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## RESPIRATION AND THE AIRWAY

## Ventilatory ratio, dead space, and venous admixture in patients with acute respiratory distress syndrome

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### Abstract

**Background:** Ventilatory ratio (VR) has been proposed as an alternative approach to estimate physiological dead space. However, the absolute value of VR, at constant dead space, might be affected by venous admixture and CO<sub>2</sub> volume expired per minute (VCO<sub>2</sub>).

**Methods:** This was a retrospective, observational study of mechanically ventilated patients with acute respiratory distress syndrome (ARDS) in the UK and Italy. Venous admixture was either directly measured or estimated using the surrogate measure PaO<sub>2</sub>/FiO<sub>2</sub> ratio. VCO<sub>2</sub> was estimated through the resting energy expenditure derived from the Harris–Benedict formula.

**Results:** A total of 641 mechanically ventilated patients with mild ( $n=65$ ), moderate ( $n=363$ ), or severe ( $n=213$ ) ARDS were studied. Venous admixture was measured ( $n=153$  patients) or estimated using the PaO<sub>2</sub>/FiO<sub>2</sub> ratio ( $n=448$ ). The VR increased exponentially as a function of the dead space, and the absolute values of this relationship were a function of VCO<sub>2</sub>. At a physiological dead space of 0.6, VR was 1.1, 1.4, and 1.7 in patients with VCO<sub>2</sub> equal to 200, 250, and 300, respectively. VR was independently associated with mortality (odds ratio [OR]=2.5; 95% confidence interval [CI], 1.8–3.5), but was not associated when adjusted for V<sub>D</sub>/V<sub>Tphys</sub>, VCO<sub>2</sub>, PaO<sub>2</sub>/FiO<sub>2</sub> (OR<sub>adj</sub>=1.2; 95% CI, 0.7–2.1). These three variables remained independent predictors of ICU mortality (V<sub>D</sub>/V<sub>Tphys</sub> [OR<sub>adj</sub>=17.9; 95% CI, 1.8–185;  $P<0.05$ ]; VCO<sub>2</sub> [OR<sub>adj</sub>=0.99; 95% CI, 0.99–1.00;  $P<0.001$ ]; and PaO<sub>2</sub>/FiO<sub>2</sub> (OR<sub>adj</sub>=0.99; 95% CI, 0.99–1.00;  $P<0.001$ ]).

**Conclusions:** VR is a useful aggregate variable associated with outcome, but variables not associated with ventilation (VCO<sub>2</sub> and venous admixture) strongly contribute to the high values of VR seen in patients with severe illness.

**Keywords:** ARDS; dead space; mechanical ventilation; venous admixture; ventilatory ratio

### Editor's key points

- The use of ventilatory ratio to quantify physiological dead space is potentially limited by venous admixture and CO<sub>2</sub> volume expired per minute.
- The authors examined data from patients mechanically ventilated with ARDS.
- Ventilatory ratio increased exponentially as a function of the dead space.
- Ventilatory ratio was associated with mortality, but non-ventilatory variables were the chief contributors to high ventilatory ratio values associated with severe illness.

The physiological dead space ( $V_D/V_{Tphys}$ ) reflects the severity of lung injury<sup>1</sup> and is a powerful prognostic factor in acute respiratory distress syndrome (ARDS).<sup>2–4</sup> Its use, however, is uncommon, as it requires measurement of mixed expired CO<sub>2</sub> and the simultaneous arterial blood sample to determine PaCO<sub>2</sub>. The ventilatory ratio (VR) has recently emerged as an alternative measure of ventilatory efficiency.

VR correlates strongly with  $V_D/V_{Tphys}$ ,<sup>5</sup> does not require measurement of mixed expired CO<sub>2</sub>, and can be easily calculated from a few routinely collected variables.<sup>6</sup> In addition, the unitless VR is easy to interpret, as it is normalised to a pre-defined 'standard' and quantifies the degree of impaired CO<sub>2</sub> elimination in relation to an expected reference value. However, VR may be affected by factors such as venous admixture ( $Q_{va}/Q$ ) and CO<sub>2</sub> volume expired per minute (VCO<sub>2</sub>), which can alter the absolute value of VR despite an unchanged dead space ventilation. The potential effects of these two factors on VR, in particular  $Q_{va}/Q$ , have been described but not quantified.<sup>7</sup> Specifically, there are no clinical data that establish the relative importance of measured  $Q_{va}/Q$  on VR, nor the relative importance of VCO<sub>2</sub> on VR when the  $V_D/V_{Tphys}$  is adjusted for the degree of  $Q_{va}/Q$ . These considerations are particularly important in patients with more severe disease, in whom the assumption that virtually all of the variations in VR are attributable to an increased  $V_D/V_{Tphys}$ <sup>8</sup> may be confounded by the effect of larger venous admixture.

We compared VR and  $V_D/V_{Tphys}$  in a large cohort of ventilated patients with ARDS, aiming to: (1) define the effect of  $Q_{va}/Q$  and VCO<sub>2</sub> on VR; (2) examine the relationship between mortality and VR corrected for physiological confounders; (3) provide theoretical models to explain the variations in VR which may occur for the same  $V_D/V_{Tphys}$ .

## Methods

### Study design

This was a multicentre, retrospective, observational study including 641 patients with ARDS (448 patients admitted to Guy's & St Thomas' NHS Foundation Trust, London, UK, from March 2020 to March 2021; and 193 admitted to San Paolo Hospital in Milan, Italy, from 2003 to 2018). All patients present in the databases were included into the analysis, except for seven patients who underwent extra-corporeal support. The study was approved by the institutional review board of each hospital, and written informed consent was obtained according to the national regulations of the participating institutions

(see Supplementary material for details). All patients met ARDS criteria, according to the Berlin definition.<sup>9</sup>

### Variables

The following variables were collected contemporaneously at the time of lowest PaO<sub>2</sub>/FiO<sub>2</sub> ratio during the first 24 h of mechanical ventilation: minute ventilation (VE), tidal volume (VT), ventilatory frequency, and arterial PCO<sub>2</sub> (P<sub>aCO<sub>2</sub></sub>). VCO<sub>2</sub> was estimated in all 641 patients using the Harris–Benedict formula<sup>10</sup> and mixed-expired PCO<sub>2</sub> (PECO<sub>2</sub>) was computed using the estimated VCO<sub>2</sub>/VE ratio. In 129 patients, both VCO<sub>2</sub> and PECO<sub>2</sub> were directly measured with capnometry. A subgroup of patients with normal PaCO<sub>2</sub> (4.5–6 kPa) and  $V_D/V_{Tphys} < 0.35$  was used to calculate the theoretical reference VE needed to estimate the VR.

### Modelling of ventilatory ratio

To understand the relationships between  $V_D/V_{Tphys}$ , VR, and venous admixture, we created a model as a function of their independent determinants: VCO<sub>2</sub>, minute ventilation (VE), venous admixture ( $Q_{va}/Q$ ), cardiac output (Qt), and arterial CO<sub>2</sub> tension (PaCO<sub>2</sub>). The model derivation described in the supplement, shows that the  $V_D/V_{Tphys}$  utilising arterial PCO<sub>2</sub> as a surrogate of alveolar PCO<sub>2</sub> depends on the alveolar/total ventilation ratio (Supplementary material, equation [6]) and the  $Q_{va}/Q$  (Supplementary material, equation [8]). In addition, the VR depends both on  $V_D/V_{Tphys}$  and VCO<sub>2</sub> (Supplementary material, equation [15]).

### Definition of dead space

Physiological dead space ( $V_D/V_{Tphys}$ ) was defined as the dead space calculated using the Bohr–Enghoff formula, which assumes a  $Q_{va}/Q$  of zero (i.e. arterial PCO<sub>2</sub> is equal to the alveolar PCO<sub>2</sub>).

Corrected dead space ( $V_D/V_{Tcorr}$ ) was defined as  $V_D/V_{Tphys}$  corrected for the  $Q_{va}/Q$  using the Kuwabara equation<sup>11</sup> and its modification using CO<sub>2</sub> content in the blood,<sup>12</sup> rather than the CO<sub>2</sub> pressure. As the dead space fraction obtained with both methods were similar, we have used the classical Kuwabara equation for simplicity.

### Quantitative computed tomography

Quantitative chest CT was performed as previously described using a dedicated software (Maluna).<sup>13,14</sup> We estimated lung weight, gas volume, and the amount of well-aerated, poorly aerated, and non-aerated tissues.

### Statistical analysis

All continuous data are presented as means (standard deviation [SD]) with comparisons between two means performed using with Student's *t*-test, and with analysis of variance (ANOVA) between more values. Categorical data were presented as counts and percentages, with comparisons between categories made using  $\chi^2$  tests. Linear regression was used to test associations among variables.

The association between VR and ICU mortality was examined through univariable and multivariable logistic regression models. To assess the association of VR with mortality when adjusted for covariates which could have a contribution to the VR, we performed a multivariable logistic regression including VCO<sub>2</sub>, PaO<sub>2</sub>/FiO<sub>2</sub>, and  $V_D/V_{Tphys}$ . To make an additional

comparison of the ORs, we standardised all covariates by subtracting the mean and dividing by their SD. After standardisation, the ORs refer to a unit change in SD of each covariate – therefore giving all covariates numerically similar scales. Model coefficients are reported for standardised and non-standardised data. The aim of this multivariable analysis was not to find a model which included all the factors potentially associated to outcome (i.e. age, mechanical power), but to explore the effects of the physiological variables which contribute to the VR and its association to outcome once adjusted by these physiological confounders.

Two-tailed *P*-values <0.05 were considered statistically significant. All analyses were performed with R for Statistical Computing 4.0 (R Foundation for Statistical Computing, Vienna, Austria).

## Results

### Patient characteristics

Table 1 shows the characteristics of the study cohort (*n*=641) at baseline, with ARDS severity categorised according to the Berlin definition.<sup>9</sup> COVID-19 was the aetiology in 70% of the population (see Supplementary material for details). Patients with more severe ARDS had higher BMI, VE, *P*<sub>aCO<sub>2</sub></sub>, and VR, and driving pressure and mechanical power; and lower *P*<sub>end-tidalCO<sub>2</sub></sub> (*P*<sub>etCO<sub>2</sub></sub>) to *P*<sub>aCO<sub>2</sub></sub> ratio. ICU mortality increased with severity from 18% in mild, to 31% in moderate, and 46% in severe ARDS.

### Calculation of ventilatory ratio

The reference value used for VR computation was 5.3 kPa 0.1 L min<sup>-1</sup> kg<sup>-1</sup>, derived from patients during anaesthesia,<sup>15</sup> where 0.1 L min<sup>-1</sup> kg<sup>-1</sup> is assumed as the normal VE. To assess whether a similar reference value can be applied to critically ill patients, we selected among our 641 ICU patients 26 patients with 'normal' *P*<sub>aCO<sub>2</sub></sub> and *V*<sub>D</sub>/*V*<sub>Tphys</sub>. The characteristics of this ARDS reference cohort are reported in Supplementary Table E1. The measured VE averaged 0.1 L min<sup>-1</sup> kg<sub>PBW</sub><sup>-1</sup> and *P*<sub>aCO<sub>2</sub></sub> averaged 5.3 kPa (0.4) – which were similar to those

found in patients undergoing anaesthesia<sup>5,15</sup> and led to identical VR.

Quantitative chest CT scan and contemporaneous arterial and central venous blood gas samples were available in 153 patients (Table 2), allowing for the calculation of *Q*<sub>va</sub>/*Q* and determination of its effects on the *V*<sub>D</sub>/*V*<sub>Tphys</sub> and VR. *Q*<sub>va</sub>/*Q* increased from 0.31 (0.15) in mild ARDS, and to 0.39 (0.11) and 0.61 (0.12), respectively, for moderate and severe ARDS, likely reflecting the increase in the non-aerated tissue fraction. The *V*<sub>D</sub>/*V*<sub>Tphys</sub> in this cohort also increased with disease severity, increasing from 0.54 (0.13) in mild disease, to 0.57 (0.12) in moderate disease, and 0.65 (0.11) in severe disease (*P*<0.001). However, the physiological dead space corrected (*V*<sub>D</sub>/*V*<sub>Tcorr</sub>) for *Q*<sub>va</sub>/*Q* was similar in all patients across severity categories.

### Relationship between dead space, VCO<sub>2</sub>, and ventilatory ratio

The theoretical relationship between VR and *V*<sub>D</sub>/*V*<sub>Tphys</sub> demonstrates that VR increases asymptotically with *V*<sub>D</sub>/*V*<sub>Tphys</sub>, and its value depends on VCO<sub>2</sub> (Fig 1a). VRs <1 (0.86 [0.11], *n*=71), between 1 and 2 (1.44 [0.27], *n*=484) and >2 (2.41 [0.52], *n*=86) were respectively associated (Fig 1b) with *V*<sub>D</sub>/*V*<sub>Tphys</sub> of 0.32 (0.11), 0.55 (0.1), and 0.73 (0.07). This exponential relationship was shifted on the vertical axis (VR) depending on VCO<sub>2</sub>. Patients with VCO<sub>2</sub> values exceeding the median (208 [29] ml min<sup>-1</sup>) had a higher VR than patients with VCO<sub>2</sub> below the median for the same calculated *V*<sub>D</sub>/*V*<sub>Tphys</sub>. In both the theoretical (Fig 1a) and the actual cohort (Fig 1b), remarkably different VRs were associated with the same *V*<sub>D</sub>/*V*<sub>Tphys</sub>, depending on the VCO<sub>2</sub>.

### Effects of venous admixture on physiological dead space and ventilatory ratio

#### Venous admixture and physiological dead space

Figure 2 shows the relationship between *V*<sub>D</sub>/*V*<sub>Tcorr</sub> and *V*<sub>D</sub>/*V*<sub>Tphys</sub> in our theoretical model (panel a) and in the subgroup of 153 patients in whom the computation of the *V*<sub>D</sub>/*V*<sub>Tcorr</sub> for *Q*<sub>va</sub>/*Q* was

**Table 1** Demographic data of the whole study cohort. All data are presented as means (standard deviation). Analysis of variance (ANOVA) was used to analyse mean values. \*Data presented as absolute and relative frequencies (%).

	Overall ( <i>n</i> =641)	Mild ( <i>n</i> =65; 10.1%)	Moderate ( <i>n</i> =363; 56.6%)	Severe ( <i>n</i> =213; 33.2%)	<i>P</i> -value
Age (yr)	58 (17–88)	55 (18–84)	59 (17–88)	58 (19–86)	0.138
Female (%) <sup>*</sup>	195 (30.5)	24 (37)	108 (39)	63 (30)	0.485
Height (cm)	171 (8.9)	172 (8.7)	171 (9)	171 (8.9)	0.541
Actual weight (kg)	83 (20.8)	78 (19.4)	82 (19.5)	88 (22.9)	<0.001
BMI (kg m <sup>-2</sup> )	28.4 (6.7)	26.2 (5.5)	27.8 (5.9)	30.1 (7.9)	<0.001
<i>P</i> <sub>aO<sub>2</sub></sub> / <i>F</i> <sub>iO<sub>2</sub></sub> (kPa)	17.2 (6.9)	32 (4.4)	18.4 (3.5)	10.5 (1.8)	<0.001
Minute ventilation (L min <sup>-1</sup> )	8.7 (2.3)	8.3 (2.1)	8.5 (2.2)	9.0 (2.6)	0.007
Respiratory rate (bpm)	18 (4.3)	17 (4.6)	18 (4.2)	19 (4.3)	<0.001
Tidal volume kg <sub>PBW</sub> <sup>-1</sup> (ml kg <sup>-1</sup> )	7.4 (1.6)	7.7 (1.6)	7.3 (1.5)	7.4 (1.7)	0.349
VCO <sub>2</sub> (ml min <sup>-1</sup> )	116.9 (34)	114.2 (33.2)	114.1 (32.1)	122.4 (36.8)	0.012
<i>P</i> <sub>aCO<sub>2</sub></sub> (kPa)	6.1 (1.2)	5.6 (0.9)	6 (1.2)	6.3 (1.3)	<0.001
Physiological dead space	0.55 (0.15)	0.69 (0.13)	0.72 (0.12)	0.72 (0.13)	0.225
Ventilatory ratio	1.51 (0.50)	1.32 (0.37)	1.47 (0.46)	1.63 (0.57)	<0.001
<i>P</i> <sub>end-tidalCO<sub>2</sub></sub> / <i>P</i> <sub>aCO<sub>2</sub></sub>	0.79 (0.16)	0.88 (0.14)	0.81 (0.14)	0.73 (0.16)	<0.001
Mechanical power	17 (7)	15 (7)	16 (6)	18 (8)	0.002
Driving pressure (mm H <sub>2</sub> O)	14 (4)	13 (4)	14 (4)	15 (4)	<0.001
ICU mortality (%) <sup>*</sup>	224 (35%)	12 (18%)	114 (31%)	98 (46%)	<0.001

**Table 2** Demographic data of the subgroup with chest computed tomography and paired central venous and arterial gases. All data are presented as means (standard deviation). Analysis of variance (ANOVA) was used to analyse mean values. \*Data presented as absolute and relative frequencies.

	Overall (n=153)	Mild (n=27)	Moderate (n=97)	Severe (n=29)	P-value
Age (yr)	59 (18–88)	54 (18–84)	60 (21–88)	61 (19–86)	0.226
Female (%)*	49 (33%)	11 (41%)	30 (31%)	8 (28%)	0.533
Height (cm)	170 (9.5)	171 (9.5)	170 (9.9)	171 (7.9)	0.785
Actual weight (kg)	77 (21.3)	75 (18.1)	75 (20.8)	85 (29.3)	0.056
BMI (kg m <sup>-2</sup> )	26.3 (6.4)	25.7 (5.6)	25.8 (5.8)	28.8 (9.1)	0.059
Pa <sub>O2</sub> /FiO <sub>2</sub> (kPa)	20 (7.6)	32.4 (4.1)	19.5 (3.7)	10 (2.1)	<0.001
Venous admixture	0.42 (0.15)	0.31 (0.15)	0.39 (0.11)	0.61 (0.12)	<0.001
Minute ventilation (L min <sup>-1</sup> )	8.7 (2.1)	8.4 (1.6)	8.6 (2.1)	9.7 (2.3)	0.032
Ventilatory frequency (bpm)	17 (4.5)	16 (5.1)	16 (4.3)	19 (4.8)	0.062
Tidal volume/kg <sub>PBW</sub> (ml kg <sup>-1</sup> )	8.3 (1.4)	8.6 (1.4)	8.6 (1.4)	8.1 (1.3)	0.485
VCO <sub>2</sub> (ml min <sup>-1</sup> )	180 (39.8)	180 (40.1)	176 (36.7)	191 (47.5)	0.148
Paco <sub>2</sub> (kPa)	45.2 (7.7)	42.1 (5.4)	44.4 (7.6)	50.6 (7.7)	<0.001
Physiological dead space	0.58 (0.12)	0.54 (0.13)	0.57 (0.12)	0.65 (0.11)	<0.001
True dead space	0.53 (0.13)	0.51 (0.15)	0.53 (0.13)	0.53 (0.27)	0.199
Ventilatory ratio	1.54 (0.45)	1.38 (0.39)	1.49 (0.45)	1.86 (0.39)	<0.001
Mechanical power	19 (7)	20 (8)	18 (7)	21 (8)	0.002
Driving pressure	14 (4)	14 (3)	14 (4)	15 (4)	<0.001
Lung tissue mass (g)	1480 (504)	1234 (186.1)	1429 (481)	1894 (565.2)	<0.001
Lung gas volume (ml)	1141 (635)	1192 (489)	1173 (657)	977 (673)	0.319
Non-aerated tissue fraction (%)	42.6 (15.5)	36.2 (13)	41.5 (15.1)	52.7 (14.8)	<0.001
Poorly aerated tissue fraction (%)	31.1 (11.3)	30.6 (10.8)	31.5 (11.8)	30.1 (10.1)	0.830
Normally aerated tissue fraction (%)	25.9 (13.3)	32.9 (11.5)	26.7 (12.9)	16.8 (11.3)	<0.001
ICU mortality (%)*	63 (41%)	7 (26%)	34 (36%)	22 (75%)	<0.001

possible (panel b). As shown, the relationship between  $V_D/V_{T_{\text{corr}}}$  and  $V_D/V_{T_{\text{phys}}}$  was linear but shifted to the right with higher  $Q_{va}/Q$ . Figure 2 shows that  $V_D/V_{T_{\text{phys}}}$ , as measured in clinical practice, corresponds to  $V_D/V_{T_{\text{corr}}}$  only if  $Q_{va}/Q$  is zero, that is the alveolar  $P_{\text{CO}_2}$  equals the arterial  $P_{\text{CO}_2}$ . With increasing  $Q_{va}/Q$  (Fig 2a),  $V_D/V_{T_{\text{corr}}}$  was remarkably lower than  $V_D/V_{T_{\text{phys}}}$ . The difference between physiological and  $V_D/V_{T_{\text{corr}}}$  as a function of  $Q_{va}/Q$  is reported in Supplementary Figure E1, panel A.

### Venous admixture and ventilatory ratio

In Fig 3 we report the differences between VR and the VR corrected for  $Q_{va}/Q$  in mild, moderate, and severe ARDS. The difference between VR and VR corrected for  $Q_{va}/Q$  becomes progressively larger with greater disease severity at different levels of  $V_D/V_{T_{\text{phys}}}$  (Supplementary Figure E1, panel B).

### Associations of dead space and ventilatory ratio with mortality

In the entire cohort,  $V_D/V_{T_{\text{phys}}}$ ,  $\text{Pa}_{\text{O}_2}/\text{FiO}_2$ , and VR were independently associated with mortality. The OR for mortality of VR and  $V_D/V_{T_{\text{phys}}}$  were respectively 2.5 (95% CI, 1.8–3.5) and 7.04 (95% CI, 1.9–27.7). The area under the receiver operating characteristic (ROC) curve was 0.64 (95% CI, 0.59–0.68) for VR and 0.66 (95% CI, 0.62–0.71) for  $V_D/V_{T_{\text{phys}}}$ . When the effect of VR on mortality was adjusted – in a multivariable model – for variables proven to affect VR in the physiological modelling (i.e.  $V_D/V_{T_{\text{phys}}}$ ,  $\text{VCO}_2$ ,  $\text{Pa}_{\text{O}_2}/\text{FiO}_2$ ), VR was no longer independently associated with mortality,  $\text{OR}_{\text{adj}}=1.2$  (95% CI, 0.7–2.1).

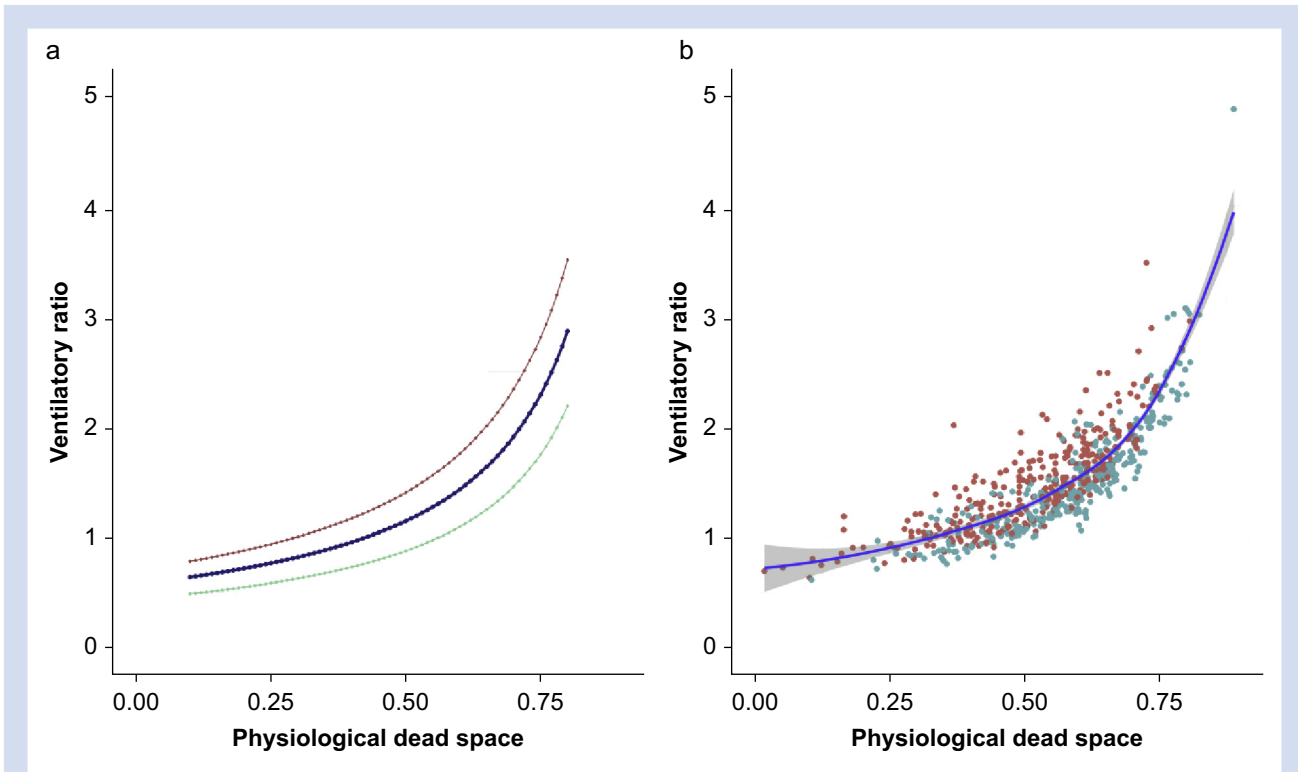
On the contrary,  $V_D/V_{T_{\text{phys}}}$  ( $\text{OR}_{\text{adj}}=17.9$ ; 95% CI, 1.8–185;  $P<0.05$ );  $\text{VCO}_2$  ( $\text{OR}_{\text{adj}}=0.99$ ; 95% CI, 0.99–1.00;  $P<0.001$ ); and

$\text{Pa}_{\text{O}_2}/\text{FiO}_2$  ( $\text{OR}_{\text{adj}}=0.99$ ; 95% CI, 0.99–1.00;  $P<0.001$ ) remained independent predictors of ICU mortality. To further investigate the relative association of each covariate on mortality, we used a standardised model including the same variables so that the resulting adjusted ORs refer to a unit change in  $\text{SD}$  – regardless of the real units, therefore giving all covariates similar numerical scale. Using this model, the standardised adjusted OR ( $\text{OR}_{\text{st-adj}}$ ) for mortality were 1.09 (95% CI, 0.8–1.5), 1.5 (95% CI, 1.1–2.1;  $P<0.05$ ); 0.71 (95% CI, 0.58–0.87;  $P<0.001$ ), 0.67 (95% CI, 0.55–0.81;  $P<0.001$ ) for VR,  $V_D/V_{T_{\text{phys}}}$ ,  $\text{VCO}_2$ , and  $\text{Pa}_{\text{O}_2}/\text{FiO}_2$ , respectively, all independently associated with mortality. These results indicate that variations in  $\text{VCO}_2$  and  $\text{Pa}_{\text{O}_2}/\text{FiO}_2$  have a similar and important independent association with mortality and affect the prognostic prediction of VR.

### Discussion

The main results of this study are: (1) the effect of  $Q_{va}/Q$  on absolute VR becomes larger with increasing  $V_D/V_{T_{\text{phys}}}$ ; (2) the effect of  $\text{VCO}_2$  is also of major significance, particularly when VR is corrected for  $Q_{va}/Q$ ; (3) VR is a useful aggregate variable associated with outcome; however, it does not only reflect  $V_D/V_{T_{\text{phys}}}$  but also important contributions from  $\text{VCO}_2$  (Fig 1) and  $Q_{va}/Q$ , reflected by  $\text{Pa}_{\text{O}_2}/\text{FiO}_2$  (Supplementary Figure E2). These data suggest that  $\text{VCO}_2$  and  $Q_{va}/Q$  contribute to the high values of VR seen in the most severe categories of patients.

The  $\text{CO}_2$ -related variables are strongly related with structural lung changes in ARDS<sup>1</sup> and in COVID-19 pneumonia.<sup>16</sup> In the Bohr's formulation,<sup>17</sup>  $V_D/V_{T_{\text{phys}}}$  was measured as the difference between alveolar and mixed expired  $\text{CO}_2$  normalised to the alveolar  $P_{\text{CO}_2}$  (Supplementary material, equation [1]).



**Fig 1.** (a) Theoretical model: ventilatory ratio as a function of the physiological dead space at  $\text{VCO}_2$  equal to  $186 \text{ ml min}^{-1}$  (median of the clinical cohort – blue line),  $228 \text{ ml min}^{-1}$  (50% above the median – red line), and  $142 \text{ ml min}^{-1}$  (50% below the median). (b) Clinical cohort ( $n=641$ ): ventilatory ratio as a function of physiological dead space. Patients with  $\text{VCO}_2$  higher than median ( $186 \text{ ml min}^{-1}$ ) are represented by red points (average  $\text{VCO}_2$  equal to  $208$  ( $29$ )  $\text{ml min}^{-1}$ ); patients with  $\text{VCO}_2$  below the median are represented by green points (average  $\text{VCO}_2$  equal to  $164$  ( $17$ )  $\text{ml min}^{-1}$ ).

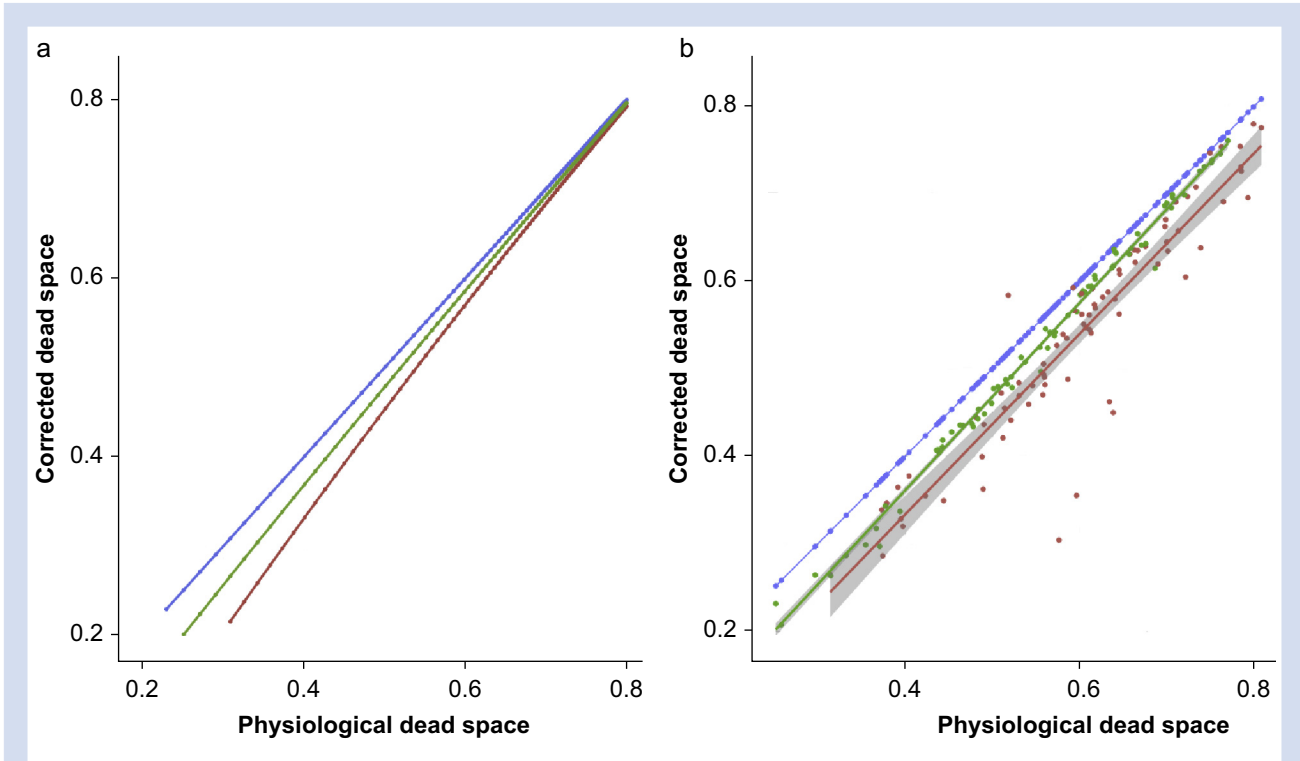
The alveolar  $P_{\text{CO}_2}$ , according to Riley and colleagues,<sup>18</sup> is the pressure present continuously and uniformly in functioning alveoli, assuming that the quantity of  $\text{CO}_2$  exchanged from blood to alveoli occurs in equal proportion to the  $\text{VCO}_2$  measured in the expired air (Supplementary material, equation [2]). The dead space fraction computed in this model depends on the ratio between alveolar ventilation and minute ventilation, regardless of oxygenation status or  $\text{VCO}_2$ . The measurement of alveolar  $P_{\text{CO}_2}$ , however, is complex and not easily performed in clinical practice; therefore,  $V_{\text{D}}/V_{\text{Tphys}}$  is estimated using the Enghoff modification, where alveolar  $P_{\text{CO}_2}$  is assumed equal to arterial  $P_{\text{CO}_2}$ .<sup>19</sup> The dead space computed in this way was defined as ‘physiological’, as during health, the alveolar  $P_{\text{CO}_2}$  and arterial  $P_{\text{CO}_2}$  differ only by  $0.1\text{--}0.4 \text{ kPa}$ . In ARDS, however, the arterial  $P_{\text{CO}_2}$  may substantially exceed the alveolar  $P_{\text{CO}_2}$ , because of the effect of  $Q_{\text{va}}/Q$ . Indeed, the difference between arterial and alveolar  $P_{\text{CO}_2}$  increases with  $Q_{\text{va}}/Q$  and  $\text{VCO}_2$ , whereas it decreases with cardiac output (Supplementary Figure E3). Therefore, the substitution of alveolar with the arterial  $P_{\text{CO}_2}$  overestimates the true dead space. To correct for the  $Q_{\text{va}}/Q$  effect, Kuwabara and Duncalf<sup>11</sup> proposed an equation based on the mass conservation principle:

$$\text{CaCO}_2 = \text{CcCO}_2 * \left(1 - \frac{Q_{\text{va}}}{Q}\right) + \text{CvcCO}_2 * \frac{Q_{\text{va}}}{Q}$$

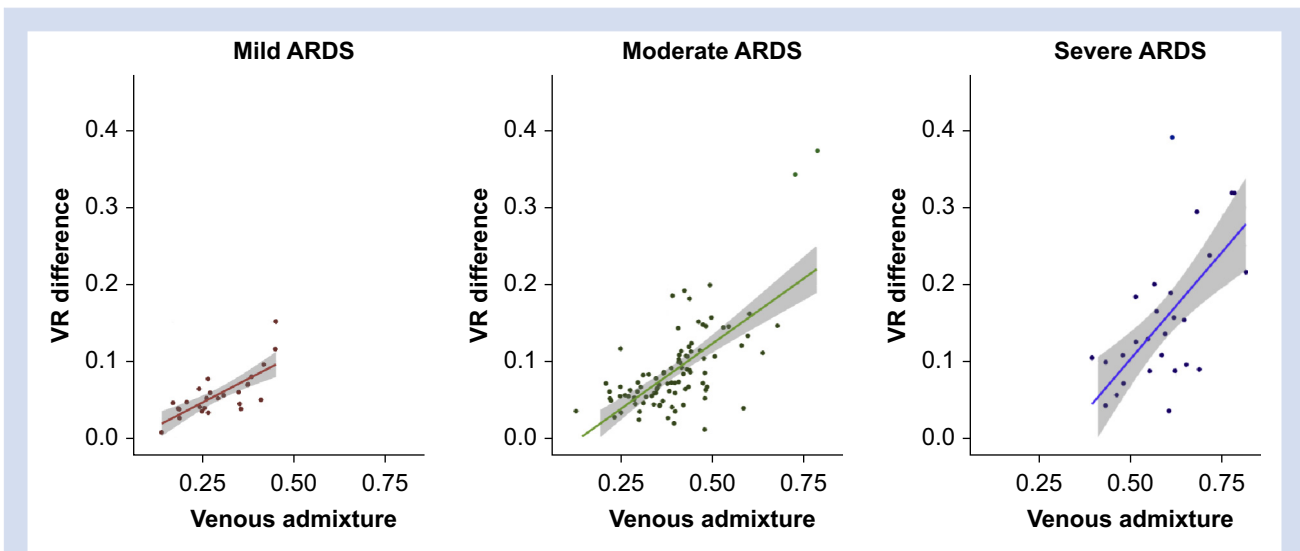
where  $\text{CaCO}_2$ ,  $\text{CcCO}_2$ , and  $\text{CvcCO}_2$  are the  $\text{CO}_2$  contents in arterial, pulmonary (ventilated) capillary, and mixed venous

blood, respectively. Kuwabara and Duncalf<sup>11</sup> assumed that tensions and contents are in equilibrium and vary proportionately, and therefore the formula to correct dead space for shunt uses gas tensions instead of their contents. Although this assumption is not strictly accurate, using  $\text{CO}_2$  contents or tensions provided similar results (see supplement). Therefore, despite its limitations, the Kuwabara equation is the best available option to correct the dead space. The impact of  $Q_{\text{va}}/Q$  on  $V_{\text{D}}/V_{\text{Tphys}}$  may be relevant at  $Q_{\text{va}}/Q > 0.2\text{--}0.3$  (Supplementary Fig. E1, panel A). Indeed, the ‘physiological’ dead space in pathological conditions represents the entirety of the gas exchange dysfunction, as it is influenced both by wasted ventilation (dead space ventilation) and wasted perfusion ( $Q_{\text{va}}/Q$ ).

VR has been proposed by Sinha and colleagues<sup>5</sup> as an estimate of ventilatory efficiency. A theoretical analysis<sup>8</sup> indicated that  $\text{VCO}_2$  and  $V_{\text{D}}/V_{\text{Tphys}}$  are both determinants of VR. VR uses as a reference the product of ‘standard’ VE and the ‘standard’  $P_{\text{aCO}_2}$ . The standard VE was derived, more than five decades ago, from normal subjects undergoing anaesthesia.<sup>15</sup> Interestingly, we found similar values ( $0.1 \text{ kgPBW}^{-1}$ ) in our subgroup of ARDS patients. VR values in the literature range from  $<1$  in the anaesthetised cohorts (indicating the effects of normal  $V_{\text{D}}/V_{\text{Tphys}}$  and  $Q_{\text{va}}/Q$  and reduced  $\text{VCO}_2$ ) to  $>5$  in ICU patients. The largest values of VR are unlikely to reflect the magnitude of dead space ventilation alone, and it is therefore unclear whether the higher absolute value of VR observed in severe ARDS reflects a worse dead space or the



**Fig 2.** (a) Theoretical model: the true physiological dead space as a function of physiological dead space at different venous admixture. The blue line is the identity line (venous admixture equal to zero), green line denotes venous admixture equals to 0.31, whereas the red line has venous admixture equal to 0.48. (b) Corrected dead space as a function of the physiological dead space in the clinical cohort of patients in which venous admixture was available. The venous admixture levels were the average above the median (0.31 [0.07]) and below the median (0.48 [0.13]).



**Fig 3.** Effect of the venous admixture, measured as difference between ventilatory ratio and ventilatory ratio corrected for venous admixture, in different classes of ARDS severity. The greater is the venous admixture, the higher is its effect on the difference between measured and corrected ventilatory ratios. ARDS, acute respiratory distress syndrome; VR, ventilatory ratio.

greater contribution of the  $Q_{va}/Q$ . Our multivariable logistic regression indicates that VR alone is a useful aggregate variable associated with outcome with odds ratios similar to other studies.<sup>7</sup> Because of the relationship between VR and  $PaO_2/FiO_2$  ratio, particularly in severe disease, VR should be interpreted accordingly and not considered a bedside index to estimate purely dead space. The physiological dead space and VR have a near-exponential relationship whose level depends on  $VCO_2$ . Indeed, we found higher VR in patients with higher  $VCO_2$  (Fig 1).

Sinha and colleagues<sup>7</sup> found weak and non-significant association between  $VCO_2$  and VR. They attributed this to the smaller and short-lived variation in  $VCO_2$  compared with the larger variations of  $V_D/V_{Tphys}$ . However, we found that the effects of  $VCO_2$  are more marked when VR is corrected for  $Q_{va}/Q$ . The recognition that venous admixture ( $Q_{va}/Q$ ) and  $VCO_2$  can change the absolute value of VR despite an unchanged dead space ventilation has several potential implications: (1) Changes in VR may not be attributed to a change in  $V_D/V_T$  if there are associated variations in oxygenation or  $VCO_2$ . This may affect the interpretation of the effect of therapeutic manoeuvres such as prone position, PEEP selection, or pulmonary vasodilators on the change in  $V_D/V_T$ . In these examples, changes in VR may be determined by a variable combination of reduction in  $Q_{va}/Q$  and  $V_D/V_T$  – but not necessarily exclusively in  $V_D/V_T$ . (2) In patients with more severe disease, the variations in VR may be confounded by the effect of larger  $Q_{va}/Q$ . In this case, interventions that affect  $Q_{va}/Q$  may disproportionately affect VR and affect the assumption of the underlying pathophysiological mechanisms. (3) Prediction models using VR as a proxy of  $V_D/V_T$  can inflate the range and its prognostic effect. (4) Although  $VCO_2$  disparities may appear a minor problem in general cohort, the VR dependency on this variable makes its use misleading in cases of abnormal  $VCO_2$  or during extracorporeal support, where a substantial portion of  $CO_2$  may be cleared by the membrane lung. In that setting,  $V_D/V_{Tphys}$  fully reflects the lung status, whereas VR may appear normal or even low.

The major limitation of this work, beyond its retrospective design, is that  $VCO_2$  was estimated rather than measured. The computation relies on the Harris–Benedict equation, which estimates the ‘standard’  $VCO_2$  production based on age, height, and weight (Supplementary material, equation [19]). In ICU patients, we may expect remarkable discrepancies between the actual and the predicted  $VCO_2$ . Yet, in the 176 patients in which  $VCO_2$  was measured, the relationship with the computed  $VCO_2$  was acceptable and the bias between measured and computed  $VCO_2$  was  $-22$  (48) ml, despite the large variability of their absolute values (Supplementary Fig. E4). Any inaccuracy of  $VCO_2$  estimation should affect the  $V_D/V_T$  (Supplementary material, Equation [2]), whereas it would not affect the calculation of VR. The measured and estimated  $V_D/V_{Tphys}$  values computed in 176 individuals, however, were similar (0.65 [0.13] and 0.59 [0.12], respectively).

In conclusion, our data suggest that: (1) if one aims to strictly determine the true dead space in ARDS, the  $V_D/V_{Tphys}$  must be corrected for  $Q_{va}/Q$ ; (2) the  $V_D/V_{Tphys}$  is an estimate of the overall gas exchange (oxygenation and  $CO_2$  clearance) and, as such, a powerful clinical tool to assess the severity of the lung impairment; (3) ventilatory ratio alone is a useful variable associated with outcome; however, ventilatory ratio, as  $V_D/V_{Tphys}$ ,

does not only reflect ventilated regions alone but also the important contributions of  $VCO_2$  and  $Q_{va}/Q$ .

## Authors’ contributions

Study concept and design: LG, LC, JM, MQ, KM  
 Acquisition, analysis, or interpretation of data: RM, PP, LG, LC, OM, MB, SG, CZ, RD, MV, FR  
 First drafting of manuscript-writing committee: RM; PP, LG, FR, LC, BS, JM, MQ  
 Critical revision for important intellectual content and final approval of manuscript: LC, JM, MQ, RM, LC, JM, MQ, JW, DC  
 Statistical analysis: BS, JW, RM  
 Paper review and modifications: all authors  
 Administrative, technical or material support: KM, OM, PH

## Declaration of Interest

LG reports a consultancy for General Electric and SIDAM. He also receives lecture fees from Estor and Mindray.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.bja.2022.10.035>.

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