banana is one of the most important fruit crops around the world. 18 After harvesting, it generates large amounts of rachis, a lignocellulosic residue, that could be used 19 for second generation ethanol production, via saccharification and fermentation. In the present 20 study, eight chemical pretreatments for lignin degradation (acid-organosolv, alcohol-organosolv, 21 sodium hypochlorite, hypochlorous acid, hydrogen peroxide, alkaline hydrogen peroxide and 22 some combinations thereof) have been tested on banana rachis and the effects evaluated. The 23 delignificated samples were then saccharified with enzymes (cellulase and beta-glucosidase) and 24 hydrolysis efficiency was evaluated in terms of final sugars recovery before fermentation. Analysis 25 of Fourier Transform Infrared Spectra (FT-IR) has been carried out on treated samples, in order to 26 better understand the structural effects of delignification on lignocellulose. Active chlorine 27 oxidations, hypochlorous acid in particular, were the best effective for lignin removal obtaining 28 also the most promising cellulose-to-glucose conversion.

Keywords: delignification, *organosolv*, oxidation, hypochlorous acid, rachis, lignocellulosic
 materials, FT-IR

31

# 32 1. Introduction

33 During the last years, shortages of petroleum-based energy, fast resources depletion and 34 increasing problem of CO<sub>2</sub> emissions have arisen the interest for alternative fuels and more 35 sustainable energy supply in many countries [1]. Among the so-called clean energies, ethanol is 36 considered particularly promising because of some known advantages such as clean burning 37 characteristics, reduction of particulate and NOx emission from combustion, and so on [2]. 38 However, as it is well recognized, nowadays it is not sustainable to produce ethanol from 39 bioconversion of starchy materials especially because it competes with food and feed chains [3]. 40 Therefore, its production from non-grain feedstock as lignocellulosic biomass has been becoming a 41 hot spot in many countries, due to their eco-friendly nature and low cost availability [4]. 42 Lignocellulosic biomass, with a worldwide production estimated in 10<sup>10</sup> MT/year is rightly so

43 considered as the only foreseeable, feasible and sustainable raw material for biofuel production [5]44 and for the ultimate consolidation of the *biorefinery* concept [6].

150 Various technological developments based on saccharification and fermentation have 151 improved for the conversion of these substrates into bioethanol [7]. Agricultural residues, food 152 processing wastes and forestry residues are all potential sources of fermentable sugars to be 153 converted in bioethanol, but the typical recalcitrance of lignocellulosic biomass to enzyme and 154 microorganisms' attack necessitates of pretreatment as unavoidable pre-requisite [8]. The main focus 155 of those pretreatments is indeed to remove from the plant cell wall the barrier due to lignin, pectin, 156 hemicellulose, glucans and their spatial interlinks, for increasing the enzymatic and microbial 157 digestibility of cellulose [9].

Different pretreatment technologies have been already extensively described in terms of the mechanisms involved, advantages and disadvantages, and economic assessment [10]. They include biological [11], physical-mechanical [12], chemical [13] methods and various combinations thereof [14].

162 Even though biological approach would be in perspective the ideal solution, because it is less 163 harmful to the environment and needs milder conditions, still necessitates of improvements in 164 process duration and cost reduction, factors that strongly limit their actual efficient industrial 165 application [15-16]. To date, chemical pretreatments are the best alternative to steam explosion, 166 because they are effective and enhance the biodegradation of complex and particularly recalcitrance 167 woody materials, recently becoming potentially sustainable in terms of costs and hazardous waste 168 thanks to solvents recovery and recycling [17-19]. To this group belong all pretreatments that 169 involved chemical reactions for the lignocellulosic structure disruption by means of organic or 170 inorganic acids [20], alkali [21], organic solvents (organosolv) [22] and oxidative reagents as ozone 171 [23] or active chlorine [24].

172 After delignification, residual biomass must be hydrolyzed to produce glucose which is then 173 converted to bioethanol by Saccharomices cerevisiae or other microorganisms via alcoholic 174 fermentation [25]. Even though concentrated acid hydrolysis process has a long history of 175 commercial use [26], hydrolysis can be also accomplished by fungal and bacterial hydrolyzing 176 enzymes [27]. There are several enzymes which are required for complete hydrolysis of biomass 177 such as cellulase, xylanase, ligninase, pectinase, etc., among which cellulase is the most important 178 one. Cellulase is a multi-enzyme complex of three different enzymes; exoglucanase, endoglucanase 179 and beta-glucosidase which acts synergistically for complete hydrolysis of cellulose to cellobiose (an 180 intermediate product of cellulose hydrolysis) and finally to glucose [28].

In the present study, eight chemical pretreatments for lignin degradation (acid-*organosolv*, alcohol- *organosolv*, sodium hypochlorite, hypochlorous acid, hydrogen peroxide, alkaline hydrogen peroxide and some combinations thereof) have been tested on lignocellulosic feedstock as banana rachis and the effects evaluated. The pretreated samples were then hydrolyzed with cellulase enzymes pool and the cellulosic saccharification efficiency was evaluated in terms of final sugars recovery before fermentation.

187 The rachis is the stalk of the inflorescence from the first fruit to the male bud. After fruit 188 harvesting, banana lignocellulosic biomass (rachis, foliage and stems) represent a waste and in 189 producing countries as Ecuador or India the majority of the producers prefer to leave these residues 190 to decompose outdoors, causing environmental problems such as the spread of diseases or polluting 191 groundwater [29]. Some attempts have been done to use those materials for cellulose fiber recovery 192 [30] or thermovalorization [31], but, at the best Authors' knowledge, no information is still available 193 in scientific literature about chemical delignification treatments on banana rachis as key step for 194 bioethanol production. However, it could represent a great amount of valuable lignocellulosic 195 materials, thus constituting an additional economical profit to farmers [32]. 196

197

198

199

#### 200 2. Materials and Methods

#### 201 2.1 Banana rachis samples

The lignocellulosic residue was rachis from banana plants (*Musa paradisiaca* var. barraganete) cultivated and collected in the region of Guayas, Ecuador. 1000 g of samples were locally cut in small pieces and sent to our laboratory for subsequent treatments. The material was ground up, sieved using a < 0.5 mm mesh size to obtain a homogeneous powder and then dried at 60°C overnight

- 206 (Figure 1). Sample was stored in and stored in a desiccator with CaCl<sub>2</sub> at room temperature.
- 207



- **Figure 1.** Samples of raw banana rachis (a) and rachis powder after grinding and drying (b).
- 209 2.2 Chemical pretreatments
- 210 2.2.1 Acid-organosolv pretreatment with acetic acid and acetone (AA)

A mixture of glacial acetic acid, acetone (Sigma Aldrich) and water has been prepared at a ratio 10:50:40 (v/v) and pH adjusted to 2.7. Treatment was carried out on lignocellulosic substrate (20 g) by adding 250 ml of the acid-*organosolv* mixture for 30 min at boiling temperature. After treatment, the residual biomass was filtered on paper filter, extensively washed with water and buffered to neutral pH with NaOH 1M, and dried in a hot air oven at 60°C overnight. The dried treated was weighed and stored in a desiccator with CaCl<sub>2</sub>.

217

#### 218 2.2.2 Alcohol-organosolv pretreatment with ethanol (ET)

Rachis powder (20 g) was treated with 250 ml of 96% ethanol (Sigma Aldrich) for 30 min at boiling temperature. The residual biomass was filtered on paper filter, extensively washed with water and dried in a hot air oven at 60°C overnight. The dried treated was weighed. and stored in a desiccator with CaCl<sub>2</sub>.

223

# 224 2.2.3 Oxidative pretreatment with sodium hypochlorite (SH)

A solution of NaClO 5% (250 ml) was added to rachis powder (20 g) at room temperature for 30 min. The residual biomass was filtered on paper filter and extensively washed with water and buffered to neutral pH with HCl 1M, and dried in a hot air oven at 60°C overnight. The dried treated was weighed. and stored in a desiccator with CaCl<sub>2</sub>.

229

# 230 2.2.4 Oxidative pretreatment with hypochlorous acid (ECA)

Rachis powder (20 g) was treated with hypochlorous acid (ECA solution) at pH 6 (250 ml) for 10 min at room temperature. According to Tamburini et al [33], ECA solution was prepared by electrolysis of a solution of NaCl (5 g/L) in a flow-through electrochemical cell at pH 6 to allow hypochlorite/hypochlorous acid conversion. As a result, an ECA solution of about 1500 ppm of oxidizing substances (determined by iodometric titration and expressed as "active chlorine") were obtained. Such solution, stored in glass containers and preferably in the dark, maintain its properties for some days. After treatment, the residual biomass was filtered on paper filter and extensively washed with water and buffered to neutral pH with NaOH 1M, and dried in a hot air oven at 60°Covernight. The dried treated was weighed. and stored in a desiccator with CaCl<sub>2</sub>.

240

241 2.2.5 Oxidative pretreatment with hydrogen peroxide and hydrogen peroxide with alkali (HP and HPA)

To rachis powder (20 g) H<sub>2</sub>O<sub>2</sub> 2% (v/v) (900 ml) was added (sample HP). In sample HPA NaOH solution 5% (w/w) (100 ml) was added alongside. The final volume of HP and HPA was completed up to 1000 ml with water. Both treatments were kept under stirring for 90 min at room temperature. The the residual biomass was vacuum filtered in glass microfiber, washed with water until the hydrogen peroxide was completely removed, buffered to neutral pH with HCl 1M and dried in a hot air oven at 60°C overnight. The dried treated was weighed and stored in a desiccator with CaCl<sub>2</sub>.

248

### 249 2.2.6 Combination of ECA and ET

To rachis powder (40 g) 96% ethanol (500 ml) was added and refluxed for 30 min. The solid suspension was separated by filtration and dried at 60°C overnight. The dried powder (20 g) was thereafter mixed up with ECA solution (250 ml) for 10 min at room temperature. After filtration the powder was dried at 60°C up to constant weight, weighed and stored in a desiccator with CaCl<sub>2</sub>.

# 2.2.7 Combination of ECA and AA

To rachis powder (40 g) a mixture of glacial acetic acid:acetone:water (10:50:40) (500 ml) was added and refluxed for 30 min. The solid suspension was separated by filtration and dried at 60°C overnight. The treated powder (20 g) was thereafter put in contact with ECA solution (250 ml) for 10 min at room temperature. After filtration the powder was dried at 60°C up to constant weight, weighed and stored in a desiccator with CaCl<sub>2</sub>.

261

255

#### 262 2.3 Hydrolysis of pretreated samples

263 Delignificated rachis samples have been submitted to enzymatic hydrolysis. Cellulase from 264 Trichoderma reesei (Novozyme 188) with an activity of 6.5 FPU/g, supplemented with 265 beta-glucosidase from Aspergillus niger (Novozyme 188) 250 U/g were used for saccharifying the 266 cellulosic material. Enzymatic hydrolysis has been carried out on 1 g of sample in 50mM citrate 267 phosphate buffer (pH 5.0). The substrate with buffer was pre-incubated at 50°C on a rotatory shaker 268 (Innova-40, NewBrunswick Scientific, Germany) at 150 rpm for 2 h. Thereafter the slurry was added 269 with cellulase, 0.125 g and beta-glucosidase, 0.3 g. Tween 80 (1% (v/v)) was also added to the 270 reaction mixture and the reaction continued up to 72 h [34]. Samples of enzymatic hydrolysate were 271 analysed for amount of monosaccharides released.

272

# 273 2.4 Analytical methods

274 The chemical composition (cellulose, lignin, emicellulose, moisture and ash) of untreated rachis 275 sample and of all the residual solid fraction post pretreatments were determined following standard 276 TAPPI protocols [35]. The monosaccharides (glucose, xylose and arabinose) released after hydrolysis 277 were quantitatively estimated using HPLC with a refractive index detector (Jasco RI-4030). A Rezex 278 ROA-Organic Acid H<sup>+</sup> (8%), 300 x 7.8 mm (Phenomenex) was used at 80°C. Isocratic elution was 279 carried out with H2SO4 0.01M water at 0.6 ml/min. Samples were analyze in triplicate. Infrared 280 spectroscopic analysis of residual lignin has been carried out by means of FT-IR spectrophotometer 281 (Perkin Elmer® Spectrum 1000), using a KBr disc containing 1% finely ground samples. Through the 282 Spectrum 10 Software, the corresponding absorbance spectra were obtained in light beam 283 transmission measurement mode, within the range of 4400 cm<sup>-1</sup> to 500 cm<sup>-1</sup>, with baseline correction, 284 noise correction (smooth) and identification of the wave numbers of the main peaks.

#### 285 3. Results and Discussion

#### 286 3.1 Chemical characterization of untreated banana rachis

Banana rachis pretreated materials was the substrate used in this study. The raw material was

288 analyzed to determine the chemical composition. At the best Authors' knowledge, in literature

289 compositional analysis of banana rachis has been reported only from Guerrero et al. [32], otherwise 290 data have to be compared with chemical composition of-date palm rachis, the most similar matrix 291 [36]. The chemical characterization of Ecuadorian banana rachis has revealed the presence of 292 cellulose (36.5%), hemicellulose (22.3%), lignin (26.2%), and ash (15.2%). Comparing the lignin 293 content of palm rachis has been found in the range 14-27%, and cellulose in the range 30-44%, 294 whereas banana rachis analyzed in the cited paper has a content of lignin, cellulose and 295 hemicellulose of 10.8%, 26.1%, and 11.2%, respectively. All data are expressed on dry weight basis. 296 These data led us to the conclusion that the chemical composition of rachis depends more up to 297 region of cultivation (Ecuador versus Morocco for date palm and Spain for banana) than to the 298 cultivar or species. The high cellulose content of Ecuadorian banana rachis qualifies it as potential 299 valuable agricultural biomass for bioenergy production.

300

#### 301 3.2 Delignification efficiency of chemical pretreatments

302 To facilitate a general view of the different conditions applied during chemical treatments, a 303 synoptic table is proposed (Table 1).

- 304
- 305

#	Pretreatment	Chemical agent	Temperature (°C)	Time (min)	pН
1	Acid-organosolv (AA)	Glacial acetic acid:acetone:water (10:50:40)	100	30	2.7
2	Alcohol-organosolv (ET)	96% Ethanol	100	30	-
3	Sodium Hypochlorite (SH)	NaClO 5%	25	30	11
4	Hypochlorous acid (ECA)	HClO/ClO-	25	10	6
5	Hydrogen Peroxide (HP)	H2O2 2%	25	90	-
6	Hydrogen Peroxide Alkaline (HPA)	H2O2 2% + NaOH 5%	25	90	14
7	ECA+AA	Treat.#1 + Treat.#3			
8	ECA+ET	Treat.#2 + Treat.#3			

Table 1 Comparison among different chemical treatments

# 306

307 Notably, taking the amount of raw material as starting invariant, conditions were very 308 different, and unavoidably had different impacts, in terms of costs and in terms of environmental 309 burden. Even though a detailed description of both of those aspects are beyond the aim of this study, 310 a balance between efficiency and energy/water consumption should be done when defining the *best* 311 *treatment*.

Effects of different chemical pretreatments on rachis powder, compared to not-treated sample, are shown in Table 2. Treatments based on active chlorine (SH, ECA, ECA+AA and ECA+ET) were more effective than treatments based on hydrogen peroxide, which both (HP and HPA) leaved great amounts of residual lignin in the samples. Even though among chemical treatments the *organosolv* are the most commonly used methods to pretreat lignocellulosic biomass, they have proved not to be so successful for banana rachis.

318 319

 Table 2. Residual lignin content of banana rachis after chemical treatments (NT= not treated)

Pretreatment	Residual lignin (%)		
Rachis (NT)	$26.2 \pm 2.7$		
Acid-organosolv (AA)	$6.4 \pm 0.3$		
Alcohol-organosolv (ET)	$7.2 \pm 0.6$		
Sodium Hypochlorite (SH)	$4.2 \pm 0.7$		
Hypochlorous acid (ECA)	$3.7 \pm 0.5$		
Hydrogen Peroxide (HP)	$14.5 \pm 1.2$		
Hydrogen Peroxide Alkaline (HPA)	$12.3 \pm 0.9$		

Hypochlorous acid + Acid-organosolv (ECA+AA)	$3.9 \pm 0.4$
Hypochlorous acid + Alcohol- <i>organosolv</i> (ECA+ET)	$4.2 \pm 0.4$

320

Reporting data in terms of percentage of lignin loss, i.e. delignification capacity of various treatments, compared with 100% of not-treated sample (NT), it is even more evident that treatments based on the oxidant effect of chlorine show high and comparable efficiency (Figure 2).

324



#### 325 326

327 328

**Figure 2.** Lignin loss (%, w/w) expressed as percentage of lignin removal in comparison with lignin content before sample pretreatments.

The results indicate that the pretreatment of biomass with oxidizing ECA solution combined with both *organosolv* does not produce a significant advantage over the absolute yield of the dignified biomass treated only with the oxidizing ECA solution. The oxidizing treatment with the ECA solution simultaneously removes a similar amount of soluble substances and lignin.

333

# 334 3.3 Enzymatic saccharification

The results of enzymatic hydrolysis and quantification of monosaccharides recovery are shown in Table 3. It has been reported that a hydrolysis of pretreated biomass can be considered valuable when it gives a concentration of at least 10% of free glucose in the medium for later fermentation [37], so all treatments have given satisfying results. Besides glucose, xylose and arabinose were analyzed because they usually can be found after hydrolysis being the principal components of hemicellulose [38] fraction. Hemicellulose could be partially hydrolyzed during delignification treatments.

# 342 Table 3. Fermentable sugars recovery after enzymatic hydrolysis of pretreated banana rachis samples 343 (NT=Not-treated).

	Glucose	Xvlose	Arabinose
Pretreatment	(%, w/w)	(%, w/w)	(%, w/w)
Rachis (NT)	$2.8 \pm 0.2$	$9.0 \pm 0.7$	$1.5 \pm 0.0$
Acid-organosolv (AA)	$16.6 \pm 0.7$	$12.8\pm1.0$	$2.1 \pm 0.0$
Alcohol-organosolv (ET)	$19.8\pm0.6$	$10.8\pm0.7$	$0.8 \pm 0.0$
Sodium Hypochlorite (SH)	$44.7\pm1.1$	$5.0 \pm 0.3$	$1.8 \pm 0.0$
Hypochlorous acid (ECA)	$51.4 \pm 1.2$	$4.2 \pm 0.2$	$2.3 \pm 0.0$
Hydrogen Peroxide (HP)	$35.1 \pm 1.8$	$5.2 \pm 0.3$	$1.5 \pm 0.0$

Hydrogen Peroxide Alkaline (HPA)	$36.5 \pm 1.5$	$5.6 \pm 0.3$	$1.6 \pm 0.0$
Hypochlorous acid + Acid-organosolv (ECA+AA)	$48.3 \pm 1.4$	$7.5 \pm 0.4$	$1.8 \pm 0.0$
Hypochlorous acid + Alcohol-organosolv (ECA+ET)	$48.7\pm1.4$	$6.9 \pm 0.3$	$1.9 \pm 0.0$

344

345 The presence of small amount of free monosaccharides in not-treated rachis sample could 346 derive from natural phenomena, and they have been quantified as a benchmark. The overall amount 347 of free pentoses (xylose and arabinose) were low, and their concentration in some cases decrease 348 after treatments, compared with NT sample, probably due to degradation occurring in the severe 349 conditions maintained during chemical delignification. The enzymatic saccharification of all 350 pretreated samples showed a significant conversion of cellulose to glucose because of lignin and/or 351 hemicellulose removal during pretreatment had permitted to cellulase and beta-glucosidase to act 352 on their elective substrate (i.e., cellulose). It is worthwhile noting that, in general, glucose recovery 353 followed the same trend of delignification, namely higher is the lignin loss higher is the enzymes 354 efficiency of hydrolyze cellulose. From one side it is due to the barrier disruption which unlocks 355 cellulose to enzymes, from the other side the limited enzymatic saccharification in the presence of 356 higher lignin content can be due to the high affinity of cellulase towards lignin, which resulted in 357 unavailability of enzyme to cellulose moieties and led to poor saccharification yields. Similar 358 observation has by other Authors [39-40].

359 Among the different samples here evaluated, those treated with the active chlorine were 360 observed to be more vulnerable to enzymatic hydrolysis and resulted in maximum glucose recovery. 361 The higher enzymatic saccharification in SH, ECA, ECA+AA and ECA+ET pretreated samples can be 362 attributed to the presence of higher free cellulose content with a minimum amount of residual lignin 363 (3.7-4.2%). On the other hand, the hydrogen peroxide treatments with minimum lignin removal 364 showed higher glucose recovery than both organosolv treatments. This observed reverse 365 correspondence between percentage of delignification and glucose recovery in the case of 366 organosolv (AA and ET) and hydrogen peroxide (HP and HPA) treatments can be right explained 367 by different actions of various chemical agents on lignin structure [41]. It has been reported by 368 several workers that delignification not only remove the lignin but also act as a swelling agent, 369 which in turn enhances the surface area of the sample and make the cellulose more amenable for 370 enzymatic action [42].

371

#### 372 3.2 Comparison of pre-treatments effect on lignocellulose structure by FT-IR

The accessible surface area is one of the key factor affecting the hydrolysis of lignocellulosic material and the overall process efficiency. Pores dimension, bonds cleavage and structural breakage induced by the chemical agents have a great influence on enzymatic attack efficiency [43].

376 As demonstrated elsewhere [44], different chemical treatments based on ECA solution, sodium 377 hypochlorite and organosolv gave rise to different residual lignocellulose structure. ECA solution was 378 the most efficient in lignin degradation, whereas sodium hypochlorite and organosolv seemed to 379 have more effect against inter-and intra-polymeric bonds of other polysaccharides of the 380 lignocellulose structure. This finding is supported by several other authors [45-46] who suggested 381 that the main wood component determining enzymatic hydrolyzability is not only the amount of 382 lignin itself, but also its post-delignification structure and organization within the other wood cell 383 wall components. In fact, beyond the quantitative aspects of the delignification yield, what differs 384 considerably in the different treatments is the type of fragments that are produced during the action 385 of the delignificant agents. During the process, the fragmenting and flaking of polymers occur, 386 consequently induce a partial breakdown of part of their initial the chemical structure and 387 subsequently lead to an increased in internal surface area and median pore volume.

388 Non-destructive elucidation and analysis of the lignin and hemicellulosic samples are 389 nowadays carried out primarily by spectroscopic methods, which constitute important tools in Biomolecules 2018, 8, x FOR PEER REVIEW

390 connection with the characterization of the polymers [47]. In this study we focused on Fourier 391 transform infrared (FT-IR), which has enabled structural information to be derived from the intact 392 residual lignocellulose, avoiding the possibility of degradation artifacts. Figure 3 illustrated the 393 FT-IR spectra of not-treated rachis sample, compared with FT-IR of samples treated with active 394 chlorine (ECA), organosolv (AA) and hydrogen peroxide (HP), as examples of the paradigm of 395 chemical pretreatments here applied.

396



(a)



(b)



428



(d)

Figure 3. FT-IR spectra of rachis samples not-treated (a), treated with ECA solution (b), with
 organosolv AA solution (c) and with hydrogen peroxide alkaline (d), in the range 4400-515 cm<sup>-1</sup>.

400 In the spectrum of not-treated samples (Figure 3a), typical peaks corresponding to lignin, 401 cellulose and hemicellulose can be recognized. In particular, signals at 3600-3000 cm<sup>-1</sup> corresponding 402 to OH-stretching and at 2900-2800 cm<sup>-1</sup> corresponding to CH<sup>n</sup> stretching, together with signals at 403 1170-1100 and 1060 cm<sup>-1</sup>, corresponding to C-O-C stretching of pyranose ring skeletal and C-OH 404 alcoholic bonds of sugars, are found in FT-IR spectra of pure cellulose and hemicellulose [48]. Lignin 405 is mainly responsible of signals in the finger print region (1830-700 cm<sup>-1</sup>). This group of complex and 406 superimposed peaks could indicate rachis lignin rich of metoxyl-O-CH<sub>3</sub>, C-O-C aryl-alkyl ether 407 linkages containing compounds and C=C bonds from aromatic rings (corresponding to 1700, 408 1371-1316, and 1621 cm<sup>-1</sup>, respectively).

409 Oxidant treatment with ECA solution (Figure 3b) seems to have the most dramatic effect on 410 lignin structure, disappearing the peak at 1730 cm<sup>-1</sup> of C=O stretching of carbonyl bonds and 411 comparing weak signals at 1500 and 1250 cm<sup>-1</sup>, probably due to degradation fragments. Moreover, 412 two new peaks are evidenced at 2351 and 2137 cm<sup>-1</sup>, probably due to the production of 413 nitrogen-based degradation products. During the oxidative delignification process, oxidation 414 reagents release a large number of free radicals, resulting in remarkable oxidative fragmentation and 415 removal of lignin from lignocellulosic matrix.

According to literature [49], IR-spectra analysis confirms that with the assistance of oxidation reagents, almost all lignin can be removed from lignocellulosic materials with the remaining of most cellulose and hemicelluloses, permitting a higher recovery of fermentable sugars. In the spectrum of rachis treated with *organosolv* AA (Figure 3c), on the contrary, the degrading effect on lignin appears less effective, corresponding to the low delignification yield here observed.

The oxidative action of hydrogen peroxide-derived radicals is thought to contribute to the depolymerization of lignin by attacking lignin side chains and fragmenting lignin into a number of low molecular weight compounds [50]. In fact, the fingerprint region shows a very different profile, with two spiky peaks at 1650 and 1308 cm<sup>-1</sup> (Figure 3d). It is anyway worthwhile noting as peaks corresponding to cellulose and hemicellulose are here less intense, being due to a possible degradation during treatment, which reflects the low cellulose-to-glucose conversion during hydrolysis.

#### 429 4. Conclusion

430 Delignification and saccharification of banana rachis for bioethanol production were performed 431 and evaluated. Pretreatments have been chosen to compare solvolitic effects (*organosolv*) and oxidant

432 effects with active chlorine in form of hypoclorite/hypochlorous acid and hydrogen peroxide, with

433 and without alkali. Hypochlorous acid was the most performing in terms of delignification yield,

- 434 and its combinations with *organosolv* did not reach a significant improvement. In general, active
- 435 chlorine oxidations were the best effective for lignin removal, obtaining in the meanwhile the most
- 436 promising cellulose-to-glucose conversion. Further research will be focused on optimizing the ECA
- 437 pretreatment up to be applied as a valuable industrial delignification process.

# 438 **Conflict of interest**

- 439 The Authors declare no conflict of interest.
- 440

# 441 References

- 442 1. Midilli, A. Green hydrogen energy system: a policy on reducing petroleum-based global unrest. *Int. J.*443 *Glob. Warm.* 2016, 10, 354–370.
- 444 2. Saini J.K., Saini R., Tewari L. Lignocellulosic agriculture wastes as biomass feedstocks for second-generation bioethanol production: concepts and recent developments. 3 Biotech. **2015**, *5*, 337–53.
- 446 3. Popp, J., Lakner, Z., Harangi-Rakos, M., Fari, M. The effect of bioenergy expansion: food, energy, and 447 environment. *Renew. Sus. En. Rev.* **2014**, *32*, 559-578.
- 448
  4. Cobuloglu, H. I. & Büyüktahtakın, İ. E. Food vs. biofuel: An optimization approach to the spatio-temporal analysis of land-use competition and environmental impacts. *Appl. Energy* 2015, *140*, 418–434.
- 450 5. Knauf, M.; Moniruzzaman, M. Lignocellulosic biomass processing: A perspective. *Int. Sugar J.* 2004, *106*, 451 147–150.
- 452 6. Sims, R. E. H., Mabee, W., Saddler, J. N. & Taylor, M. An overview of second generation biofuel 453 technologies. *Bioresour. Technol.* **2010**, *101*, 1570–1580.
- 454 7. Kumar, R., Singh, S. & Singh, O. V. Bioconversion of lignocellulosic biomass: biochemical and molecular
  455 perspectives. *J. Ind. Microbiol. Biotechnol.* 2008, 35, 377–391.
- 456 8. Canilha, L. *et al.* Bioconversion of sugarcane biomass into ethanol: an overview about composition,
  457 pretreatment methods, detoxification of hydrolysates, enzymatic saccharification, and ethanol
  458 fermentation. *Biomed Res. Int.* 2012.
- 459 9. Chandra, R. P. "Substrate pretreatment: the key to effective enzymatic hydrolysis of lignocellulosics?" In:
  460 *Biofuels* pp. 67–93, 2007 (Springer, Berlin, Heidelberg).
- 461 10. Mosier, N., Wyman, C., Dale, B., Elander, R., Lee, Y.Y., Holtzapple, M., Ladisch, M. Features of promising
  462 technologies for pretreatment of lignocellulosic biomass. *Bioresour. Technol.* 2005, *96*, 673–686.
- 463 11. Martínez, A. T., Camarero, S., Ruiz-Dueñas, F. J., Martínez, M. J. Biological lignin degradation. *Lignin*464 *Valorization Emerg. Approaches* 2018, 19, 199-207.
- 465 12. Zhang, L., Wang, T., Jiao, S., Hao, C., Mao, Z. Effect of steam-explosion on biodegradation of lignin in
  466 wheat straw. In 2007 ASAE Annual Meeting 1 (American Society of Agricultural and Biological Engineers,
  467 2007).
- 468 13. Dai, J., Patti, A. F., Saito, K. Recent developments in chemical degradation of lignin: catalytic oxidation and
  469 ionic liquids. *Tetrahedron Lett.* 2016, *57*, 4945–4951.
- 470 14. Shirkavand, E., Baroutian, S., Gapes, D. J. & Young, B. R. Combination of fungal and physicochemical
  471 processes for lignocellulosic biomass pretreatment–A review. *Renew. Sustain. Energy Rev.* 2016, 54, 217–234.
- 472 15. Sørensen, A., Lübeck, M., Lübeck, P. S., Ahring, B. K. Fungal beta-glucosidases: a bottleneck in industrial
  473 use of lignocellulosic materials. *Biomolecules* 2013, *3*, 612–631.
- 474 16. Rabemanolontsoa, H. & Saka, S. Various pretreatments of lignocellulosics. *Bioresour. Technol.* 2016, 199, 83–
  475 91.
- 476 17. Jönsson, L. J., Martín, C. Pretreatment of lignocellulose: formation of inhibitory by-products and strategies
  477 for minimizing their effects. *Bioresour. Technol.* 2016, 199, 103–112.

- 478 18. Behera, S., Arora, R., Nandhagopal, N., Kumar, S. Importance of chemical pretreatment for bioconversion
  479 of lignocellulosic biomass. *Renew. Sustain. Energy Rev.* 2014, *36*, 91–106.
- 480
  19. Rocha, G. J. M., Nascimento, V. M., da Silva, V. F. N., Corso, D. L. S., Gonçalves, A. R. Contributing to the environmental sustainability of the second generation ethanol production: delignification of sugarcane bagasse with sodium hydroxide recycling. *Ind. Crops Prod.* 2014, *59*, 63–68.
- 483 20. Li, M.-F., Yang, S. & Sun, R.-C. Recent advances in alcohol and organic acid fractionation of lignocellulosic
  484 biomass. *Bioresour. Technol.* 2016, 200, 971–980.
- 485 21. Keshav, P. K., Shaik, N., Koti, S., Linga, V. R. Bioconversion of alkali delignified cotton stalk using
  486 two-stage dilute acid hydrolysis and fermentation of detoxified hydrolysate into ethanol. *Ind. Crops Prod.*487 2016, 91, 323–331.
- 488 22. Cybulska, I. Organosolv delignification of agricultural residues (date palm fronds, Phoenix dactylifera L.)
  489 of the United Arab Emirates. *Appl. Energy* 2017, *185*, 1040–1050.
- 490 23. Coca, M., González-Benito, G. García-Cubero, M. T. Chemical Oxidation With Ozone as an Efficient
  491 Pretreatment of Lignocellulosic Materials. In *Biomass Fractionation Technologies for a Lignocellulosic Feedstock*492 Based Biorefinery 409–429 (Elsevier, 2016).
- 493 24. Yin, Y., Song, X., Li, C. Nie, S. A Method for Integrated Optimization of Chlorine Dioxide Delignification
  494 of Bagasse Pulp. *BioResources* 2017, *13*, 1065–1074.
- 495 25. Sarris, D., Papanikolaou, S. Biotechnological production of ethanol: biochemistry, processes and technologies. *Eng. Life Sci.* 2016, *16*, 307–329.
- 497 26. Yoon, S.-Y., Han, S.-H. & Shin, S.-J. The effect of hemicelluloses and lignin on acid hydrolysis of cellulose.
   498 *Energy* 2014, 77, 19–24.
- 499 27. Singhania, R. R., Patel, A. K., Sukumaran, R. K., Larroche, C., Pandey, A. Role and significance of
  500 beta-glucosidases in the hydrolysis of cellulose for bioethanol production. *Bioresour. Technol.* 2013, 127,
  501 500–507.
- Rawat, R., Srivastava, N., Chadha, B. S. & Oberoi, H. S. Generating fermentable sugars from rice straw
  using functionally active cellulolytic enzymes from *Aspergillus niger* HO. *Energy & Fuels* 2014, 28, 5067–
  504
- Santa-Maria M, Ruiz-Colorado A, Cruz G, Jeoh T. Assessing the feasibility of biofuel production from
   lignocellulosic banana waste in rural agricultural communities in Peru and Colombia. *BioEnergy Res* 2013,
   6, 1000–11.
- S08 30. Cherian, B. M. A novel method for the synthesis of cellulose nanofibril whiskers from banana fibers and
   characterization. *J. Agric. Food Chem.* 2008, *56*, 5617–5627.
- 31. Abdullah, N., Sulaiman, F., Miskam, M. A., Taib, R. M. Characterization of banana (Musa spp.)
  pseudo-stem and fruit-bunch-stem as a potential renewable energy resource. *Int. J Biol. Vet. Agric. Food Eng.* 2014, *8*, 712-715.
- 513 32. Guerrero, A. B., Ballesteros, I. Ballesteros, M. The potential of agricultural banana waste for bioethanol
   514 production. *Fuel* 2018, 213, 176–185.
- 515 33. Tamburini, E., Bernardi, T., Castaldelli, G., Tumiatti, G., Ferro, S. Green electrochemical approach for
  516 delignification of wheat straw in second-generation bioethanol production. *Energy Environ. Sci.* 2011, 4,
  517 551-557.
- 518 34. Helle, S. S., Duff, S. J. B., Cooper, D. G. Effect of surfactants on cellulose hydrolysis. *Biotechnol. Bioeng.* 1993, 42, 611–617.
- 520 35. TAPPI (1992). Technical Association of Pulp and Paper Industry, Atlanta, GA, USA.
- 521 36. Khiari, R., Mhenni, M. F., Belgacem, M. N., Mauret, E. Chemical composition and pulping of date palm
  522 rachis and Posidonia oceanica–A comparison with other wood and non-wood fibre sources. *Bioresour.*523 *Technol.* 2010, 101, 775–780.
- 524 37. Badger, P. C. Ethanol from cellulose: a general review. *Trends new Crop. new uses* **2002**, *1*, 17–21.
- 525 38. Lavarack, B. P., Griffin, G. J., Rodman, D. The acid hydrolysis of sugarcane bagasse hemicellulose to 526 produce xylose, arabinose, glucose and other products. *Biomass Bioen.* **2002**, *23*, 367–380.
- 527 39. Yang, B., Wyman, C. E. Effect of xylan and lignin removal by batch and flowthrough pretreatment on the
  528 enzymatic digestibility of corn stover cellulose. *Biotechnol. Bioeng.* 2004, *86*, 88–98.
- 529 40. Chen, M., Zhao, J. & Xia, L. Comparison of four different chemical pretreatments of corn stover for
  530 enhancing enzymatic digestibility. *Biomass Bioen* 2009, 33, 1381–1385.

- 531 41. Zhu, L., O'Dwyer, J. P., Chang, V. S., Granda, C. B., Holtzapple, M. T. Structural features affecting biomass 532 enzymatic digestibility. Bioresour. Technol. 2008, 99, 3817-3828.
- 533 42. Gupta, R., Sharma, K. K., Kuhad, R. C. Separate hydrolysis and fermentation (SHF) of Prosopis juliflora, a 534 woody substrate, for the production of cellulosic ethanol by Saccharomyces cerevisiae and Pichia 535 stipitis-NCIM 3498. Bioresour. Technol. 2009, 100, 1214-1220.
- 536 43. Sun, S., Sun, S., Cao, X. & Sun, R. The role of pretreatment in improving the enzymatic hydrolysis of 537 lignocellulosic materials. Bioresour. Technol. 2016, 199, 49-58.
- 538 44. Tamburini, E. et al. Potential of Near Infrared spectroscopy for classification of different delignificant 539 pre-treatments on banana rachis. J. Anal. Bioanal. Tech. 2016, 7, 311–317.
- 540 45. Draude, K. M., Kurniawan, C. B. & Duff, S. J. B. Effect of oxygen delignification on the rate and extent of 541 enzymatic hydrolysis of lignocellulosic material. Bioresour. Technol. 2001, 79, 113-120.
- 542 46. Yu, Z., Jameel, H., Chang, H., Park, S. The effect of delignification of forest biomass on enzymatic 543 hydrolysis. Bioresour. Technol. 2011, 102, 9083-9089.
- 544 47. Eglinton, T. I. et al. Incorporation of 13C-labeled coniferyl alcohol into developing Ginkgo biloba L. lignin 545 revealed by analytical pyrolysis and CuO oxidation in combination with isotope ratio monitoring-gas 546 chromatography-mass spectrometry. Holzforschung 2000, 54, 39-54.
- 547 Yang, H., Yan, R., Chen, H., Lee, D. H., Zheng, C. Characteristics of hemicellulose, cellulose and lignin 48. 548 pyrolysis. Fuel 2007, 86,1781-1788.
- 549 49. Song, Q. et al. Lignin depolymerization (LDP) in alcohol over nickel-based catalysts via a fragmentation-550 hydrogenolysis process. Energy Environ. Sci. 2013, 6, 994–1007.
- 551 50. Selig, M. J., Vinzant, T. B., Himmel, M. E. & Decker, S. R. The effect of lignin removal by alkaline peroxide 552 pretreatment on the susceptibility of corn stover to purified cellulolytic and xylanolytic enzymes. Appl. 553 Biochem. Biotechnol. 2009, 155, 94-103.
- 554



 $\odot$  2018 by the authors. Submitted for possible open access publication under the terms and of Creative Commons conditions the Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).

557