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



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## Immune microenvironment profiling of gastrointestinal stromal tumors (GIST) shows gene expression patterns associated to immune checkpoint inhibitors response

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### ABSTRACT

Few studies were conducted investigating the immunological profiles in gastrointestinal stromal tumors (GIST). Adaptive and innate immune cells are present in the tumor microenvironment, indicating GIST as inflamed tumors. In addition, murine models suggested a potential interaction between immune components and imatinib. In this retrospective study, the GIST immunological profile was investigated through *in silico* analysis and immunohistochemistry (IHC), exploring the basis for immunotherapy approaches. Gene expression profiles (GEP) from 31 KIT/PDGFR $\alpha$ -mutant GIST were analyzed to evaluate the tumor microenvironment and immunotherapy predictive signatures such as the expanded IFN- $\gamma$ -induced immune signature (EIS) and the T-cell-inflamed signature (TIS). GEP and IHC supported the presence of immune infiltrate in GIST, with dominance of CD4+ and CD8+ T cells and M2 macrophages showing a remarkable similarity with melanoma microenvironment. The EIS genes were expressed in most of GIST samples and positively correlated with PD-L1 abundance ( $p < .0001$ ). Co-expression was also found between PD-L1 and CD8A ( $p < .0001$ ) or CD8B ( $p = .0003$ ). Moreover, the median TIS score for GIST was between the 65th and 70th percentile of the Cancer Genome Atlas dataset, in the same range of tumors responding to anti-PD-1/PD-L1. Analysis of the Gene Expression Omnibus database GIST samples pre- and post-treatment confirmed that imatinib downregulates PD-L1 and IRF1 expression through the inhibition of KIT and PDGFR $\alpha$ , thus contributing to counteract the suppressed adaptive immune response against GIST. The presence of a rich immune infiltrate in GIST along with the presence of TIS and EIS suggests that GIST may benefit from immunotherapy along with tyrosine kinase inhibitors.

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### KEYWORDS

Gastrointestinal stromal tumor; GIST; tumor-infiltrating lymphocytes; TIL; IFN- $\gamma$  signaling pathway; CD4+ T cells; CD8+ T cells; M2 macrophages; PD-L1 expression; immunotherapy; checkpoint inhibitor; imatinib

## Introduction

Gastrointestinal stromal tumors (GIST) are rare mesenchymal tumors characterized in the vast majority of cases by mutations of KIT proto-oncogene (KIT) and Platelet derived growth factor receptor alpha (PDGFR $\alpha$ ) genes that are targets of tyrosine kinase inhibitors (TKI). The TKI revolutionized the treatment strategy of GIST and dramatically improved the clinical outcomes of patients with advanced disease.<sup>1–3</sup> Unfortunately, even imatinib-responder patients invariably develop treatment resistance. A second generation of TKI, sunitinib and then regorafenib, targeting also kinases involved in tumor-related angiogenesis, was developed and approved for imatinib-refractory GIST treatment. However, the benefit

of these second and third generations of TKI is very limited because patients again develop resistance after a median time of 4–6 months. Recently, new pan-TKI inhibitors demonstrated good and interesting results in the prolongation of survivals in early phase trials.<sup>4,5</sup> However, the molecular background of GIST resistant to TKI is very complex due to the acquisition of new mutations and several genome alterations. Patients' prolonged life expectancy associated with the complex biology involved in progressive disease led to a growing urgency and interest in developing new strategies to overcome the TKI resistance.


Recently, the introduction of immunotherapy in oncology with the monoclonal antibodies against the immunological

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checkpoints PD-1 and CTLA-4 revolutionized the treatment of cancer improving survival rates.<sup>6–8</sup> Many studies on predictive factors of treatment response such as PD-1/PD-L1 expression, tumor mutational burden (TMB), immunogenic antigens or tumor-infiltrating immune cell presence and activation are under evaluation.

Only few studies, in preclinical and clinical setting, were conducted investigating the immunological profile of GIST. Available data showed that tumor-infiltrating immune cells are present in GIST, and seem to play a role in disease outcome and in increasing the antitumor activity of imatinib. In a transgenic mouse GIST model imatinib could polarize tumor-associated macrophages (TAM) to an M2-like phenotype.<sup>9</sup> The TAM was described in the microenvironment of GIST, in particular M2 macrophages were most enriched in metastatic and in imatinib-treated cases.<sup>9–11</sup> Imatinib can promote natural killer (NK) activation and IFN- $\gamma$  production, through stimulation of a KIT-dependent cross-talk between host dendritic cells and NK.<sup>11</sup> In particular, the NK infiltrate predicts progression-free survival in GIST, and patients classified as immunological responders on the basis of NK-interferon levels showed a better survival while treated with imatinib.<sup>12</sup>

Tumor-infiltrating lymphocytes (TIL) are the second most enriched immune cell population in GIST samples.<sup>9,11,13,14</sup> Balachandran et al. demonstrated that CD8<sup>+</sup> T cells contribute to antitumor effects of imatinib.<sup>15</sup> They showed that imatinib leads to the activation of CD8<sup>+</sup> T cells and inhibition of Treg through the inactivation of indoleamine 2,3-dioxygenase 1 (IDO). In preclinical GIST murine model, it was also shown that the therapeutic efficacy of imatinib was increased by combination with immune checkpoint inhibitors.<sup>16</sup> Few data on PD-1/PD-L1 expression in GIST are available showing that PD-L1 tumor expression by immunohistochemistry (IHC) was higher in GIST than in other sarcoma and PD-L1 expression at the mRNA level was heterogeneous across tumors.<sup>13–17</sup>

With regard to GIST patients, a clinical trial on the combination of KIT and CTLA-4 blockade with dasatinib and ipilimumab in GIST reported no synergistic activity, but the number of patients was limited to derive any conclusion.<sup>18</sup> The aim of this retrospective study was to dissect the immunological landscape of GIST to provide further rationale for immune-based approach and eventually develop basis to improve the treatment of GIST.

## Results

### GIST immune microenvironment

The abundance of 22 immune cell types within the tumor-infiltrating leukocyte population was estimated on 31 GIST retrospectively collected samples, in which gene expression profiles (GEP) were previously obtained with microarray (19 samples) and RNA-seq (12 samples) techniques. The two independent deconvolution analyses highlighted the prevalence of T cells, both CD4<sup>+</sup> and CD8<sup>+</sup>, and macrophages (M2 and M1) (Figure 1a,b and Supplementary Table S1). Overall, the absolute abundance estimation of tumor-infiltrating cells revealed some degree of variability, not due to the different molecular classes (KIT of PDGFRA mutant, Supplementary Figure S1A). It was

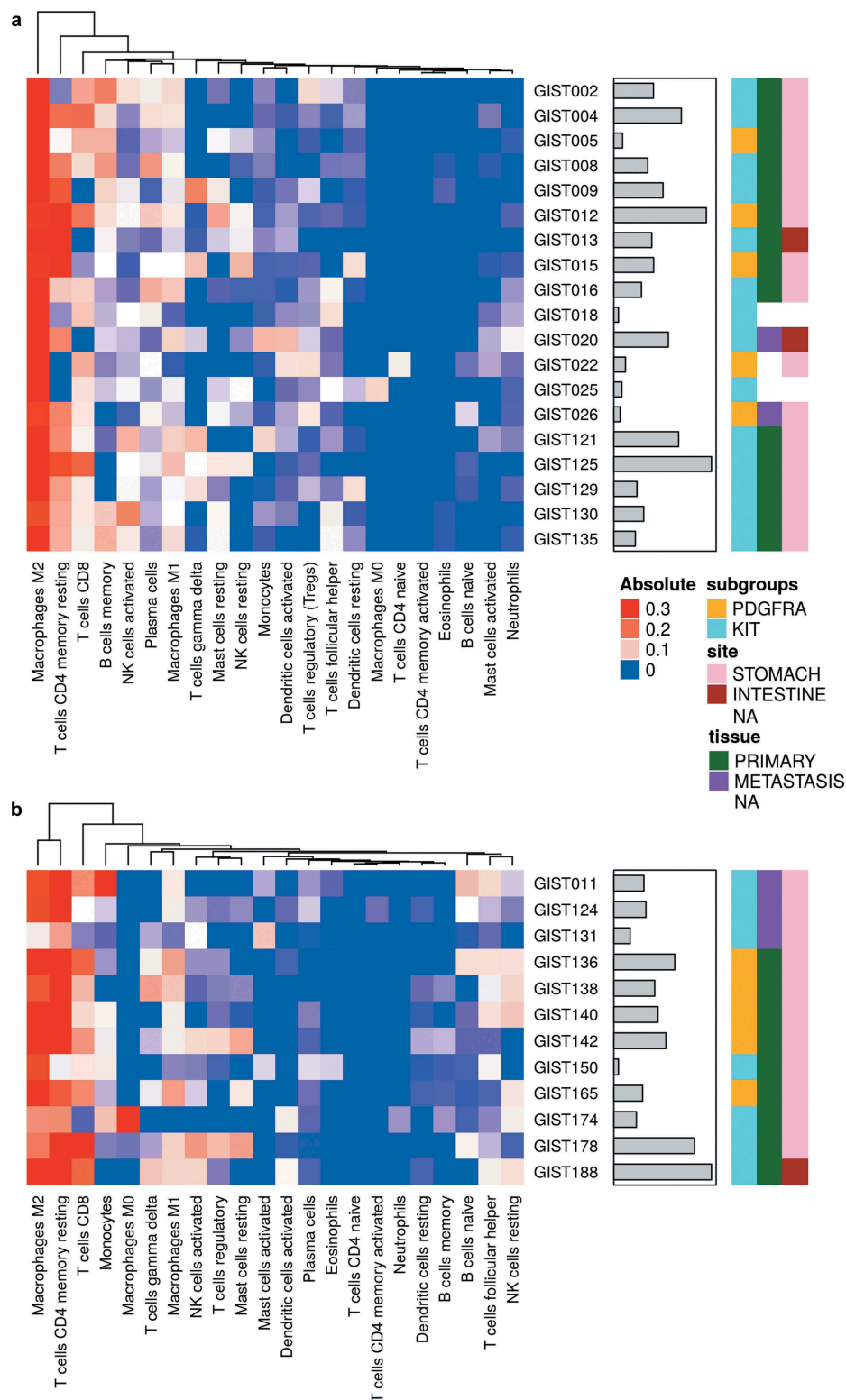
also clarified that the two methods employed for immune cell deconvolution (microarray and RNA-seq) are actually comparable since variability found did not depend on the type of platform employed to perform the gene expression quantification (Supplementary Figure S1B) and Cibersort results obtained with the two methods on the same samples are highly correlated (Pearson  $r = 0.82–0.89$ ) and cluster together (Supplementary Figure S2).

Examining the relative abundance of the single hematopoietic cell types (Supplementary Table S2), significant correlations between pairs of subpopulations could be identified. In particular, the abundance of macrophages negatively correlated with T cells presence (CD4<sup>+</sup> and CD8<sup>+</sup> together) supporting the existence of a reciprocal balance between the myeloid and lymphoid components of the immune infiltrate at the tumor site (Supplementary Figure S3). The comparison of the global GIST immune profile with that of other solid tumor types showed remarkable similarity to that of melanoma, one of the tumors that mostly benefits from immunotherapeutic approaches (Figure 2a). The immune microenvironment of GIST display a high abundance of infiltrating CD8<sup>+</sup> T cells, similarly to primary and metastatic melanoma, where it is known to be particularly enriched. This evidence was also supported by other unsupervised approaches like the principal component analysis (PCA) (Figure 2b).

The IHC characterization corroborated the significant presence of an intra- and peri-tumoral immune infiltrate in GIST samples, consisting mostly of CD8<sup>+</sup> T cells and CD163<sup>+</sup> M2 macrophages (Figure 3, Supplementary Table S3). The number of CD8<sup>+</sup> lymphocytes and CD163<sup>+</sup> elements varied from 5.6 to 88.9 mm<sup>2</sup> (median 17.5 mm<sup>2</sup>) and 4.9 to 49 mm<sup>2</sup> (median 27.2 mm<sup>2</sup>) respectively (Supplementary Table S3). These CD8<sup>+</sup> and CD163<sup>+</sup> immune populations were also observed at the invasive margin of the tumors (Supplementary Table S3). Expression of Tia-1 was also found in agreement with the presence of active cytotoxic elements (mainly located between neoplastic cells) (Supplementary Table S3) (Figure 3). NK CD16<sup>+</sup>/granulysin-(GNLY)<sup>+</sup> were also detected in most of the GIST samples (5/8) both in the core and in the invasive margin of the tumors (Supplementary Table S3) (Supplementary Figure S4). Intratumoral FOXP3<sup>+</sup> T-regulatory lymphocytes were revealed in 4/8 of the cases. Furthermore, the IHC study showed a PD-L1 protein expression on neoplastic cells in 50% of the samples analyzed (score +2) (Supplementary Table S3).

### GIST express immune signatures predictive of immune checkpoint inhibitor response

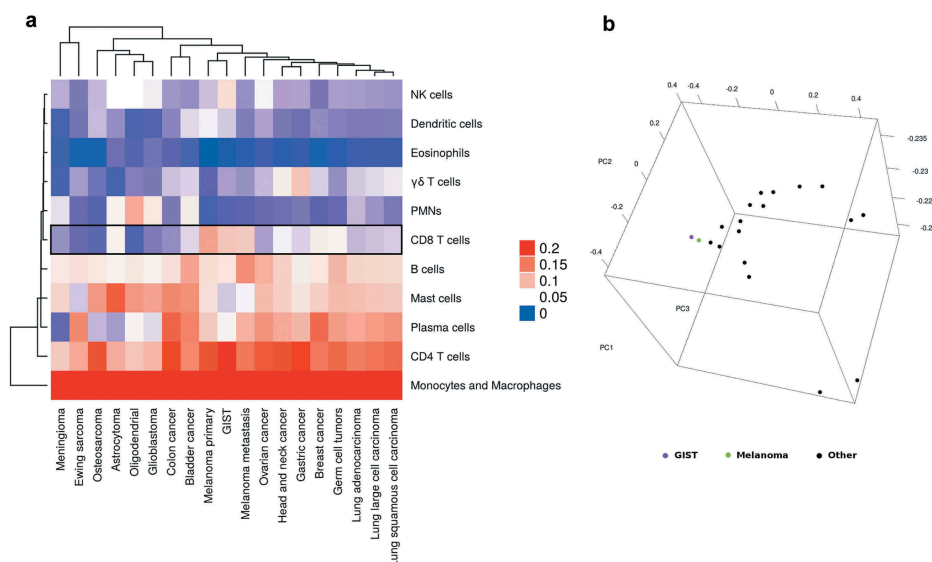
Beyond the estimation of tumor-infiltrating cells abundance, GEP were also interrogated in order to evaluate the expression in the 31 GIST samples of the expanded IFN- $\gamma$ -induced immune signature (EIS), identified as a predictor of immunotherapy response in head and neck squamous cell carcinoma and melanoma (Supplementary Table S4).<sup>19,20</sup> This signature encompasses 18 genes related to cytotoxic activity, inflammatory cytokines, T-cell markers, antigen presentation, and immunomodulatory factors. We found that this signature was clearly expressed in the majority of GIST samples and, interestingly, it positively correlated with PD-L1 expression



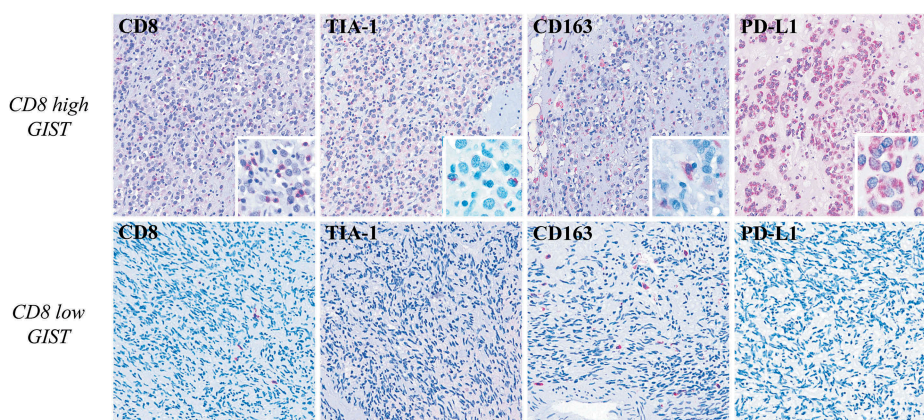
**Figure 1.** Heatmap representing the composition of the immune infiltrate signatures by microarray (a) and RNA-seq (b) data with CIBERSORT analysis (absolute abundance). Hierarchical clustering was performed on the infiltrating immune populations using Euclidean distance as a metric of similarity and average linkage as clustering method. The gray bars indicate the total absolute score for each sample. KIT- and PDGFRA-mutant GIST are labeled in cyan and yellow respectively. Tissue samples are labeled in green for primary tumors and purple for metastasis. The tumor site instead is represented with pink and brown boxes for stomach and intestine respectively.

( $p < 0.0001$ ) (Figure 4a,b). Interestingly, even in melanoma samples from the Cancer Genome Atlas (TCGA), the EII5 signature correlates with PD-L1 expression (Supplementary Figure S5).

Moreover, the PD-L1 transcript abundance also positively correlated with the expression of CD8 receptor alpha and beta chains (respectively  $p < 0.0001$  and  $p = 0.0003$ ) that are expressed on cytotoxic T cells (Figure 4c). Of note, a good



**Figure 2.** (a) Unsupervised hierarchical clustering of the tumor-infiltrating composition of GIST and other solid tumor types. The heatmap shows that CD8<sup>+</sup> T cells are particularly enriched in GIST and melanoma (primary and metastatic). (b) Principal component analysis of CIBERSORT results of GIST (in purple) and other solid tumors.



**Figure 3.** Immunohistochemical characterization of GIST samples. In the upper row, one high-CD8<sup>+</sup> GIST shows high number of Tia-1<sup>+</sup> (x100) (inset: x400) cell of microenvironment, presence of M2 CD163<sup>+</sup> macrophages (x100) (inset: x400), and PD-L1 positivity (x100) (inset: x400) in the neoplastic population. The lower row shows one low-CD8<sup>+</sup> sample that is characterized instead by a very low number Tia-1<sup>+</sup> (x100) cells, presence of M2 CD163<sup>+</sup> macrophages (x100), and PD-L1 negativity (x100) in the neoplastic population. The comparison between the two GIST groups high-CD8<sup>+</sup> versus low-CD8<sup>+</sup> highlights significant differences in the CD8 and TIA1 proteins expression ( $p = 0.01$  and  $p = 0.02$  respectively); differently, there are no significant differences in terms of CD163 and PD-L1 ( $p = 0.37$  and  $p = 0.71$  respectively).

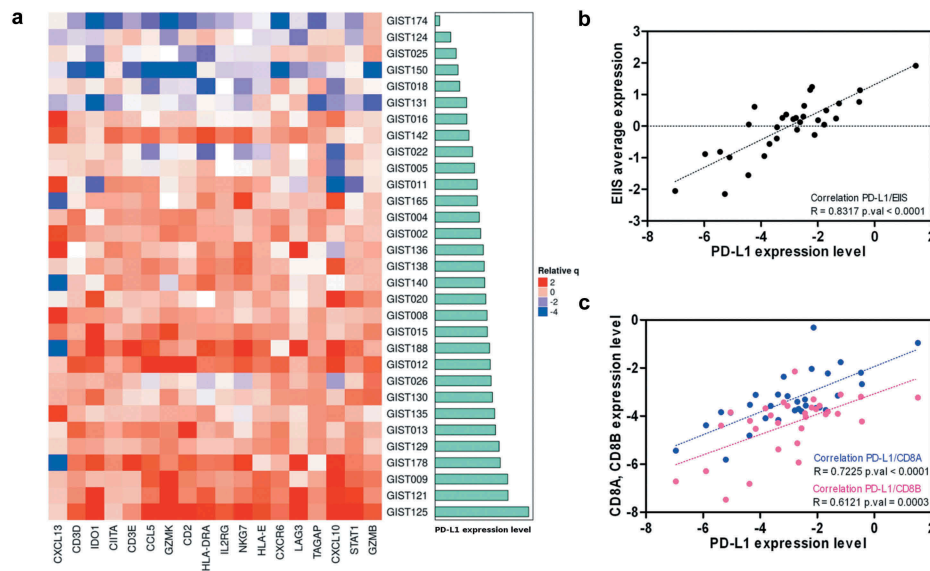
average expression of PD-1 ( $6.05 \pm 0.04$  log<sub>2</sub>Signal by microarray;  $0.68 \pm 0.1$  tpm by RNA-seq) was found in all samples, supporting the hypothesis that GIST could be a good candidate for novel immune checkpoint treatments.

Gene expression data were also exploited to investigate another immune signature related to clinical response to checkpoint inhibitor treatments. In particular, we focused also on the T-cell-inflamed signature (TIS) proposed by Ayers et al. as an improvement of the EIIS described above. This signature (characterized by markers of an active IFN- $\gamma$  signaling, cytotoxic effector molecules, antigen presentation and T-cell active cytokines) measures the presence of a suppressed adaptive immune response and was previously shown to be enriched in patients responsive to PD-1 inhibitor treatment (Supplementary Table S5).<sup>19,20</sup>

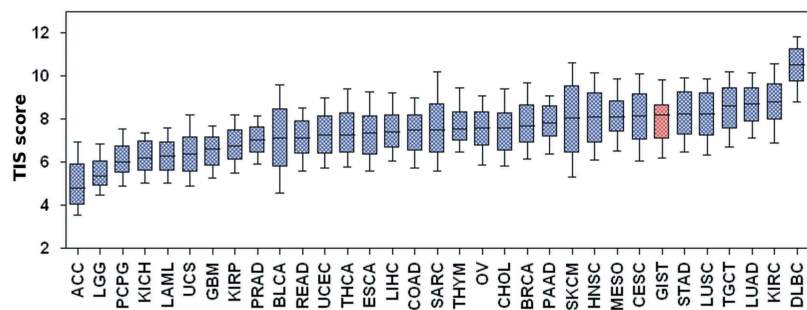
Adopting the TIS algorithm,<sup>19</sup> we compared the TIS score between our series and other tumors from TCGA dataset (Supplementary Table S6) showed that GIST have a high median TIS score (8.22), very close to tumor types with the highest response rates to anti-PD-1/PD-L1 inhibitors, such as head and neck squamous cell carcinoma (8.10), lung squamous cell carcinoma (8.26), and kidney clear cell carcinoma (8.79) (Figure 5).

### **Imatinib downregulates PD-L1 expression in GIST samples**

Since the current medical treatment of GIST relies on multiple and different generations of TKI, it is mandatory that any new treatment approach is conceived in combination with a TKI. It is already known that imatinib can exert immune



**Figure 4.** (a) Heatmap representing the positive correlation between the expanded IFN- $\gamma$ -induced immune signature and PD-L1 expression (cyan bars) in GIST sample. (b) Scatterplot between the average expression of the EIS per sample and the PDL1 expression. (c) Positive correlation between PD-L1 and both CD8A and CD8B expressions.



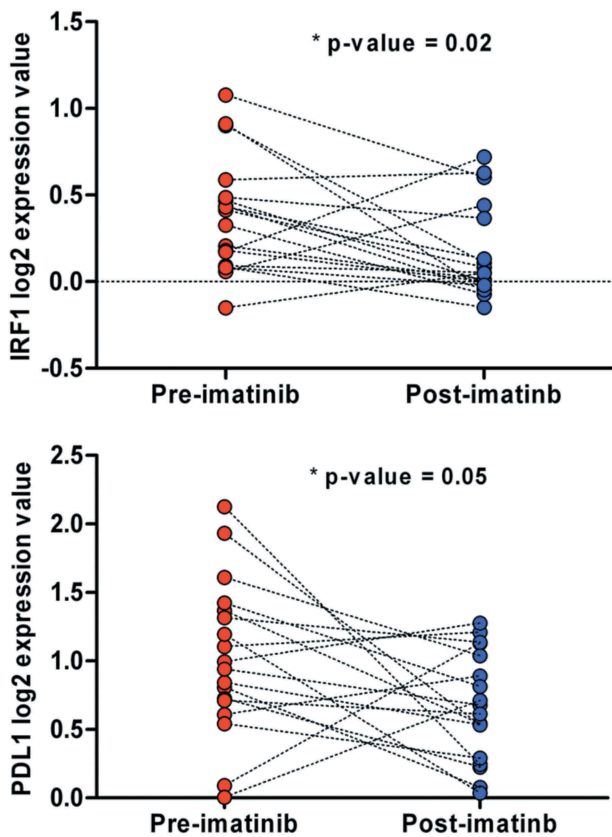
**Figure 5.** T-cell-inflamed signature score of GIST and other solid tumor types from TCGA. ACC: adrenocortical carcinoma; BLCA: bladder urothelial carcinoma; BRCA: breast invasive carcinoma; CESC: cervical squamous cell carcinoma and endocervical adenocarcinoma; CHOL: cholangiocarcinoma; COAD: colon adenocarcinoma; DLBC: lymphoid neoplasm diffuse large B-cell lymphoma; ESCA: esophageal carcinoma, GBM: glioblastoma multiforme; HNSC: head and neck squamous cell carcinoma; KICH: kidney chromophobe; KIRC: kidney renal clear cell carcinoma; KIRP: kidney renal papillary cell carcinoma; LAML: acute myeloid leukemia; LGG: brain lower grade glioma; LIHC: liver hepatocellular carcinoma; LUAD: lung adenocarcinoma; LUSC: lung squamous cell carcinoma; MESO: mesothelioma; OV: ovarian serous cystadenocarcinoma; PAAD: pancreatic adenocarcinoma; PCPG: pheochromocytoma and paraganglioma; PRAD: prostate adenocarcinoma; READ: rectum adenocarcinoma; SARC: sarcoma; SKCM: skin cutaneous melanoma; STAD: stomach adenocarcinoma; TGCT: testicular germ cell tumors; THCA: thyroid carcinoma; THYM: thymoma (THYM); UCS: uterine carcinosarcoma; UVM: uveal melanoma.

modulatory effects, that PD-1/PD-L1 blockade enhances the antitumor efficacy of imatinib in murine GIST model,<sup>16</sup> and that JAK inhibitors and imatinib decrease the expression of PD-L1 *in vitro* in GIST882 and GIST-T1 cell lines. We therefore explored the possible modulatory effect of imatinib on the expression of the immune checkpoint inhibitors targets in a The Gene Expression Omnibus database (GEO) dataset of GIST tumor samples pre- and post-imatinib treatment. Since there are already evidences that KIT and PDGFRA interact with STAT1,<sup>21,22</sup> it is conceivable that their signaling may regulate the expression of PD-L1 through the phosphorylation of STAT1 and the upregulation of IRF1, as it was described in melanoma.<sup>23</sup> Analysis conducted on public available GIST data showed that IRF1 and PD-L1 were significantly repressed in imatinib-treated with respect to pre-treatment samples (Figure 6). Together with the previous knowledge reached by *in vitro* studies, these data from patient samples confirmed the hypothesis of a repressive role of imatinib on PD-L1

expression, supporting a view in which both TKI and checkpoint inhibitors cooperate in the activation of an adaptive immune response against GIST.

## Discussion

A comprehensive immunological evaluation of GIST showed a significant presence of immune infiltrate in GIST samples, with a predominance of T cells, both CD4<sup>+</sup> and CD8<sup>+</sup>, and M2 macrophages. This immune profile emerges as closely similar to that of other solid tumor types in particular of melanoma, one of the most striking clinical responders to immunotherapy. The CD4<sup>+</sup> and CD8<sup>+</sup> expression patterns were slightly different from the previously published report by D'Angelo S. et al., in which it is mainly with a percentage <5%. The same report documented the presence of a high percentage of FOXP3<sup>+</sup> cells, and of PD-L1 expression,



**Figure 6.** IRF1 and PD-L1 normalized expression between pre- and post-imatinib-treated GIST samples of the GEO dataset GSE15966.

positively correlated with higher amounts of infiltrating CD8<sup>+</sup> or CD3<sup>+</sup> cells, suggesting that these T cells may be functionally deficient due to the upregulated inhibitory receptor expression.<sup>14</sup> The different number of cases and probably also the different quantification methods may have contributed to the slight discrepancy of some subsets of immune cells. Anyway, notwithstanding this little different amount of TILs, altogether these data demonstrate that TILs are present in GIST and that the immune cell infiltrate may be considered a feature of GIST.<sup>13</sup>

In fact, a recent work by Vitiello A et al.<sup>24</sup> on the immune profiling of GIST reported a difference of immune cell infiltrate, immunological activity and expression of immune-related genes between PDGFRA- and KIT-mutant GIST. Moreover, another recent study reported the expression of PD-L1 in around 70% of GIST, and identified an association between the expression of PD-L1 and tumor size.<sup>25</sup> In our series, there was no clear correlation between TIL amount and clinical and tumor features, and the limited number of samples did not allow to derive clinical correlations from the study results.

Additionally, an relevandata resulting from our work is the expression in GIST tumor samples of gene signatures, both the EIIS and TIS, that were recently identified as predictors of immune checkpoint inhibitors response in multiple tumor types. The EIIS was clearly expressed in the majority of GIST samples and, interestingly, it positively correlated with PD-L1 expression. This signature was considered a predictor

of immunotherapy response in head and neck squamous cell carcinoma, melanoma, and gastric cancer.<sup>19,20</sup> Indeed, it is known that PD-L1 expression is directly induced in tumor, immune infiltrate, and stromal cells by sustained IFN- $\gamma$  signaling, representing feedback inhibition of anti-tumor immune responses. Few studies addressed the PD-1/PD-L1 expression in GIST and the available data showed that PD-L1 tumor expression by IHC was higher in GIST than in other sarcomas and PD-L1 expression at the mRNA level was heterogeneous across tumors.<sup>14,17</sup> In fact, tumor heterogeneity could have an impact on the evaluation of the tumor immune microenvironment; therefore, we included in the analysis a representative number of tumor tissues, considering that it is a rare tumor, and also performed data validation by IHC on formalin-fixed paraffin-embedded (FFPE) samples, scoring different areas of the tumor specimen.

In our series, we found a positive correlation between the EIIS and PD-L1 expression and a positive correlation between PD-L1 expression and CD8A/CD8B genes supporting an adaptive mechanism of immune escape, as described in other oncological settings.

In addition, GIST showed high expression of the TIS signature and therefore high values of TIS score that were very close to tumor types in which high rates of response to PD-1/PD-L1 inhibitors were seen. The TIS score was recently evaluated together with the TMB to assess the predictive power in clinical response to anti-PD-1/PD-L1 therapy, stratifying human cancers into different clusters<sup>26</sup> capturing independently distinct tumor and microenvironment features.

Finally, *in vitro* (GIST882 and GIST-T1 cell lines) and *in vivo* murine models showed that IFN- $\gamma$  regulates PD-L1 expression by the activation of the JAK-STAT signaling. Indeed, the induced upregulation of PD-L1 was abolished with imatinib and PD-1/PD-L1 blockade enhanced T-cell activity and increased the antitumor effects of imatinib.<sup>16</sup> This is in agreement with the evidences accumulating on other driver oncogenes and tumor histotypes, where for example oncogenic RAS signaling contributes to the evasion from the host immune system through upregulation and stabilization of PD-L1 that can be reversed by MEK inhibitor treatment.<sup>27,28</sup>

Indeed, multiple reports have shown that imatinib has an immune-modulatory effect, ranging from the increase in the number and activation of CD8<sup>+</sup> T cells and NK cells to the decrease of Tregs and of IDO expression in the tumor.<sup>13,15,29-31</sup> Moreover, imatinib can synergize with immunotherapy since the treatment with anti-PD-1 or anti-PD-L1 in the Kit<sup>V558 $\Delta$ /+</sup> GIST murine model increases the effect of imatinib by enhancing CD8<sup>+</sup> T-cell function.<sup>16</sup>

The results of our study on the presence of the immune microenvironment in GIST along with the expression of specific signatures, that are known to be predictive of response to checkpoint inhibitors, suggest that GIST may benefit from immunotherapy together with TKI.

The study on the combination of KIT and CTLA-4 blockade with dasatinib and ipilimumab reported a limited clinical efficacy of this combination in GIST but, as the authors stated, the enrolled patients were extensively pretreated and most of them were not evaluable for response.<sup>18</sup> Definitely, novel immunological approaches are emerging that exploit

different immunomodulatory molecules to synergize with imatinib. One strategy could be to target the M2 macrophages polarization through the use of anti-CD40 antibody or TLR3 agonist or other compounds able to revert the M2-like phenotype induced by KIT inhibition.<sup>32</sup> Future clinical trials with new and more effective checkpoint inhibitors and IDO inhibitors in selected patients should be encouraged. In fact, despite new TKIs, BLU285, DCC2618, and crenolanib demonstrated good and interesting results in the prolongation of survival in early phase trials,<sup>4,5</sup> in the future it is expected that TKI resistance develops and thus more efforts are needed to find a cure beyond or along with TKI for long-term advanced GIST.

In conclusion, our study provides a comprehensive picture of the microenvironment in GIST with a rich immune infiltrate in the majority of GIST along with the presence of TIS and IFN- $\gamma$  signatures, predictive of immunotherapy response in multiple tumors, suggesting a potential susceptibility to respond to PD-1/PDL1 inhibitors and adds new data useful to build a robust basis for an immunotherapy approach in GIST treatment.

## Patients and methods

### Patients and tumor samples

A total of 31 GIST tumor samples were retrospectively collected and analyzed. The tumor and clinical characteristics are reported in Table 1. All cases were revised by two pathologists with expertise in GIST diagnosis, and all samples were characterized by the presence of KIT or PDGFRA mutation. KIT/PDGFRA WT GIST cases were excluded. Twenty-six samples were from primary tumors and five from metastases. In 26/31

patients, the analysis was done in absence of TKI therapy and only in 5 patients after TKI therapy (2 cases after imatinib and 3 cases after imatinib and sunitinib). Fresh tumor tissue was snap-frozen in liquid nitrogen and stored at  $-80^{\circ}\text{C}$  until RNA extraction. In 19 specimens, the analysis was done on HG-U133Plus 2.0 Affymetrix arrays while in 12 tumors by Whole-Transcriptome RNA Sequencing on Illumina platform. This study was performed in agreement with the Declaration of Helsinki and approved by the local institutional ethical committee of Azienda Ospedaliero-Universitaria Policlinico S.Orsola-Malpighi (approval number 113/2008/U/Tess). All patients provided written informed consent.

### Gene expression analysis

Whole transcriptome sequencing and microarray samples were previously analyzed in Nannini et al.<sup>33</sup> In detail, RNeasy Mini Kit (Qiagen, Milan, Italy) was employed to extract the total RNA from tumor specimens. For the RNA-seq samples, the cDNA libraries were synthesized starting from 250 ng total RNA with TruSeq RNA Sample Prep Kit v2 (Illumina, San Diego, CA, USA) following the manufacturer's protocol. HiScanSQ sequencer (Illumina) was used to generate sequences at 75bp in paired-end mode yielding an average of 61 million mapped reads/sample, reaching an average coverage of 44X. Read pairs were mapped on reference human genome and the gene expression was quantified using kallisto adopting the transcript per million (TPM) normalization.<sup>34</sup> For microarray samples, the RNA was quality-controlled and labeled as indicated by the Affymetrix expression technical manual and then hybridized to HG-U133Plus 2.0 arrays. Gene expression data were normalized

**Table 1.** GIST samples included in series.

Sample	Sex	Age	Tissue sample	Site	size	MI	KIT/PDGFRA mutation	TKI therapy	Miettinen score	Platform
GIST002	F	85	Primary	Stomach	8	<5	KIT exon 11 V560D	No	Low	Microarray
GIST004	M	79	Primary	Stomach	5	7	KIT exon 9 ins 502-503	No	Intermediate	Microarray
GIST005	M	68	Primary	Stomach	7	4	PDGFRA exon 12 del/ins SPDGHE566-571RIQ	No	Low	Microarray
GIST008	M	62	Primary	Stomach	2	4	KIT exon 11 V559D	No	Low	Microarray
GIST009	M	54	Primary	Stomach	3	<5	KIT exon 11 ins TQLPYDHKWEFP 574-585	No	Low	Microarray
GIST011	M	77	Metastasis	Stomach	20	>10	KIT exon 11 del WK557-558	Imatinib	High	RNA-seq
GIST012	F	66	Primary	Stomach	4	<5	PDGFRA exon 14 K646E	No	Low	Microarray
GIST013	M	46	Primary	Ileum	7	<5	KIT exon 11 V559D	No	Low	Microarray
GIST015	F	64	Primary	Stomach	5.5	<5	PDGFRA exon 18 del DIMH842-845	No	Low	Microarray
GIST016	F	62	Primary	Stomach	3.7	NA	KIT exon 11 L576P	No	NA	Microarray
GIST018	M	NA	NA	NA	>5	NA	KIT exon 11 V559G	No	NA	Microarray
GIST020	M	38	Metastasis	Ileum	NA	NA	KIT exon 11 del MYEQW552-557	NA	NA	Microarray
GIST022	F	76	NA	Stomach	NA	NA	PDGFRA exon18 D842V	NA	NA	Microarray
GIST025	M	84	NA	NA	NA	NA	KIT exon 11 del/ins WKV557-559F	NA	NA	Microarray
GIST026	M	49	Metastasis	Stomach	NA	NA	PDGFRA exon 12 V561D	NA	NA	Microarray
GIST121	M	71	Primary	Stomach	5.5	4	KIT exon 11 V559D	No	Low	Microarray
GIST124	M	73	Metastasis	Stomach	17	>10	KIT exon 11 ins 1765-1766	Imatinib and sunitinib	High	RNA-seq
GIST125	F	48	Primary	Stomach	6	5	KIT exon 11 W557R	No	Low	Microarray
GIST129	M	59	Primary	Stomach	5	5	KIT exon 11 del/ins YEVQWKV553-559L	No	Intermediate	Microarray
GIST130	F	79	Primary	Stomach	7	>10	KIT exon 9 ins 502-503	No	High	Microarray
GIST131	M	68	Metastasis	Ileum	6	3	KIT exon 11 del VYIDPTQLPY569-578	Imatinib and sunitinib	Intermediate	RNA-seq
GIST135	F	61	Primary	Stomach	3.5	6	KIT exon 11 del WKVVE557-561	No	Intermediate	Microarray
GIST136	M	76	Primary	Stomach	4.5	6	PDGFRA exon 18 D842V	No	Intermediate	RNA-seq
GIST138	F	75	Primary	Stomach	7	8	PDGFRA exon 18 D842V	No	High	RNA-seq
GIST140	F	45	Primary	Stomach	2	2	PDGFRA exon 18 D842V	No	Low	RNA-seq
GIST142	M	68	Primary	Stomach	3	5	PDGFRA exon 18 D842V	No	Low	RNA-seq
GIST150	F	58	Primary	Stomach	7	68	KIT exon 11 del PMYE551-554	No	High	RNA-seq
GIST165	M	51	Primary	Stomach	12	<2	PDGFRA exon 18 D842V	No	Intermediate	RNA-seq
GIST174	M	61	Primary	Stomach	6	14	KIT exon 11 L576P	Imatinib	High	RNA-seq
GIST178	F	70	Primary	Stomach	10	>5	KIT exon 11 V559D	No	High	RNA-seq
GIST188	F	62	Primary	Duodenum	4	>5	KIT exon 11 del NGNNYVYIDPTQL564-576	Imatinib and sunitinib	Intermediate	RNA-seq



and quantified by the RMA algorithm (package *oligo*, R-bioconductor).

### Bioinformatics analysis

The analytical tool CIBERSORT (Cell-type Identification By Estimating Relative Subsets Of RNA Transcripts)<sup>35</sup> was applied on 31 tumors samples that were analyzed either with Affymetrix Array (19 samples) or Illumina whole transcriptome sequencing (12 samples) as described in Table 1. CIBERSORT uses a set of 22 immune cell reference profiles to derive a signature matrix which can be applied to deconvolute mixed samples in order to determine relative proportions of immune cells. Even if the CIBERSORT algorithm was originally developed using microarray data, it was declared as “platform agnostic”<sup>36</sup> and, therefore, applicable to both Affymetrix and Illumina data. The analysis was performed separately for the two set of data obtained with different techniques (microarray and RNA-seq). For each set, an unsupervised hierarchical clustering analysis was adopted using the CIBERSORT absolute estimation with the aim to evaluate the variability of the main microenvironment cells subpopulations. On the other hand, the CIBERSORT relative abundance scores were compared between GIST and 18 different solid tumors previously analyzed by Gentles et al.<sup>37</sup> For this purpose, we adopted the microarray subset of GIST since the reference authors based their study as well. More specifically, the 22 immune subpopulations were aggregated into 11 cell classes following the representation given by Gentles et al.,<sup>37</sup> then the similarity between GIST and other solid tumor was evaluated applying two different unsupervised approaches: the hierarchical clustering method and PCA (R-bioconductor, *stats* package). All the hierarchical clustering were performed adopting the *ComplexHeatmap* package within R-bioconductor (distance: Euclidean, clustering method: average linkage). For both microarray and RNA-seq samples, the transcriptome data were also used to quantify the expression of specific signatures known to be related to the cancer immune landscape. The transcript quantification data were first normalized and log<sub>2</sub> transformed either with quantile normalization or log<sub>2</sub>TPM calculation respectively for microarray and RNA-seq data. An additional normalization was performed by subtracting the arithmetic mean of ten housekeeping gene expression (Supplementary Table S7). This group of genes was previously identified as low variance within a large set of cancer types and was adopted as a normalization factor for each sample.<sup>19</sup>

The gene expression data, transformed as described above, were used to evaluate the expression of EIS, an 18 genes signature defined by Ayers et al. (Supplementary Table S4). The global EIS score for each sample was calculated by averaging the expression values of the 18 genes. The obtained scores were correlated with the corresponding PD-L1 expression by sample using the R package *stats*. Besides EIS, we also evaluated the TIS.<sup>19</sup> Starting from a larger and more diverse tumor data set spanning nine cancer types (KEYNOTE-012 and -028 studies), the authors defined the TIS as a 18 genes signature (Supplementary Table S5), and basing on this improved set of genes they

determined the TIS score as a linear function defined as:  $TIS = \sum_{i=1}^{18} x_i w_i$ , where  $x_i$  is the  $i$ th gene's expression value obtained as described above and  $w_i$  is a predefined weight for the  $i$ th gene derived by Ayers et al. that were derived from logistic regressions model.

Recently, Danaher et al. computed the TIS score adopting the gene expression data from the tumor included in TCGA.<sup>20</sup> Following their strategy of analysis, we evaluated the TIS score in our GIST series with respect to TCGA cancer types. Considering that the entire TCGA was derived from RNA-seq as TPM (<https://osf.io/gqz9/>), we referred to RNA-seq GIST subgroup for the TIS score calculation in order to provide consistent and comparable expression data.

Other source of open data were explored in order to identify the effect of imatinib on PD-L1 and IRF1 expression. In particular, the GSE15966 dataset (GEO) was adopted as microarray expression series of 18 coupled GIST samples, pre- and post-imatinib treatment.<sup>38</sup> The LOESS normalized expression data of the coupled GIST samples were obtained with GEO2R tool and the differential expression of PD-L1 and IRF1 between pre- and post-imatinib-treated GIST was evaluated by a paired *t*-test analysis.

### Immunohistochemistry

Eight FFPE samples were profiled by IHC. Unstained slides were dewaxed and rehydrated through Histoclear and graded alcohols. For antigen retrieval, slides were incubated in a PT-Link for 5 min at 92°C in EnVision Flex Target retrieval solution High pH (K 8004, Dako, Glostrup, Denmark). Incubation with the following primary antibodies was carried for 30 min at room temperature: anti-CD8 (dilution 1:100, clone 144b, Dako), anti-TIA-1 (dilution 1:300, clone 2G9, Immunotech), FOXP3 (dilution 1:100, clone SP97, Abnova), anti-CD163 (dilution 1:100 clone 10D6, Leica), and anti-PD-L1 (dilution 1:100, clone E1L3N, Cell Signaling). Immunostaining was performed employing the Dako Real Detection System Alkaline Phosphatase Kit (K5005, Dako) and chromogen (Fast Red) provided with the kit. For double immunostainings, the following mouse monoclonal antibodies were used: anti-granulysin (clone F-9, dilution 1:300, Santa Cruz, Santa Cruz Biotechnology, Inc., Texas, U.S.A) and anti-CD16 (clone 2H7, dilution 1:40, Leica Microsystems Ltd., Newcastle-upon-Tyne, UK) according to the protocol described elsewhere.<sup>39</sup>

The immunostained slides were scanned at 200× magnification by Olympus Dot-slide microscope digital system equipped with image analysis software VS-ASW and then evaluated in representative areas: each microenvironment marker was separately scored both in the core of the tumor (ten randomly selected fields) and in the invasive margin of tumor (ten randomly selected fields); the results were first reported as mean number of positive tumor-infiltrating immune cells/high power field and then mathematically referred to 1 mm<sup>2</sup>. The PD-L1 immunostaining in neoplastic cells was scored as 1+ when <5% positive cells were counted; 2+ when the percentage of stained cells was >5% and <50%; and 3+ when the number of stained cells was >50%.

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## Authors' contributions

MAP, GT, VI, AA: conceived and designed the work, manuscript writing, manuscript approval. MU, AA: provided wet laboratory technical support in NGS data analysis, manuscript approval. MAP, GT, CC, SS, EF, VI, AA: data interpretation, manuscript approval. GT, VI: performed bioinformatics data analysis, manuscript approval. MAP, MN, MS, LG: contributed to patients and samples recruitment, manuscript approval. CA, DS, ADL, ES, TM, AAK: morphological and immunohistochemical diagnosis and analysis, manuscript approval. MAP, AP, AA: project overview, manuscript approval

## Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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## Ethics approval and consent to publication

This study was performed in agreement with the Declaration of Helsinki and approved by the local institutional ethical committee of Azienda Ospedaliero-Universitaria Policlinico S.Orsola-Malpighi (approval number 113/2008/U/Tess).

All patients provided written informed consent.

## Availability of data and materials

Data generated and analyzed during this study are mostly included in this published article as supplementary tables. Additional data are available from the corresponding author on reasonable request.

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