



**Endocrine therapy for hormone receptor-positive, HER2-negative metastatic breast cancer: extending endocrine sensitivity**

Journal:	<i>Future Oncology</i>
Manuscript ID	FON-2018-0942.R2
Manuscript Type:	Review
Keywords:	CDK4/6 inhibitor, endocrine therapy, metastatic breast cancer

SCHOLARONE™  
Manuscripts

## Endocrine therapy for hormone receptor-positive, HER2-negative metastatic breast cancer: extending endocrine sensitivity

### Abstract

Targeted agents have significantly prolonged survival and improved response rates in first- and second-line settings of HR-positive/HER2-negative metastatic breast cancer (MBC). Optimal sequencing of the available options may prolong endocrine sensitivity, slow disease progression, and delay the need for chemotherapy. However, the optimal treatment sequence remains unclear and therapeutic decisions are complex. We review the latest recommendations and supporting evidence for endocrine therapy in women with HR-positive/HER2-negative MBC and discuss strategies for the optimal sequential therapy in scenarios of response to endocrine therapy. Although more data are needed to define the best sequence of endocrine treatments, more personalized sequential strategies, which take into account response to previous treatments as well as disease symptoms and safety issues, will be increasingly feasible.

**Keywords:** CDK4/6 inhibitor, endocrine therapy, metastatic breast cancer, palbociclib, ribociclib, abemaciclib, fulvestrant

### Introduction

Recurrence rates in breast cancer have significantly declined thanks to the development of a multidisciplinary team approach, newer surgical techniques, and advances in systemic and targeted therapies. About 20% to 30% of cases diagnosed as early-stage non-metastatic cancer will experience a recurrence with distant metastatic disease, while only 6% to 10% of new breast cancer cases present at an advanced stage, as *de novo* metastatic breast cancer (MBC) [1]. Although MBC is generally incurable, substantial improvements in survival of patients with advanced disease have been achieved in recent years [2-4]. Of note, most MBC patients are postmenopausal women, who constitute the prevailing population in clinical trials.

Up to 75% of breast cancers express the estrogen and progesterone receptors and are referred to as hormone receptor (HR)-positive [2-4]. Owing to the role of the estrogen receptor in breast cancer biology, the modulation of estrogen signaling through endocrine therapy has long been an essential component in the treatment of HR-positive breast cancer at all stages [5]. Different types of endocrine therapy are available for MBC: selective estrogen receptor modulators (SERMs), including tamoxifen and

1  
2  
3 toremifene; aromatase inhibitors (AIs) including non-steroidal AIs (anastrozole, letrozole) and steroidal  
4 AIs (exemestane); selective estrogen receptor degraders (fulvestrant); progestins; anabolic steroids; and  
5 estrogens [1]. Over the past few years, the introduction of new agents including everolimus, an inhibitor  
6 of the mammalian target of rapamycin (mTOR), and the inhibitors of cyclin-dependent kinases 4 and 6  
7 (CDK4/6) palbociclib, ribociclib, and abemaciclib, which are all used in combination with endocrine  
8 therapy, has further increased the number of options for treatment of HR-positive, HER2-negative MBC  
9 in postmenopausal women. The addition of targeted agents that inhibit intracellular pathways  
10 important for cell proliferation has been suggested to enhance the efficacy of currently available  
11 endocrine therapies [6].

12  
13 In MBC, even if the results of more recent trials indicate the combination of hormone therapy plus  
14 CDK4/6 inhibitors as the more effective treatment option (with the exclusion of patients with visceral  
15 crisis) for ER+/HER2- BC, both in hormone-sensitive and in hormone-resistant disease, several aspects  
16 deserve further considerations. The type of hormone to be combined with CDK4/6 inhibitors, the role of  
17 the combination in aggressive visceral and in indolent disease, the room for hormonal drug alone, the  
18 impact of disease free interval from previous hormones on the treatment choice, the efficacy and safety  
19 differences among the three available CDK4/6 inhibitors are all aspects that deserve further  
20 investigations. Further issues relate to the fallout on the oncology services organization, and the costs of  
21 therapies. Finally, the optimal sequence of different available treatments is largely unknown, mainly  
22 sacrificed to the dogma of “the more active drug first”, even if no clear evidences support the fact that  
23 a stronger upfront approach could prolong the survival and delay the use of chemotherapy in a better  
24 way than a sequential use of the available drugs [7]. Current guidelines provide only general indications  
25 about the use of the different treatment options, and on possible sequences [2,7,8], based on a largely  
26 arbitrary definition of hormone resistance. On the other hand, several putative predictive biomarkers  
27 failed to the expectation for a personalized treatment of ER+/HER- MBC patients [9].

28  
29 In the present review, we will discuss the clinical problems that have to be addressed in daily treatment  
30 of ER+/HER2- MBC, moving from the latest recommendations for endocrine therapy and navigating the  
31 evidences behind them to extrapolate data useful in the management of specific in patient’s subgroups.

### 32 **Current recommendations**

33  
34 The main treatment endpoint in MBC is palliation, aimed at maintaining or improving quality of life and  
35 possibly prolonging survival. Guidelines generally suggest to choose therapy in ER+/HER2- MBC taking  
36 into consideration clinical parameters for choosing therapy in ER+/HER2- MBC (like disease-free interval  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49

1  
2  
3 from previous endocrine therapy, previous therapy and response, tumor burden, need for rapid  
4 disease/symptom control) and patient-related factors (like patient preferences, biological age,  
5 comorbidities and performance status, socio-economic and psychological factors) [2].  
6  
7

8  
9 There is full agreement among guidelines (NCCN, ASCO, ESMO, AIOM) [2,3,7,8] that endocrine  
10 therapy should be the preferred option for HR-positive disease, and that hormone-based treatment  
11 should be continued until a clear evidence of resistance occurs. Chemotherapy is considered a more  
12 appropriate treatment only in case visceral crisis, defined as a clinical condition in which there is a high  
13 risk of rapid disease progression leading to death, or in tumors in which, with high probability, a  
14 subsequent treatment after failing hormone-based therapy would not be feasible. Despite this, real-life  
15 data [10-12] show that a significant proportion of patients with HR+/HER2- MBC still receive  
16 chemotherapy as their first treatment in clinical practice.  
17  
18  
19  
20  
21

22  
23 Based on the previous exposure to endocrine treatment, metastatic patients can be divided in  
24 hormone-naïve (patients with de-novo MBC, or, rarely, patients who did not receive adjuvant hormonal  
25 therapy) and endocrine pretreated (an heterogeneous group comprising patient already treated with  
26 hormonal therapy in the metastatic setting and patients with relapse of disease during adjuvant  
27 hormonal therapy or after stopping it, early or late).  
28  
29  
30

31  
32 The interval between the previous exposure to endocrine therapy and the occurrence of disease  
33 progression or relapse has been used as a surrogate of the tumor endocrine-resistance (i.e. the  
34 probability that patient does not benefit from a subsequent endocrine-based therapy), that is accepted  
35 to progressively increase as the patient had no, past, recent or current exposure to hormonal therapy  
36 [2]. In these cases, the failure to respond to HT is considered as a primary (intrinsic) resistance (the  
37 growth of neoplastic cells (even if ER+) is already independent from the endocrine stimulation, mainly  
38 due to intrinsic subtype, low estrogen receptors, constitutive activating mutations of downstream  
39 estrogen dependent pathway [13,14] or as a secondary (acquired) resistance (tumor cells become  
40 resistant after a previous phase of sensitivity, mainly due to estrogen receptor mutations or overcoming  
41 crosstalk mechanisms [15,16]).  
42  
43  
44  
45  
46  
47  
48

49 The occurrence of resistance is unfortunately a common problem during HT, and several drugs have  
50 been developed to prevent or to overcome hormone-resistance, either aimed to degrade the Estrogen  
51 Receptor (like fulvestrant or new SERD [17]), or to target some key-mediators of intracellular pathways  
52 (like PIK3CA/AKT/mTOR inhibitors, or CDK4/6 inhibitors).  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 According to current ESMO guidelines [2], first line treatment should be performed with CDK4/6  
4 inhibitor in addition to hormonal agent (AI if HT naïve or late recurrent; fulvestrant if recurrent during or  
5 early after (<12 month) completing adjuvant HT). Other first-line options however might include HT  
6 alone (as monotherapy - fulvestrant, AIs or tamoxifen) or, for patients without any exposure to  
7 endocrine therapy, as a combination of AI plus fulvestrant [18]. The optimal sequence after a first-line  
8 endocrine therapy for metastatic disease depends on the type of therapy previously used: if AIs, the  
9 recommend options are CDK4/6 inhibitor plus fulvestrant, or everolimus plus exemestane; if CDK4/6  
10 inhibitor plus HT the options might be (without clear supporting evidence) everolimus plus exemestane,  
11 or fulvestrant (if prior AIs), or other hormones (like AIs, tamoxifen and megestrol acetate). The same  
12 considerations could be made for a hypothetical third line  
13  
14  
15  
16  
17  
18  
19

20 For premenopausal and perimenopausal women, the same recommendations can be applied,  
21 provided the patient undergoes to ovarian suppression, pharmacologically with gonadotropin-releasing  
22 hormone analogs or, in selected cases, through surgical oophorectomy [2]  
23  
24  
25  
26  
27

### 28 **Navigating the evidences that support current recommendations**

29  
30 Over the past decades, tamoxifen and AIs (plus LH-RH analogues in premenopausal women) has been  
31 considered the best endocrine therapies in HR-positive MBC. According to some studies in  
32 postmenopausal women, AIs have shown superior efficacy compared with tamoxifen, but differences  
33 are modest [19,20]. A survival benefit favoring AIs over other endocrine therapies, although small, also  
34 emerged from a Cochrane analysis [19]. However, a randomized phase III trial comparing tamoxifen with  
35 exemestane (a steroidal AI) as first-line endocrine therapy in postmenopausal women with MBC did not  
36 report significant differences in progression-free survival (PFS) or overall survival (OS) between the two  
37 treatments [20]. Despite these controversial results, AIs are generally considered as one of the main  
38 first-line options for MBC patients not previously treated with adjuvant therapy, or presenting with “de  
39 novo” stage IV disease [8].  
40  
41  
42  
43  
44  
45  
46

47 In the last years however, a large body of evidence have been published, supporting the profound  
48 change in guidelines recommendations.  
49

50 Recent key trials with HT (AIs and fulvestrant) alone and in combination with CDK4/6 inhibitors or  
51 everolimus in ER+/HER2- MBC are summarized in **Table 1**. Trials are classified either as first line (patients  
52 untreated with HT o with late recurrence, *mainly HT sensitive*), or as second line (patients pretreated  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 with HT as adjuvant or in first line metastatic, *mainly HT resistant*), as already done by Slamon in the  
4 analysis of the Monaleesa-3 trial [21]).

### 6 **Main results and differences of phase III trials of endocrine therapy plus targeted agents**

8 The Paloma 2 (PL2) [22], Monaleesa 2 (ML2) [23,24] and Monarch 3 (MC3) [25,26] were all designed to  
9 compare an AI (letrozole in the first 2, any AI in the other) with the same HT plus respectively  
10 palbociclib, ribociclib or abemaciclib. The target population included postmenopausal women with  
11 ER+/HER2- MBC, untreated with hormones or relapsed at least 12 months after the end of adjuvant HT.  
12 The Monaleesa 3 (ML3) [21] partially included a similar patient population (49.2% of the enrolled  
13 received treatment as first line, and 50.8% for relapse after previous HT), but in this case fulvestrant was  
14 used as hormonal partner

15 Some differences among the trials exist, mainly related to the enrolled patient population and to the  
16 timing of response evaluation: % of de novo vs recurrent patients (30% PL2, 34% ML2, 41% MC3); long  
17 DFI: (60% ML2 (>24m); 40% PL2 (>12m); 62% MC3 (>36m), % of visceral disease (59% ML2; 48% PL2;  
18 52% MC3), % of bone only disease (20% ML2; 23% PL2; 21%MC3), % of previous chemotherapy (34%  
19 ML2; 48% PL2; 38% MC3), % of hormone naïve (44% PL2; 48% ML2; 54% MC3); time of response  
20 evaluation (q12w PL2 (bone scan q24w); q8w-q12w ML2; q8w MC3 (bone scan q24w)).

21 All the trials reported a significant improvement in PFS (primary endpoint of the studies), with a HR of  
22 0.58, 0.56 and 0.54 respectively, and of 0.57 for the ET-naïve population of Monaleesa-3 (21). However,  
23 Monarch3 population could be considered more HT-sensitive, due to a higher number of de novo or  
24 very late recurrent patients, to a lower % of patients treated with adjuvant chemotherapy (38%) and  
25 higher % of patients never exposed to hormones (54%). Also timing of evaluation is somewhat different  
26 (longer in Paloma2), and different for visceral vs bone evaluation, with some difficulties in comparing  
27 the trial results.

28 The improvement in PFS was present in all the patient's subgroups, even if at a different degree,  
29 potentially due to the size of the subgroups.

30 The PFS results were similar also in Monaleesa 3, in which ribociclib was combined with fulvestant, with  
31 a median PFS (in the whole population, including patients pretreated for metastatic disease) of 20.5  
32 months (vs 12.8 of fulvestrant alone). Median PFS was 24.8 in Paloma2 and 28.2 in Monaleesa 2.

33 The response rate in these trials was also remarkable (42% in PL2, 40.7% in ML2, 59% in MC3, in patients  
34 with measurable disease), similar if not higher than the response rate expected with chemotherapy  
35 regimens in ER+/HER2- MBC.

1  
2  
3 A numerical difference in deaths favoring the first line CDK4/6 inhibitor has been recorded at the time of  
4 PFS analysis [24], and mature OS data are awaited for PL2 and ML2. **In ML3 a survival benefit has been**  
5 **recently reported [27]. At a median follow-up of 39.4 mo, ribociclib plus fulvestrant showed a significant**  
6 **OS prolongation (median OS not reached vs 40.0 mo; HR, 0.724). OS resulted significantly increased also**  
7 **in the subgroup of patients treated as first line (median not reached vs 45.1 mo; HR, 0.700).**

8  
9  
10 Monaleesa 7 (ML7) [28,29] was the only trial specifically designed to compare HT (LH-Rh analog in  
11 combination with Letrozole or Tamoxifen) with or without ribociclib in pre- and perimenopausal women  
12 untreated with hormones for metastatic disease (40% de novo and 60% recurrent, with DFI >12 month  
13 in the majority of the cases). Young women represent about 20% of invasive breast cancer in the USA,  
14 and the optimal treatment of ER+/HER2- metastatic cases is a matter of debate, due to the frequently  
15 perceived more aggressive behavior. In ML7 patients, visceral metastases were present in 56% of cases.  
16 The combination of ribociclib + HT showed a significant improvement in PFS (from 13 to 23 months, HR  
17 0.53), both using tamoxifen (22.1 vs 11 months) and letrozole (27.5 vs 13.8 months). More importantly,  
18 ML7 demonstrated a significant OS advantage [29], (Median not reached with ribociclib vs 40.9 months  
19 with HT only, HR 0.71), more evident in patients treated with letrozole (median not reached with  
20 ribociclib vs 40.7 months with HT only, HR 0.69). The combined treatment was beneficial in all  
21 subgroups of patients, and delayed the need for first subsequent chemotherapy by 40%, with 16% less  
22 of women treated with chemotherapy after 42 months. Finally, a possible “carry-over” effect (i.e. a PFS  
23 benefit that extends to the next line of treatment) has been observed, with 31% reduction in the risk of  
24 progression to therapy administered after CDK4/6 inhibitor plus HT (PFS-2).

25  
26  
27 In patients developing recurrence during adjuvant HT or shortly after, or during 1<sup>st</sup> line HT for metastatic  
28 disease, the combination of CDK4/6 inhibitor with fulvestrant as second line yielded a significant  
29 prolongation of PFS compared with fulvestrant alone, consistently in Paloma 3 (PL3) [30,31], Monaleesa  
30 3 (ML3) [21] and Monarch 2 (MC2) [32] (HR 0.46, 0.56 and 0.55 respectively). However, as expected, the  
31 median PFS were clearly smaller than those observed in first line, ranging from 9.5 to 16.4, mainly  
32 depending on the characteristics of patients enrolled in the trials regarding the previous exposure to HT.  
33 In the Paloma 2, a meaningful difference in median OS has been also reported, from 28 to 34.9 months  
34 (HR 0.81, statistically not significant) [31]. **In Monarch 2 a significant improvement in OS has been**  
35 **reported [33], from 37.3 months for fulvestrant alone versus 46.7 months for abemaciclib plus**  
36 **fulvestrant ([HR 0.757). Interestingly, the improvement appeared greater in patients with visceral**  
37

disease (HR, 0.675) and with primary resistance to prior ET (HR, 0.686), clearly challenging the role of chemotherapy in these patient's groups.

These studies also enrolled also a small number of premenopausal patients, who, at subgroup analysis, obtained the same degree of benefit than post-menopausal women.

In the Bolero-2, patients progressing on AI were treated with the combination of everolimus, an mTOR inhibitor, with exemestane versus exemestane alone. The combination treatment resulted in a significant improvement in median PFS as compared to exemestane alone (from 3.2 to 7.8 months, HR 0.45) in the Bolero-2 trial [34], even if associated with higher toxicity. A numerically increase in median OS has been also reported with Everolimus plus exemestane (HR 0.81, not significant) [35].

Taken together, the evidences strongly support the use of CDK4/6 combination with HT both in patients untreated or pretreated with HT, **reserving the combination of everolimus plus exemestane to patients failing CDK4/6i + HT**. However, several aspects have not been fully elucidated by the trials, particularly as to which should be the optimal sequence to apply in different clinical situations. In fact, even if on average all patient's subgroups seem to have similar benefit, many of these are underpowered to derive solid evidences.

Moreover, because the delay in starting chemotherapy has been claimed as a meaningful clinical endpoint, strategies to extend the duration of treatment with HT, alone or combined with other targeted drugs, is a reasonable goal to be pursued through an optimal use of all the available options.

### **Questions deserving further considerations**

***Question 1: in patients with bone only disease, hormone naïve or (very) late recurrent, could HT alone be an option?***

All the trials mentioned above reported a benefit with CDK4/6 inhibitors plus HT in patients with bone only disease. About 20% of patients enrolled in the trials had disease limited to the bone. In these patients, the risk of progression was reduced by 64% in PL2 (HR 0.36) [22], by 31% in ML2 (HR 0.69) [23] and by 42% in MC3 (HR 0.58) [25]. However, longer time intervals in evaluating the effect on the bone has been reported in in PL2 and MC3 than in ML2, potentially influencing the degree of the benefit reported in the trials (as a longer exposure to the drug before the assessment of response could have improved the control of disease). However, in the Falcon study [36] patients without visceral disease, comprising patients with bone only disease, showed a remarkable PFS of 22.3 months, suggesting that ET alone could be a good alternative in patients HT naïve. On the other hand, in ML3 [21] the



1  
2  
3 combination of ribociclib with fulvestrant reduced the risk of progression by 62% in case of bone only  
4 disease (HR 0,379) in respect with fulvestrant (about 50% of patients were treated as first HT line).  
5  
6 **However, the degree of OS benefit, in the subgroup analysis, resulted lower than that observed in**  
7 **visceral disease.** Finally, the administration of fulvestrant as first option raises the question of which  
8  
9 subsequent treatment could be used at the time of progression, as we have very limited evidence about  
10  
11 the effect of CDK4/6 inhibitor plus AI in such patients.  
12  
13

14 The DFI has been reported as a surrogate of hormone sensitivity. In a combined analysis of MC3 and  
15 MC2 [37], a DFI of 36 months or longer was associated with a lack of effect for CDK4/6 inhibitors. On the  
16  
17 contrary, no difference of effect has been observed in PL2 [38] and ML2 [24], after a longer follow-up.  
18  
19

20 ***Question 2: in patients with high burden, aggressive disease, should HT plus CDK4/6 inhibitor be***  
21 ***recommended?***  
22

23  
24 In patients with visceral disease (mainly liver), or with high burden of disease (reported in several trials  
25 as 3 or more sites of metastases) the use of chemotherapy has been considered for long time the  
26  
27 preferable option by many oncologists. Current guidelines recommend instead HT as a first line of  
28  
29 treatment (unless there is an overt visceral crisis – i.e. a condition in which patient suffers for an organ  
30  
31 failure with high risk of death). In all the trials, CDK4/6 inhibitors combined with HT improved  
32  
33 significantly the PFS even in patients with high burden of disease in patients untreated with HT for  
34  
35 metastatic disease: PFS HR was 0.63 in PL2 [22,39] 0.56 in ML2 [24], 0.64 in ML3 [21], 0.50 in ML7 [28]  
36  
37 and 0.61 in MC3 [26]. The effect seems greater when CDK4/6 inhibitor is combined with fulvestrant: the  
38  
39 median PFS was 24.9 and 22.8 in ML2 and ML7 respectively, but has not been reached in ML3 [21] at 24  
40  
41 months. **CDK4/6 inhibitor combination with fulvestrant resulted also in a significantly improved OS in**  
42 **ML3 [27], reinforcing the alternative role of this combination to chemotherapy as first line.**

43 Similar or greater effect has also been observed also in patients already exposed to HT for metastatic  
44  
45 disease: the PFS HR was 0.47 in PL3 [39], 0.64 IN ML3 [40] and 0.48 in MC2 [37]. A high rate of objective  
46  
47 response has also been obtained in patients with liver metastases, ranging from 41.3% in PL2, to 53% in  
48  
49 ML2 and 54.1% in MC3. Moreover, a tumor shrinkage has been observed as early as 8 weeks [after  
50  
51 starting treatment [41]. **In MC2, patients with ET resistance and visceral disease obtained the greater**  
52 **degree of benefit in respect with second line ET alone, (HR 0.675) [33].** As a whole, despite the lack of  
53  
54 direct comparisons, these results are probably better than those achievable with chemotherapy.  
55  
56  
57  
58  
59  
60

1  
2  
3 **Question 3: is there any difference among the different CDK4/6 inhibitors that could guide the choice**  
4 **of a specific compound?**  
5

6  
7 The pharmacodynamic effects of the 3 different CDK4/6 inhibitors are quite different [42]: the effect on  
8 CDK6 (that plays a critical role in hematopoietic stem cell differentiation) is higher for palbociclib than  
9 for abemaciclib and ribociclib, whereas the effect on CDK4 (important for breast tumorigenesis) is  
10 greater for abemaciclib. Moreover, abemaciclib inhibits also CDK 9 (and at a lesser extent CDK 2), which  
11 are probable responsible for the gastrointestinal side effects. Due to pharmacokinetic parameters  
12 abemaciclib needs for a continuous schedule of administration, whereas both palbociclib and ribociclib  
13 are used with an intermittent schedule (3 weeks on, 1 week off). The bioavailability of palbociclib is  
14 influenced by foods, whereas both ribociclib and abemaciclib can be taken independently from foods.  
15 Finally, abemaciclib seems to better penetrate the brain barrier, with a potential effect on brain  
16 metastases.  
17  
18

19  
20  
21  
22  
23  
24 The adding of a CDK4/6 inhibitor to HT increases the incidence of side effects [22,23,25,30,32]. Some  
25 difference exists among the three CDK4/6 inhibitors, mainly due to the different selectivity for different  
26 CDKs. Drugs with higher selectivity on CDK4 and 6 (palbociclib and ribociclib) show high frequency of  
27 hematologic side effects, like neutropenia and, at a less extend, of anemia. Abemaciclib, inhibiting also  
28 CDK9, has more effects on the gastrointestinal tract, mainly diarrhea and nausea [43]. All the three  
29 drugs where associated with fatigue, and ribociclib can also induce a QTc prolongation. This latter has  
30 not yielded clinically important arrhythmias in the Monaleesa trials; however, it could rise concern in  
31 case of other QTc modifying concomitant drugs or in case of electrolyte disturbance (mainly ipokaliemia)  
32 due to other drug side effects or disease complication [44]. Both ribociclib and abemaciclib can induce  
33 ALT and/or AST increase, and abemaciclib has been associated with a higher incidence of  
34 thromboembolic events. During treatment with abemaciclib an increase in creatinine level has been  
35 reported: this seems related to an on-target effect of the drug as a competitive inhibitor of the efflux  
36 transporter (MATE1 and MATE2-K) in the proximal tubule of the kidney and should not reflect a renal  
37 dysfunction [45].  
38  
39

40  
41  
42  
43  
44  
45  
46  
47  
48 These effects should be taken into consideration when prescribing CDK4/6 inhibitor, particularly in case  
49 of patients with concomitant hematological, liver or cardiovascular disease, along with a careful  
50 evaluation of potential interactions with concomitant drugs. The need for frequent monitoring of  
51 patients (during the first 2 courses) should also to be considered, mainly in patients with difficulties in  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 accessing hospital, like elderly patients, living in the countryside and/or without caregivers (even if age  
4 per se is not a criterion to treatment exclusion).

5  
6  
7 These differences, along with the different safety profile, might be considered at the time of treatment  
8 choice, particularly in case of concomitant gastrointestinal disease.

9  
10  
11 **Question 4: in patients with early recurrence after AI, should a CDK4/6 inhibitor with fulvestrant be**  
12 **preferred to everolimus plus exemestane?**

13  
14 No data from a direct comparison between these regimens are available. However, at a cross-trial  
15 comparison, the results of Bolero-2 (BL2) [34] can be matched with those of PL3 [30,31], MC2 [32] and  
16 ML3 [21]. Patients in BL2 had received a higher number of previous lines of therapy ( $\geq 3$  lines: 53% in  
17 BL2, 14% in PL3, which can partially explain (along with the different HT companion) the different results  
18 (both for the control arm and the experimental arm of the trials). Median PFS was 7.8 months in BL2  
19 (HR 0.45), 9.5 in PL3 (HR 0.46), 16.4 in MC2 (HR 0.55) and 14.9 in ML3 (HR 0.56). A not significant  
20 increase in median OS, from 26.6 to 31 months (HR 0.89), has been reported in BL2 [35], **whereas a**  
21 **meaningful increase, from 28 to 34.9 months in PL3 (HR 0.81) [31], and from 37.3 to 46.7 months in**  
22 **MC2 [32] has been reported.** Moreover, the different safety profile and patient's tolerability of  
23 everolimus versus CDK 4/6 inhibitors has to be considered: stomatitis, hyperglycemia, anemia, fatigue,  
24 dyspnea and pneumonitis, observed with everolimus [34], while neutropenia, leukopenia, fatigue,  
25 nausea, diarrhea (mainly for abemaciclib), and liver enzyme increase are commonly observed with CDK  
26 4/6 inhibitors (with some differences among them) [21,22,23,25,30,32].

27  
28 Finally, the data obtained with CDK4/6 inhibitors plus fulvestrant can be better translated to the current  
29 clinical scenario, in which patients have received HT just as adjuvant or as first line metastatic therapy.  
30 However, if we consider these patients as potentially hormone-resistant, a reasonable alternative could  
31 be chemotherapy. No data from randomized trials about this comparison are available to date.

32  
33  
34 **Question 5: should any biomarker be recommended to guide the choice between HT and HT plus**  
35 **CDK4/6 inhibitor or HT plus everolimus?**

36  
37 All the trials with CDK4/6 inhibitors or with everolimus had an extensive biomarker program. In many  
38 cases the biomarker data derive from analyses of primary tumor, and on a limited extent from  
39 metastatic tissue. In many cases blood samples obtained before starting treatment have been used for  
40 the analysis of ctDNA. In patients potentially endocrine-sensitive (unexposed to HT or with late  
41 recurrences), the results of these analyses did not detect any biomarker clearly associated with response  
42 or resistance to CDK4/6 inhibitors in PL1 [46], PL2 [47] and ML2 [23]. PFS improvements were obtained  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 irrespective to Rb, p16, Ki76, CCND2A, CCND1, ERS1 and PIK3CA status. In patients with acquired  
4 resistance (i.e. recurrence during HT) in PL3 trial, ctDNA ESR1 mutations, promoting an estrogen  
5 independent tumor growth, were detected in 25-30 % of patients [47]. However, no evidence of a  
6 differential effect of HT plus palbociclib was observed, probably depending on the retained activity of  
7 fulvestrant also against the mutated receptor. Indeed, the same positive effect of palbociclib plus  
8 fulvestrant was observed in the mutated or WT cohorts (HR 0.48 and 0.45 respectively). Interestingly,  
9 the “dynamic” of ctDNA biomarkers seem to provide predictive information, as patients with a reduction  
10 both of ESR1 mutations and PIK3CA mutations at day 15 showed a PFS significantly better than patients  
11 with persistently high level of ctDNA mutations [48]. The circulating DNA ratio at day 15 (CDR15) of  
12 PIK3CA mutation was also predictive of the effect of palbociclib plus fulvestrant, but not of fulvestrant  
13 alone [49].

14  
15 In the Bolero 2, in patients failing treatment with NSAI, an extensive biomarkers analysis was performed  
16 [50]. PIK3CA was the most frequent genetic alteration, and was associated with worst outcome, but not  
17 with a differential benefit of the everolimus and exemestane combination over exemestane alone. The  
18 combination was also associated with better PFS both in case of ESR1 D538G mutation and in ESR1wt,  
19 whereas no difference in the ESR1 Y537S mutation has been observed.

20  
21 A recently published post-hoc analysis of Bolero2 showed that about 60% only tumors had a genetic  
22 profile belonging to the luminal subclasses (46.7% luminal A and 15.7% luminal B) with PAM50 [51].  
23 Both luminal and non-HER2 enriched showed a significant PFS benefit with everolimus versus non-  
24 luminal and HER2-enriched, respectively. However, this could not be true for CDK4/6i, due their ability  
25 to inhibit the growth of HER2-positive cell lines in preclinical model and to reduce cell proliferation in  
26 neoadjuvant setting when combined with fulvestrant and anti-HER2 agents, without chemotherapy [52].  
27 Taken together, the currently studied biomarkers seem to be robustly prognostic (i.e associated with  
28 early progression and worst prognosis), but fail to demonstrate a predictive value.

29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43 **Question 6: Which is the optimal therapeutic strategy after first line therapy with CDK4/6 inhibitors?**

44  
45 Three main types of resistance to CDK4/6 inhibitors have been identified [47, 53], related to 1)  
46 hyperactivation of the target (like CDK6 increase due to FAT1 & HIPPO pathway activation), 2) to the loss  
47 of target (like Rb loss) or 3) to activation of bypass mechanisms (like cyclin E increase). Moreover, FGFR1  
48 gain and p53 mutation have been supposed as inductors of early progression.

49  
50 Several rational therapeutic approaches are in development to address these situations. At the moment,  
51 current options in case of progression after CDK4/6 inhibitors are represented by chemotherapy, mTOR  
52 inhibitors plus exemestane, or HT alone.

1  
2  
3 The use of CDK4/6 inhibitors beyond progression have been explored through different strategies,  
4 mainly represented by: a) changing the CDK4/6 inhibitor: abemaciclib after palbociclib failure has been  
5 administered in 58 pts, alone or combined with antiestrogen, with about 35% patient remaining on  
6 therapy for more than 6 months [54,55]; b) continuing the same CDK4/6i plus hormone, and adding  
7 everolimus. In the TRINITI-1 trial [56] 95 pts (66% with liver metastases) were treated with ribociclib plus  
8 exemestane plus everolimus after progression on a previous ribociclib-based treatment. A 24 week-  
9 clinical benefit (the primary endpoint of the study) was observed in 41% of cases, with 8% ORR. Median  
10 PFS was 5.7 months, with 1-year PFS of 33.4%. Notably, detection of ESR1 mutation in the ctDNA was  
11 associated with worse outcome (median PFS 3.5 vs 6.9 in patients with and without ESR1 mutation,  
12 respectively). Numerically shorted PFS was also observed in PIK3Ca mutated tumors. Some other  
13 prospective trials are exploring the triplet combination (MAINTAIN, NCT02632045; PACE, NCT 03147287;  
14 PALMIRA, NCT 3809988); c) in the PIK3Ca mutated tumor, after the first signal of activity with PIK3CA  
15 selective inhibitor alpelisib in the SOLAR-1 (10.9 mos median PFS in endocrine resistant tumors, few  
16 exposed to CDK4/6i) [57], trials enrolling only PIK3Ca mutated and CDK4/6 failing tumors are ongoing,  
17 like the confirmatory BYLive trial (NCT03056755) . Capivasertib (a selective AKT inhibitor) has been also  
18 combined with fulvestrant in the phase 1-2 FAKTION trial [58] in 140 menopausal women failing AIs, also  
19 doubling the response rate. An interesting increase in PFS from 6.3 to 10.3 months has been reported.,  
20 more evident in the subgroup showing an activation of the PIK3/AKT/PTEN pathway. The final results of  
21 these and future trials would allow for a more tailored approach to these tumors.  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34

35  
36 ***Question 7: Are the results achieved with the CDK4/6 inhibitors in post-menopausal women***  
37 ***transferables to premenopausal patients?***  
38

39 In the PL3, ML3 and MC2 trials a limited number of premenopausal women were enrolled, provided that  
40 their ovarian function was inhibited with LH-RH agonist (ovarian function suppression, OFS). The  
41 subgroup analysis of these trials did not show any differential effect in PFS. A substantial proportion of  
42 hormone-receptor positive, metastatic breast cancer patients are pre-menopausal. ML7 [28, 29] was the  
43 first trial specifically addressing the role of a CDK4/6 inhibitor (ribociclib) in premenopausal women. A  
44 total of 672 patients treated with goserelin were randomized to receive NSAI or TAM + ribociclib vs  
45 placebo. After a median FU of 34.6 months significant benefits in PFS, and more important, in OS were  
46 reported. Ribociclib reduced the risk of progression by 31%, and the risk of death by 29%, with median  
47 OS not reached vs 40.9 months. At 42-month landmark analysis, 70% of patients treated with ribociclib  
48 were alive, vs 46 with placebo. Patients receiving NSAI seemed to derive a greater benefit with respect  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 to those treated with tamoxifen. Ribociclib significantly delayed the time to first chemotherapy, and  
4 seemed also to favorably impact on the efficacy of the next line of therapy (carry-over effect).

5  
6  
7 These results established the combination of ribociclib plus ET and OFS as the new standard for  
8 premenopausal ER+/HER2- metastatic breast cancer as first line.

9  
10  
11 In premenopausal women the combination of OFS plus exemestane plus palbociclib was compared with  
12 chemotherapy (capecitabine at standard dose) in the Young-PEARL trial [59]. Among the 178 tamoxifen-  
13 pretreated patients enrolled, 51% were treatment naïve for advanced disease, about 20% had been  
14 exposed to chemotherapy in the metastatic setting, and about 50% had visceral disease. Median PFS  
15 was significantly better with the palbociclib-based treatment (20.1 vs 14.4 months, HR 0.659, p=0.046),  
16 with a response rate was also numerically higher in the palbociclib-ET combination arm (50.8% vs  
17 44.8%). This study further supports the role of CDK4/6 inhibitors combined with ET in first line advanced  
18 breast cancer.  
19  
20  
21  
22  
23

#### 24 **Strategies to prolong response to endocrine therapy**

25  
26  
27 Several options are now available to treat ER+/HER2- metastatic breast cancer, which can be used  
28 rationally based on tumor biology, clinical course of disease and patient's characteristics. The ultimate  
29 goal is to maintain the inhibition of the hormone-related cell control machinery as long as possible,  
30 through a fine tuning of the different mechanisms operating in the tumor, without either over or under  
31 treatment. Eventually, all patients develop hormone resistance and need chemotherapy; however,  
32 delaying the time to definitively abandon hormone drugs and to start chemotherapy is a significant  
33 endpoint, in order to preserve quality of life. Indeed, the response to chemotherapy is frequently  
34 suboptimal in ER+/HER2- BC, the duration of response is limited and toxicity often substantial. CDK4/6  
35 inhibitors combined with hormones represent a new important option, and the impulse to use them in  
36 every case is very high. No patient subgroup was identified that seemed to derive no benefit from the  
37 addition of a CDK4/6in to ET, independently of age, tumor location, tumor burden and DFI, **but**  
38 **considering the different degree of benefit in subgroups of patients could reasonable**. Therefore, the  
39 combination of a CDK4/6 inhibitor + ET should always be considered, unless in presence of clear  
40 contraindications to CDK4/6i due to comorbidities or to visceral crisis. On the other hand, no trials  
41 included a predefined crossover from ET to ET+CDK4/6 inhibitor at time of progression, not allowing a  
42 clear understanding as to whether an upfront CDK4/6 inhibitor use is superior to a sequential use.  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
The supporters of early use of CDK4/6i emphasize the length and degree of response, and the risk that a  
rapidly progressing tumor could preclude their subsequent use. On the opposite, the number and

1  
2  
3 duration of side effects, the need for closer patient's monitoring, the increased service workload for  
4 treating institutions, and the costs of treatments could suggest to carefully select patient for early or  
5 delayed combination therapy.  
6  
7

8  
9 Based on reported evidence from clinical trials and ABC and ESMO guidelines, attempts to refine  
10 current recommendations for treatment of HR+/HER2- MBC by suggesting possible treatment  
11 sequences in three scenarios of response to endocrine therapy can be proposed (**Figure 1**). The  
12 scenarios include primary and secondary resistance, as defined by the ESMO guidelines [2], and a  
13 situation of prolonged endocrine sensitivity defined by late or very late relapse after adjuvant endocrine  
14 therapy. In patients with primary resistance, **the OS survival benefit observed with the addition of**  
15 **CDK4/6 inhibitors to endocrine therapy clearly justify their role as first option in respect to**  
16 **chemotherapy**, unless signs of severe organ dysfunction are present (i.e. overt visceral crisis).  
17 Everolimus combined with exemestane appears to have a lower efficacy and tolerability than CDK4/6  
18 inhibitor-based combinations and may, therefore, be more suitable for subsequent lines of treatment,  
19 as an alternative to chemotherapy depending on disease burden and symptoms. Of note, the evidence  
20 supporting an additional line of treatment with another regimen containing a targeted agent is currently  
21 limited.  
22  
23  
24  
25  
26  
27  
28  
29  
30

31 Treatment sequences for patients with secondary resistance are similar to those for patients with  
32 primary resistance (**Figure 1**), with even less room for chemotherapy.  
33  
34

35 In patients exhibiting long endocrine sensitivity, asymptomatic disease, bone-only disease, and no  
36 visceral disease, the best choice may be endocrine therapy with fulvestrant or AIs, coupled with close  
37 monitoring of disease progression (**Figure 1**). Postponing the addition of CDK4/6 inhibitors to endocrine  
38 therapy in these patients may be worth considering since robust evidence supports the efficacy of  
39 CDK4/6 addition not only in the first- but also the second-line setting.  
40  
41  
42  
43

44 Finally, in symptomatic patients exhibiting endocrine sensitivity and presenting with visceral disease  
45 – even if with high burden of disease – it may be advisable to use the combination CDK4/6 inhibitor plus  
46 AI (letrozole) or fulvestrant, based on the elevated response rates reported in the clinical trials.  
47  
48

#### 49 **Future Perspective**

50  
51 The CDK4/6 inhibitors palbociclib, ribociclib, and abemaciclib have substantially changed the treatment  
52 options for HR+/HER2- MBC and, used in combination with endocrine therapy, prolong survival and  
53 improve response rates in both first- and second-line settings. However, while appropriate sequencing  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 of the currently available options has the potential to prolong endocrine sensitivity, slowing disease  
4 progression and postponing the need for chemotherapy as long as possible, considerable researches  
5 continue in the attempt to define the optimal treatment sequence. Strategies for the optimal sequential  
6 use of the available options in various settings of response to endocrine therapy are being refined  
7 through ongoing clinical trials paralleled by advances in clinical practice.

8  
9  
10 Efforts to overcome intrinsic and acquired resistance and to identify predictive biomarkers remain  
11 challenging. Progress in this area is urgently required to guide therapy and improve the ability of the  
12 multidisciplinary team to determine optimal treatment regimens taking into consideration response to  
13 previous treatments alongside with disease symptoms and tolerability, leading to more personalized  
14 sequential strategies and improved outcomes for patients with MBC. **The evaluation of PIK3CA  
15 mutation (both on tissue and plasma) seems to be particularly important, due to the availability of  
16 PIK3CA selective inhibitors. PIK3CA mutations occurs in about 40% of breast cancer patients, resulting in  
17 possible impairment of ET-sensitivity. Mutations occur early in the disease evolution, and the knowledge  
18 of PIK3CA status might be important in defining treatment strategy. SOLAR-1 [57] demonstrated that  
19 alpelisb (a selective PIK3CA inhibitor) combined with fulvestrant improves PFS 5.7 to 11 months from  
20 (HR 0.65) in patients failing ET (70% of whom with secondary resistance). These results could suggest to  
21 assess as soon as possible the PIK3ca status, and to consider alpelis for mutated breast cancer with  
22 secondary resistance to ET (the evidence for primary resistance are weaker due to the limited number of  
23 patients enrolled in SOLAR-1). However, no data comparing ET plus alpelis or CDK4/6 inhibitors are  
24 available. In case of primary resistance, CDK4/6 inhibitors plus ET, due to OS improvement, should be  
25 clearly considered as first option. In case of secondary resistance or in patients ET-naïve, a differential  
26 strategy for PIK3ca wild-type or mutated tumors might be discussed.**

27  
28  
29 As new evidence accumulate, progressive changes in guideline recommendations are expected in the  
30 next future.

31  
32  
33 Multiple relationships have been reported also among ER, CDK pathway and BRCA mutations [60]. In  
34 clinical trials, ER+/BRCA mutated metastatic breast cancer PARP inhibitors (olaparib and talazoparib)  
35 [61,62] showed to be more effective than standard therapy, even if better effects were observed in  
36 triple negative subgroup. The potential role for combining a PARP inhibitor with CDK4/6 inhibitor is  
37 currently under investigation.

38  
39  
40 Finally, the role of CDK4/6 inhibitors in the adjuvant setting is also being addressed by large ongoing  
41 studies, like PALLAS, MONARCH-E and NataLEE, whose results could further modify the scenario of their  
42 clinical use, either for early and advanced breast cancer settings.



## Executive Summary

### Hormone receptor (HR)-positive/HER2-negative metastatic breast cancer

- Targeted agents that inhibit intracellular pathways important for cell proliferation –CDK4/6 inhibitors palbociclib, ribociclib, and abemaciclib, everolimus, PIK3CA selective inhibitors – have expanded the treatment options for hormone receptor (HR)-positive/HER2-negative metastatic breast cancer (MBC).
- In combination with endocrine therapy, targeted agents prolong the time to progression and improve response rates in both first- and second-line settings.
- **Improvement in overall survival recently reported reinforced the main role of CDK4/6 inhibitors plus ET in treatment strategy**
- Optimal sequencing of the available options may prolong endocrine sensitivity, slow disease progression, and delay the need for chemotherapy.

### Extending disease control

- Endocrine therapy can ensure extended disease control in HR-positive MBC.
- CDK4/6 inhibitors, everolimus, PIK3CA inhibitors target key mechanisms of the estrogen receptor pathway.
- Targeted agents prolong endocrine sensitivity and delay the need for chemotherapy.
- Optimal sequencing of endocrine therapy combined with targeted agents is crucial.

### Personalized therapy

- More data are needed to define the best sequence of endocrine treatments, and there is a lack of predictive markers.
- More personalized sequential strategies, which take into account response to previous treatments as well **as disease status and symptoms** and safety issues, will be increasingly feasible in patients with MBC.

## Figure legends

**Figure 1. Sequence of treatments in three scenarios of response to endocrine therapy in postmenopausal patients.**

- a. If previous endocrine therapy was tamoxifen.
- b. If previous endocrine therapy was an aromatase inhibitor.
- c. If not previously exposed to fulvestrant.
- d. Close monitoring of disease progression required.

AI: aromatase inhibitor; CDK4/6i: inhibitor of cyclin-dependent kinases 4 and 6; ET: endocrine therapy.

## Table legends

**Table 1.** Key phase III trials investigating endocrine therapies for the treatment of HR-positive, HER2-negative metastatic breast cancer

## References

Papers of special interest have been highlighted as: \* of interest; \*\* of considerable interest.

1. Ebrahim H. Endocrine therapy in metastatic breast cancer: a closer look at the current clinical practice. *J. Community Support Oncol.* 13(10), 356-361 (2015).
2. Cardoso F, Senkus E, Costa A, et al. 4th ESO-ESMO International Consensus Guidelines for Advanced Breast Cancer (ABC 4). *Ann. Oncol.* 29(8):1634-1657 (2018).  
**\*\* Updated version of a key international clinical guideline that provides current recommendations for the treatment of HR-positive, HER2-negative metastatic breast cancer in postmenopausal women and highlight the role of endocrine therapy in the therapeutic strategy for advanced breast cancer.**
3. National Comprehensive Cancer Network (NCCN). NCCN Clinical Practice Guidelines in Oncology. Breast cancer. Version 2.2019 – July 2, 2019. Available at [www.nccn.org](http://www.nccn.org) (2019).
4. Bonotto M, Gerratana L, Poletto E, et al. Measures of outcome in metastatic breast cancer: insights from a real-world scenario. *Oncologist.* 19(6):608-15, 2014
5. Jeselsohn R, Buchwalter G, De Angelis C, Brown M, Schiff R. ESR1 mutations-a mechanism for acquired endocrine resistance in breast cancer. *Nat. Rev. Clin. Oncol.* 12(10), 573-583 (2015).  
**\* A review of the role of intrinsic and acquired resistance to endocrine therapy in advanced breast cancer.**

- 1  
2  
3 6. Robert NJ, Denduluri N. Patient case lessons: Endocrine management of advanced breast  
4 cancer. *Clin Breast Cancer* 18(3), 192-204 (2018).
- 5  
6  
7 7. American Society of Clinical Oncology (ASCO). Endocrine therapy for hormone receptor positive  
8 metastatic breast cancer: American Society of Clinical Oncology Guideline. 2016. Available at  
9 [www.asco.org/guidelines/advancedendocrinebreast](http://www.asco.org/guidelines/advancedendocrinebreast)  
10  
11 **\*\* Highlights the role of endocrine therapy in the treatment of HR-positive advanced breast**  
12 **cancer.**
- 13  
14  
15  
16 8. Associazione Italiana Di Oncologia Medica (AIOM). Breast Neoplasms - Guidelines. Edition 2018.  
17 October 28, 2018. Available at [https://www.aiom.it/wp-](https://www.aiom.it/wp-content/uploads/2018/11/2018_LG_AIOM_Breast_ENversion.pdf)  
18 [content/uploads/2018/11/2018\\_LG\\_AIOM\\_Breast\\_ENversion.pdf](https://www.aiom.it/wp-content/uploads/2018/11/2018_LG_AIOM_Breast_ENversion.pdf)
- 19  
20  
21 9. Pandey K, An HJ, Kim SK, et al. Molecular mechanisms of resistance to CDK4/6 inhibitors in  
22 breast cancer. A review. *Int. J. Cancer*. 145(5):1179-1188 (2019)
- 23  
24  
25 10. Andre F, Neven P, Marinsek N, et al. Disease management patterns for postmenopausal women  
26 in Europe with hormone-receptor-positive, human epidermal growth factor receptor-2 negative  
27 advanced breast cancer. *Curr. Med. Res. Opin.* 30(6), 1007-1016 (2014).
- 28  
29  
30 11. Bonotto M, Gerratana L, Di Maio M, et al. Chemotherapy versus endocrine therapy as first-line  
31 treatment in patients with luminal-like HER2-negative metastatic breast cancer: A propensity  
32 score analysis. *Breast*. 31: 114-120, 2017
- 33  
34  
35 12. Lobbezoo DJ, Van Kampen RJ, Voogd AC, et al. In real life, one-quarter of patients with hormone  
36 receptor-positive metastatic breast cancer receive chemotherapy as initial palliative therapy: a  
37 study of the Southeast Netherlands Breast Cancer Consortium. *Ann. Oncol.* 27(2), 256-262  
38 (2016).
- 39  
40  
41 13. Osborne CK, Schiff R. Mechanisms of endocrine resistance in breast cancer. *Ann. Rev. Med.* 62,  
42 233-247 (2011).
- 43  
44  
45 14. Giuliano M, Schif R, Osborne CK, Trivedi MV. Biological mechanisms and clinical implications of  
46 endocrine resistance in breast cancer. *Breast*. 20 Suppl 3: S42-9 (2011).
- 47  
48  
49 15. Spoerke JM, Gendreau S, Walter K, et al. Heterogeneity and clinical significance of ESR1  
50 mutations in ER-positive metastatic breast cancer patients receiving fulvestrant. *Nat. Commun.*  
51 7, 11579 (2016).
- 52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 **\* Study that investigated the role of endocrine resistance in patients receiving fulvestrant**  
4 **therapy which found that *ESR1* mutations were not associated with clinical resistance to**  
5 **fulvestrant.**  
6  
7

8 16 Johnston SR. Enhancing endocrine therapy for hormone receptor-positive advanced breast  
9 cancer: cotargeting signaling pathways. *J. Natl. Cancer Inst.* 107(10). pii: djv212. (2015).

10  
11  
12 17 Gombos A. Selective oestrogen receptor degraders in breast cancer: a review and perspective.  
13 *Curr. Opin. Oncol.* 31 (5), 424-429 (2019).

14  
15 **\* Comprehensive review of the use of fulvestrant in breast cancer, including new more active**  
16 **agents**  
17

18  
19 18. Mehta RS, Barlow WE, Albain KS, *et al.* Overall Survival with fulvestrant plus anastrozole in  
20 metastatic breast cancer. *N. Engl. J Med.* 380(13):1226-1234 (2019).

21  
22  
23 19. Gibson L, Lawrence D, Dawson C, Bliss J. Aromatase inhibitors for treatment of advanced breast  
24 cancer in postmenopausal women. *Cochrane Database Syst. Rev.* (4), CD003370 (2009).

25  
26 20. Paridaens RJ, Dirix LY, Beex LV, *et al.* Phase III study comparing exemestane with tamoxifen as  
27 first-line hormonal treatment of metastatic breast cancer in postmenopausal women: the  
28 European Organisation for Research and Treatment of Cancer Breast Cancer Cooperative Group.  
29 *J. Clin. Oncol.* 26(30), 4883-4890 (2008).

30  
31  
32  
33 21. Slamon DJ, Neven P, Chia S, *et al.* Phase III randomized study of ribociclib and fulvestrant in  
34 hormone receptor-positive, human epidermal growth factor receptor 2-negative advanced  
35 breast cancer: MONALEESA-3. *J. Clin. Oncol.* 36(24), 2465-2472 (2018).

36  
37  
38 22. Finn RS, Martin M, Rugo HS, *et al.* Palbociclib and letrozole in advanced breast cancer. *N. Engl. J.*  
39 *Med.* 375(20), 1925-1936 (2016).

40  
41 23. Hortobagyi GN, Stemmer SM, Burris HA *et al.*: Ribociclib as first line therapy for HR-positive,  
42 advanced breast cancer *N. Engl. J. Med.* 375(18):1738-1748 (2016).

43  
44  
45 24. Hortobagyi GN, Stemmer SM, Burris HA, *S et al.* Updated results from MONALEESA-2, a phase III  
46 trial of first-line ribociclib plus letrozole versus placebo plus letrozole in hormone receptor-  
47 positive, HER2-negative advanced breast cancer. *Ann. Oncol.* 29(7), 1541-1547 (2018).

48  
49  
50 25. Goetz MP, Toi M, Campone M, *et al.* MONARCH 3: Abemaciclib as initial therapy for advanced  
51 breast cancer. *J. Clin. Oncol.* 35(32), 3638-3646 (2017).

52  
53 26. Johnston S, Martin M, Di Leo A, *et al.*: MONARCH3 final PFS: a randomized study of abemaciclib  
54 as initial therapy for advanced breast cancer. *NPJ Breast Cancer.* 17(5): 5 (2019)  
55  
56  
57  
58  
59  
60

- 1  
2  
3 27. Slamon, DJ, Neven P, Chia S. and at: Overall Survival Results from the Phase III MONALEESA-3  
4 Study of Fulvestrant ± Ribociclib in Postmenopausal Patients With HR+/HER2- Advanced Breast  
5 Cancer. *Ann Oncol* 30 (suppl 5): v851-v934 10.1093/annonc/mdz394 (2019)  
6  
7  
8 **\*\* The study showed the possibility to improve OS with CDK4/6 inhibitor plus endocrine**  
9 **therapy in metastatic breast cancer**  
10  
11 28. Tripathy D, Im SA, Colleoni M, *et al.* Ribociclib plus endocrine therapy for premenopausal  
12 women with hormone-receptor-positive, advanced breast cancer (MONALEESA-7): a  
13 randomized phase 3 trial. *Lancet Oncol.* 19(7):904-915 (2018)  
14  
15 29. Im SA, Lu YS, Bardia A, *et al.* Overall survival with ribociclib plus endocrine therapy in breast  
16 cancer. *N. Engl. J. Med.* 381(4):307-316 (2019).  
17  
18 **\*\* The first study showing OS benefit from CDK4/6 inhibitor plus endocrine therapy in first**  
19 **line metastatic breast cancer**  
20  
21 30. Cristofanilli M, Turner NC, Bondarenko I, *et al.* Fulvestrant plus palbociclib versus fulvestrant  
22 plus placebo for treatment of hormone-receptor-positive, HER2-negative metastatic breast  
23 cancer that progressed on previous endocrine therapy (PALOMA-3): final analysis of the  
24 multicentre, double-blind, phase 3 randomised controlled trial. *Lancet Oncol.* 17(4), 425-439  
25 (2016).  
26  
27 31. Turner NC, Slamon DJ, Ro J, *et al.* Overall survival with palbociclib and fulvestrant in advanced  
28 breast cancer. *N. Engl. J. Med.* 379(20), 1926-1936 (2018).  
29  
30 32. Sledge GW, Jr., Toi M, Neven P, *et al.* MONARCH 2: Abemaciclib in combination with fulvestrant  
31 in women with HR+/HER2- advanced breast cancer who had progressed while receiving  
32 endocrine therapy. *J. Clin. Oncol.* 35(25), 2875-2884 (2017).  
33  
34 33. Sledge Jr G.W, Toi M, Neven P, *et al.* The Effect of Abemaciclib Plus Fulvestrant on Overall  
35 Survival in Hormone Receptor-Positive, ERBB2-Negative Breast Cancer That Progressed on  
36 Endocrine Therapy—MONARCH 2 A Randomized Clinical Trial. *Jama Oncol. JAMA Oncol.* doi:  
37 10.1001/jamaoncol.2019.4782. (2019)  
38  
39 **\*\* The study showed the possibility to improve OS with CDK4/6 inhibitor plus endocrine**  
40 **therapy in endocrine resistant metastatic breast cancer**  
41  
42 34. Baselga J, Campone M, Piccart M, *et al.* Everolimus in postmenopausal hormone-receptor-  
43 positive advanced breast cancer. *N. Engl. J. Med.* 366(6), 520-529 (2012).  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

- 1  
2  
3 35. Piccart M, Hortobagyi GN, Campone M, et al: Everolimus plus exemestane for hormone-  
4 receptor positive, human epidermal growth factor receptor-2 negative, advanced breast cancer:  
5 overall survival results from BOLERO-2. *Ann. Oncol.* 25(12):2357-62 (2014).  
6  
7  
8 36. Robertson JFR, Bondarenko IM, Trishkina E, et al. Fulvestrant 500 mg versus anastrozole 1 mg  
9 for hormone receptor-positive advanced breast cancer (FALCON): an international, randomised,  
10 double-blind, phase 3 trial. *Lancet.* 388(10063), 2997-3005 (2016).  
11  
12  
13 37. Di Leo A, O'Shaughnessy J, Sledge GW Jr, et al: Prognostic characteristics in hormone receptor-  
14 positive advanced breast cancer and characterization of abemaciclib efficacy. *NPJ Breast Cancer.*  
15 18(4): 41. (2018).  
16  
17  
18 38. Rugo HS, Finn RS, Diéras V, et al: Palbociclib plus letrozole as first line therapy in estrogen  
19 receptor-positive/human epidermal receptor 2-negative advanced breast cancer with extended  
20 follow-up. *Breast Cancer Res. Treat.* 174(3):719-729 (2019).  
21  
22  
23 39. Turner NC, Finn RS, Martin M, et al: Clinical consideration of the role of palbociclib in the  
24 management of advanced breast cancer patients with and without visceral metastases.  
25 *Ann.Oncol.* 29(3):669-680 (2018).  
26  
27  
28 40. Yardley DA, Chan A, Nusch A, et al: Ribociclib + endocrine therapy in patients with hormone  
29 receptor-positive, HER2-negative advanced breast cancer presenting with visceral metastases:  
30 Subgroup analysis of phase III MONALEESA trials. Presented at: 41<sup>st</sup> Annual SABCS, San Antonio,  
31 TX, USA, 4 december-10 december, 2018  
32  
33  
34  
35 41. Janni W, Alba E, Bachelot T, et al: First-line ribociclib plus letrozole in postmenopausal women  
36 with HR+, HER2- advanced breast cancer: tumor response and pain reduction in the phase e  
37 MONALEESA-2 trial. *Breast Cancer Res. Treat.* 169(3):469-479 (2018).  
38  
39  
40 42. Chen P, Lee NV, Hu W, et al: Spectrum and Degree of CDK Drug Interactions Predicts Clinical  
41 Performance. *Mol. Cancer Ther.* 15(10); 2273-81 (2016).  
42  
43  
44 43. Diéras V, Rugo HS, Schnell P, et al: Long-term pooled safety analysis of palbociclib in  
45 combination with endocrine therapy for HR+/HER2- advanced breast cancer. *J Natl. Cancer Inst.*  
46 111(4):419-430 (2019).  
47  
48  
49 44. Thill M, Schmidt M.: Management of adverse events during cyclin-dependent kinase 4/6  
50 inhibitor-based treatment in breast cancer. *Ther. Adv. Med. Oncol.* 10: 1–12 (2018)  
51  
52 45. Chappell JC, Turner PK, Pak YA, et al: Abemaciclib Inhibits Renal Tubular Secretion Without  
53 Changing Glomerular Filtration Rate. *Clin. Pharmacol. Ther.* 105(5): 1187-119 (2019)  
54  
55  
56  
57  
58  
59  
60

- 1  
2  
3 46. Finn RS, Crown JP, Lang I, *et al*. The cyclin-dependent kinase 4/6 inhibitor palbociclib in  
4 combination with letrozole versus letrozole alone as first-line treatment of oestrogen receptor-  
5 positive, HER2-negative, advanced breast cancer (PALOMA-1/TRIO-18): a randomised phase 2  
6 study. *Lancet Oncol*. 16(1), 25-35 (2015).  
7  
8  
9  
10 47. Turner NC, Liu Y, Zhu Z, *et al*: Cyclin E1 expression and palbociclib efficacy in previously treated  
11 hormone receptor positive metastatic breast cancer. *J. Clin. Oncol*. 37(14):1169-1178 (2019).  
12  
13 **\* Insight on mechanisms of resistance to CDK4/6 inhibitors**  
14  
15 48. Cristofanilli M, DeMichele A, Giorgetti C, *et al*: Predictors of prolonged benefit from palbociclib  
16 plus fulvestrant in women with endocrine-resistant hormone receptor-positive/human  
17 epidermal receptor-2 negative metastatic breast cancer in PALOMA-3. *Eur. J. Cancer*. 104: 21-31  
18 (2018).  
19  
20  
21 49. O'Leary B, Hrebien S, Morden JP, *et al*: Early circulating tumor DNA dynamics and clonal  
22 selection with palbociclib and fulvestrant for breast cancer. *Nat. Commun* 9: 896, (2018).  
23  
24  
25 **\* Potential predictors of sensitivty to CDK4/6 inhibitors**  
26  
27 50. Hortobagyi GN, Chen D, Piccart M, *et al*: Correlative analysis of genetic alterations and  
28 everolimus benefit in hormone receptor-positive, human epidermal receptor-2 negativa  
29 advanced breast cancer: results from Bolero-2. *J Clin Oncol*. 34(5):419-26 (2016).  
30  
31 51. Prat A, Brase JC, Cheng Y, *et al*: Everolimus plus exemestane for hormone receptor-positive  
32 advanced breast cancer: a PAM50 intrinsic subtype analysis of Bolero-2. *Oncologist*. 24(7):893-  
33 900 (2019).  
34  
35  
36 52. Gianni L, Bisagni G, Colleoni M, *et al*: Neoadjuvant treatment with trastuzuamb and pertuzumab  
37 plus palbociclib and fulvestrant in HER2 positive, ER-positive breast cancer (NA-PHER): an  
38 exploratory, open label, phase 2 strudy. *Lancet Oncol*. 19(2):249-256 (2018).  
39  
40  
41 53. Chandarlapaty S, Razavi P: Cyclin E mRNA: assessing cyclin-dependent kinase activation state to  
42 elucidate breast cancer resistance to CDK4/6 inhibitors. *J. Clin. Oncol*. 37(14): 1148-115 (2019).  
43  
44  
45 54. Wander SA, Zangardi M, Niemierko A, *et al*: A multicenter analysis of abemaciclib after  
46 progression on palbociclib in patients (pts) with hormone receptor-positive (HR+)/HER2-  
47 metastatic breast cancer (MBC). Presented at: *2019 ASCO Annual Meeting*. Chicago, IL, USA. 31  
48 May-4 June, (2019)  
49  
50  
51  
52 55. Dos Anjos CE, Razavi P, Herbert J, *et al*: A large retrospective analysis of CDK 4/6 inhibitor  
53 retreatment in ER+ metastatic breast cancer (MBC) Presented at: *2019 ASCO Annual Meeting*.  
54 Chicago, IL, USA. 31 May-4 June, (2019)  
55  
56  
57  
58  
59  
60

- 1  
2  
3 56. Bardia A, Hurvitz SA, DeMichele A, *et al*: Triplet therapy (continuous ribociclib, everolimus,  
4 exemestane) in HR+/HER2- advanced breast cancer postprogression on a CDK4/6 inhibitor  
5 (TRINITY-1): Efficacy, safety, and biomarker results Presented at: *2019 ASCO Annual Meeting*.  
6 Chicago, IL, USA. 31 May-4 June, (2019)  
7  
8  
9  
10 57. André F, Ciruelos E, Rubovszky G, *et al*: Alpelisib for PIK3CA mutated, hormone receptor positive  
11 advanced breast cancer. *N. Engl. J. Med.* 380(20): 1929-1940 (2019).  
12  
13  
14 58. Hugh Jones R, Carucci M, Carbard AC, *et al*: Capiwasertib (AZD5363) plus fulvestrant versus  
15 placebo plus fulvestrant after relapse or progression on an aromatase inhibitor in metastatic ER-  
16 positive breast cancer (FAKTION): A randomized, double-blind, placebo-controlled, phase II trial.  
17 Presented at: *2019 ASCO Annual Meeting*. Chicago, IL, USA. 31 May-4 June, (2019)  
18  
19  
20  
21 59. Park YH, Kim TY, Kim YM, *et al*: A randomized phase II study of palbociclib plus exemestane with  
22 GNRH agonist versus capecitabine in premenopausal women with hormone receptor-positive  
23 metastatic breast cancer. Presented at: *2019 ASCO Annual Meeting*. Chicago, IL, USA. 31 May-4  
24 June, (2019)  
25  
26  
27  
28 60. Militello AM, Zielli T, Boggiano D, *et al*. Mechanism of action and clinical efficacy of CDK4/6  
29 Inhibitors in BRCA-Mutated, estrogen receptor-positive breast cancers: case report and  
30 literature review. *Front. Oncol.* 9:759-765 (2019).  
31  
32  
33 61. Robson M, Im SA, Senkus E, *et al*. Olaparib for metastatic breast cancer in patients with a  
34 germline BRCA mutation. *N. Engl. J. Med.* 377:523-33, (2017).  
35  
36 62. Litton JK, Rugo HS, Ettl J,, *et al*. Talazoparib in patients with advanced breast cancer and a  
37 germline BRCA mutation. *N. Engl. J. Med.* 379:753-63, (2018).  
38  
39  
40 63. Di Leo A, Jerusalem G, Petruzella L, *et al*: Final overall survival: fulvestrant 500mg vs 250 mg in  
41 the randomized CONFIRM trial. *J. Natl. Cancer. Inst.* 106(1): djt337 (2014)  
42  
43 64. Bergh J, Jonsson PE, Lidbrink EK, *et al*. FACT: an open-label randomized phase III study of  
44 fulvestrant and anastrozole in combination compared with anastrozole alone as first-line  
45 therapy for patients with receptor-positive postmenopausal breast cancer. *J. Clin. Oncol.* 30(16),  
46 1919-1925 (2012).  
47  
48  
49  
50 65. Mehta RS, Barlow WE, Albain KS, *et al*. Combination anastrozole and fulvestrant in metastatic  
51 breast cancer. *N. Engl. J. Med.* 367(5), 435-444 (2012).  
52  
53 66. Johnston SR, Kilburn LS, Ellis P, *et al*. Fulvestrant plus anastrozole or placebo versus exemestane  
54 alone after progression on non-steroidal aromatase inhibitors in postmenopausal patients with  
55  
56  
57  
58  
59



1  
2  
3 hormone-receptor-positive locally advanced or metastatic breast cancer (SoFEA): a composite,  
4 multicentre, phase 3 randomised trial. *Lancet. Oncol.* 14(10), 989-998 (2013).  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

For Review Only

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46

STUDY	PATIENT POPULATION (N)	TREATMENTS	PRIMARY ENDPOINT	SECONDARY ENDPOINTS	RESULTS (Arm 1 vs. Arm 2)
<b>STUDIES WITH FULVESTRANT</b>					
CONFIRM Di Leo et al, 2014 [63]	<ul style="list-style-type: none"> <li>• Postmenopausal women with metastatic or locally advanced breast cancer (736)</li> <li>• Relapsed on adjuvant therapy or within 12 months from completion</li> <li>• Relapsed after &gt;12 months from adjuvant therapy or with <i>de novo</i> advanced disease, and already treated with ET or AI</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: fulvestrant 500 mg IM/month + 500 mg on day 14 of month 1</li> <li>• Arm 2: fulvestrant 250 mg IM/month + 500 mg on day 14 of month 1</li> </ul>	PFS	ORR, CBR, DoCB, DoR, OS, tolerability, QoL	<ul style="list-style-type: none"> <li>• PFS significantly longer with fulvestrant 500 vs. 250 mg (HR 0.80; 95% CI 0.68-0.94; p = 0.006)</li> <li>• Median OS: 26.4 vs. 22.3 months (HR 0.81; 95% CI 0.69-0.96; p = 0.02)</li> <li>• Fulvestrant 500 mg well tolerated with no dose-dependent AEs</li> </ul>
FALCON Robertson et al, 2016 [36]	ET-naïve postmenopausal women with locally advanced or metastatic breast cancer (462)	<ul style="list-style-type: none"> <li>• Arm 1: fulvestrant 500 mg IM/month + 500 mg on day 14 of month 1</li> <li>• Arm 2: anastrozole, 1mg/d orally</li> </ul>	PFS	ORR, CBR, DoCB, DoR, OS, QoL, safety and tolerability	Median PFS: 16.6 vs. 13.8 months (HR 0.797; 95% CI 0.637-0.999; p = 0.0486)
FACT Bergh et al, 2012 [64]	<ul style="list-style-type: none"> <li>• Post- and premenopausal women at first relapse after primary treatment (514)</li> <li>• One-third ET-naïve</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: fulvestrant 500 mg IM on day 1 and 250 mg on days 15 and 29 and then every 4 weeks + anastrozole 1 mg/d orally</li> <li>• Arm 2: anastrozole 1 mg/d orally</li> </ul>	TPP	ORR, TTF, DoR, CBR, OS	<ul style="list-style-type: none"> <li>• TPP: 10.8 vs. 10.2 months (HR 0.99; 95% CI 0.81-1.20; p = 0.91)</li> <li>• Median OS: 37.8 vs. 38.2 months (HR 1.0; 95% CI 0.76-1.32; p = 1.00)</li> </ul>
SWOG 0226 Metha et al, 2019, 2012 [18,65]	<ul style="list-style-type: none"> <li>• Postmenopausal women with MBC</li> <li>• No prior treatment for metastatic disease</li> <li>• Adjuvant tamoxifen, or adjuvant AI completed &gt;12 months before enrollment (707)</li> <li>• 39% with <i>de novo</i> MBC</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: anastrozole 1 mg/d orally</li> <li>• Arm 2: anastrozole 1 mg/d orally + fulvestrant 250 mg IM on day 14 and 28 of first cycle, then every 28 days</li> </ul>	PFS	OS, CBR, ORR	<ul style="list-style-type: none"> <li>• Median PFS: 13.5 vs. 15.0 months (HR 0.80; 95% CI 0.68-0.94; p = 0.007)</li> <li>• Median PFS in women with no prior tamoxifen: 12.6 vs. 17.0 months (HR 0.74; 95% CI 0.59-0.92; p = 0.006)</li> <li>• Median OS: 41.3 vs. 47.4 months (HR 0.81; 95% CI 0.65-1.00; p = 0.05)</li> </ul>

SoFEA Johnston et al, 2013 [66]	<ul style="list-style-type: none"> <li>• Postmenopausal women with locally advanced or metastatic breast cancer who had relapsed or progressed on a NSAID (723) given as adjuvant for <math>\geq 12</math> months or as first-line for <math>\geq 6</math> months</li> <li>• 81% had previously received an NSAID in the advanced or metastatic setting</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: fulvestrant (500 mg IM on day 1, followed by 250 mg on days 15 and 29, and then every 28 days) plus anastrozole (1 mg/d, orally)</li> <li>• Arm 2: fulvestrant plus anastrozole-matched placebo</li> <li>• Arm 3: exemestane (25 mg/d, orally)</li> </ul>	PFS	OS, ORR, CBR, DoR, DoCB, tolerability and safety	Median PFS: 4.4, 4.8, 3.4 months in arms 1, 2, and 3, respectively; Arm 1 vs. Arm 2 and Arm 2 vs. Arm 3 not significantly different
<b>STUDIES WITH EVEROLIMUS</b>					
BOLERO-2 Baselga et al, 2012; Piccart et al, 2014 [34,35]	<ul style="list-style-type: none"> <li>• Postmenopausal women with advanced breast cancer refractory to NSAIDs in the adjuvant or advanced setting, or both (724)</li> <li>• Refractory disease defined as recurrence during or within 12 months after adjuvant treatment, or progression during or within 1 month after the end of treatment for advanced disease</li> <li>• 20% received study treatment as first-line</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: exemestane (25 mg/d, orally) + everolimus (10 mg/d, orally)</li> <li>• Arm 2: exemestane (25 mg/d, orally)</li> </ul>	PFS	OS, ORR, CBR, time to deterioration of ECOG performance status, safety, QoL	<ul style="list-style-type: none"> <li>• Median PFS (final): 7.8 vs. 3.2 months (HR 0.45; 95% CI 0.38-0.54; <math>p &lt; 0.0001</math>)</li> <li>• Median PFS (final, central assessment): 11.0 vs. 4.1 months (HR 0.38; 95% CI 0.31-0.48; <math>p &lt; 0.0001</math>)</li> <li>• ORR (final): 12.6% vs. 1.7%, <math>p &lt; 0.0001</math></li> <li>• ORR (final, central assessment): 12.6% vs. 2.1%, <math>p &lt; 0.001</math></li> <li>• No difference in OS</li> <li>• Manageable AEs in both arms</li> </ul>
<b>STUDIES WITH CDK4/6 INHIBITORS</b>					
PALOMA-2 Finn et al, 2016 [22]	<ul style="list-style-type: none"> <li>• Postmenopausal women with advanced breast cancer and no prior systemic therapy for advanced disease (666)</li> <li>• About 30% in both arm with <i>de novo</i> disease</li> <li>• About 56% in both arms had received ET in the adjuvant setting</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: letrozole (2.5 mg/d, orally) + palbociclib (125 mg/d, orally) for 3 weeks followed by 1 week off in 28-day cycles</li> <li>• Arm 2: letrozole (2.5 mg/d, orally)</li> </ul>	PFS	OS, ORR, CBR, PRO, PK effects, safety	<ul style="list-style-type: none"> <li>• Median PFS: 24.8 vs. 14.5 months (HR 0.58; 95% CI 0.46-0.72; <math>p &lt; 0.001</math>)</li> <li>• ORR: 42.1% vs. 34.7% (OR 1.40; 95% CI 0.98-2.01)</li> <li>• CBR: 84.9% vs. 70.3% (OR 2.39; 95% CI 1.58-3.59)</li> <li>• OS data immature</li> <li>• Higher rate of myelotoxic effects with combination therapy</li> </ul>

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46

PALOMA-3 Cristofanilli et al, 2016 Turner et al, 2018; [30,31]	<ul style="list-style-type: none"> <li>• Premenopausal, perimenopausal and postmenopausal women with advanced breast cancer that had relapsed or progressed during prior ET (521)</li> <li>• 79.3% postmenopausal</li> <li>• 23.4% with <i>de novo</i> MBC</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: palbociclib (125 mg/d orally for 3 weeks, followed by 1 week off) + fulvestrant (500 mg IM every 14 days for the first 3 injections and then every 28 days)</li> <li>• Arm 2: fulvestrant (500 mg IM every 14 days for the first 3 injections and then every 28 days)</li> </ul>	PFS	OS, ORR, CBR, PRO, safety	<ul style="list-style-type: none"> <li>• Median PFS (final analysis): 9.5 vs. 4.6 months (HR 0.46; 95% CI 0.36-0.59; p &lt; 0.0001)</li> <li>• ORR (final): 19% vs. 9% (OR 2.47; 95% CI 1.36-4.91; p = 0.0019)</li> <li>• CBR: 67% vs. 40% (OR 3.05; 95% CI 2.07-4.61; p &lt; 0.0001)</li> <li>• OS 34.9 vs 28.0 months (HR 0.81 ; 95% CI 0.64-1.03 ; p= 0.09)</li> <li>• Hematologic AEs more frequent with combination therapy</li> </ul>
MONALEESA-2 Hortobagyi et al, 2016; Hortobagyi et al, 2018 [23,24]	<ul style="list-style-type: none"> <li>• Postmenopausal women with MBC not previously treated with systemic therapy for advanced disease (668)</li> <li>• Previous neoadjuvant or adjuvant NSAI not allowed, unless disease-free interval &gt;12 months</li> <li>• 34% had <i>de novo</i> MBC</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: ribociclib (600 mg/d on a 3-week-on, 1-week-off schedule, orally) plus letrozole (2.5 mg/d, orally)</li> <li>• Arm 2: letrozole (2.5 mg/d, orally)</li> </ul>	PFS	OS, ORR, CBR, safety, QoL	<ul style="list-style-type: none"> <li>• Median PFS (second interim analysis): 25.3 vs. 16.0 months (HR 0.568; 95% CI 0.457-0.704; p = 9.63x10<sup>-8</sup>)</li> <li>• Longer PFS also in pts with <i>de novo</i> MBC (HR 0.45; 95% CI 0.27-0.75)</li> <li>• ORR: 42.5% vs. 28.7%, p = 9.8x10<sup>-5</sup> (54.5% vs. 38.8% in pts with measurable disease, p = 2.54x10<sup>-4</sup>)</li> <li>• OS data immature</li> <li>• Higher rates of myelosuppression with combination therapy</li> </ul>
MONALEESA-7 Tripathy et al, 2017; Im et al, 2019 [28,29]	Premenopausal and perimenopausal women with advanced breast cancer (672)	<ul style="list-style-type: none"> <li>• Arm 1: ribociclib (600 mg/d on a 3-week-on, 1-week-off schedule, orally) plus either tamoxifen or NSAI, and goserelin</li> <li>• Arm 2: either tamoxifen or NSAI, and goserelin</li> </ul>	PFS	ORR, OS	<ul style="list-style-type: none"> <li>• Median PFS: 23.8 vs. 13.0 months</li> <li>• Media OS: not reached vs 40.9 months (HR 0.71; 95% CI 0.535-0.948)</li> <li>• ORR: 51% vs. 36%</li> <li>• More AEs with combination therapy, though manageable</li> <li>• Low (&lt;5%) and similar rates of AEs leading to permanent discontinuation</li> </ul>
MONALEESA-3 Slamon et al, 2018, 2019 [21,27]	<ul style="list-style-type: none"> <li>• Postmenopausal women with advanced breast cancer (726)</li> <li>• 49.2% receiving study treatment as first-line ET</li> </ul>	<ul style="list-style-type: none"> <li>• Arm 1: ribociclib (600 mg/d on a 3-week-on, 1-week-off schedule, orally) plus fulvestrant (500 mg IM every 14 days for the first 3 injections and then</li> </ul>	PFS	Overall RR, OS, safety and tolerability	<ul style="list-style-type: none"> <li>• Median PFS: 20.5 vs. 12.8 months (HR 0.593; 95% CI 0.480-0.732; p &lt; 0.001); HR in ET-naïve patients 0.577 (95% CI 0.415-0.802)</li> <li>• Median OS : NR vs 40.0 months (HR 0.724 ; 95% CI 0.568-0.924 ; p=</li> </ul>

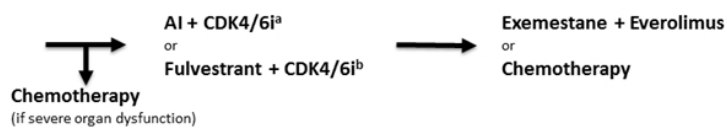
		every 28 days) • Arm 2: fulvestrant (500 mg IM every 14 days for the first 3 injections and then every 28 days)			0.0045) ; HR in first line 0.70 (95% CI 0.479-1.021) • Overall RR: 32.4% vs. 21.5%, p < 0.001; in the population with measurable disease: 40.9% vs. 28.7%, p = 0.003 Grade 3-4 AEs with a frequency >5%: neutropenia (53.4% vs. 0) and leukopenia (14.1% vs. 0)
MONARCH-3 Goetz et al, 2017; Johnston et al, 2019 [25,26]	Postmenopausal women with advanced breast cancer and no prior systemic therapy for advanced disease (493)	• Arm 1: abemaciclib (150 mg twice daily, orally) plus anastrozole (1 mg/d, orally) or letrozole (2.5/d, orally) • Arm 2: anastrozole (1 mg/d, orally) or letrozole (2.5/d, orally)	PFS	ORR, safety	• Median PFS 28.18 vs 14.76 (HR 0.54; 95%CI 0.41-0.69) • ORR: 59% vs. 44% (p = 0.004) • Acceptable safety profile
MONARCH-2 Sledge et al, 2017, 2019 [31,33]	• Women of any menopausal status, with advanced breast cancer who had progressed on neoadjuvant or adjuvant ET, ≤12 months after adjuvant ET, or while receiving first-line ET for advanced breast cancer (669) • 91.2% had progressed during first-line ET	• Arm 1: abemaciclib (150 mg twice daily, orally) plus fulvestrant (500 mg, as per label) • Arm 2: fulvestrant (500 mg, as per label)	PFS	OS, ORR, DoR, CBR, QoL, safety	• Median PFS: 16.4 vs. 9.3 months (HR 0.553; 95% CI 0.449-0.681; p < 0.001) • Median OS: 46.7 vs 37.3 months (HR 0.757; 95% CI 0.606-0.945; p=0.01) • ORR: 48.1% vs. 21.3% • Acceptable safety profile • Discontinuation rate due to AE: 15.9% vs. 3.1%
<p>AE: adverse event; AI: aromatase inhibitor; CBR: clinical benefit rate; CI: confidence interval; CT: chemotherapy; d: day; DoCB: duration of clinical benefit; DoR: duration of response; ET: endocrine therapy; HR: hazard ratio; IM: intramuscular; MBC: metastatic breast cancer; NSAID: non-steroidal aromatase inhibitor; OR: odd ratio; ORR: objective response rate; OS: overall survival; PFS: progression free survival; PRO: patient reported outcome; pts: patients; QoL: quality of life; RR: response rate; TTP: time to progression.</p>					

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46

For Review Only

**PRIMARY ENDOCRINE RESISTANCE**

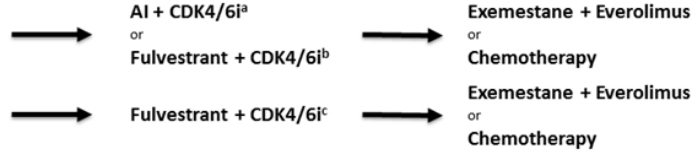
\*Relapse during first 2 yrs of adjuvant therapy  
\*Progression during first 6 months of ET for metastatic disease



**SECONDARY ENDOCRINE RESISTANCE**

\*Relapse after ≥ 2 yrs on adjuvant therapy or within 12 months from its completion

\*Progression after > 6 months on first-line ET



**ENDOCRINE SENSITIVITY**

\*Relapse after > 12 months from completion of adjuvant therapy

\*Relapse after > 36-48 months from completion of adjuvant therapy



Figure 1

215x162mm (96 x 96 DPI)