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An existence and uniqueness theorem for the Navier–Stokes equations in dimension four

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ABSTRACT

We prove that the steady state Navier–Stokes equations have a solution in an exterior Lipschitz domain of \mathbb{R}^4 , vanishing at infinity, provided the boundary datum belongs to $L^3(\partial\Omega)$.

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1. Introduction

In this paper, we shall consider the steady–state boundary–value problem for the Navier–Stokes equations¹

$$\begin{aligned} \nu \Delta \mathbf{u} - \mathbf{u} \cdot \nabla \mathbf{u} - \nabla p &= \mathbf{0} && \text{in } \Omega, \\ \operatorname{div} \mathbf{u} &= 0 && \text{in } \Omega, \\ \mathbf{u} &= \mathbf{a} && \text{on } \partial\Omega \\ \lim_{r \rightarrow +\infty} \mathbf{u}(x) &= \mathbf{0} && \text{on } \partial\Omega \end{aligned} \quad (1)$$

in the four-dimensional exterior domain

$$\Omega = \mathbb{R}^4 \setminus \bigcup_{i=1}^m \bar{\Omega}_i$$

where Ω_i are bounded Lipschitz domains with connected boundaries such that $\Omega_i \cup \Omega_j = \emptyset$, $i \neq j$. In (1), \mathbf{u} and p are the kinetic and pressure fields respectively, $\nu > 0$ is the kinematical viscosity coefficient and \mathbf{a} is an assigned field on $\partial\Omega$.

Strictly connected to (1) is its linearized version, the Stokes equations

$$\begin{aligned} \nu \Delta \mathbf{u} - \nabla p &= \mathbf{0} && \text{in } \Omega, \\ \operatorname{div} \mathbf{u} &= 0 && \text{in } \Omega, \\ \mathbf{u} &= \mathbf{a} && \text{on } \partial\Omega \\ \lim_{r \rightarrow +\infty} \mathbf{u}(x) &= \mathbf{0} && \text{on } \partial\Omega. \end{aligned} \quad (2)$$

It is well known that if $\mathbf{a} \in L^3(\partial\Omega)$, then (2) has a solution² (\mathbf{u}_s, p_s) analytical in Ω , such that $\mathbf{u}_s = O(r^{-2})$, $p_s = O(r^{-3})$ and which satisfies (2)₃ in the sense of the non tangential convergence, i.e, there is a finite cone Γ such that

$$\lim_{x(\in\Gamma_\xi)\rightarrow\xi} \mathbf{u}(x) = \mathbf{a}(\xi) \quad (3)$$

for almost all $\xi \in \partial\Omega$, where $\Gamma_\xi \subset \Omega$ is a cone with vertex at ξ , congruent to Γ .

In Section 3, we prove the following

Theorem 1: *If $\mathbf{a} \in L^3(\partial\Omega)$ and*

$$\mathcal{F} = \frac{1}{4\omega} \sum_{i=1}^m \left| \int_{\partial\Omega_i} \mathbf{a} \cdot \mathbf{n} \right| \max_{\partial\Omega} \frac{1}{|x - x_i|^2} < \nu, \quad (4)$$

where ω is the measure of the surface of the unit ball of \mathbb{R}^4 , x_i fixed point of Ω_i , and \mathbf{n} is the outward (with respect to Ω) unit normal to $\partial\Omega$, then (1) has a solution $(\mathbf{u}, p) \in [L^4(\Omega) \cap C^\infty(\Omega)] \times C^\infty(\Omega)$. Moreover, \mathbf{u} is unique in $L^4(\Omega)$, provided $3\|\mathbf{u}_s\|_{L^4(\Omega)} < 4\nu$ and

$$\|\mathbf{u}_s\|_{L^4(\Omega)} + \frac{\|\mathbf{u}_s\|_{L^4(\Omega)}^2}{\frac{4}{3}\left(\nu - \frac{3}{4}\|\mathbf{u}_s\|_{L^4(\Omega)}\right)} < \frac{4\nu}{3}, \quad (5)$$

where \mathbf{u}_s is the solution to (2) in Ω with boundary datum \mathbf{a} .

In the next section, we collect the main preliminary tools we shall need to get our results. For domains of class $C^{1,1}$ and boundary data in $W^{1/4,4}(\partial\Omega)$ problem (2) in bounded domains has been considered by several authors (see p.297 of [1] and the references therein).

2. Preliminary results

The fundamental solution to (2) writes

$$\begin{aligned} \mathcal{U}_{ij}(x-y) &= -\frac{1}{4\omega\nu|x-y|^2} \left\{ \delta_{ij} + \frac{2(x_i-y_i)(x_j-y_j)}{|x-y|^2} \right\}, \\ \varpi_i(x-y) &= \frac{x_i-y_i}{\omega|x-y|^4}. \end{aligned} \quad (6)$$

The Stokes simple layer potential with density $\boldsymbol{\psi} \in L^q(\partial\Omega)$ is defined by

$$\begin{aligned} \mathbf{v}[\boldsymbol{\psi}](x) &= \int_{\partial\Omega} \mathbf{U}(x-\xi) \cdot \boldsymbol{\psi}(\xi) d\mathbf{a}_\xi, \\ P[\boldsymbol{\psi}](x) &= \int_{\partial\Omega} \boldsymbol{\varpi}(x-\xi) \cdot \boldsymbol{\psi}(\xi) d\mathbf{a}_\xi, \end{aligned} \quad (7)$$

and is a solution to Stokes' Equations (2)_{1,2} in $\mathbb{R}^4 \setminus \partial\Omega$. The trace of (7)₁ on $\partial\Omega$ is a continuous operator

$$\mathcal{S} : L^q(\partial\Omega) \rightarrow W^{1,q}(\partial\Omega). \quad (8)$$

For $q = 2$, \mathcal{S} is Fredholm with index zero and Kern $\mathcal{S} = \text{Kern } \mathcal{S}' = \{\mathbf{n}\}$, [2,3] where³

$$\mathcal{S}' : W^{-1,2}(\partial\Omega) \rightarrow L^2(\partial\Omega) \quad (9)$$

is the adjoint of \mathcal{S} . Hence, if $\mathbf{a} \in L^2(\partial\Omega)$, then there is $\boldsymbol{\psi} \in W^{-1,2}(\partial\Omega)$ such that the pair

$$\begin{aligned} \mathbf{u}_s(x) &= \mathbf{v}[\boldsymbol{\psi}] + \boldsymbol{\sigma}(x), \\ p_s(x) &= P[\boldsymbol{\psi}](x), \end{aligned} \quad (10)$$

is the unique solution to (2), which vanishes at infinity and takes the value \mathbf{a} on $\partial\Omega$ according to (3), [2,3] where

$$\boldsymbol{\sigma}(x) = \frac{1}{\omega} \sum_{i=1}^m \frac{(x_i - x)}{|x - x_i|^4} \int_{\partial\Omega_i} \mathbf{a} \cdot \mathbf{n}.$$

By classical stability results, the Fredholm property of (8) can be extended in a neighborhood of $(2 - \epsilon, 2 + \epsilon)$, with ϵ depending on $\partial\Omega$. If $\partial\Omega$ is of class C^1 , then (8) is Fredholm for all $q \in (1, +\infty)$. By results of [4], if $\mathbf{a} \in L^3(\partial\Omega)$, then the above solution belongs to $L^4(\Omega)$ and

$$\|\mathbf{u}_s\|_{L^4(\Omega)} \leq \gamma \|\mathbf{a}\|_{L^3(\partial\Omega)}. \quad (11)$$

3. Proof of Theorem 1

Following [5], let $\mathbf{a}_\epsilon \in W^{1,2}(\partial\Omega)$ be such that

$$\int_{\partial\Omega} |\mathbf{a} - \mathbf{a}_\epsilon|^3 < \epsilon, \quad (12)$$

for small positive ϵ . Let $\mathbf{u}_{s\epsilon}$ be the solution to the Stokes problem with boundary value $\mathbf{a} - \mathbf{a}_\epsilon$. For $\mathbf{u} \in L^4(\Omega)$, denote by $\mathcal{K}[\mathbf{u}]$ the solution to the Stokes problem with boundary value $-\text{tr}_{|\partial\Omega} \mathcal{V}[\mathbf{u}]$, where

$$\mathcal{V}[\mathbf{u}](x) = \int_{\Omega} \mathcal{U}(x - y)(\mathbf{u} \cdot \nabla \mathbf{u})(y) \, dv_y.$$

Consider the functional equation

$$\mathbf{u}'(x) = \mathbf{u}_{s\epsilon} + (\mathcal{K} + \mathcal{V})[\mathbf{u}]. \quad (13)$$

By virtue of (11), (12) we see that \mathbf{u}' is a contraction in $L^4(\Omega)$. Hence, it follows that (13) has a fixed point \mathbf{u}_ϵ which is a solution to (1)_{1,2} taking the value $\mathbf{a} - \mathbf{a}_\epsilon$ on $\partial\Omega$ and such that

$$\|\mathbf{u}_\epsilon\|_{L^4(\Omega)} \leq c\epsilon. \quad (14)$$

Let \mathbf{v}_s be the solution to the Stokes problem with boundary value \mathbf{a}_ϵ :

$$\mathbf{v}_\epsilon = \mathbf{v}[\boldsymbol{\psi}] + \boldsymbol{\sigma}_\epsilon, \quad \boldsymbol{\sigma}_\epsilon(x) = -\frac{\mathbf{x}}{\omega|\mathbf{x}|^4} \int_{\partial\Omega} \mathbf{a}_\epsilon \cdot \mathbf{n}. \quad (15)$$

Let us look for a solution $\mathbf{w} \in W_0^{1,2}(\Omega_R)$ to

$$\begin{aligned} v\Delta \mathbf{w} - (\mathbf{u}_\epsilon + \mathbf{v}_s + \mathbf{w}) \cdot \nabla(\mathbf{v}_s + \mathbf{w}) - (\mathbf{w} + \mathbf{v}_s) \cdot \nabla \mathbf{u}_\epsilon - \nabla Q &= \mathbf{0}, \\ \text{div } \mathbf{w} &= 0, \end{aligned} \quad (16)$$

in $\Omega_R = \Omega \cap S_R$, for large R . To this end, it is sufficient to show that the set of all solutions to (16) are bounded in $W^{1,2}(\Omega)$ (see, e.g. [1]). Following a classical *reductio ad absurdum* argument of J. Leray [6], let us suppose that a sequence of solutions $\mathbf{w}_k \in W_0^{1,2}(\Omega)$ to (16) exists such that

$$\lim_{k \rightarrow +\infty} J_k = +\infty, \quad J_k = \|\nabla \mathbf{w}_k\|_{L^2(\Omega)}. \quad (17)$$

Setting $\mathbf{w}'_k = \mathbf{w}_k/J_k$, a straightforward argument shows that \mathbf{w}'_k tends strongly in $L^q(\Omega_R)$, $q < 4$, and weakly in $W^{1,2}(\Omega_R)$, to a field $\mathbf{w}' \in W_0^{1,2}(\Omega)$, with $\|\nabla \mathbf{w}'\|_{L^2(\Omega)} \leq 1$, which satisfies the Euler equations

$$\begin{aligned} \mathbf{w}' \cdot \nabla \mathbf{w}' + \nabla Q' &= \mathbf{0} & \text{in } \Omega_R \\ \operatorname{div} \mathbf{w}' &= 0 & \text{in } \Omega_R, \end{aligned} \quad (18)$$

for some field $Q' \in W^{1,4/3}(\Omega_R)$ constant on $\partial\Omega$ and ∂S_R (say, Q_0 on $\partial\Omega$ and Q_R on ∂S_R) and

$$v = \int_{\Omega} \mathbf{w}' \cdot \nabla \mathbf{w}' \cdot (\mathbf{u}_\epsilon + \mathbf{v}_s). \quad (19)$$

Let us extend \mathbf{w}' to Ω by setting $\mathbf{w}' = \mathbf{0}$ in $\mathbb{C}S_R$. Since

$$\begin{aligned} \left| \int_{\Omega} \mathbf{w}' \cdot \nabla \mathbf{w}' \cdot (\mathbf{u}_\epsilon + \mathbf{v}_s) \right| &\leq \|\mathbf{u}_\epsilon\|_{L^4(\Omega)} \|\mathbf{w}'\|_{L^4(\Omega)} \|\nabla \mathbf{w}'\|_{L^2(\Omega)} \leq c\epsilon, \\ \int_{\Omega} \mathbf{w}' \cdot \nabla \mathbf{w}' \cdot \mathbf{v}[\boldsymbol{\psi}] &= - \int_{\partial\Omega_R} \nabla Q' \cdot \mathbf{v}[\boldsymbol{\psi}] \\ &= -Q_R \int_{\partial S_R} \mathbf{v}[\boldsymbol{\psi}] \cdot \mathbf{n} - Q_0 \int_{\partial\Omega} \mathbf{v}[\boldsymbol{\psi}] \cdot \mathbf{n} = 0, \end{aligned}$$

and, taking into account that $|\nabla \mathbf{w}' \cdot \nabla \mathbf{w}'^\top| = |\hat{\nabla} \mathbf{w}'|^2 - \tilde{\nabla} \mathbf{w}'|^2$, with $\hat{\nabla} \mathbf{w}'$, $\tilde{\nabla} \mathbf{w}'$ symmetric and skew parts of $\nabla \mathbf{w}'$ respectively and $\|\nabla \mathbf{w}'\|_{L^2(\Omega)}^2 = 2\|\tilde{\nabla} \mathbf{w}'\|_{L^2(\Omega)}^2 = 2\|\hat{\nabla} \mathbf{w}'\|_{L^2(\Omega)}^2$,

$$\begin{aligned} \left| \int_{\Omega} \mathbf{w}' \cdot \nabla \mathbf{w}' \cdot \boldsymbol{\sigma}_\epsilon \right| &= \left| \int_{\partial\Omega} \mathbf{a}_\epsilon \cdot \mathbf{n} \right| \left| \int_{\Omega} \frac{\nabla \mathbf{w}' \cdot \nabla \mathbf{w}'^\top}{2\omega|\mathbf{x}|^2} \right|, \\ &\leq \left| \int_{\partial\Omega} (\mathbf{a}_\epsilon - \mathbf{a}) \cdot \mathbf{n} \right| \left| \int_{\Omega} \frac{\nabla \mathbf{w}' \cdot \nabla \mathbf{w}'^\top}{2\omega|\mathbf{x}|^2} \right| \\ &\quad + \left| \int_{\partial\Omega} \mathbf{a} \cdot \mathbf{n} \right| \left| \int_{\Omega} \frac{|\hat{\nabla} \mathbf{w}'|^2 - |\tilde{\nabla} \mathbf{w}'|^2}{2\omega|\mathbf{x}|^2} \right| \leq c\epsilon + \mathcal{F} \end{aligned}$$

from (19) it follows

$$v - c\epsilon - \mathcal{F} \leq 0. \quad (20)$$

Therefore, since ϵ can be chosen small as we want, we see that the *hypothesis ad absurdum* (17) implies that (4) is not true and this gives the desired uniform estimate. Set $R = k \in \mathbb{N}$ for $k \geq k_0$ and denote by (\mathbf{w}_k, Q_k) a solution to (16). By repeating *ad litteram*, the above by contradiction argument (with obvious modification), we see that the sequence $\{\mathbf{w}_k\}$ is uniformly bounded in $D^{1,2}(\Omega)^4$ and this is sufficient to conclude that (16) has a solution $\mathbf{w} \in D_0^{1,2}(\Omega)$ in Ω . [1,7] Clearly, the field $\mathbf{u} = \mathbf{u}_\epsilon + \mathbf{v}_s + \mathbf{w}$ gives the desired solution to (1). If $(\mathbf{u} + \mathbf{w}, p + Q)$ is another solution to (1), with $\mathbf{u} + \mathbf{w} \in L^4(\Omega)$ and $\mathbf{w} \in D_0^{1,2}(\Omega)$, then a simple computation and Schwarz' inequality and Sobolev's inequality yield

$$\begin{aligned} v \int_{\Omega} |\nabla \mathbf{w}|^2 &= \int_{\Omega} \mathbf{w} \cdot \nabla \mathbf{w} \cdot \mathbf{u} \leq \|\mathbf{u}\|_{L^4(\Omega)} \|\mathbf{w}\|_{L^4(\Omega)} \|\nabla \mathbf{w}\|_{L^2(\Omega)} \\ &\leq \frac{3}{4} \|\mathbf{u}\|_{L^4(\Omega)} \|\nabla \mathbf{w}\|_{L^2(\Omega)}^2. \end{aligned}$$

Hence if $3\|\mathbf{u}\|_{L^4(\Omega)} < 4v$, then $\mathbf{w} = \mathbf{0}$. Write $\mathbf{u} = \mathbf{u}_s + \mathbf{w}$, where \mathbf{u}_s is the solution (10) to the Stokes problem with boundary datum \mathbf{a} , and assume $3\|\mathbf{u}_s\|_{L^4(\Omega)} < 4v$. Therefore, $\mathbf{w} \in D_0^{1,2}(\Omega)$ is a solution

to the equations

$$\begin{aligned} \nu \Delta \mathbf{w} - (\mathbf{u}_s + \mathbf{w}) \cdot \nabla (\mathbf{u}_s + \mathbf{w}) - \nabla p &= \mathbf{0} && \text{in } \Omega \\ \operatorname{div} \mathbf{w} &= 0 && \text{in } \Omega, \\ \mathbf{w} &= \mathbf{0} && \text{on } \partial\Omega, \\ \lim_{x \rightarrow \infty} \mathbf{w}(x) &= \mathbf{0}. \end{aligned} \quad (21)$$

By a standard argument

$$\begin{aligned} \nu \int_{\Omega} |\nabla \mathbf{w}|^2 &= \int_{\Omega} \mathbf{w} \cdot \nabla \mathbf{w} \cdot \mathbf{u}_s + \int_{\Omega} \mathbf{u}_s \cdot \nabla \mathbf{w} \cdot \mathbf{u}_s \\ &\leq \frac{3}{4} \|\mathbf{u}\|_{L^4(\Omega)} \|\nabla \mathbf{w}\|_{L^2(\Omega)}^2 + \|\mathbf{u}_s\|_{L^4(\Omega)}^2 \|\nabla \mathbf{w}\|_{L^2(\Omega)}. \end{aligned}$$

Hence

$$\frac{4}{3} \left(\nu - \frac{3}{4} \|\mathbf{u}_s\|_{L^4(\Omega)} \right) \|\mathbf{w}\|_{L^4(\Omega)} \leq \left(\nu - \frac{3}{4} \|\mathbf{u}_s\|_{L^4(\Omega)} \right) \|\nabla \mathbf{w}\|_{L^2(\Omega)} \leq \|\mathbf{u}_s\|_{L^4(\Omega)}^2. \quad (22)$$

By (22) and Minkowski inequality

$$\|\mathbf{u}\|_{L^4(\Omega)} \leq \|\mathbf{u}_s\|_{L^4(\Omega)} + \|\mathbf{w}\|_{L^4(\Omega)} \leq \|\mathbf{u}_s\|_{L^4(\Omega)} + \frac{\|\mathbf{u}_s\|_{L^4(\Omega)}^2}{\frac{4}{3} \left(\nu - \frac{3}{4} \|\mathbf{u}_s\|_{L^4(\Omega)} \right)}.$$

Hence it follows that (5) yields uniqueness in $L^4(\Omega)$. Note that by (11), (5) is implied by $\gamma \|\mathbf{a}\|_{L^3(\partial\Omega)} < 4\nu/3$ and

$$\gamma \|\mathbf{a}\|_{L^3(\partial\Omega)} + \frac{\gamma^2 \|\mathbf{a}\|_{L^3(\partial\Omega)}^2}{\frac{4}{3} \left(\nu - \frac{3\gamma}{4} \|\mathbf{a}\|_{L^3(\partial\Omega)} \right)} < \frac{4\nu}{3}.$$

□

Remark 1: It is clear that the proof of existence of a solution to (1) in $L^4(\Omega)$ requires only that the corresponding Stokes problem has a solution $\mathbf{u}_s \in L^4(\Omega)$. Hence, it follows that if $\partial\Omega$ is of class $C^{1,1}$, then Theorem 1 can be extended to boundary data $\mathbf{a} \in W^{-1/4,4}(\partial\Omega)$. [3]

4. Some remarks in higher dimensions

If Ω is an exterior domain of \mathbb{R}^m ($m > 3$) of class C^1 , then for $\mathbf{a} \in L^{m-1}(\partial\Omega)$ the Stokes problem

$$\begin{aligned} \nu \Delta \mathbf{u} - \nabla p &= \mathbf{0} && \text{in } \Omega \\ \operatorname{div} \mathbf{u} &= 0 && \text{in } \Omega, \\ \mathbf{u} &= \mathbf{a} && \text{on } \partial\Omega, \\ \lim_{x \rightarrow \infty} \mathbf{u}(x) &= \mathbf{0}, \end{aligned} \quad (23)$$

has a solution $\mathbf{u}_s \in L^m(\Omega)$ and $\|\mathbf{u}_s\|_{L^m(\Omega)} \leq \gamma \|\mathbf{a}\|_{L^{m-1}(\partial\Omega)}$ (see [3]). For $\mathbf{u} \in L^m(\Omega)$ consider the functional equation

$$\mathbf{u}'(x) = \mathbf{u}_s + (\mathcal{K} + \mathcal{V})[\mathbf{u}] \quad (24)$$

in $L^m(\Omega)$, where the operator $\mathcal{K} + \mathcal{V}$ is defined in the proof of Theorem 1. Taking into account that

$$\|(\mathcal{K} + \mathcal{V})[\mathbf{u}]\|_{L^m(\Omega)} \leq c \|\mathbf{u}\|_{L^m(\Omega)}^2,$$

If $\|\mathbf{a}\|_{L^{m-1}(\partial\Omega)}$ is sufficiently small, then \mathbf{u}' is a contraction in a ball of $L^m(\Omega)$ and the fixed point of (24) is a C^∞ solution to (1). Moreover, if $\mathbf{u} + \mathbf{w} \in L^m(\Omega)$ is another solution to (1), then by Schwarz's inequality and Sobolev's inequality

$$\nu \int_{\Omega} |\nabla \mathbf{w}|^2 = \int_{\Omega} \mathbf{w} \cdot \nabla \mathbf{w} \cdot \mathbf{u} \leq \frac{(m-1)}{(m-2)\sqrt{m}} \|\mathbf{u}\|_{L^m(\Omega)} \|\nabla \mathbf{w}\|_{L^m(\Omega)}^2.$$

Hence, it follows that the above solution is unique in the ball

$$\|\mathbf{u}\|_{L^m(\Omega)} < \frac{v\sqrt{m(m-2)}}{m-1}.$$

It is clear that for domains of class C^1 we can repeat the argument in the proof of Theorem 1 to see that for $\mathbf{a} \in L^{m-1}(\Omega)$ and fluxes obeying a condition of the type (4), then the Equations (1) have a solution $\mathbf{u} = \mathbf{u}_\epsilon + \mathbf{v}_s + \mathbf{w}$, with $\mathbf{u}_\epsilon, \mathbf{v}_s$ regular in Ω and $\mathbf{w} \in D_0^{1,2}(\Omega)$. Up to date, we have not general results assuring that \mathbf{w} is regular.

Notes

1. For the main notation, we follow the monograph.[1]
2. See [3] and Section 2.
3. In such a case, (7) has to be understood as the value of the functional ψ at \mathcal{U} .
4. $D^{1,2}(\Omega) = \{\varphi \in L^1_{\text{loc}}(\Omega): \|\nabla\varphi\|_{L^2(\Omega)} < +\infty\}$ and $D_0^{1,2}(\Omega)$ is the completion of $C_0^\infty(\Omega)$ with respect to $\|\nabla\varphi\|_{L^2(\Omega)}$.

Disclosure statement

No potential conflict of interest was reported by the author.

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