

1 **Title:** “As-needed” inhaled corticosteroids for patients with asthma

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34

35 **Key words:**

36 Asthma pharmacotherapy, as-needed, reliever, rescue, intermittent, asthma management,
37 asthma exacerbations, asthma control, SMART, PARTICS, AIR

38 **Abbreviations:**

39 **ACQ:** asthma control questionnaire
40 **ACT:** asthma control test
41 **AIR:** anti-inflammatory reliever
42 **BDP:** beclometasone dipropionate
43 **CI:** confidence interval
44 **DPI:** dry-powder inhaler
45 **EIB:** exercise-induced bronchoconstriction
46 **FDA:** US Food and Drug Administration
47 **FeNO:** fractional exhaled nitric oxide
48 **FEV1:** forced expiratory volume in the first second
49 **GINA:** Global Initiative for Asthma
50 **HR:** hazard ratio
51 **ICS:** inhaled corticosteroids
52 **LABA:** long-acting beta2 adrenergic agonist
53 **LAMA:** long-acting muscarinic antagonist
54 **LTRA:** leukotriene receptor antagonist
55 **MART:** Maintenance And Reliever Therapy (with ICS-formoterol)
56 **NAEPP:** National Asthma Education and Prevention Program
57 **OCS:** oral corticosteroids
58 **PARTICS:** Patient Activated Reliever Triggered Inhaled CorticoSteroids
59 **PEF:** peak expiratory flow
60 **pMDI:** pressurized metered dose inhaler
61 **SABA:** short-acting beta2 adrenergic agonist
62 **SAMA:** short-acting muscarinic antagonist
63 **SMART:** Single Maintenance And Reliever Therapy
64

65 **Abstract:**

66

67 Prevention of severe asthma exacerbations is a primary management goal for asthma
68 across the severity spectrum. Inhaled corticosteroids (ICS) decrease the risk of asthma
69 exacerbations, but patient adherence to ICS-containing medications as a daily maintenance
70 therapy is poor, and many patients overuse short-acting beta2-agonist relievers; both are
71 associated with increased risk of severe exacerbations and death. Airway inflammation also
72 varies over time, influenced by exposures such as viral infections and allergen. As-needed ICS
73 strategies, in which patients receive ICS (or additional ICS, if already taking controller therapy)
74 whenever they take their reliever inhaler, empower patients to adjust their ICS intake in
75 response to symptom fluctuation. These strategies can improve asthma morbidity outcomes,
76 particularly by reducing severe exacerbations and reducing the risk of adverse effects of oral
77 corticosteroids. In this review, the evidence for combination ICS-formoterol in a single inhaler,
78 ICS and short-acting beta2-agonists in separate inhalers, and combination ICS-albuterol in a
79 single inhaler is presented, along with practical considerations, evidence gaps, and implications
80 for clinical practice for each strategy, presented by level of asthma severity and age group.
81 Improving access to such strategies on a global scale is imperative in order to improve asthma
82 outcomes and achieve equity across populations.

83

84 **INTRODUCTION:**

85

86 Prevention of asthma exacerbations is an increasing focus of attention, not only because
87 of their burden on patients and the health system¹, but also because of the need to minimize
88 exposure to the adverse effects of oral corticosteroids (OCS)², and the risks of regular use³ and
89 over-use of short-acting beta2-agonist (SABA) relievers⁴. These risks are seen across the
90 asthma severity spectrum; patients with mild asthma can still have severe or even fatal
91 exacerbations⁵. Airway inflammation is present across all asthma severity levels, and viral
92 infections, allergen exposure, and pollution can increase or modify this inflammation⁶. Inhaled
93 corticosteroids (ICS) are highly effective in reducing the risk of exacerbations⁷, but patients are
94 often poorly adherent with maintenance treatment⁸, relying on SABA for symptom relief and only
95 starting or increasing their controller medication or presenting for medical care when an
96 exacerbation is already well-established⁹. This reinforces the need for flexible treatment
97 regimens that allow day-to-day adjustment of the anti-inflammatory treatment dosage, rather
98 than relying only on occasional clinic visits for optimizing each patient's medication regimen.

99 In the early 2000s, multiple studies challenged the long-standing conventional approach
100 of prescribing regular maintenance ICS-containing treatment plus SABA for symptom relief.¹⁰⁻¹³
101 Since then, substantial evidence has accumulated in both mild and moderate/severe asthma
102 about 'anti-inflammatory reliever' (AIR) regimens, in which patients receive ICS (or additional
103 ICS, if already taking controller therapy) whenever they take their reliever inhaler.

104 This review considers three such as-needed regimens: ICS-formoterol in a single
105 inhaler, ICS and SABA in separate inhalers, and ICS-albuterol in a single inhaler. These
106 approaches must be distinguished from episodic use of regular high-dose ICS after asthma has
107 worsened. What is the evidence for as-needed combination ICS-formoterol and as-needed
108 combination ICS-albuterol in patients with mild or moderate/severe asthma? If these

109 combinations are not available or if patients regularly use reliever nebulization, what evidence is
110 available about an AIR approach using separate ICS and SABA inhalers? This article presents
111 the evidence about these three treatment regimens, and discusses their strengths and
112 limitations, including practical issues, summarized in **Table 1**.

113 **1. COMBINATION ICS-FORMOTEROL IN A SINGLE INHALER**

114 **(a) Mild asthma**

115 **SOMA**¹⁴ was a double-blind, 6-month study in 92 patients with 'intermittent' asthma and
116 elevated fraction of exhaled nitric oxide (FeNO, ≥ 20 ppb). Patients were randomized to as-
117 needed budesonide-formoterol (160/4.5mcg) or as-needed formoterol 4.5mcg, for symptom
118 relief and pre-exercise. **Outcomes:** FeNO reduction (primary outcome) was significantly greater
119 with as-needed budesonide-formoterol than as-needed formoterol, 18.3ppb vs 2.8ppb
120 respectively ($p < 0.001$). Change in lung function was significantly greater.

121 **Lazarinis** et al¹⁵ investigated as-needed ICS-formoterol for symptom relief and pre-exercise use
122 in a double-blind study of 66 patients ≥ 12 years with mild asthma and exercise-induced
123 bronchoconstriction (EIB). **Outcomes:** At 6 weeks, as-needed low-dose budesonide-formoterol
124 was superior to as-needed SABA and non-inferior to daily ICS for reducing EIB.

125 **SYGMA 1**¹⁶ was a double-blind, placebo-controlled, 12-month study in 3,849 patients aged ≥ 12
126 years with asthma that was uncontrolled on SABA alone or controlled on low-dose ICS or LTRA.
127 Patients were randomized to as-needed budesonide-formoterol 160/4.5mcg, as-needed
128 terbutaline, or twice-daily budesonide 160mcg plus as-needed terbutaline. **Outcomes:** As-
129 needed budesonide-formoterol was superior to SABA but inferior to budesonide for well-
130 controlled asthma weeks (primary outcome). However, it reduced the risk of severe
131 exacerbations by 64% vs. SABA alone, and similar to maintenance ICS despite 83% lower ICS
132 dose. There were small differences in ACQ-5 and FEV1 vs. maintenance ICS.

133 **SYGMA 2**¹⁷ was a double-blind, placebo-controlled, 12-month study in 4,215 patients meeting
134 the same eligibility criteria as SYGMA 1. This study was more pragmatic, without electronic
135 diaries or reminders. Patients were randomized to as-needed budesonide-formoterol or twice-
136 daily ICS plus as-needed SABA. **Outcomes:** As-needed budesonide-formoterol was non-
137 inferior to maintenance ICS for severe exacerbation rate (primary outcome), with 75% lower
138 median daily ICS dose. Small differences were seen in ACQ-5 and FEV1.

139 **Novel START**¹⁸ was an open-label, more pragmatic study in 675 adults taking SABA alone, with
140 similar randomization groups as SYGMA 1. **Outcomes:** As-needed budesonide-formoterol
141 showed a similarly large (60%) reduction in risk of severe exacerbations compared with SABA
142 alone; severe exacerbations were also significantly lower than with regular ICS. Differences in
143 symptom control, FEV1 and FeNO were clinically unimportant.

144 **PRACTICAL**¹⁹ was an open-label study in 890 patients taking SABA alone or low/medium dose
145 ICS. Patients were randomized to as-needed budesonide-formoterol or twice-daily ICS plus as-
146 needed SABA. **Outcomes:** As-needed budesonide-formoterol showed a significant reduction
147 (31%) in severe exacerbations compared with maintenance ICS (primary outcome), with lower
148 average ICS dose.

149 A Cochrane meta-analysis²⁰ of the previous four studies in mild asthma (n~10,000)
150 found a 65% reduction in ED visits/hospitalizations compared with as-needed SABA, and 37%
151 reduction compared with regular ICS plus as-needed SABA.

152 Based on this evidence, as-needed low-dose ICS-formoterol has been recommended by
153 GINA for treatment of mild asthma since 2019,^{21,22} and has been approved by regulators in >35
154 countries, although not by the US Food and Drug Administration (FDA). Studies of as-needed
155 ICS-formoterol were not evaluated for the 2020 US asthma guideline focused update²³.

156 In subgroup analyses of Novel START¹⁸ and PRACTICAL¹⁹, no significant predictors of
157 better response to regular ICS than as-needed ICS-formoterol, including FeNO and blood
158 eosinophils, were identified. However, in the SYGMA studies, patients previously taking SABA
159 alone experienced greater reductions in severe exacerbations with as-needed ICS-formoterol
160 than with regular ICS^{18,24}. Pooled data for 889 adolescents in SYGMA 1 and 2²⁵ showed a 77%
161 reduction in severe exacerbations vs as-needed SABA, and similar reduction to daily ICS. In
162 younger adolescents, there was a significantly greater increase in height with as-needed ICS-
163 formoterol than with daily low-dose ICS²⁵.

164 Qualitative research embedded in Novel START and PRACTICAL²⁶⁻²⁸ found that most
165 patients (but not all) preferred as-needed combination ICS-formoterol over maintenance ICS
166 plus as-needed SABA.

167 **(b) Moderate-severe asthma**

168 Use of combination ICS-formoterol as both Maintenance And Reliever Therapy is called
169 MART or SMART. This regimen has been established worldwide for treatment of
170 moderate/severe asthma for >15 years, approved with budesonide-formoterol by regulators in
171 >120 countries (AstraZeneca, personal communication), and with beclometasone-formoterol in
172 >70 countries (Chiesi, personal communication), although not in the US. In 2020 SMART was
173 recommended by the NAEPP guidelines as the preferred treatment for Steps 3-4 for adults,
174 adolescents and children ≥ 4 years.²³ Authors from the NAEPP Expert Panel and GINA recently
175 published a practical guide to SMART.²⁹

176 The evidence base for the safety and efficacy/effectiveness of SMART compared with
177 same or higher dose ICS or ICS-LABA plus as-needed SABA is too extensive to itemize here,
178 comprising 10 double-blind clinical trials (n=18,367), >16 open-label studies (n=23,361), and
179 several meta-analyses.³⁰⁻³² In patients with a history of 1+ severe exacerbations in the previous
180 year (therefore at higher risk of future exacerbations) SMART reduced the risk of severe

181 exacerbations by 32% (risk ratio [RR] 0.68 (95%CI 0.58--0.80) compared with same dose ICS-
182 LABA plus as-needed SABA, and by 23% (RR 0.77 (95%CI 0.60—0.98) compared with higher
183 dose ICS-LABA, with similar or better asthma symptom control.³⁰ Exacerbation reduction in
184 adolescents was even greater.³³ In open-label studies in patients *not* selected for poor symptom
185 control or history of exacerbations, SMART reduced severe exacerbations by 17% (odds ratio
186 [OR] 0.83 [95%CI 0.70—0.98]) compared with conventional best practice.³² In a study with
187 electronic inhaler monitoring, there was less reliever over-use with SMART vs. SABA reliever.³⁴

188 ***Children: STAY***

189 The double-blind STAY study included 341 children aged 4-11 years, randomized to
190 budesonide-formoterol 80/4.5mcg once-daily and as-needed, or once-daily budesonide-
191 formoterol plus as-needed SABA, or high dose budesonide (320mcg) plus as-needed SABA.³⁵
192 SMART reduced the risk of severe exacerbations by 70 and 79% with SMART versus high-dose
193 budesonide and low-dose budesonide-formoterol respectively, both $p < 0.001$. Symptoms were
194 significantly less with SMART, and growth was 1.0 cm greater vs. high-dose budesonide.

195 There have been limited subgroup analyses of SMART studies. In one analysis, patients
196 with high baseline SABA use were at particularly high risk of severe exacerbations with
197 conventional treatment.³⁶ In one study in patients poorly adherent to maintenance controllers,
198 SMART increased their average ICS dose compared with conventional therapy.³⁷ In another
199 study, SMART with BDP-formoterol was more effective for severe exacerbations than
200 conventional therapy across blood eosinophil levels, but with greater benefit with higher
201 eosinophils.³⁸

202

203 **2. ICS + ALBUTEROL IN SEPARATE INHALERS**

204 **(a) Mild asthma**

205 **Adults:**

206 **BASALT**³⁹ was a placebo-controlled, 9-month clinical trial in 342 adults with mild-to-moderate
207 asthma well-controlled on low-dose ICS, randomized to 6-weekly physician-based or 6-weekly
208 FeNO-based ICS adjustment, or symptom-based adjustment (taking 2 puffs of beclometasone
209 40mcg for every 2 puffs of albuterol). To achieve blinding, patients used 4 inhalers throughout
210 the study. **Outcomes:** Time to treatment failure (primary outcome) was not significantly different
211 between groups, but failure rates were lowest in the as-needed ICS+SABA group (15%) and
212 highest with physician-based adjustment (22%), despite having half the mean monthly ICS
213 dose.

214 **Children:**

215 **TREXA**⁴⁰ was a double-blind, placebo-controlled, 10-month trial in 288 children/adolescents
216 aged 5-18 years with mild persistent asthma well-controlled on low-dose ICS; the as-needed
217 SABA and ICS/placebo inhalers were taped together. Participants were randomized to (a)
218 regular beclometasone plus as-needed ICS+SABA, (b) regular beclometasone plus as-needed
219 SABA, (c) as-needed ICS+SABA, and (d) as-needed SABA (placebo group). **Outcomes:** Time
220 to first severe exacerbation (primary outcome) was significantly lower compared with placebo
221 for both maintenance ICS groups, but not significantly lower with as-needed ICS+SABA.
222 However, treatment failures were significantly lower with as-needed ICS+SABA without the
223 lower growth rate (1.1 cm) seen with daily ICS.

224 **ASIST**⁴¹ was a pragmatic, open-label, 12-month, primary care study in 206 African American
225 children aged 6-17 years with well-controlled asthma stabilized on low-dose ICS. They were
226 randomized to physician-based ICS adjustment or symptom-based adjustment (taking ICS
227 whenever SABA was taken). **Outcomes:** Change in Asthma Control Test (ACT) or Childhood
228 ACT (primary outcome) was not significantly different between groups, nor were exacerbations
229 or lung function, despite much lower ICS dose.

230 **(b) Moderate-severe asthma**

231 **Adults:**

232 **PREPARE**⁴² was a single-blind, randomized, 15-month, pragmatic trial in 1,201 Black or Latinx
233 patients with uncontrolled asthma (mean ACT ~15) or a history of asthma exacerbations,
234 despite ICS±LABA or additional controllers. At enrollment, self-reported adherence was good;
235 lung function was not measured. Patients were randomized to continue their usual controllers
236 and relievers (SABA and/or short-acting muscarinic antagonist (SAMA)) or to use 80mcg
237 beclometasone, 1 puff for each reliever puff, or 5 puffs per nebulization while continuing their
238 usual controllers and relievers; the regimen was called PARTICS (Patient Activated Reliever-
239 Triggered Inhaled CorticoSteroids). Many patients had very poorly controlled asthma, and many
240 were regularly using nebulized SABA. **Outcomes:** Compared with usual care alone, intervention
241 group participants had a 15.4% reduction in risk of severe asthma exacerbations (hazard ratio
242 (HR), 0.846; 95%CI, 0.72–0.999; p=0.048), greater improvement in symptom control (mean
243 ACT score increases of 3.4 vs. 2.5 points, respectively; minimal clinically important difference =
244 3), and 20% fewer days lost from school, work, or usual activities. Their modestly higher
245 average ICS dispensing (1.1 inhalers/year) reflected receipt of 3.5 study ICS inhalers but lower
246 self-reported dispensing of usual controllers (5.4 vs 7.8 inhalers/year).
247 It remains to be seen whether this strategy is similarly effective in men, since 85% of PREPARE
248 participants were women.

249 There have been no studies using separate as-needed ICS and bronchodilator inhalers
250 in children with moderate-severe asthma.

251 **3. COMBINATION ICS + ALBUTEROL IN A SINGLE INHALER**

252 **(a) Mild asthma**

253 **Adults:**

254 **BEST**³ was a 6-month, double-blind, placebo-controlled, trial in 455 patients aged 18-65 years
255 whose asthma was controlled after 4-weeks on low-dose ICS. They were randomized to as-
256 needed combination beclometasone(250µg)-albuterol(100µg); as-needed albuterol; twice-daily
257 beclometasone plus as-needed albuterol; and twice-daily combination beclometasone-albuterol
258 plus as-needed albuterol. The primary outcome was average morning peak expiratory flow
259 (PEF) over weeks 23-24. **Outcomes:** End-study morning PEF was higher by 8 L/min (P=0.04)
260 and the exacerbation rate was lower (0.74 vs 1.63/year, P<0.001) with as-needed combination
261 ICS-SABA than with as-needed SABA, with a lower cumulative ICS dose. Outcomes with as-
262 needed combination ICS-SABA were not significantly different from regular ICS plus as-needed
263 SABA or regular combination ICS-SABA plus as-needed SABA, except that the exacerbation
264 rate was higher with regular combination ICS-SABA (1.76/year) than with regular ICS
265 (0.71/year) or as-needed combination ICS-SABA (0.74/year).

266 There are no studies with as-needed combination ICS-SABA single-inhaler in children with mild
267 asthma.

268 **(b) Moderate-severe asthma**

269 **Adults and children:**

270 **MANDALA**⁴³ was a double-blind, randomized, event-driven study, in 3,132 patients ≥4 years old
271 with uncontrolled (ACQ-5 ≥1.5) moderate/severe asthma and ≥1 severe exacerbation in the
272 previous year, despite medium/high-dose ICS or low/high dose-ICS-LABA. Patients were
273 randomized to take as-needed albuterol-budesonide 180/160µg (only adults/adolescents),
274 180/80µg albuterol-budesonide, or albuterol 180µg via pMDI, in addition to their regular
275 maintenance treatment. The trial continued until ≥570 severe exacerbations were reported, with
276 minimum duration 24-weeks. The primary outcome was time to first severe exacerbation for
277 both doses of albuterol-budesonide vs albuterol. **Outcomes:** Compared with as-needed
278 albuterol plus usual maintenance therapy, the severe exacerbation risk was reduced by 27%

279 (HR 0.74 [95%CI 0.62—0.89], p=0.001) with as-needed albuterol-budesonide 180/160µg, and
280 by 17% (HR 0.84 [95%CI 0.71—1.00], p=0.052) with as-needed albuterol-budesonide
281 180/80µg. Compared with as-needed albuterol, the annualized total OCS exposure was
282 reduced by 33% and 25%, respectively. At week 24, an ACQ-5 decrease of 0.5 was seen in
283 66.8% and 64.7% patients with higher and lower dose SABA-ICS respectively, compared with
284 62.1% and 61.6% respectively with as-needed SABA. These differences were statistically
285 significant only for the higher dose SABA-ICS (OR 1.22 [95%CI 1.02—1.47] but not the lower
286 dose SABA-ICS (OR 1.13 [95%CI 0.95—1.35]). Mean self-reported adherence with
287 maintenance therapy was 75% in all three groups. Adverse events were similar between
288 treatment groups. Only 83 children 4-<12 years and 100 children 12-<18 years were
289 randomized, with no significant differences in severe exacerbation risk between as-needed
290 combination ICS-SABA and as-needed SABA alone in these age groups.

291

292 **DISCUSSION:**

293

294 There are now three as-needed ICS strategies available, with varying levels of evidence
295 for their efficacy, effectiveness, and safety, that can improve asthma outcomes while reducing
296 exposure to and adverse effects from OCS and SABA reliever overuse. Practical
297 considerations, evidence gaps, and implications for clinical practice are discussed below, and
298 summarized in Table 1.

299 Combined ICS-formoterol in a single inhaler

300 The substantial reductions in severe asthma exacerbations demonstrated for as-needed
301 ICS-formoterol alone in mild asthma and as SMART in moderate-severe asthma, as well as its
302 proven safety record, translate to large reductions in healthcare utilization at a population level

303 with lower or slightly increased ICS dose. Use of ICS-formoterol for both maintenance and relief
304 allows seamless step-up and step-down between treatment steps according to clinical need,
305 without changing the patient's inhaler.

306 With regard to choice between treatment regimens in mild asthma, the lack of significant
307 baseline predictors of better response to regular ICS than as-needed ICS-formoterol indicates
308 that phenotyping is not needed when initiating as-needed ICS-formoterol in mild asthma.
309 However, patients with mild asthma previously taking SABA alone are likely to do better with as-
310 needed ICS-formoterol than with regular ICS²⁴, perhaps due to lack of established adherence
311 behavior. This may be particularly important in adolescents, given their known poor adherence
312 to maintenance controller therapy, and the lack of effect of as-needed ICS-formoterol on height
313 in this age group compared with daily low-dose ICS would remove a potential concern for
314 parents and patients²⁵. In moderate-severe asthma, no sub-populations have been identified
315 that do better with conventional maintenance therapy plus as-needed SABA than with SMART.
316 However, qualitative research indicates that shared decision-making is important for any
317 treatment choice.

318 Although some clinicians are concerned about the potential risk of desensitization with
319 over-use of formoterol compared with albuterol^{44 45} this has not been demonstrated on human
320 airway smooth muscle cells,^{46,47} and is not supported by the strong demonstrated safety record
321 for as-needed ICS-formoterol in both mild and moderate-severe asthma,⁴⁸ and contrasts with
322 the strong evidence for risks of hospitalization and death with even modest overuse of SABA.

323 Multiple ICS-formoterol combinations are available internationally, but in the US, ICS-
324 formoterol combinations are available only with budesonide or mometasone. Mometasone-
325 formoterol has not been tested as SMART in clinical trials. Neither formulation is FDA-approved
326 for acute asthma symptom relief, which currently imposes barriers to access from some
327 insurance companies, pharmacies, and may represent a legal liability,⁴⁹ although there are also

328 potential liabilities with prescribing against NAEPP guideline recommendations. Despite the
329 strong evidence for SMART, its implementation has been incomplete, reflecting the primacy of
330 SABA as reliever in many health systems.

331 Cost-effectiveness analyses identify cost savings relative to alternative therapies with the
332 use of SMART in moderate/severe asthma and of as-needed ICS-formoterol in mild asthma due
333 to its reduction in asthma exacerbations⁵⁰. No evidence about cost-effectiveness is yet available
334 for the other as-needed ICS strategies considered in this review.

335 We consider that SMART as an as-needed ICS strategy should be employed whenever
336 possible to reduce asthma therapy regimen complexity, improve adherence and reduce severe
337 exacerbations. Many reasons, seemingly unique to the US healthcare and regulatory system,
338 underlie the deficient implementation and dissemination of SMART despite ample evidence of
339 its efficacy in improving asthma outcomes. First, the FDA has rejected data from Turbuhalers,
340 with which all but two of the SMART studies were performed. Consequently, the FDA-approved
341 Product Information states to this day that budesonide/formoterol cannot be used to relieve
342 bronchoconstriction. Presumably, pharmaceutical companies have not been financially
343 incentivized to conduct the additional studies that would grant a reliever indication to
344 ICS/formoterol with FDA-approved devices. Second, the 13-year delay between EPR3 (at which
345 time only 1 SMART study had been published) and the 2020 focused update prevented the
346 timely update of American asthma guidelines with SMART efficacy and effectiveness data.
347 Finally, some clinicians were concerned with LABA safety due to excess asthma-related
348 morbidity and mortality from the use of LABA monotherapy without ICS, and extrapolated this to
349 SMART. Even after expensive, FDA-mandated large clinical trials demonstrated the safety of
350 ICS-LABA controller therapies and removed the black box warning for ICS/LABA formulations,
351 and despite the extensive safety record demonstrated in SMART trials, some of this concern
352 apparently persists.

353 ICS and SABA in separate inhalers

354 In mild asthma, taking ICS whenever SABA is taken might provide similar protection
355 from asthma exacerbations and similar symptom control compared with daily ICS use with as-
356 needed SABA, with much lower average ICS doses and in children, potentially greater growth
357 over 12 months. However, the four studies supporting this strategy in mild asthma were small,
358 and all were 'step-down' studies, i.e., in patients with well-controlled asthma on low-dose ICS or
359 similar treatment. Current NAEPP guidelines²³ recommend as-needed concomitant ICS and
360 SABA for adults with mild persistent asthma (step 2), but not children. Practical issues include
361 the difficulty of carrying multiple inhalers and potential selective non-adherence with the ICS
362 inhaler. However, qualitative research in ASIST indicated that parents felt more in control of
363 their child's asthma with symptom-based ICS adjustment, compared with physician-based
364 adjustment.

365 Providing add-on ICS for use whenever SABA±SAMA was taken also reduced asthma
366 exacerbations in Black and Latinx adults with moderate-severe asthma⁴²—populations that
367 experience >2-fold greater rates of asthma-related ER visits and mortality^{51,52}. This regimen can
368 be adjusted for use with nebulized SABA±SAMA, although it would be desirable to improve
369 asthma management in such patients, given the increased risk of serious exacerbations and
370 asthma death with nebulized SABA.^{53,54}

371 This regimen has the disadvantage of requiring separate as-needed inhalers which adds
372 to regimen complexity, which is a risk factor for poor inhaler technique and non-adherence⁵⁵.
373 However, this strategy is not restricted to any specific controller therapy regimen, which may
374 facilitate its relevance and uptake for patients whose health insurance plan (or lack of one) may
375 dictate specific controller therapy regimens, including those who do not have access to
376 combination ICS-SABA or ICS-formoterol.

377 Dissemination, implementation, and adoption of this regimen in large healthcare systems
378 and broader populations needs to be investigated.

379 The costs to the healthcare system of administering an average 1.1 ICS inhalers per
380 patient per year would be relatively small.

381 Combined ICS-albuterol in a single inhaler

382 As-needed combination ICS-SABA can be used as reliever in addition to any ICS-
383 containing maintenance treatment in patients with moderate-severe asthma. If approved in the
384 US, this therapy would overcome the currently limited insurance coverage of as-needed ICS-
385 formoterol, broaden AIR options and reduce exacerbation risks, and would avoid the complexity
386 of ICS and SABA in separate inhalers. Albuterol is the most commonly used reliever medication
387 worldwide, most commonly as a pMDI, and providing as-needed combination ICS-SABA pMDI
388 to patients with moderate-severe asthma would avoid the need for changing their as-needed
389 inhaler device, but still requires patients to have separate maintenance and reliever inhalers.

390 This strategy has the potential to shift current asthma treatment paradigms by avoiding
391 risks associated with use of SABA alone and SABA overuse, although the risks of high use of
392 ICS-SABA still need to be examined. Further evidence is needed about efficacy and safety of
393 this regimen in children. There is also a paucity of data for safety and efficacy of ICS-SABA in
394 mild asthma, which is particularly noteworthy in the US where NAEPP guidelines recommend
395 SABA-only treatment for mild asthma. Additional evidence is also needed to guide
396 recommendations about safe maximum daily doses of ICS-SABA, given the increasing
397 evidence about hazards of even modest levels of SABA over-use across asthma severity.^{56,57}

398 An obvious critical practical issue is that combination ICS-albuterol is not currently
399 available in the US; older formulations are available in a few countries but approved for as-
400 needed use in mild asthma in only a few. The new formulation of budesonide-albuterol used in

401 MANDALA was administered through pMDI inhalers, which will be phased out in many countries
402 according to the European Commission's protocol due to their fluorinated greenhouse gas
403 content⁵⁸.

404 All as-needed ICS strategies

405 The mechanism by which as-needed ICS strategies improve asthma morbidity outcomes
406 is unclear, but likely relates to early increases in ICS administration at asthma exacerbation
407 onset, as was long ago speculated for SMART¹² and is supported by the reduced risk of severe
408 exacerbations after even 1-2 days of as-needed doses of ICS-formoterol⁵⁹⁻⁶¹, although
409 additional mechanisms likely distinguish each strategy.

410 Post hoc analyses thus far suggest that SMART is more effective than conventional
411 therapy with as-needed SABA even in patients with low baseline FeNO and blood eosinophil
412 levels, although it may possibly work even better among patients with higher blood eosinophil
413 levels^{38,62}, who are at greater risk of asthma exacerbations; patients with lower eosinophil levels
414 may not respond well to fixed dose ICS and SABA¹⁸. We speculate that these data would apply
415 similarly to the other 2 strategies considering their similar mechanism of action.

416 As-needed ICS strategies have not been adequately compared to biomarker-directed
417 maintenance strategies, but in the single such study (BASALT), taking ICS whenever SABA was
418 taken performed as well as or better than treatment guided by frequent (6-weekly) FeNO
419 measurement; the latter would have significantly greater healthcare costs.

420 Not all patients like SMART therapy, and there are potential risks to switching patients to
421 SMART from other controller therapy regimens. The advantage of ICS/albuterol combined in a
422 single inhaler and ICS and SABA in separate inhalers is that patients continue using the same
423 "blue" reliever inhaler they were used to, but now supplemented with ICS.

424 Although use of as-needed ICS-formoterol, either alone or as SMART, has led to
425 numerically greater reductions in severe exacerbations relative to as-needed albuterol
426 compared with the other two strategies, direct comparisons are not possible because of
427 differences in populations and study designs across trials. No head-to-head trials have
428 compared the efficacy or effectiveness of these three strategies, but such studies would be of
429 global importance. There is also a dearth of data about which as-needed ICS strategy would be
430 appropriate for patients with severe asthma receiving combination ICS/LABA/LAMA inhalers or
431 biologic therapy. Pragmatic trial designs that apply real-world conditions may reveal important
432 differences between each strategy in drug availability, healthcare insurance coverage,
433 participant adherence and understanding of the strategy, etc., that may impact effectiveness.
434 The populations and contexts in which each of these as-needed ICS-bronchodilator strategies
435 are most effective and practical remain to be determined.

436

437 **CONCLUSION:**

438

439 As-needed strategies that provide ICS whenever the patient receives reliever medication
440 decrease severe asthma exacerbations compared with using a SABA reliever. These strategies
441 empower patients with the management of asthma symptom fluctuations and, based on the
442 evidence presented in this review, are likely to reduce healthcare utilization and risks of
443 exposure to the cumulative adverse effects of OCS at a population level. Additional evidence is
444 needed about optimal treatment responder characteristics for each of these regimens, to
445 facilitate treatment choice, but shared decision-making remains essential. The adoption of such
446 regimens may be most easily ensured in health systems that have budgetary power over both
447 medications and ED/hospitalizations. However, advocacy to achieve equitable access to
448 effective asthma medications globally, including in the US, is paramount.⁶³

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MILD ASTHMA (as-needed medication alone, without maintenance controller)					
As-needed combination ICS-formoterol		As-needed combination ICS-albuterol		As-needed ICS+SABA (separate inhalers)	
Strengths¹	Limitations	Strengths²	Limitations	Strengths³	Limitations
<ul style="list-style-type: none"> • Large reduction in severe exacerbations vs SABA alone • Reduction in ED visits/ hospitalization vs daily ICS, with lower ICS dose • In young adolescents, greater growth vs daily ICS⁴ • Extensive efficacy and safety data (n~10,000) 	<ul style="list-style-type: none"> • All studies used dry powder inhalers • No data in children <12 years 	<ul style="list-style-type: none"> • Reduction in severe exacerbations vs SABA alone (but not vs daily ICS) 	<ul style="list-style-type: none"> • Very limited data for safety and efficacy • No data in children or adolescents <18 yrs • No studies with dry powder inhalers 	<ul style="list-style-type: none"> • Adults: reduction in severe exacerbations vs daily ICS (not studied vs SABA alone) • Children/adolescents: no significant reduction in severe exacerbations vs SABA alone; similar severe exacerbations as with daily ICS, with lower ICS dose and greater growth 	<ul style="list-style-type: none"> • Very limited data for safety and efficacy • No studies with dry powder inhalers
Practical issues <ul style="list-style-type: none"> • Easy for patients to use • Simple transition to SMART if daily controller needed • Approved in 35 countries; not by FDA 		Practical issues <ul style="list-style-type: none"> • Easy for patients to use • Available in a small number of countries; approved for as-needed use in mild asthma in some of these 		Practical issues <ul style="list-style-type: none"> • Difficulty for patients of carrying and using two inhalers • Potential non-adherence with the ICS inhaler • ICS not approved for as-needed use 	
MODERATE-SEVERE ASTHMA (as-needed medication added to patient's controller therapy)					
As-needed combination ICS-formoterol		As-needed combination ICS-albuterol		As-needed ICS+SABA (separate inhalers)	
Strengths⁵	Limitations	Strengths⁶	Limitations	Strengths⁷	Limitations
<ul style="list-style-type: none"> • Reduction in severe exacerbations with ICS-formoterol SMART, vs same or higher dose of ICS or ICS-LABA plus as-needed SABA, with same or lower total dose ICS • In children, greater growth vs daily ICS plus as-needed SABA⁸ • -Extensive data on efficacy and safety (~30,000 patients in RCTs) 	<ul style="list-style-type: none"> • Most studies used dry powder inhaler • No evidence about safety or efficacy of using as-needed ICS-formoterol with non-formoterol ICS-LABA 	<ul style="list-style-type: none"> • Reduction in severe exacerbations vs usual controller plus as-needed SABA 	<ul style="list-style-type: none"> • Studied only in patients with poorly-controlled asthma • No studies with dry powder inhalers 	<ul style="list-style-type: none"> • Reduction in severe exacerbations vs controller plus as-needed SABA or SAMA 	<ul style="list-style-type: none"> • Limited safety data; no spirometric data • Studied only in adult Black and Latinx populations in the US, with poorly controlled asthma • Patients took less of their prescribed controller
Practical issues <ul style="list-style-type: none"> • Easy for patients to use • Single inhaler • For patients already on daily controller plus as-needed SABA, requires switching from previous inhaler(s) • Not studied with nebulizer • Combination ICS-formoterol available in most countries • SMART is approved in 120 countries, not by FDA 		Practical issues <ul style="list-style-type: none"> • Easy for patients to use • Patients required to have two inhalers • Potential errors in inhaler technique if controller and reliever are in different inhaler devices • Not studied with nebulizer • Combination ICS-SABA approved for as-needed use in a small number of countries, not by FDA 		Practical issues <ul style="list-style-type: none"> • Patients are required to have three inhalers • Difficulty of carrying two inhalers for use outside the home • Potential errors in inhaler technique if SABA, ICS and/or controller are in different inhaler devices • Can be used by patients who frequently use SABA or SAMA nebulizers • ICS not approved for as-needed use 	

ED: emergency department; FDA: Food and Drug Administration; ICS: inhaled corticosteroid; RCTs: randomized controlled trials; SABA: short-acting beta2-agonist; SABA: short-acting muscarinic antagonist; SMART: Single inhaler Maintenance And Reliever Therapy with ICS-formoterol

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