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Prevention of Herpes Zoster and its complications: from the clinic to the real life experience with the vaccine.

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Abstract:	<p>The Herpes Zoster (HZ) is an acute viral illness characterized by a vesicular rash, with unilateral distribution, which can eventually cause severe complications, such as the post-herpetic neuralgia (PHN), the ophthalmic zoster, stroke or other neurological complications. In Europe, an incidence between 2.0 and 4.6 cases per 1,000 persons-year is estimated, with an increase after 50 years of age. Currently, the therapeutic options for HZ are only partially effective to limit the acute phase, while the management of complications is frequently complex and not satisfactory. The overall burden of the disease and the elevated costs associated with diagnosis and clinical and therapeutic management led to the development of a new preventive approach through the live attenuated virus vaccine. The vaccine now available decreases the incidence of the disease, the PHN and the burden of illness. Moreover the vaccine is safe, well tolerated and it seems to confer a long-term protection. On the basis of the clinical results and evidences provided by Health Technology Assessment, several countries introduced immunization, although with different recommendations and methods of funding.</p>

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18 **Keywords:**

19 Herpes Zoster; Postherpetic Neuralgia; Vaccine; Prevention.

20

21 **Subject categories:**

22 Prevention and Therapy

23

24

25 **Abbreviations:**

26 HZ, Herpes Zoster; PHN, post-herpetic neuralgia; VZV, varicella-zoster virus; OHZ, Herpes Zoster
27 ophthalmicus; CMI, cell-mediated immunity; QoL, quality of life; NSAIDs, nonsteroidal anti-inflammatory
28 drugs; PFU, plaque-forming units; FDA, Food and Drug Administration; SPS, Shingles Prevention Study;
29 ZEST, Zostavax Efficacy and Safety Trial; BOI, burden of illness; STPS, Short-Term Persistence Substudy;
30 LTPS, Long-Term Persistence Substudy; HCSP, Haut Conseil de la Santé Publique; QALY, Quality-
31 Adjusted Life Year; LEA, Livelli Essenziali di Assistenza (Basic Levels of Assistance).

32

33

34 **ABSTRACT**

35 The Herpes Zoster (HZ) is an acute viral illness characterized by a vesicular rash, with unilateral distribution,
36 which can eventually cause severe complications, such as the post-herpetic neuralgia (PHN), the ophthalmic
37 zoster, stroke or other neurological complications. In Europe, an incidence between 2.0 and 4.6 cases per
38 1,000 persons-year is estimated, with an increase after 50 years of age. Currently, the therapeutic options for
39 HZ are only partially effective to limit the acute phase, while the management of complications is frequently
40 complex and not satisfactory. The overall burden of the disease and the elevated costs associated with
41 diagnosis and clinical and therapeutic management led to the development of a new preventive approach
42 through the live attenuated virus vaccine. The vaccine now available decreases the incidence of the disease,
43 the PHN and the burden of illness. Moreover the vaccine is safe, well tolerated and it seems to confer a long-
44 term protection. On the basis of the clinical results and evidences provided by Health Technology
45 Assessment, several countries introduced immunization, although with different recommendations and
46 methods of funding.

47

48

49 **INTRODUCTION**

50 The varicella-zoster virus (VZV) is an α Herpes virus, with airborne transmission and/or transmission by
51 direct contact with the skin lesions of a sick person; the reservoir of infection is exclusively human. The
52 virus can cause two different diseases: chickenpox, the primary infection, which usually occurs in childhood,
53 and Herpes Zoster (HZ), the result of the reactivation of the virus, that remains latent in the sensory ganglia
54 after the primary infection. During the reactivation, the sensory nervous ganglia are the site of viral
55 replication correlated to neuropathic damage of the fibres with intense inflammation and necrosis; the virus
56 migrates along the corresponding sensory nerve, until it reaches the skin surfaces or the mucous membranes
57 causing the characteristic acute vesicular dermatitis, with typical unilateral distribution (Gabutti et al., 2010).
58 The onset of the rash is often preceded by a prodromal phase, which generally anticipates the eruption by 48-
59 72 hours, characterized by pain and paraesthesia in the affected dermatomes (Johnson & Whitton, 2004).
60 During the acute phase, the rash is initially erythematous maculopapular and then evolves into vesicles,
61 pustules and finally scabs; the lesions exfoliate for about 10 days and the skin usually returns to its intact
62 state after 2-4 weeks.

63 The rash is often associated with a dermatomal pain syndrome caused by acute neuritis, described as a deep
64 burning pain, with tingling and / or itching, or a stabbing pain, varying in intensity and severity (Dworkin et
65 al., 2008). The duration of pain associated with HZ increases with the increasing age of the subjects (Katz et
66 al., 2004). The most frequent localization of the rash is thoracic (50-60%), followed by the ophthalmic area
67 (OHZ, Ophthalmicus Herpes Zoster) (10-20%) (Opstelten & Zaal, 2005). The ophthalmic form of HZ affects
68 the first branch of the trigeminal nerve and is particularly dangerous for the risk of blindness if not treated
69 immediately. The VZV natural infection induces a specific long term immunity both humoral and cell-

70 mediated (CMI) against the clinical form of the disease. However, the acquired immunity does not prevent
71 the virus from becoming dormant, in particular in the somatosensory ganglia, neither the possible subsequent
72 reactivation of HZ. There is no specific immunological parameter that can identify the subjects that will
73 develop HZ. The lack of anti-VZV specific antibodies does not necessarily imply susceptibility, as the
74 corresponding CMI may persist (Gershon et al., 2010). About 20% of subjects older than 50 years do not
75 show a measurable VZV-specific CMI, despite the persistence of specific antibodies and a positive past
76 event for varicella (Yawn & Gilden, 2013). The onset of HZ is a multifactorial process, even if it is closely
77 related to a decrease in VZV-specific cell-mediated immunity, which in turn is age-dependent; the decline of
78 CMI increases with age and the presence of immunosuppressive conditions. Therefore, as the proportion of
79 the elderly and fragile population is increasing, a rise in the incidence of HZ case is expected in the near
80 future. Besides age, co-morbidities that reduce VZV-specific CMI, such as diabetes, major depression,
81 stressful events, immunosuppressive treatments, HIV infection, lymphoma, leukaemia, bone marrow or other
82 organs transplants, and systemic lupus erythematosus, may also increase the risk of HZ (Irwin et al., 1998;
83 Lukas et al., 2011).

84

85 ***BASIS FOR THE SEARCH FOR AN APPROPRIATE PREVENTIVE MEASURE AGAINST HERPES*** 86 ***ZOSTER***

87 The overall burden of HZ in terms of epidemiological impact, complications and sequelae in the short and
88 long term, the availability of sub-optimal therapies and the high costs associated with diagnosis and clinical-
89 therapeutical management of patients represent the rationale and the grounds for the development of an
90 appropriate preventive measure against this disease. A specific intervention to reduce the frequency and
91 severity of HZ and its sequelae stimulating the cell-mediated response was deemed necessary (Lukas et al.,
92 2011). Over the years, varicella vaccines (live attenuated virus) with high antigenic titres have been shown to
93 elicit a significant increase in VZV-specific CMI in immunocompetent elderly subjects (Oxman, 1995; Levin
94 et al., 2003). A high antigenic titre shingles vaccine was developed and produced. The antigenic content is >
95 19,400 plaque-forming units (PFU), an amount at least 10 times higher than the antigenic content of the
96 vaccine for chickenpox for paediatric use produced by the same company (Summary of Product
97 Characteristics, Annex D). The worldwide estimates suggest that more than 1.7 million new cases of HZ
98 occur annually, with about 4 cases per 1,000 persons/year (Yawn & Gilden, 2013). The individual risk of
99 developing HZ ranges between 24% and 30%, equal to 1 individual in 4 people (Gross et al., 2003).
100 However, for subjects aged > 85 years, this risk increases to 1 individual in 2 people (Schmader, 2001). The
101 incidence of HZ does not show a seasonal or epidemic trend and markedly increases in people older than 50
102 years, even if immunocompetent (Donahue, 1995; Yawn et al., 2007). In Europe, 95% of adults aged \geq 50
103 years, having previously experienced chickenpox, maintain the VZV in a latent form and are therefore at risk
104 of developing HZ (Johnson et al., 2007). The prevalence rate increases with age: 1/1,000 in children <10
105 years, 2/1,000 in adults <40 years, 1-4/1,000 in adults between 40-50 years, 7-8/1,000 after 50 years and
106 10/1,000 in \geq 80 years (Pinchinat et al., 2013).

107 In Italy, there about 22 million people aged ≥ 50 years and 157,100 new cases of HZ are estimated annually,
108 with an incidence of 6.3 / 1,000 person-years (Gialloreti et al., 2010). HZ typically occurs only once in a
109 lifetime; relapses are relatively uncommon, because an episode of HZ leads to the reactivation of VZV-
110 specific CMI (Johnson, 2007).

111 Complications occur in 13-40% of cases; the most common is post-herpetic neuralgia (PHN), with an
112 incidence estimated between 10 and 20% of HZ cases (up to 30% in the elderly). PHN is characterized by
113 pain along the cutaneous nerve endings, persistent for a few months after the onset of the rash, which can
114 manifest as one or more painful or paroxysmal burning or stabbing attacks, with spontaneous occurrence
115 associated with paraesthesia, dysesthesia, and allodynia. The rates of incidence are affected by the different
116 definitions of PHN pain and age of observed patients, ranging from 6.5 - 38% at 1 month to 2.6 - 27% at 3
117 months.

118 Other complications of HZ in elderly or immunocompromised patients are: bacterial superinfection of
119 lesions, cutaneous dissemination with 20 or more skin lesions in dermatomes other than the first, lung
120 infection, myocarditis, esophagitis, pancreatitis, gastric ulceration, stroke secondary to the granulomatous
121 arteritis of the internal carotid artery in ophthalmic HZ and other neurological complications such as
122 encephalitis, myelitis, lesions of the sympathetic trunk, Ramsay-Hunt syndrome, paresis and paralysis
123 (Volpi, 2007). HZ and the PHN negatively affect the quality of life (QoL) of people living with impaired
124 physical abilities, working activity, relational and psychological abilities.

125 An Italian study (HEROES) carried out in collaboration with General Practitioners was aimed at verifying
126 the rate of HZ-associated pain, the duration and the management of pain in subjects > 50 years old with
127 newly diagnosed HZ, for up to 6 months. Of the 413 subjects enrolled in the study, 370 (86.2%) reported
128 HZ-associated pain. At 3 and at 6 months, the pain persisted in 20.6% and 9.2% of cases, respectively,
129 despite the treatment with anti-viral drug, taken by 91.5% of patients. The study showed that the quality of
130 life of patients suffering from chronic pain was lower than that observed in healthy subjects of the same age,
131 confirming the impact of PHN on adults and elderly subjects (Bricout et al., 2014).

132 At a national level, the total expenditure for HZ and PHN for the Italian Health Service was estimated in
133 2005 to have amounted to more than € 41 million per year. Direct costs amounted to 28.2 million, with an
134 average spending for outpatient management ranging between € 166 and € 560 for HZ and PHN,
135 respectively. With regard to hospital admissions, the average length of stay varied between 8 and 10 days,
136 giving a cost for HZ and PHN of about € 2,700 / year. The indirect costs, defined as productivity loss and
137 absence from work, represent a third of the total cost of the disease, amounting to € 13 million/year
138 (Gialloreti et al., 2010).

139 The clinical and therapeutic management of HZ is complex and often unsatisfactory. The available treatment
140 options are only partially effective and include the use of anti-viral, anti-inflammatory and analgesic drugs.
141 The guidelines recommend that anti-viral treatment is to be started within 72 hours after the onset of the rash
142 and continued for 7-10 days; however, it is known that a timely diagnosis is often difficult due to the delayed
143 access of the patient to the doctor's advice (Fashner & Bell, 2011).

144 Acute pain management also includes the use of oral corticosteroids, nonsteroidal anti-inflammatory drugs
145 (NSAIDs), opioids, tricyclic antidepressants and anticonvulsants (gabapentin and pregabalin) regulating the
146 abnormal electrical activity of the nervous system related to neuronal damage.

147 PHN is often refractory to pharmacologic treatments despite the combined use of tricyclic antidepressants
148 and strong analgesics (such as opioids and topical agents containing lidocaine or capsaicin). Although 50%
149 of patients with PHN take more than one drug, less than half of them achieve a satisfactory result in terms of
150 symptom relief. The combined use of multiple drugs is also limited by the risk of side effects (Johnson et al.,
151 2010).

152

153 ***THE VACCINE***

154 HZ vaccination is an effective solution to prevent the onset of the disease. Currently, the only vaccine that
155 has been licensed is a live attenuated virus vaccine, which contains the same VZV Oka strain used for
156 chickenpox vaccination but with a greater average power equal to 19,400 PFU. The novel aspect of the
157 vaccine is that it prevents the clinical manifestation in an already infected subject in which the virus is latent
158 in the ganglia of the sensory roots of the spinal and cranial cord. The boosting of the VZV-specific CMI
159 response by the HZ vaccine hinders both reactivation of latent VZV and its replication, reducing the
160 incidence and severity of the disease and controlling the subsequent neurological damage. The vaccine is
161 available in the form of powder and solvent for dissolving in a solution for injectable suspension and is
162 administered subcutaneously as a single dose of 0.65 ml in the deltoid region of the arm. It can be
163 administered to VZV-naïve subjects or patients with a past event of HZ and can be co-administered with
164 separate injections at different injection sites with the inactivated flu vaccine, but not with the 23-valent
165 pneumococcal one due to the possible reduced immunogenicity.

166 The contraindications are represented by previous hypersensitivity to any component of the vaccine, even
167 present in trace form (e.g. neomycin), primary and acquired immunodeficiency (for acute and chronic
168 leukaemia, lymphoma, and other conditions involving bone marrow or the lymphatic system;
169 immunosuppression for HIV/AIDS; deficit of cellular immunity, immunosuppressive therapy, active
170 untreated tuberculosis, pregnancy. The vaccination is not contraindicated in individuals who are receiving
171 topical or inhaled corticosteroids or low-dose corticosteroids or for subjects who received the varicella
172 vaccine. In fact, both in the US and in Italy, there are very few adults > 50 years susceptible to VZV or who
173 received the vaccine for chickenpox; for this reason, it is not necessary to investigate the immunological
174 status and previous immunizations before the vaccination (Harpaz et al, 2008).

175 In the United States, the Food and Drug Administration (FDA) initially approved the vaccine in 2006 for
176 individuals ≥ 60 years of age and, in 2011, also for adults aged between 50 and 59 years on the basis of a
177 large study on safety and efficacy in this age group. In Europe, marketing authorization was obtained in May
178 2006 for individuals aged 60 years and over, and in July 2007 this was extended to those aged 50 years and
179 over. The HZ vaccine is not therapeutic and is not indicated for the treatment of HZ or PHN.

180

181 ***EFFICACY***

182 Many records on the HZ vaccine come from clinical trials and pre- and post-marketing studies. To date, 28
183 clinical trials showed data on immunogenicity, clinical efficacy and safety, for a total of about 96,700
184 randomized patients, of which about 57,770 were immunized with the vaccine.

185 The clinical efficacy of the vaccine was demonstrated in two Phase III studies: the Shingles Prevention Study
186 (SPS), in subjects aged > 60 years and the Zostavax Efficacy and Safety Trial (ZEST) in subjects aged
187 between 50-59 years. The vaccine significantly reduced the risk of developing the disease and PHN and
188 reduced the chronic pain associated with HZ.

189 The SPS study involved 38,546 subjects aged ≥ 60 years, who were randomly assigned to receive a single
190 dose of HZ vaccine (8,270) or placebo (19,276). The mean duration of follow-up was 3.1 years. The efficacy
191 of the vaccine was 51% regarding the incidence of HZ, 67% for PHN and 61% concerning the burden of
192 illness (BOI) measured by an endpoint that included the incidence, severity, duration of pain and discomfort
193 associated with the disease. The vaccine was more effective in reducing the incidence of PHN regardless of
194 age (66.8% and 65.7% in > 70 years and 66-69 years, respectively), while it was less effective in preventing
195 the development of HZ in older individuals (63.9% in 60-69 years against 37.6% in subjects > 70 years)
196 (Oxman et al., 2005).

197 The ZEST study, involving more than 22,000 subjects aged between 50-59 years, showed a 69.8% reduction
198 of HZ incidence compared to placebo. The efficacy results are similar to those observed in the SPS study in
199 subjects aged 60-69 years and were higher in patients over 70 years of age (Schmader et al., 2012a).

200 The advantage provided by the vaccine in preventing the HZ incidence was greatest in the younger age
201 group, from 50 years of age, probably related to a better immune response, while the efficacy in the
202 prevention of PHN and severity of the disease was maintained in different age groups.

203 The efficacy of the vaccine was monitored over time through two studies of persistence in the short and long
204 term: the Short-Term Persistence Substudy (STPS) and Long-Term Persistence Substudy (LTPS). The first
205 one started in 2004 as a secondary study of the SPS, involving a total of 14,270 subjects (7,320 vaccine
206 recipients and 6,950 receiving placebo) with a mean age of 73.3 years, and a median follow-up of 1.2 years.
207 The estimated efficacy in the STPS study was 39.6% for HZ, 60.1% for PHN and 50.1% for BOI (Schmader
208 et al., 2012b). The long-term persistence study (LTPS) assessed the length of protection against HZ, PHN
209 and BOI in about a third of the subjects previously vaccinated in the SPS and the STPS studies (6,687
210 individuals with a mean age of 74.5 years), extending the follow-up to 12 years after vaccination with a
211 mean follow-up of 9.7 years. The estimated efficacy was 21% for the incidence of HZ, 35% against the
212 incidence of PHN and 37% for BOI (Morrison et al., 2014).

213

214

215 ***SAFETY***

216 The safety of the HZ vaccine was investigated in clinical trials involving over 57,000 people. The SPS study
217 on 38,546 subjects and its sub-study on a subgroup of 3,345 subjects receiving the vaccine and 3,271 placebo

218 recipients monitored adverse effects. The reported adverse reactions, between day 0 and day 42 after
219 vaccination, with a greater frequency in those who received the vaccine, were mostly mild (31.7% of cases)
220 and at the injection site: erythema (29%), tenderness (26%), swelling (21%), itching (6%), sometimes
221 ecchymosis or induration (0.2%), less frequently headache. Other adverse events have been spontaneously
222 reported in post-marketing surveillance, including arthralgia, myalgia, rash, nausea, lymphadenopathy,
223 pyrexia and hypersensitivity reactions. Similar results were shown by the ZEST study, with an incidence of
224 adverse events related to the vaccine higher than in subjects receiving placebo, but mainly mild and related
225 to the injection site. One serious adverse reaction (anaphylactic reaction) in a vaccinated subject was
226 reported. In a placebo controlled trial, the estimated risk of systemic adverse events (SAEs) within 42 days
227 was 1.41% for vaccine recipients compared to 1.12% for placebo, with a relative risk not statistically
228 significant of 1.26 (95% CI: 0.91-1.73) (Morrison et al., 2014).

229 In clinical trials, no vaccine virus transmission was reported; however, the post-marketing experience with
230 varicella immunization suggests that there is a theoretical risk of transmitting the attenuated vaccine virus
231 from a vaccinee to a susceptible individual (very uncommon eventuality). This risk should be evaluated
232 against the one of naturally developing HZ and potentially transmitting the wild-type VZV to a susceptible
233 individual.

234

235 ***EFFECTIVENESS***

236

237 In addition to pre-authorization clinical trials, further studies have been conducted in order to assess the
238 efficacy and safety of the vaccine in clinical practice in different populations. All these studies are
239 retrospective and effectiveness therefore was evaluated ex-post in immunocompromised subjects or with co-
240 morbidity, once the study was completed and the characteristics of the immunized people verified.

241 Zhang et al. conducted a retrospective study to evaluate the vaccination efficacy and the risk of infection
242 with HZ in elderly patients with immune-mediated diseases. From 2006 to 2009, they enrolled 463,541
243 Medicare beneficiaries aged ≥ 60 years with a diagnosis of rheumatoid arthritis (292,169), psoriatic arthritis
244 (11,030), psoriasis (89,565), ankylosing spondylitis (4,026), or inflammatory bowel disease (66,751 –
245 Crohn's disease or ulcerative colitis). During the study, 18,683 (4.0%) patients received the HZ vaccine.
246 During the period of more than 42 days after vaccination, 138 HZ cases were observed (6.7 cases per 1,000
247 person/year; 95% CI: 5.7–7.9). Among the 633 patients exposed to biological agents, no cases of varicella or
248 HZ occurred within 42 days from vaccination (95% CI: 0 to 5.4 per 1,000 treated with anti-TNF and 0 to 4.7
249 for 1,000 receiving biological drugs). The hazard ratio of HZ associated with vaccination was 0.61 (95% CI:
250 0.52 to 0.71) (49% of effectiveness). The study showed that the administration of the vaccine concurrently
251 with biological drug treatments was not associated with an increased short-term risk of varicella or HZ
252 (Zhang et al., 2012). Another retrospective study was performed by Langan et al., involving 766,330 subjects
253 aged ≥ 65 years, from 2006 to 2009; out of 29,758 recipients of the vaccine, 4,469 were
254 immunocompromised at the time of vaccination. The effectiveness was equal to 48% (95% CI: 39-56)

255 against HZ and 62% (95% CI: 23-63) for PHN with definition at 30 days and 59% (95% CI: 21-79) for PHN
256 with definition to 90 days; in immunocompromised individuals, however, the effectiveness amounted to 37%
257 (95% CI: 42-94) (Langan et al., 2013). Tseng et al. conducted a further cohort study, between 2007 and
258 2012, recruiting members of Kaiser Permanente Southern California (KPSC) aged ≥ 60 years receiving
259 chemotherapy with myelosuppressive agents; vaccination was carried out before the start of chemotherapy
260 (six months before on average) in 4,710 subjects (16,766 unvaccinated) (Tseng et al., 2014). The incidence
261 in the vaccinated subjects was 12.87/1,000 compared to 22.05/1,000 cases in the unvaccinated ones, with an
262 efficacy against HZ of 42% and no hospitalization in the immunized cohort. The study therefore
263 demonstrated the efficacy in HZ prevention also in persons that will subsequently undergo chemotherapy,
264 providing further motivation for offering the HZ vaccine to subjects while they are immunocompetent.
265 The effectiveness of the vaccine against HZ clinical manifestations in vaccinated persons was recently
266 investigated, with particular attention to the prodromal phase. Marin et al. carried out a case-control study in
267 subjects aged ≥ 60 years. Vaccination was associated with a 54% reduction of HZ incidence (95% CI: 32%-
268 69%), a decrease of 58% of prodromal symptoms (95% CI: 31% -75%), and a 70% reduction of the
269 prodromal medically assisted symptoms (95% CI: 33% -87%). The vaccine was also effective against PHN,
270 with definition at 30 days from the onset of the rash, with a 61% reduction (95% CI: 22%-80%) (Marin et al.,
271 2015). In conclusion, the effectiveness studies are consistent with pre-marketing clinical studies, confirming
272 a good safety and tolerability profile, as well as the efficacy against HZ and PHN in subjects ≥ 60 years. The
273 vaccine can be administered to VZV-naïve individuals or those with a history of HZ, in patients with
274 immune-mediated diseases or mild immunosuppression; the administration should occur at least 14 days
275 before the start of immunosuppressive therapy or one month after the end of it. In any case, the
276 contraindications reported on the data sheet should be borne in mind and all patients must be evaluated for
277 possible immunodeficiency prior to vaccine administration (Summary of Product Characteristics, Annex I).

278

279 **USE OF THE VACCINE IN THE WORLD AND IN ITALY**

280

281 Based on the scientific evidence and available assessments that confirm the impact of HZ vaccination and
282 support its use worldwide, several countries have introduced the vaccination, albeit with different
283 recommendations and funding arrangements (with or without public support).

284 In the United States and Canada, since 2006 and in 2010 respectively, immunization is recommended above
285 60 years of age. Despite the advantages of vaccination, the coverage rates were very low: only 1.9% in 2007,
286 and 6.7% in 2008 with higher rates in the older population (4.7% in those aged 60-64 years, 7.4% among 65-
287 74 year-olds, 7.6% in those aged 75-84 years and 8.2% in patients ≥ 85 years). In 2011, only 10% of
288 immunocompetent individuals above 60 years were vaccinated in the United States, a coverage rate lower
289 than that achieved in many other countries. The reasons were the lack of awareness by the individuals as well
290 as the cost effectiveness, the lack of or reduced health care funds, the lack of recommendation by physicians
291 and some uncertainty on the duration of vaccine protection (Lu et al., 2011).

292 In Europe, the EUnetHTA (European network of 47 European organizations of Health Technology
293 Assessment) recently conducted a study evaluating the methodology of the “rapid relative effectiveness
294 assessment” of the new HZ vaccine.

295 The report acknowledged the significance of HZ and PHN and the BOI in Europe, the limitations of current
296 treatments and, in particular, the difficulty of PHN management. It also recognized the clinical efficacy of
297 the HZ vaccine in the population > 50 years of age with a reduction in the frequency of new cases of HZ and
298 its complications, also establishing a good safety profile, and highlighting a period of protection up to at least
299 10 years. In Europe, several countries decided to recommend and/or fund vaccination: in people aged ≥ 50
300 years in Austria (since 2007), in Germany and Sweden (since 2010), in subjects ≥ 60 years in Greece (from
301 2011) and in older cohorts in the UK and in France (EUnetHTA, 2013).

302 The most interesting experience at European level was in the UK. The immunization program began in
303 September 2013 on two cohorts: seventy-year-old and seventy-nine-year-old subjects. Official data
304 suggested national average coverage rates, after one year, of 61.8 and 59.6%, respectively. Given the positive
305 response obtained, the UK health authorities decided to extend immunization (active call and
306 reimbursement) to the cohort of seventy-eight-year-old subjects (Public Health England, 2013).

307 In France, the Haut Conseil de la Santé Publique (HCSP) adopted the recommendation for routine
308 vaccination against HZ in older adults aged between 65 and 74 years in 2013,; national reimbursement for all
309 subjects aged between 65-74 years, with a catch-up for adults aged 75 to 79 years until the end of February
310 2017, was approved in 2015 (HCSP report, 2013).

311 In Italy, a panel of experts concluded that HZ and PHN are important clinical and public health problems
312 and, in 2014 “Lifetime immunization schedule”, included the recommendation for the use of the HZ vaccine
313 in subjects at risk above 50 years old, with the exception of seriously immunocompromised subjects, but also
314 vaccination without charge in at least a cohort of the elderly population (60 or 65 years), in order to
315 progressively cover further groups of the population against a severely debilitating disease with high social
316 impact (Bonanni et al., 2014). In an Italian cost-efficacy study, the vaccine proved highly cost-effective, with
317 costs per Quality-Adjusted Life Year (QALY) ranging from € 11,943 for persons aged 60-79 years; € 9,779
318 for subjects aged 65-79 years and € 8,729 for those aged between 70 and 79 years (Coretti et al., 2014). At
319 regional level, the modification of Section V of the Constitution, through Constitutional Law number 3 of
320 October 2001, changed the structure of the institutional relationships between national, regional and local
321 authorities, by introducing a devolution framework of competences and responsibilities in the health field.
322 This change attributed to the Regions the responsibility, almost exclusively, of the organization and the
323 management of the health service, while the government has the responsibility to determine which health
324 services are “essential” (Basic Levels of Assistance, Livelli Essenziali di Assistenza, LEA) and should be
325 offered to all citizens by all Regions.

326 Sicily and Liguria decided to use the HZ vaccine in 2015: in Sicily, the vaccine is recommended in subjects
327 at risk above 50 years of age, with the exception of severely immunosuppressed persons, and also freely
328 administered in at least a cohort of elderly population (65 years or 75 years) (Sicily Region, 2015). Liguria

329 Region, instead, opted for the universal, free and active offer of the HZ vaccination in patients aged 65 years
330 from the year 2015, with the aim of obtaining as the minimum target, during the same year, a coverage rate
331 of 25-35% in the elderly (Liguria Region, 2014).

332 In Veneto, the immunization is offered to patients at risk, immune to varicella between 50-59 years, who
333 have to undergo a bone marrow or solid organ transplant (more than one month before the graft), or patients
334 with chronic inflammatory diseases taking low doses of immunosuppressive drugs (Veneto Region, 2014). In
335 Friuli Venezia Giulia, the vaccine is provided free of charge for individuals over 50 years belonging to risk
336 groups and on prescription, or in co-payment, to subjects not belonging to risk groups (Friuli-Venezia-Giulia
337 Region, 2014) The co-payment for over 50 year-old subjects is also expected in Molise Region (Region
338 Molise, 2015). In Calabria, since 2015, the vaccine has been offered to the cohort of 65 year-olds or to
339 subjects aged 70 years who were not vaccinated at 65 (Region Calabria, 2015). Outside of these categories,
340 the vaccine is administered upon payment of the fee, according to the regional price list. Lastly, in the
341 Autonomous Province of Trento, from the first of July 2016, the HZ vaccine has been offered free of charge
342 to over 65 year-old subjects and to adults at risk (Autonomous Province of Trento, 2016).

343

344 **CONCLUSIONS**

345

346 HZ is a very common disease, with a negative impact on the quality of life. The epidemiological evaluation,
347 the frequent and debilitating complications (PHN), the available sub-optimal treatments and the costs
348 associated with diagnosis and clinical/therapeutic management are the basis for seeking a proper preventive
349 measure against this disease, in order to reduce the frequency and the severity. Nowadays, prevention is
350 possible by means of the live attenuated virus vaccine that stimulates cell-mediated immunity; clinical
351 studies showed good levels of efficacy, safety and tolerability. Based on the clinical findings and also
352 economic evidence, several countries around the world (United States, Canada, Austria, the United
353 Kingdom, Germany/Saxony, Sweden, Greece, France, Italy) have introduced the vaccination, albeit with
354 different recommendations and financing methods.

355 However, in the first years of experience, some barriers related to the difficulty of vaccine distribution, the
356 lack of physician recommendations or the cost of the vaccine were encountered. It is therefore important to
357 discuss how to offer the vaccine to the target population, including a common strategy to gradually ensure a
358 fair offering, compatible with available resources, since the vaccination is cost-effective in terms of both
359 prevention and healthcare economy.

360

361 **Abbreviations**

362 HZ, Herpes Zoster; PHN, post-herpetic neuralgia; VZV, varicella-zoster virus; OHZ, Herpes Zoster
363 ophthalmicus; CMI, cell-mediated immunity; QoL, quality of life; NSAIDs, nonsteroidal anti-inflammatory
364 drugs; PFU, plaque-forming units; FDA, Food and Drug Administration; SPS, Shingles Prevention Study;
365 ZEST, Zostavax Efficacy and Safety Trial; BOI, burden of illness; STPS, Short-Term Persistence Substudy;

366 LTPS, Long-Term Persistence Substudy; HCSP, Haut Conseil de la Santé Publique; QALY, Quality-
367 Adjusted Life Year; LEA, Livelli Essenziali di Assistenza (Basic Levels of Assistance).

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