

Antibiotic prophylaxis based on individual infective risk stratification in cardiac implantable electronic device: the PRACTICE study

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Aims

In patients undergoing cardiac implantable electronic device (CIED) intervention, routine pre-procedure antibiotic prophylaxis is recommended. A more powerful antibiotic protocol has been suggested in patients at high risk of infection. Stratification of individual infective risk could guide the prophylaxis before CIED procedure.

Methods and results

Patients undergoing CIED surgery were stratified according to the Shariff score in low and high infective risk. Patients in the 'low-risk' group were treated with only two antibiotic administrations while patients in the 'high-risk' group were treated with a prolonged 9-day protocol, according to renal function and allergies. We followed-up patients for 250 days with clinical outpatient visit and electronic control of the CIED. As primary endpoint, we evaluated CIED-related infections. A total of 937 consecutive patients were enrolled, of whom 735 were stratified in the 'low-risk' group and 202 in the 'high-risk' group. Despite different risk profiles, CIED-related infection rate at 250 days was similar in the two groups (8/735 in 'low risk' vs. 4/202 in 'high risk', $P=0.32$). At multivariate analysis, active neoplasia, haematoma, and reintervention were independently associated with CIED-related infection (HR 5.54, 10.77, and 12.15, respectively).

Conclusion

In a large cohort of patients undergoing CIED procedure, an antibiotic prophylaxis based on individual stratification of infective risk resulted in similar rate of infection between groups at high and low risk of CIED-related infection.

Keywords

Cardiac implantable electronic device • Infection • Antibiotic prophylaxis • Pacemaker • Implantable cardioverter-defibrillator • Shariff score

Introduction

Cardiac implantable electronic devices (CIED), namely pacemakers and implantable cardioverter-defibrillators (ICD) including cardiac resynchronization therapy (CRT) devices, are commonly used for the treatment of brady-arrhythmias, tachy-arrhythmias, and chronic heart failure. Infections of CIED are important complications with an

incidence of ~1–3% during lifetime, variable according to patient, operator, and device characteristics.^{1–3} Unfortunately, large cohort studies showed that infections increase over time impacting negatively on CIED prognosis.^{1,2} Infective process can affect subcutaneous device pocket, intravascular lead, or both.

A great number of risk factors for CIED infections have been identified and may be divided whether they relate to patient, procedure,

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What's new?

- A simple score is used to assess infective risk before CIED procedure.
- Antibiotic prophylaxis is stratified according to individual infective risk.
- Patients at low and high infective risk, treated with stratified antibiotic prophylaxis, result in similar, low rate of CIED-related infection.
- Active neoplasia, haematoma, and reintervention are independent predictors of CIED-related infection.

or device.⁴ From a practical point of view, a pre-operative rapid assessment of individual infective risk can be obtained with a simple score, originally proposed by Shariff et al.⁵ The Shariff score was tested in a retrospective large cohort of patients with a median follow-up of 48 months: a score >3 at first implantation was an independent predictor of CIED infection while a score = 3 reached borderline significance.⁶

Systemic antibiotic prophylaxis is recommended by international consensus and represents the standard of care.⁷ The recommendations from the major heart rhythm societies clearly indicate to administer antibiotics 1 h prior to skin incision and suggest to prefer drugs that coverage *Staphylococcus aureus* species such as beta-lactams and glycopeptides.⁷ Post-operative antibiotics administration has weak evidence, and its administration varies according to centre and operator practice. Stratification of patient risk at the time of CIED implantation may help to identify those at higher risk of infection. The potential benefit of an extended drug therapy in patients at higher risk needs to be proved. This study was aimed at the evaluation of a new protocol of antibiotic prophylaxis, stratified according to individual infective risk calculated with the Shariff score at the time of CIED implantation.

Methods

The 'antibiotic PRophylAXis based on infeCTive risk in Cardiac implantable Electronic device—PRACTICE study' is a prospective, single centre, cohort study.

The study was registered on ClinicalTrials.gov (NCT04736979). The protocol was approved by local ethics committee and informed consent was signed by enrolled patients.

Consecutive patients undergoing CIED surgery in a 3-years period were considered for participation. In particular, patients were eligible if undergoing first implantation or replacement or upgrade of pacemaker or ICD, including CRT, at the Electrophysiology Laboratory of the Cardiological Center of 'Azienda Ospedaliero-Universitaria S. Anna', Ferrara (Italy), between 1 January 2017 and 31 December 2019.

Exclusion criteria were: age <18 years, ongoing pregnancy, inability to express informed consent, ongoing antibiotic therapy for reason other than CIED implantation.

At the time of enrolment, before index procedure, the Shariff score was calculated for every patient.⁵ In detail, one point was assigned to each of the following factors: diabetes mellitus, heart failure, oral anticoagulation therapy, chronic corticosteroid use, renal insufficiency/failure, prior CIED infection, presence of more than two leads implanted, presence of

epicardial leads, use of temporary pacemaker, actual replacement, or upgrade procedure. According to the score, patients were stratified in two groups: low infective risk (score <3) and high infective risk (score ≥3). Two different protocols of antibiotic prophylaxis were administered according to risk stratification (Figure 1). Patients in the 'low-risk' group were treated with only two doses of antibiotics, both intravenous, of whom the first 1 h before skin incision and the second after 8 hours. Patients in the 'high-risk' group were treated with intravenous prophylaxis for two full days (of whom the first administration 1 h before skin incision and the others every 8 h), followed by other 7 days of oral prophylaxis, for a total of 9 days (Figure 1). Thereby, every patient received one administration of intravenous antibiotic 1 h before skin incision and a second administration after 8 h, while patients in the low-risk group did not receive other antibiotics and patients in the high-risk group continued intravenous antibiotics every 8 h for 2 days, followed by oral antibiotics for other 7 days. The intended drug for antibiotic prophylaxis was amoxicillin/clavulanic acid unless the patient had a history of allergic reactions to penicillin. The dosage was dependent on renal function: for intravenous amoxicillin/clavulanic acid 2/0.2 g in patients with creatinine clearance (CrCl) >30 mL/min and 1/0.2 g in patients with CrCl <30 mL/min, for oral amoxicillin/clavulanic acid 875/125 mg every 8 h in patients with CrCl >30 mL/min, and 875/125 mg every 12 h in patients with CrCl <30 mL/min. In case of penicillin allergy, clindamycin was chosen. The intravenous dosage of clindamycin was 600 mg every 8 h for CrCl >30 mL/min and 600 mg every 12 h for CrCl <30 mL/min, while the oral dosage was 450 mg every 8 h for CrCl >30 mL/min and 450 mg every 12 h for CrCl <30 mL/min.

Protocol drugs were chosen according to the results of microbiological analysis from biopsy specimen and blood cultures of CIED infections previously reported in our hospital (data previously published).⁶

We followed-up patients for 250 days with clinical outpatient visit and electronic control of the CIED.

As primary endpoint, we evaluated CIED-related infections, considering both those affecting subcutaneous device pocket and those affecting intravascular leads. We also collected data about pocket haematoma, wound complication, lead dislocation, reintervention, pneumothorax, pericardial effusion during index hospitalization. Haematoma was defined as determining prolonged hospitalization (>2 days) or requiring anticoagulant therapy interruption or surgical reintervention. At follow-up, we also collected episodes of heart failure hospitalization and death.

Statistical analysis

Baseline characteristics were summarized as median with inter-quartile interval for continuous variables and frequencies with percentages for categorical variables. The Shapiro–Wilk tests were used to evaluate the continuous variable data distribution. Differences in baseline characteristics according to infective risk were examined using the Wilcoxon rank sum test for continuous variables and the Pearson χ^2 test for categorical variables. Cox proportional hazards regression analysis, with stratification according to the infective risk, was used to calculate the hazard ratio (HR) for each baseline variables. Variables with a *P*-value <0.1 at the univariate analysis were allowed to enter into a stepwise regression model with a backward elimination approach to get the final model. The proportional-hazards assumption was examined with the use of the Schoenfeld residuals. Occurrence of the outcome of interest according to independent predictors was plotted using Kaplan–Meier curves and examined with the use of Log-rank test. All analyses were performed using Stata version 16 (Stata Corp., College Station, TX, USA).

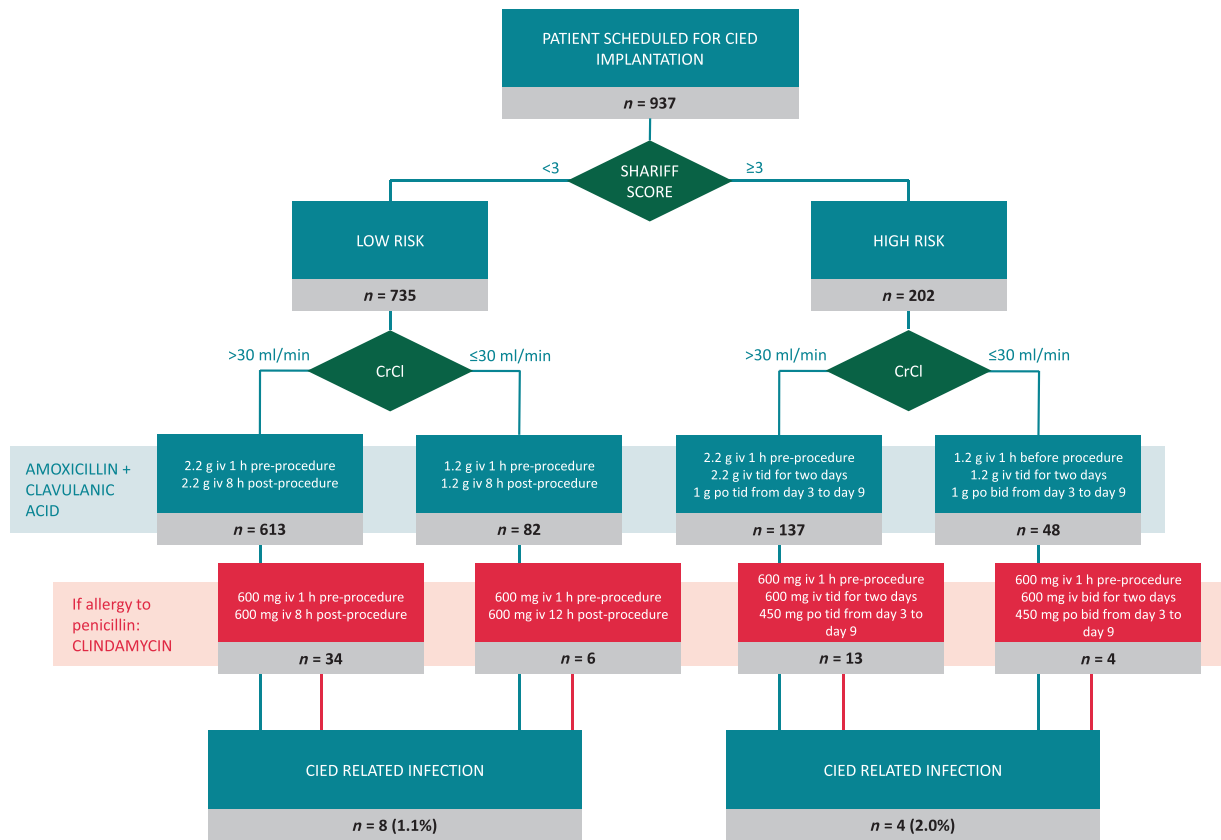


Figure 1 Study protocol and results.

bid, bis in die (two times a day); CIED, cardiac implantable electronic device; CrCl, creatinine clearance; iv, intravenous; po, per os (oral administration); tid, ter in die (three times a day).

Results

A total of 1044 consecutive patients have been evaluated from 1 January 2017 to 31 December 2019. A total of 80 patients were excluded because of concomitant ongoing antibiotic therapy for reasons other than CIED implantation (i.e. active infection) at the time of procedure, which was considered not deferrable. Other 27 patients were excluded because they did not give informed consent. Thus, study group consisted of 937 patients.

Study population

Baseline characteristics are represented in Table 1. All continuous variables were not normally distributed. Among the enrolled population, 668 patients (71.3%) underwent a 'de novo' implantation, while 239 (25.5%) underwent a device replacement, and 30 (3.2%) an upgrade. Procedure details are indicated in Table 2. Median procedure duration was 60 min (inter-quartile interval 50–83).

Median Shariff score was 1 with inter-quartile range 1–2. Shariff score at the time of procedure is represented in Figure 2. According to infective risk stratification, patients considered at low risk (Shariff <3) were 735/937 (78.4%), while patients at high risk (Shariff ≥3) were 202/937 (21.6%). Antibiotic prophylaxis was administered according to study protocol (Figure 1). Fifty-seven patients (6.0%) had a history of allergy to penicillin and were treated with clindamycin.

Outcomes

The primary endpoint, CIED-related infection, occurred in 12/937 patients (1.3%). Of those, eight patients were in the 'low-risk' group (8/735, 1.1%) and four in the 'high-risk' group (4/202, 2.0%, $\chi^2 = 0.97$, $P = 0.32$). In three cases the infective event involved the endovascular leads with the development of endocarditis. Details and outcomes of infections are represented in Table 3. Mortality from CIED-related infection was 2/12 (16.7%). Kaplan–Meier curve showing survival free from CIED infection in 'low-risk' and 'high-risk' group are showed in Figure 3.

Regarding other outcomes, pocket haematoma was more frequent in 'high-risk' group compared with 'low-risk' group patients (14/202, 6.9% vs. 24/735, 3.3%, $P = 0.019$). No difference between 'low-risk' and 'high-risk' patients was observed in lead dislodgements (11/735, 1.5% vs. 3/202, 1.5%, $P = 0.99$), reintervention (14/735, 1.9% vs. 7/202, 3.5%, $P = 0.18$), pneumothorax, (7/735, 1.0% vs. 3/202, 1.5%, $P = 0.51$), and pericardial effusion (6/735, 0.8% vs. 3/202, 1.5%, $P = 0.39$).

Finally, hospitalization for heart failure and death during follow-up was more frequent in 'high-risk' when compared with 'low-risk' group (28/202, 13.9% vs. 22/735, 3.0%, $P < 0.001$ and 28/202, 13.9% vs. 62/735, 8.4%, $P = 0.020$, respectively).

Univariate and multivariate analyses

We performed uni- and multivariate analyses to identify factors associated with the primary endpoint (Table 4). At univariate analysis,

Table 1 Baseline characteristics

Variable	Study group (n = 937)	Low risk (n = 735)	High risk (n = 202)	P value
Men	582 (62.1%)	436 (59.3%)	146 (72.3%)	<0.001
Age (years)	82 (74–87)	82 (74–87)	81 (72–87)	0.082
Weight (kg)	75 (65–85)	75 (65–84)	80 (70–93)	<0.001
Body mass index (kg/cm ²)	26.1 (23.8–29.4)	25.9 (23.5–29.1)	27.7 (24.5–31.1)	<0.001
Arterial hypertension	766 (81.8%)	590 (80.3%)	176 (87.1%)	0.025
Dyslipidemia	413 (44.1%)	306 (41.6%)	107 (53.0%)	0.004
Diabetes mellitus	254 (27.1%)	134 (18.2%)	120 (59.4%)	<0.001
Smoke history	401 (42.8%)	306 (41.6%)	95 (47.0%)	0.17
Coronary artery disease	298 (31.8%)	199 (27.1%)	99 (49.0%)	<0.001
Chronic heart failure	303 (32.3%)	158 (21.5%)	145 (71.8%)	<0.001
Atrial fibrillation	326 (34.8%)	212 (28.8%)	114 (56.4%)	<0.001
Dialysis	14 (1.5%)	6 (0.8%)	8 (4.0%)	<0.001
COPD	77 (8.2%)	54 (7.3%)	23 (11.4%)	0.064
Neoplasia history	137 (14.6%)	108 (14.7%)	29 (14.4%)	0.97
Active neoplasia	44 (4.7%)	35 (4.8%)	9 (4.5%)	0.86
Serum creatinine (mg/dL)	1.06 (0.89–1.43)	1.01 (0.87–1.27)	1.46 (1.03–1.94)	<0.001
Glomerular filtration rate (mL/min)	52 (36–71)	54 (38–73)	43 (30–62)	<0.001
LV ejection fraction (%)	60 (49–60)	60 (55–60)	45 (33–59)	<0.001
End-diastolic LV volume (mL)	111 (87–140)	101 (84–127)	150 (106–191)	<0.001
LV dilation	179 (19.1%)	89 (12.1%)	90 (44.6%)	<0.001
Left atrial dilation	456 (48.7%)	322 (43.8%)	134 (66.3%)	<0.001
Aspirin	298 (31.8%)	238 (32.4%)	60 (29.7%)	0.47
P2Y ₁₂ inhibitor	117 (12.5%)	83 (11.3%)	34 (16.8%)	0.035
Oral anticoagulant therapy	358 (38.2%)	229 (31.2%)	129 (63.9%)	<0.001
Heparin	60 (6.4%)	43 (5.9%)	17 (8.4%)	0.19
Corticosteroids	31 (3.3%)	25 (3.4%)	6 (3.0%)	0.76
Immunosuppressants	4 (0.4%)	3 (0.4%)	1 (0.5%)	0.87
Previous CIED procedure	264 (28.2%)	173 (23.5%)	91 (45.0%)	<0.001
Previous CIED infection	7 (0.7%)	2 (0.3%)	5 (2.5%)	0.001

Significant *P*-values are set in bold.

CIED, cardiac implantable electronic device; COPD, chronic obstructive pulmonary disease; LV, left ventricular.

active neoplasia, use of P2Y₁₂ inhibitor, haematoma, and reintervention showed a *P* < 0.1 for risk of CIED-related infection. The multivariate analysis showed that only active neoplasia (HR 5.54, 95% confidence interval 1.16–26.54, *P* = 0.032), haematoma (HR 10.77, 95% confidence interval 2.89–40.22, *P* < 0.001), and reintervention (HR 12.15, 95% confidence interval 2.98–49.54, *P* < 0.001) were independently related with CIED-related infection. Figure 4 shows Kaplan–Meier curves of freedom from CIED-related infection according to CIED pocket haematoma and CIED surgical reintervention, respectively.

Discussion

The main finding of this study is that the primary endpoint of CIED-related infection was not significantly different between patients at 'low risk' and 'high risk' when treated with an antibiotic prophylaxis based on individual stratification of infective risk. Independent predictors of CIED-related infection were active neoplasia, haematoma, and reintervention.

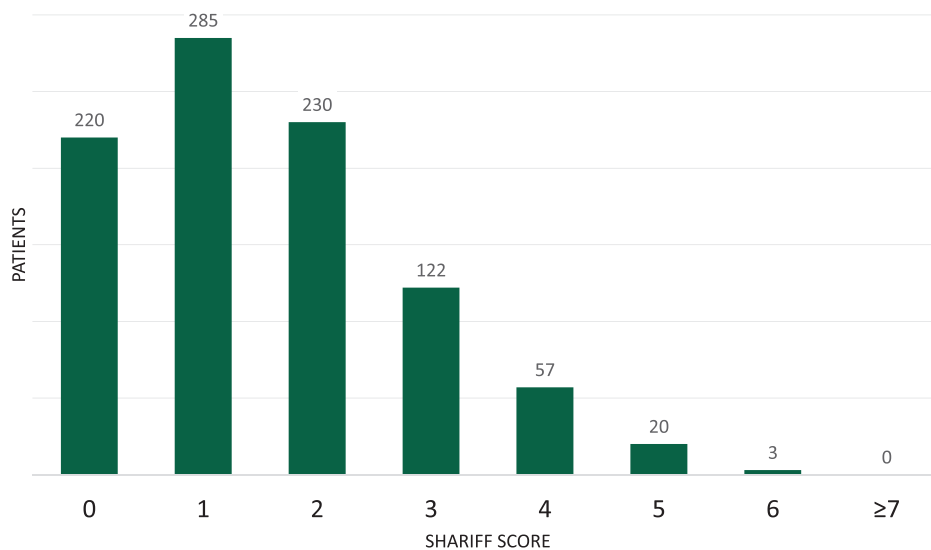
The mechanism leading to the development of infection is mainly due to a bacterial biofilm that can adhere to the device during the implant or in the first days after the procedure, when the cutaneous scar is not completed.⁸ Such a biofilm can persist indefinitely, mechanically trapping bacteria in a state in which they are dormant and resist to antimicrobial agents.⁸ Various mechanisms are known to contribute to bacterial antimicrobial tolerance and resistance: (i) reduced growth rate; (ii) secretion of different surface molecules and virulence factors; (iii) gene transfer among bacteria which can lead to increase in the number of virulent strains; (iv) extracellular polymeric substances of biofilm matrix retards the diffusion of antibiotics through the biofilm; and (v) bacteria can use multidrug efflux pumps to pump antibiotic agents out of the maturing biofilms and into the extracellular matrix.^{9–12} On this pathophysiological basis, the risk of infection highly depends on the implant procedure; therefore, the antibacterial prophylaxis administered at the time of implantation plays a crucial role in infection prevention for the entire lifetime. The Shariff score has the advantage of immediate easy calculation and allows stratification of patients in high (score ≥ 3) or low (score

Table 2 Procedure data

Variable	Study group (n = 937)	Low risk (n = 735)	High risk (n = 202)	P value
Implant 'de novo'	668 (71.4%)	558 (75.9%)	111 (55.0%)	<0.001
Device replacement	239 (25.4%)	161 (21.9%)	77 (38.1%)	<0.001
Upgrade	30 (3.2%)	16 (2.2%)	14 (6.9%)	<0.001
Pacemaker	754 (80.5%)	636 (86.5%)	118 (58.4%)	<0.001
ICD	183 (19.5%)	99 (13.5%)	84 (41.6%)	<0.001
Single chamber	420 (44.8%)	337 (45.9%)	83 (41.1%)	0.23
Dual chamber	439 (46.9%)	371 (50.5%)	68 (33.7%)	<0.001
CRT	88 (9.4%)	29 (3.9%)	59 (29.2%)	<0.001
Presence of temporary pacemaker	50 (5.3%)	27 (3.7%)	23 (11.4%)	<0.001
Number of total leads				<0.001
1	399 (42.6%)	325 (44.2%)	74 (36.6%)	
2	456 (48.7%)	379 (51.6%)	77 (38.1%)	
3	77 (8.2%)	29 (3.9%)	48 (23.8%)	
4	4 (0.4%)	2 (0.3%)	2 (1.0%)	
5	1 (0.1%)	0 (0.0%)	1 (0.5%)	
Procedure duration (min)	60 (50–83)	60 (50–80)	65 (53–90)	0.070
Amoxicillin + clavulanic acid	880 (93.9%)	695 (94.6%)	185 (91.6%)	0.12

Significant P-values are set in bold.

CRT, cardiac resynchronization therapy; ICD, implantable cardioverter-defibrillator.

**Figure 2** Infective risk stratification according to Shariff score.

<3) infection rate.⁵ Previous studies showed that a Shariff score >3 was an independent predictor of CIED-related infection.⁶ Furthermore, the Shariff score has been validated as a predictor of mortality for patients with CIED infections undergoing extraction.¹³ Our study protocol was specifically designed with the aim that patients at high risk would receive a more effective antibiotic prophylaxis with greater appropriateness in an antibiotic stewardship policy. With this approach, patients at low risk treated with short prophylaxis (only two doses) and patients at high risk

treated with long prophylaxis (9 days) had similar rate of CIED infection.

In the large, randomized, PADIT Trial, an incremental antibiotic prophylaxis covering the perioperative period and the subsequent 2 days, compared with conventional preprocedural prophylaxis alone, resulted in a modest reduction in CIED-related infection that did not reach statistical significance, probably due to low rates of events.¹⁴ However, the antibiotic protocol was not tailored based on individual infective risk and the prolonged protocol was for only 2 days.

Table 3 Infective events

Gender	Age	Shariff score	Infective risk stratification	Infection	Days from index procedure	Blood culture	Pocket culture	Treatment	Outcome
Female	56	0	Low risk	Pocket infection + sepsis	1	Negative	–	Prolonged antibiotic	Recovery
Male	66	3	High risk	Lead endocarditis + sepsis	60	<i>S. aureus</i>	–	Prolonged antibiotic + CIED extraction	Death
Female	80	2	Low risk	Pocket infection + sepsis	3	Negative	–	Prolonged antibiotic	Recovery
Male	75	4	High risk	Pocket infection + lead endocarditis + sepsis	108	Negative	<i>S. epidermidis</i>	Prolonged antibiotic + CIED extraction	Recovery
Male	81	2	Low risk	Pocket infection	26	Negative	–	Prolonged antibiotic	Recovery
Female	72	0	Low risk	Pocket infection + lead endocarditis	27	Negative	<i>S. aureus, Serratia marcescens, P. aeruginosa</i>	Prolonged antibiotic + CIED extraction	Recovery
Female	78	1	Low risk	Pocket infection + sepsis	139	Negative	<i>S. aureus</i>	Prolonged antibiotic + CIED extraction	Recovery
Male	75	4	High risk	Sepsis	5	<i>E. coli</i>	–	Prolonged antibiotic	Death
Female	76	2	Low risk	Sepsis	7	<i>S. aureus</i>	–	Prolonged antibiotic	Recovery
Female	81	1	Low risk	Sepsis	4	Negative	–	Prolonged antibiotic	Recovery
Male	87	0	Low risk	Pocket infection + sepsis	2	Negative	–	Prolonged antibiotic	Recovery
Female	90	3	High risk	Sepsis	1	<i>S. epidermidis</i>	–	Prolonged antibiotic	Recovery

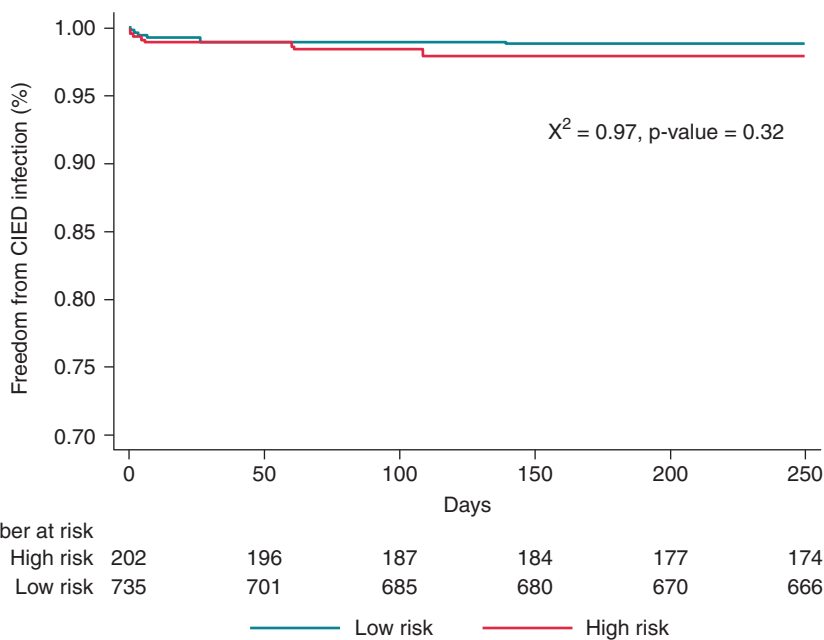


Figure 3 Freedom from CIED infection according to antibiotic prophylaxis based on individual infective risk stratification. Low risk, Shariff score <3; high risk, Shariff score ≥3.

Incidence of infection

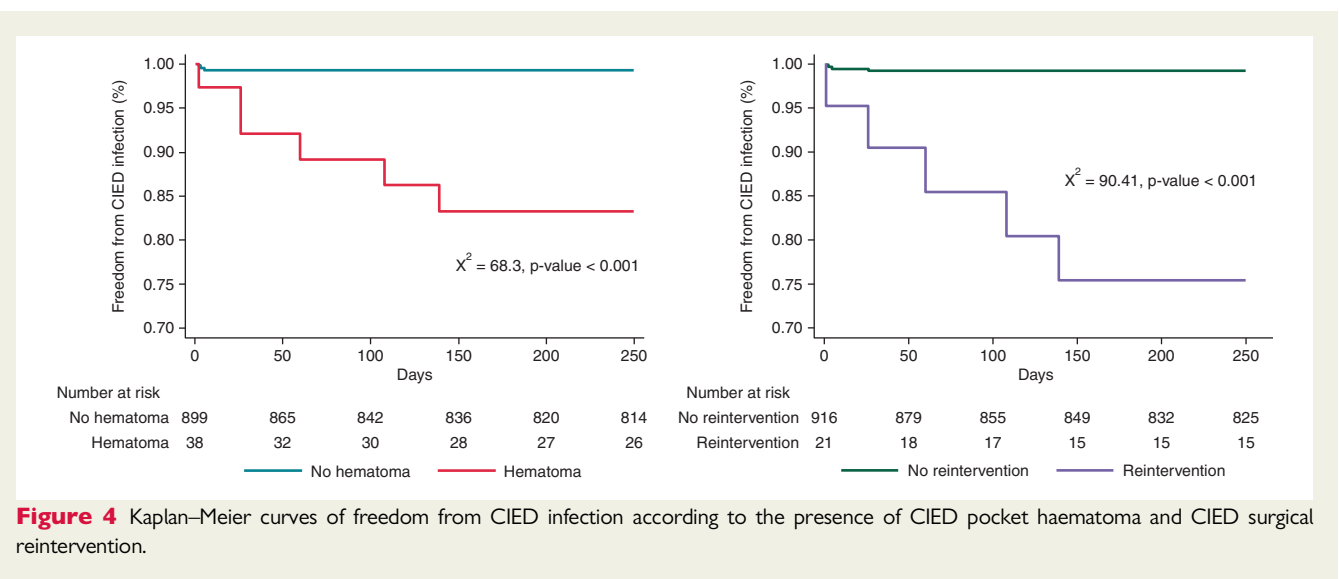
In our cohort, 12 patients (1.3%) developed CIED-related infection at 8 months. Literature data from more than 200 000 ICD implantations reported infection rates of 1.7% at 6 months.² For pacemakers, the large Danish Registry reported an infection rate of 0.48% per

year after first implantation and 1.21% after replacement.³ In our Electrophysiology Laboratory, we previously reported an incidence of 1.4%.¹⁵ Therefore, our rate of events is consistent with that globally found. This finding leads to the conclusion that CIED-related infections are not frequent but, when they occur, they highly impact

Table 4 Univariate and multivariate analyses

Variable	Univariate analysis			Multivariate analysis		
	Hazard ratio	95% CI	P value	Hazard ratio	95% CI	P value
Low risk	0.55	0.17–1.83	0.331			
Age	0.98	0.93–1.02	0.337			
ICD	0.27	0.03–2.21	0.224			
Active neoplasia	4.09	0.90–18.65	0.069	5.54	1.16–26.54	0.032
Diabetes mellitus	2.50	0.73–8.64	0.146			
Aspirin	1.09	0.33–3.63	0.886			
P2Y ₁₂ inhibitor	3.38	1.01–11.30	0.048			
Heparin	1.33	0.17–10.34	0.784			
Oral anticoagulant	2.05	0.62–6.81	0.240			
Hematoma	23.96	7.59–75.65	<0.001	10.77	2.89–40.22	<0.001
Reintervention	31.21	9.76–99.78	<0.001	12.15	2.98–49.54	<0.001

Significant P-values are set in bold.



prognosis. Previous studies reported a mortality rate of 25% at one year.¹⁶ Compared with patients with CIED and no infection, infections lead to a 15–20% excess in absolute mortality at one year.¹⁷ In our population, mortality from CIED infection was 16.7% at 8 months, consistent with literature.

Predictors of CIED-related infection

Active neoplasia, haematoma, and reintervention were independent predictors of CIED-related infection. Active neoplasia confirms that fragile patients have higher incidence of complications including infections.^{18,19} The development of pocket haematoma was strongly associated with CIED infections (HR 10.77, Table 4, Figure 4). Incidence of haematoma in our cohort was 4.1% (38/937 patients), defined as determining prolonged hospitalization or requiring anticoagulant therapy interruption or requiring surgical reintervention. The multicentric Bruise Control-2 study reported an incidence of 2.1% using a similar definition but in a population at lower risk for clinical

and procedural characteristics.²⁰ Previously reported incidence in our centre was 1.6% with a more restrictive definition (not including therapy interruption).¹⁵ Interestingly, not all infections in patients with haematoma were within the first month but developed during the entire follow-up. A causative relationship is possible, but its demonstration goes outside the purpose of our study. We could only hypothesize that a reduction in the rate of haematoma could reduce the risk of infection. Therefore, a specific management protocol for haematoma prevention is crucial.¹⁵

As expected, also CIED surgical reintervention was associated with a high risk of infection (HR 12.15, Table 4, Figure 4) highlighting the deleterious effect of reopening the surgical pocket that is dangerous not only during replacement but also in the early phase after implantation.^{21–23}

Limitations

This is a single centre, nonrandomized study. However, this study is prospective and based on individual antibiotic prophylaxis depending

on individual infective risk. Patients with ongoing antibiotic therapy for reason other than CIED implantation were excluded. These patients may have a further increase in infection risk but excluding these patients we homogenize our study population and we could better explore the role of individualized antibiotic prophylaxis.

Conclusions

In a large cohort of patients undergoing CIED procedure, an antibiotic prophylaxis based on individual stratification of infective risk resulted in similar rate of infection between groups at high and low risk of CIED-related infections. Active neoplasia, haematoma, and reintervention were confirmed independent predictors of CIED-related infection. These findings may help the management of patients undergoing CIED procedure.

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Conflicts of interest: none declared.

Data availability

The data underlying this article will be shared on reasonable request to the corresponding author.

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