

Influences of the vehicle in the spreading and release of betamethasone

Journal:	Therapeutic Delivery
Manuscript ID	TDE-2017-0070.R2
Manuscript Type:	Preliminary Communication
Keywords:	lipid-enriched vehicle, betamethasone, topical drug



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1 Background

2 We compared the performances of two different commercial products both based on 3 betamethasone and an antibiotic but using different pharmaceutical vehicles: a polymer and lipid-4 enriched cream and a conventional oil in water (O/W) emulsion.

5 Methodology

6 Evaluation was conducted on a reconstructed human epidermis (RHE) model. Moreover, skin

7 barrier properties and cutaneous hydration of the solely two vehicles- were evaluated on 20

8 human healthy volunteers.

9 Results

10 Overall, the polymer and lipid-enriched formulation works as a film-forming product that retains

11 the therapeutic agent for long-time, ensuring its penetration and absorption through the skin, and

12 promoting skin hydration.

13 Conclusions

14 The above characteristics are useful in the clinical setting especially in the context of eczematous

15 diseases with a strong xerotic component.

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17 Word count: 119 words (journal limit: 120 words)

18 **Keywords:** lipid-enriched vehicle; polymer; topical drug; betamethasone; spreadability; hydration

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4 5 6	2	Introduction
7 8	3	The efficacy of any topical product containing an active ingredient is determined by both the
9 10 11	4	intrinsic activity of the active molecule and the delivery system. In order to be effective, active
12 13	5	compounds should diffuse within the formulation to the skin surface, across several tissue layers
14 15	6	(epidermis and dermis) permeating into the uppermost layer (stratum corneum) of the skin and
16 17 18	7	then are adsorbed into the blood capillaries and redistributed through local vasculature network. ¹
19 20	8	The type of vehicle influences the ability of an active agent to penetrate into the skin, and
21 22 22	9	therefore is associated with the potency and efficacy of topical drugs. In fact, the intrinsic activity
23 24 25	10	of an ingredient, or its capability to express efficacy, determines its functional profile, but does not
26 27	11	guarantee the efficacy of the final formulation. To express its function, the active ingredient must
28 29 30	12	be delivered to the site of action at relevant concentrations and at the right time. In addition,
31 32	13	vehicles could also directly influence the healing process, by acting in synergism with the active
33 34	14	compound to recover the altered skin physiology and alleviate dermatologic disorders. For
35 36 37	15	example, in the management of atopic dermatitis and psoriasis it is important to offer effective
38 39	16	treatments that relieve the dryness of the eczematous skin. Topical application of lipids can
40 41 42	17	change the mechanical properties of the skin by enhancing its elasticity and moisture. Since by
42 43 44	18	nature oils are hydrophobic, they support the lipids of the stratum corneum (SC), enhancing the
45 46	19	skin barrier functions. ² Therefore, skin occlusion by oils increases the SC water content.
47 48 40	20	At the same time, occlusion obtained by the formation of a lipid film on the skin surface is widely
49 50 51 52 53	21	utilized to enhance the penetration of topical drugs in clinical practice. In fact, in occlusion
	22	condition, the SC swells for the increased water content, making lipid barrier less compact and
54 55 56	23	promoting the passage of nonpolar substances. ³

Therefore, on the one hand occlusion reduces TEWL and increases hydration, on the other excessive occlusion can cause excessive accumulation of water in the stratum corneum and weaken the skin's barrier. Therefore, the occlusion must be chosen and balanced correctly according to the desired effect.

Moreover, vehicles should be non-irritating, non-allergenic, and cosmetically acceptable.⁴ In fact, cosmetic properties of topical products are should be taken into account as they affect patients' appreciation, and therefore acceptance and adherence to treatment. For instance, studies on psoriasis patients' preferences and satisfaction with treatment showed that adherence to psoriasis treatment is poor both in Europe⁵ and the US,⁶ although the great disease burden and impact on quality of life. The most important reasons for lack of adherence to topical treatments are frustration with treatment efficacy, distress associated with long-time administration, and fear of side effects.⁴ In this light, new designed vehicles could contribute to address some of these issues. Lotions, ointments, gels, sprays, creams and powders have been used as vehicles for topical drugs.⁷ In particular, ointments promote a long-lasting hydration, and are therefore indicated for dry excoriated skin. Although ointment represents the best choice for long-term penetration of active molecules and for the positive effects on skin barrier, their greasy texture reduces the cosmetic acceptance and limit usage.⁷ On the other hand, creams, as oil-in-water emulsions (aqueous creams) or water-in-oil emulsions (oily creams), are usually preferred because they are more readily spread and adsorbed by the skin, and are less greasy.⁷ A polymer-lipid-enriched cream has been recently developed⁸ and may represent a good compromise between efficiency as long-term delivery system, and cosmetic properties, in dry skin associated diseases.⁹ Since this vehicle has not been adequately investigated in the past, in the first phase of this study we compared the performances of two commercial pharmaceutical products containing betamethasone-17-valerate (hence, betamethasone) at the same concentration (0.1%):

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1	betamethasone/fusidic acid cream (Fucimixbeta [®] , Leopharma, Ballerup, Denmark <u>. Lot:</u>		
2	EM0791102017) and betamethasone/gentamicin emulsion (DOC Generic, Milan, Italy. Lot:		
3	8155400). These two topical products were chosen because they –differ in the vehicle, the first		
4	being a polymer-lipid-enriched cream and the second a conventional oil in water (O/W) emulsion.		
5	We decided to evaluate two commercially-available formulations known for their efficacy, with		
6	the most similar active ingredients possible, but carried in one case in a polymer and lipid-		
7	enriched cream and in the other as a common emulsion. The two products chosen were those that		
8	best suited these features. Betamethasone-17-valerate is a common reference marker for		
9	assessing the ability to drive active ingredients through the skin.		
10	These commercial preparations were investigated for their: i) capability to release the active		
11	principle to the skin in an in vitro model, ii) spreadability; the vehicles (FB01 and GB01) were		
12	tested on human healthy volunteers for: iii) skin hydration and iv) cosmetic performance.		
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	Material and methods EpiDerm [™] Reconstructed Human Epidermis (RHE) model and treatments		
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betamethasone/gentamicin O/W emulsion) were applied over RHE tissues in a single dose for 24

or 48 hours. Medium aliquots were collected and centrifuged for 20 minutes to remove insoluble impurity and tissue debris at 1000×g at 4 °C. The clear supernatants were stored at -20° C until analysis. After washing with PBS and snap-freezing in liquid nitrogen, the RHE tissues were homogenized in a glass potter with 150 µl of PBS on ice. The homogenates were then centrifuged for 5 minutes at $5000 \times g$ and the supernatants were stored at -20 °C. All procedures were repeated four times for each group. ELISA Betamethasone levels were determined, by an ELISA kit (Elabscience Biotechnology Co., Ltd), in the RHE culture media and homogenates at different times (24 or 48 h). ELISA was performed following the instructions accompanying the kit. Measurements were conducted with a microplate reader at 450 nm. Betamethasone was used as the standard for the calibration. All samples were assayed in duplicate. The lower limit of detection for betamethasone was 0.1 ng/mL. Results are expressed as ng/mL. Spreadability test The data were obtained with a TA HDi 500 Texture Analyzer (Stable Micro System, Godalming, Surrey, UK) by using the TMS Butter & Margarine Spreadability Jig. The two topical products. The spreadability test was carried out on 3 replicates performed on each sample, under the following conditions: force measure in compression mode; pre-start speed 5.00 mm/s; test speed 3.00 mm/s; post-test speed 10.00 mm/s; distance 23 mm;

https://mc04.manuscriptcentral.com/fs-tde

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This test provided is associated with 4 parameters: firmness, work of shear, stickiness and work of

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3 adhesion. 4 Test on human volunteers: subjects and procedures 20 healthy volunteers were recruited in this study. Subjects were properly informed about the 5 scope and potential risk (direct or secondary effects) of the investigation and also advised to 6 7 record any discomfort they could have been experienced. Each enrolled subject has provided a written, informed consent. 8 Inclusion criteria were: 9 10 - caucasian origin; adults aged 18-45 years; 11 -- absence of any diseases immediately before or during the study. 12 13 Exclusion criteria were: subjects with in-progress topical or systemic treatment with any drug that may affect the 14 outcome of the test (anti-inflammatories, steroids, etc.); 15 pregnancy or breastfeeding; 16 -- subjects affected from skin diseases in the areas selected to receive the treatment; 17 subjects affected from dermatitis, psoriasis or other dermatological diseases; 18 - subjects with known sensitivity to the tested product ingredients. 19 Reasons for the premature withdrawal from the study were the free choice of the enrolled 20 volunteer or the occurrence of adverse events (e.g. irritative or allergic reactions). 21 22 Also the pharmaceutical vehicles without active ingredients were evaluated to determine their

23 cosmetic properties. The vehicles had the following composition:

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Vehicle FB01: aqua, petrolatum, paraffinum liquidum, cetearyl alcohol, steareth-21, potassium
 sorbate, hydroxypropylmethylcellulose, methylparaben, citric acid, propylparaben.

Vehicle GB01: aqua, cetearyl alcohol, paraffinum liquidum, petrolatum, ceteareth-20,
 potassium sorbate, methyl paraben, propyl paraben.

The tested products were stored at room temperature and protected from light. One hundred and ten mg (±10 mg) of each product were applied on a predefined area of 35 cm² (7×5 cm) on the volar surface of the forearm, spreading approximately for 30 seconds. The products were applied twice per day for 14 days. A control untreated area was identified on each volunteer's forearm and the subjects were asked to avoid the application of cosmetic products to this zone.

Each volunteer was subjected to instrumental examinations to measure the skin barrier capacity
and the cutaneous hydration, at the following time period: at the beginning of the study (T0), after

12 7 days from the first application (T7) and at the end of the treatment (T14).

13 The following tests were performed:

TEWL (TransEpidermal Water Loss): a DermaLab Combo Skinlab (Cortex - Denmark) equipped
 with the TEWL probe was used to evaluate variations of transepidermal water loss through the
 skin. A high level of TEWL may indicate a damage to the skin barrier which can be caused by
 chemicals, physical insult or pathological conditions (dermatitis, eczema, psoriasis).

Corneometer[®]: a Derma Unit SSC 3 (Courage+Khazaka) was used to evaluate changes in
 cutaneous hydration. The device was equipped with a specific probe for the measurement of
 moisture (Corneometer[®]). The water present in the skin causes a change of the capacitance
 proportional to its content, giving a measure in arbitrary units of skin hydration.

The test took place at the following environmental conditions: temperature of 21 ± 1 ° C and humidity of $45\pm5\%$. The volunteers acclimated for 15 minutes and skin was carefully cleaned before measurement.

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1 Statistical Analysis

Data were analysed by descriptive statistics. Mean values were compared applying the Student's
 t-test for paired data. A p value <0.05 was considered statistically significant.

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5 Results

6 Betamethasone levels

7 The ELISA assays performed on the RHE culture media of betamethasone/fusidic acid cream-8 treated tissues and betamethasone/gentamicin O/W emulsion-treated tissues detected similar 9 concentration of betamethasone at both time-points evaluated (24 hours and 48 hours) (Table 1). 10 Similarly, the values of betamethasone measured in the RHE homogenates were also comparable 11 at each time-point (Table 2). Overall, no significant differences between betamethasone/fusidic 12 acid cream and betamethasone/gentamicin O/W emulsion were observed in terms of release of 13 betamethasone, both in culture media and in homogenized tissues.

14 Spreadability Test

Table 3 reports the values of the four parameters evaluated on the samples analyzed. Firmness 15 16 and work of shear are parameters indicating the energy required to deform the sample, therefore 17 high firmness values as well as high work of shear values indicate a low spreadability and an elevated friction in the rubbing process. In this light, the two analyzed products exhibited 18 19 significant differences in term of spreadability: betamethasone/fusidic acid cream obtained higher 20 values of firmness and work of shear, resulting less spreadable compared with betamethasone/gentamicin O/W emulsion. Stickiness and work of adhesion parameters provided 21 22 information regarding the cohesiveness and resistance of the sample, and are correlated with skin-23 adhesion. The higher values obtained by betamethasone/fusidic acid cream compared with

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> betamethasone/gentamicin O/W emulsion indicated that former product resulted more adhesive 1

2 than the latter.

3 Transepidermal Water Loss and cutaneous hydration

19 out of 20 (95%) enrolled subjects were females; the mean age was 25 years (range: 21-44 4

years). 19 volunteers completed the test (one person did not return for the 7th day control). 5

6 Table 4 summarizes the results of the skin hydration evaluation. The application of -FL01 and GB01

7 vehicles significantly increased skin hydration, whereas the control values remained unchanged.

8 The increase was particularly evident for FL01 values. At T7 the skin hydration in FL01 treated area

was significantly higher than the GB01 value (p < 0.05). 9

10 Results obtained from the TEWL assay are summarized in Table 5. A decrease in TEWL indicates a

restoration of the skin barrier (reduction of transepidermal evaporation) and as known restoring 11

12 the skin barrier causes an increase in hydration. The changes detected in TEWL values during the

13 study protocol were not statistically significant, both for vehicles and control. Noteworthy, the

values measured in the control area were almost constant whereas, in the area treated with GB01, 14

after an initial decrease in the first treatment period (7 days), TEWL values greatly increased after

16 14 days. On the other hand, in the area treated with FL01, a gradual reduction of the TEWL values

during the period of the product application was observed, suggesting an improvement in skin 17

18 barrier function.

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20 Discussion

For skin diseases associated with dry skin (atopic dermatitis, psoriasis), a topical drug in a lipid 21 vehicle could be particularly beneficial since the pharmacologic effects of the active agents is 22 23 enhanced by the moisturizing properties of vehicle formulation.

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Vehicle formulations with high-lipid content (e.g. ointments) form an occlusive layer that gradually
interacts with the surface, promoting, the penetration of drugs into the skin, skin barrier
functions, and skin hydration.

However, increasing the lipid content of a cream can also have a negative impact on the release of
lipid-soluble active principles, such as betamethasone, due to the greater affinity of the molecule
for the lipid components of the cream than for the skin. In fact, it is known that ointments release
a smaller amount of lipid-soluble active ingredients, although for longer period, compared to
creams. Moreover, high-lipid content formulations are greasy and less cosmetically acceptable;
the poor cosmetic elegance of a topical drug can negatively impact adherence to therapy.

10 A polymer lipid-enriched cream could represent a suitable compromise between effective topical 11 administration of active agents and cosmetic elegance, because of its emollient properties, with 12 the advantage of a lower greasiness compared to ointments.

Skin penetration variability is evident for corticosteroids; the same molecule could result in
variable potency in view on the vehicle used in the delivery.⁷

15 Betamethasone-17-valerate is a medium potency glucocorticoid, currently used as reference in 16 clinical pivotal studies of new glucocorticosteroids. In this study, betamethasone release and distribution were investigated in RHE treated with two different formulations. In particular we 17 18 found of interest to compare a betamethasone-based, conventional oil in water emulsion with a 19 betamethasone-based, polymer lipid-enriched cream, that is characterized by higher lipid content and a polymer component (hydroxypropylmethylcellulose) to reduce the tendency of the lipid part 20 of the formulation to retain the betamethasone. Although the *in vitro* model used to compare the 21 22 betamethasone delivery over time does not exactly reflect the use of the drug, it takes into account that the products are applied by patients several times a day for several days just to have 23 a more or less constant product persistence on the skin. In our previous studies, we have verified 24

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that the model used in this study is well suited for the comparison of absorption in different vehicles. The two tested formulations resulted equivalent in term of release and distribution of betamethasone in culture media and cells. Moreover, the mechanical properties of these two formulations, evaluated by an empirical rheological test, were different. In the spreadability test, the polymer lipid-enriched cream resulted more adhesive and therefore more cohesive than O/W emulsion and it works as a film forming product that retains the therapeutic agent in place for longer time and in intimate contact with the surface of the skin. The enhanced properties of the polymer lipid-enriched cream could be related to the polymeric film-forming system (FFS) used in the cream. Cellulose derivatives, such as hydroxypropyl methylcellulose (HPMC) or hypromellose, form a polymeric film that allows establishing a drug reservoir on the skin surface from which a long-lasting drug release could be sustained.¹⁰ In fact, the persistence and resistance to removal (i.e. by washing and wear) of a topical formulation, as well as a complete skin contact, are of great relevance for prolonged delivery. Therefore, a successful formulation would require: high plasticity to follow the skin movements;

strong adhesion for, long-lasting delivery and penetration of the drug.¹⁰ Polymeric FFS has been already used for the skin delivery of steroids for systemic therapies; however it could also represent an attractive, alternative new delivery system for topical drugs in dermatology.¹⁰ Despite the polymer lipid-enriched cream has a high content of oil apolar phase, compared with O/W emulsion, the delivery of betamethasone was overall similar for the two tested products. Although a larger amount of oily phase should have resulted in a greater retention of betamethasone in the vehicle, by limiting its penetration through the skin, the release is still guaranteed at a level comparable to that of the reference product. This effect could be explained by the greater adhesive properties of betamethasone/fusidic acid polymer lipid-enriched cream

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that may result in prolonged substantivity. This allows the formation of a reservoir allowing 1 release of the active compound over a longer period of time.¹⁰ 2 The FB01 and GB01 vehicles properties were also tested on skin of human healthy volunteers. 3 Although TEWL differences are not significant and it is not possible to draw conclusions, the 4 application of FB01 vehicle led to a significant increase of cutaneous hydration. Since a trend to 5 TEWL values decrease for FB01 vehicle was recorded during the study, the increased skin 6 7 hydration could be associated with an improvement of skin barrier function. GB01 vehicle also 8 induced a small increase of skin hydration, however this result did not seem to be related to a modified skin barrier action. 9 10 The higher moisturizing properties and the lower TEWL represent an advantage especially in the case of marked xerosis and barrier damage, as in the case of psoriasis and atopic dermatitis. 11 Overall, the above-described findings may have major clinical relevance, since a cosmetic 12 13 acceptable vehicle formulation with high content of lipids can contribute, at least in part, to a longer and deeper delivery of the active compound and skin hydration, and promote adherence to 14 dermatological treatment.¹⁰ 15 16 The preliminary results, obtained both in tissues and in healthy volunteers, represent an interesting starting point in the dermatological scenario, which has topical treatments as the 17 18 mainstay of many regimens. This study shows that the vehicle plays a key role in the treatment of dermatological diseases, because it guarantees and/or reduces the release of the active principles 19 within the skin (due to its inherent characteristics), and it can also improve skin conditions and 20 patients' compliance and adherence to treatment. However, some limitations (e.g., lack of 21 22 rheological assessment) should be acknowledged. According to the tests carried out, we can observe that the two formulation tested showed 23 24 different performances with equal quantity of active principle released, and that it is reasonable to

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assume that differences may be due to the vehicle. Although these data are rather preliminary, they support further investigations aimed to better clarify the mechanisms underlying the here described properties of polymer lipid-enriched cream.

4 Conclusion

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When compared with the O/W emulsion, the polymeric lipid-enriched cream showed a similar 5 release of active ingredient into the skin, a decreased spreadability, and an increased viscosity and 6 surface tension (a measure of cohesiveness). The polymeric lipid-enriched formulation, also 7 8 resulted more skin-adhesive, working as a film-forming product that retains the therapeutic agent for long-time. It also promotes skin hydration, probably by decreasing trans-epidermal water loss 9 10 and improving skin barrier properties. Collectively, these properties may contribute to improve adherence to treatment in dermatology. 11 The above characteristics represent an interesting synergistic approach in the clinical setting 12 13 especially in the context of eczematous diseases with a strong xerotic component.

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15 Acknowledgements

16 We thank Luca Giacomelli, PhD, and Sara Parodi, PhD, who provided medical writing support.

17

18 Funding

19 This study was supported by Grant 2016 to S.M. from Consorzio Futuro in Ricerca (Ferrara, Italy).

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5	Executive summary
6	<u>Background</u>
7	• We compared the performances of two different commercial products both based on
8	betamethasone and an antibiotic but using different pharmaceutical vehicles: a polymer and
9	lipid-enriched cream and a conventional oil in water (O/W) emulsion.
10	<u>Methodology</u>
11	• Evaluation was conducted on a reconstructed human epidermis (RHE) model.
12	• Skin barrier properties and cutaneous hydration of the solely two vehicles were evaluated on
13	20 human healthy volunteers.
14	<u>Results</u>
15	• Overall, the polymer and lipid-enriched formulation works as a film-forming product that
16	retains the therapeutic agent for long-time, ensuring its penetration and absorption through
17	the skin, and promoting skin hydration.
18	Conclusions
19	• The above characteristics are useful in the clinical setting especially in the context of
20	eczematous diseases with a strong xerotic component.
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15	6	Table 1. Levels of betamethasone in the Reconstructed	l Human Epider	mis (RHE)	culture media
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17 19		ng/mL	Time-points	Mean	SD
18 19		Betamethasone/fusidic acid cream	24h	6.3	0.4
20		Betamethasone/gentamicin O/W emulsion	24h	6.8	0.5
21			48h	7.1	0.3
22		Betamethasone/fusidic acid cream			
23		Betamethasone/gentamicin O/W emulsion	48h	6.9	0.3
24	7	SD: standard deviation			
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29	5	Betamethasone/gentamicin O/W emulsion SD: standard deviation			
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12 13	6	Table 2. Levels of betamethasone in the Reconstructe	d Human Epide	rmis (RHE)	homogenates
14 15		ng/mL	Time-points	Mean	SD
16		Betamethasone/fusidic acid cream	24h	3.4	1.1
17 18		Betamethasone/gentamicin O/W emulsion	24h	5.2	0.3
19		Betamethasone/fusidic acid cream	48h	3.7	0.6
20		Betamethasone/gentamicin O/W emulsion	18h	3 1	0.3
21 22	7	SD: standard deviation	4011	5.1	0.5
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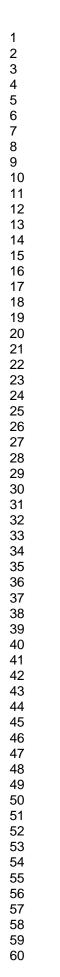
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Table 3. Outcomes of the performed spradability test. Data are expressed as mean±standard deviation.

	Sample	Firmness (g)	Work of shear (g*s)	Stickiness (g)	Work of adhesion (g*s)
	Betamethasone/fusidic acid cream	527±28	414±18	554±25	124±3
	Betamethasone/gentamicin O/W emulsion	164±5	127±4	171±2	44±2
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 6 **Table 4.** Assessment of the cutaneous hydration at different timepoints. Data are expressed in arbitrary units as mean and as % variation from T0 considering all participants (5 measurements/participant). T0: beginning of the study; T7: 7 days from the first application; T14:
 - 9 end of the treatment.

Time-points	Cutaneous Hydration			% Variation		
	Control	FB01	GB01	Control	FB01	GB01
то	32.6 ± 3.0	36.1 ± 2.8	37.2 ± 3.4	-	-	-
Т7	34.8 ± 2.5	45.9 ± 2.7	42.9 ± 2.8	6.7	27.1	15.3
T14	31.0 ± 1.5	43.9 ± 2.7	42.2 ± 2.2	-4.9	21.6	13.4



Time-points	TEWL (g/m ² h)	% Variation	
mean and as % vari	ation from TO considering all each par	ticipants (3 measurements/participant).	

Table 5. Assessment of the transepideral water loss at different timepoints. Data are expressed as

Time-points	TEWL (g/m ² h)		TEWL (g/m ² h) % Variation			
	Control	FB01	GB01	Control	FB01	GB01
то	2.1 ± 0.4	2.6 ± 0.6	2.8 ± 0.7	-	-	-
Т7	2.0 ± 0.6	2.2 ± 0.6	2.4 ± 0.6	-4.8	-15.4	-14.3
T14	1.9 ± 0.4	2.0 ± 0.3	3.1 ± 0.7	-9.5	-23.1	10.7

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