1	Probability of Major Depression Classification Based on the SCID, CIDI and MINI
2	Diagnostic Interviews: A Synthesis of Three Individual Participant Data Meta-Analyses
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40 Keywords:

- 41 depressive disorders, diagnostic interviews, individual participant data meta-analysis, major depression,
- 42 classification
- 43

44 ABSTRACT

45	Objective: 7	To compare odd	ls of major	depression	classification	based on the	e Structured	Clinical Intervi	ew
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- 46 for DSM (SCID), the Composite International Diagnostic Interview (CIDI), and the Mini International
- 47 Neuropsychiatric Interview (MINI).
- 48 **Methods**: We included and standardized data from three individual participant data meta-analysis (IPDMA)
- 49 databases, which included primary studies with depressive symptom scores from the Patient Health
- 50 Questionnaire-9, Edinburgh Postnatal Depression Scale, or Hospital Anxiety and Depression Scale –
- 51 Depression subscale plus diagnostic interview-based major depression status. For each IPDMA, separately,
- 52 we fit binomial generalized linear mixed models to compare adjusted odds ratios (aORs) of (1) major
- 53 depression classification, controlling for depression symptom severity and participant characteristics, and
- 54 (2) the interaction between interview and symptom severity. Next, we synthesized results using
- 55 DerSimonian-Laird random-effects meta-analysis.
- 56 **Results:** In total, 69,405 participants (7,574 [11%] with major depression) from 212 studies were included.
- 57 Controlling for symptom severity and participant characteristics, the MINI (74 studies; 25,749 participants)
- 58 classified major depression more often than the SCID (108 studies; 21,953 participants; aOR [95% CI] =
- 59 1.46 [1.11-1.92]). Classification odds for the CIDI (30 studies; 21,703 participants) and SCID did not differ
- 60 overall (aOR [95% CI] =1.19 [0.79, 1.75]), but as screening scores increased, aOR increased less for the
- 61 CIDI than the SCID (interaction aOR [95% CI] = 0.64 [0.52-0.80]).
- Conclusions: Compared to the SCID, the MINI classified major depression more often. Odds of depression
 classification with the CIDI increased less as symptom levels increased. Interpretation of research that uses
 diagnostic interviews to classify depression should consider interview characteristics.
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66 INTRODUCTION

67 In mental health research, diagnostic interviews are used to classify disorders in a manner consistent 68 with standard classification systems and replicable across studies [1-4]. There are important differences, 69 however, in the designs of commonly used interviews. Semi-structured interviews are designed for 70 administration by trained professionals with diagnostic experience; evaluators can interject queries and use 71 their clinical judgment to determine whether symptoms are present and significant [1-3]. The Structured 72 Clinical Interview for DSM (SCID) [4] is the most commonly used semi-structured interview in depression 73 research [5-7]. Fully structured interviews, in contrast, are designed for lay interviewer administration to 74 reduce the cost of clinician-administered interviews. They are completely scripted, and evaluators cannot 75 provide additional explanations or rephrase questions; minimal judgment is involved. They are intended to 76 maximize reliability but may reduce validity [8]. The Composite International Diagnostic Interview (CIDI) 77 [8] is the most commonly used fully structured interview for depression research [5-7]. The Mini 78 International Neuropsychiatric Interview (MINI) [9,10], also common in depression research, is a very brief 79 fully structured interview, originally described by its developers as a screening interview and intended to be 80 over-inclusive [10].

81 Despite their differences, semi-structured interviews, fully structured interviews of conventional 82 length, and abbreviated alternatives such as the MINI are usually treated as equivalent. For instance, meta-83 analyses of depression screening tool accuracy typically pool primary study results without consideration of 84 reference standards [11-17]. Until recently, however, only several small studies, each with 61 depression 85 cases or fewer, compared classification by different diagnostic interviews [2,18-23]. Recently, three 86 individual participant data meta-analyses (IPDMA) compared odds of major depression classification 87 between different diagnostic interviews, controlling for depression symptom severity scores and participant 88 characteristics [5-7]. Those included an IPDMA with 17,158 participants from 57 primary studies that used 89 the Patient Health Questionnaire-9 (PHQ-9) to control for depression symptom severity [5], 12,759 women

in pregnancy or postpartum from 46 studies that used the Edinburgh Postnatal Depression Scale (EPDS) [6],
and 15,856 participants from 73 studies that used the depression subscale of the Hospital Anxiety and
Depression Scale (HADS-D) [7]. Results suggested that, compared to semi-structured interviews (e.g.,
SCID) [4], the CIDI may classify more people with relatively low-level symptoms as depressed but fewer
people with higher symptom levels. The MINI appeared to classify major depression in more people across
the symptom spectrum. There was important imprecision in results, however, including wide confidence
intervals (CIs) around estimates.

97 Our objective was to synthesize results from three separate IPDMAs datasets to and compare the most 98 commonly used diagnostic interviews for major depression, the SCID, CIDI, and MINI to determine (1) if 99 odds ratios for major depression classification using the CIDI and MINI differ from the SCID, controlling 100 for depression symptom severity and participant characteristics, and (2) if there is an interaction between the 101 interview and depressive symptom level that would suggest that differences in classification odds are 102 associated with symptom levels.

103 MATERIALS AND METHODS

We conducted a two-stage evidence synthesis. We first conducted IPDMAs in the PHQ-9, EPDS, and HADS datasets, separately, by fitting models with and without interaction terms for depressive symptom severity in each dataset, separately. Second, we pooled estimates from the results of the three IPDMAs.

107 Inclusion Criteria for the Included Datasets

For the PHQ-9, EPDS, and HADS-D IPDMAs, datasets from articles in any language were eligible for inclusion if (1) they included diagnostic classification for current Major Depressive Disorder or Major Depressive Episode using Diagnostic and Statistical Manual of Mental Disorders [24-27] or International Classification of Diseases [28] criteria based on a validated semi-structured or fully structured interview; (2) they included PHQ-9, EPDS, or HADS-D scores; (3) the diagnostic interview and depression screening test were administered within two weeks of each other; and (4) participants were \geq 18 years, not recruited from

114 youth or college settings, and not recruited from psychiatric settings or because a screening test identified

them as having symptoms of depression [29-31]. For the EPDS, participants were women in pregnancy or

116 within 12 months postpartum [30]. In each IPDMA, datasets where not all participants were eligible were

117 included if primary data allowed selection of eligible participants [29-31]. Over 90% of all included studies

118 in the IPDMA databases used the SCID, CIDI, or MINI diagnostic interviews. Thus, for the present study,

as we did in the published IPDMAs of the EDPS [6] and HADS-D [7], we restricted analyses to studies that

120 used SCID, CIDI, or MINI.

121 Search Strategy, Study Selection, Data Acquisition, and Data Extraction

For more details on the search and selection processes, as well as data contribution, extraction, and synthesis, please see Supplementary Method 1. For information on how the IPDMA datasets and the analyses conducted in the present study deviated from our previous published IPDMAs on diagnostic interview performance using the PHQ-9 [5], EPDS [6], and HADS-D [7] IPDMA databases, please see Supplementary Method 2, Supplementary Method 3, and Supplementary Figure 1.

127 Statistical Analysis

128 IPDMAs of PHQ-9, EPDS, and HADS-D Datasets:

129 We initially standardized symptom severity scores in each dataset. To do this, for each measure, we 130 converted raw screening tool scores to standardized scores by Z-transformation (subtracting the mean and 131 dividing by the standard deviation of raw scores). We then meta-analyzed the PHQ-9, EPDS, and HADS 132 datasets, separately. In each dataset, we fit binomial generalized linear mixed models with a logit link 133 function to compare the adjusted odds ratio (aOR) of major depression classification for the CIDI versus the 134 SCID, the MINI versus the SCID, and, as a supplementary analysis, the MINI versus the CIDI, controlling 135 for depressive symptom levels and other participant characteristics. We adjusted for different covariates in 136 the models for each dataset, based on relevant measures. For the PHQ-9 and HADS-D datasets, as in the 137 previously published IPDMAs [5,7], we controlled for depressive symptom severity (continuous

138	standardized scores), age, sex, country Human Development Index (very high, high, or low-medium) [32],
139	and patient care setting (PHQ-9: primary care, outpatient specialty care, inpatient specialty care, non-
140	medical care [33]; HADS-D: outpatient care, inpatient care, non-medical care, mixed inpatient and
141	outpatient [7]). For the EPDS, we did not control for sex or patient care settings but controlled for
142	pregnancy versus postpartum status [6]. To account for the correlation between subjects within primary
143	studies in each dataset, a random intercept was fit. Fixed slopes were estimated for all covariates in each
144	model. We also fit additional models in each dataset, where we added an interaction term between interview
145	and depressive symptom severity (continuous PHQ-9, EPDS, and HADS-D standardized scores), to
146	evaluate whether any differences in aOR of major depression classification were associated with depression
147	symptom severity.
148	Synthesis of IPDMA Results:
149	To synthesize results from the three IPDMAs, we pooled estimates of the aOR for each comparison
150	(CIDI versus SCID, MINI versus SCID, MINI versus CIDI) and the aOR for the interaction of interview
151	and depression symptom severity in each comparison, along with 95% CIs. We used DerSimonian-Laird
152	random effects meta-analysis to pool the aORs [34]. Heterogeneity was examined using the I2 statistic based
153	on log aORs [35]. Because some studies were included in both the PHQ-9 and HADS-D IPDMAs, as a
154	sensitivity analysis, we re-analyzed results after removing those studies.
155	All analyses were conducted in R (R version R 3.5.1 and R Studio version 1.1.463) [36,37] using the
156	glmer function within the lme4 package [38] and the rma function within the metafor package [39].
157	RESULTS
158	In total, 69,405 participants (7,574 [11%] with major depression) were included in the three individual
159	IPDMAs (Table 1). Of the 212 included primary studies, the SCID was used in 108 studies (21,953
160	participants, 14% major depression), the CIDI in 30 studies (21,703 participants, 7% major depression), and
161	the MINI in 74 studies (25,749 participants, 12% major depression). Mean (standard deviation) of raw

screening tool scores, prior to standardization, were 4.99 (5.26) for the PHQ-9, 6.98 (5.58) for the EPDS,

and 5.16 (4.07) for the HADS-D. Characteristics of individual primary studies are available in

164 Supplementary Table 1 with details for PHQ-9 update in Supplementary Method 1. There were 13 studies

that were included in both the PHQ-9 and HADS-D datasets, including 2,383 (6%) participants in the PHQ-

166 9 IPDMA and 2,349 participants (15%) in the HADS-D IPDMA. There was no overlap between the EPDS

167 and the PHQ-9 or HADS-D IPDMAs.

168 Estimates of aORs of major depression classification by diagnostic interview, controlling for 169 depressive symptom severity and other participant characteristics, individually and pooled, are reported in 170 Table 2. Overall odds of major depression classification did not differ for the CIDI versus the SCID (aOR 171 1.19, 95% CI = 0.79 to 1.75) in the full model that included the interaction term, but there was a significant 172 interaction between the CIDI and depressive symptom severity; as screening tool scores increased, odds of 173 major depression classification increased less for the CIDI than for the SCID (interaction aOR = 0.64, 95%174 CI = 0.52 to 0.80). As shown in Figure 1, participants with lower depressive symptom severity were more 175 likely to be classified with major depression with the CIDI compared to the SCID, but the opposite was true 176 with greater symptom severity. Compared to the SCID, the MINI classified major depression more often 177 (aOR 1.45; 95% CI = 1.08 to 1.93), controlling for depressive symptom severity and participant 178 characteristics. There was no apparent interaction between symptom levels and odds of classification 179 (interaction aOR = 0.95, 95% CI = 0.78 to 1.15). See Figure 2.

180 Trends of the probability of major depression classification by reference standards for individual

181 IPDMAs are presented in Supplementary Figures 2-4. There was minimal between-IPDMA heterogeneity in

182 overall aORs for the comparison of the CIDI versus the SCID and the MINI versus the SCID in models

183 without the interaction term ($I_2 = 11\%$ and 0%, respectively) and including the interaction term ($I_2 = 0\%$ and

184 0%, respectively). However, there was substantial between-IPDMA heterogeneity of interaction aORs for

both comparisons ($I_2 = 82\%$ and 82%). See Table 2.

In the comparison of the MINI versus the CIDI, the MINI was more likely to classify participants as having major depression than the CIDI (aOR = 2.05; 95% CI = 1.36 to 2.10), controlling for depressive symptom levels and other participant characteristics. As screening tool scores increased, the odds of major depression classification increased more for the MINI than for the CIDI (interaction aOR = 1.48, 95% CI = 1.36 to 1.60). Heterogeneity was low for aORs with and without the interaction term, and interaction aORs (I₂ = 0%, 0%, and 0%).

In the individual IPDMAs, some results from the EPDS dataset appeared to diverge from those generated in the PHQ-9 and HADS-D datasets. However, the number of studies and cases included in the EPDS dataset for the CIDI and MINI were smaller than any other combination of screening tool and diagnostic interview. See Table 1.

As a sensitivity analysis, we removed the 13 datasets that were included in both the PHQ-9 and
HADS-D IPDMAs and re-ran all analyses. Results were similar (see Supplementary Table 2).

198 **DISCUSSION**

199 There were two main findings. First, overall odds of major depression classification did not differ 200 between the fully structured CIDI and the semi-structured SCID. However, adjusting for depressive 201 symptom levels and participant characteristics, odds of major depression classification with the CIDI 202 increased significantly less than for the SCID as depressive symptom levels increased. This suggests that, 203 compared to the SCID, the CIDI is relatively more likely to classify individuals with subthreshold or mild 204 depressive symptoms and relatively less likely to classify people with more severe symptoms. Second, 205 participants evaluated with the MINI were significantly more likely to be classified as having major 206 depression compared to those assessed with the SCID, independent of symptom severity. Between-study 207 heterogeneity was low for models without the interaction term, but higher for models with interaction terms. 208 Estimates from the EPDS IPDMA appeared to diverge somewhat from the PHQ-9 and HADS-D IPDMAs.

This may have been related to the small numbers of studies and major depression cases for the CIDI andMINI among studies that used the EPDS.

211 Our findings appear to be consistent with characteristics of the different types of diagnostic 212 interviews. The MINI was designed as a screening interview and described by its developers as over-213 inclusive in classifying psychiatric disorders [10]. For the CIDI, the lack of sensitivity to different levels of 214 depressive symptoms severity may be because the CIDI assesses symptoms in the last 12 months and over 215 the lifetime, then probes to determine if those symptoms are currently present using only a single question. 216 In contrast, the SCID and the MINI specifically assess symptoms in the past two weeks. In addition, the 217 CIDI is much more complicated than the MINI or the SCID. It includes complex branches and is scored 218 using algorithms subject to calibration, which may influence how well diagnoses map onto DSM criteria. 219 This could lead to error at all symptom levels, which would result in more people classified at lower 220 symptom severity levels and fewer at higher levels.

Results were generally consistent with limited evidence from small studies that previously directly compared depression classification by administering semi- and fully structured diagnostic interviews to the same participants. In two studies that examined general population samples with low prevalence, fully structured interviews classified major depression substantially more frequently than semi-structured interviews [2,20]. On the other hand, in a study of participants in inpatient alcohol treatment, where symptom severity would be expected to be higher, depression classification likelihood was similar with semi-structured and fully structured interviews [22].

Our findings have important implications for research, including clinical trials, prognostic and risk factor studies, diagnostic accuracy studies, and prevalence studies. Concerns have been raised about the degree to which antidepressant trials are generalizable to real-world clinical practice [40]. Based on our findings, the method used to classify depression status is also an important consideration. If used to determine trial eligibility, the CIDI may not identify some participants who would be eligible based on the

233 SCID, whereas both CIDI and MINI may include some participants who would not be eligible based on the 234 SCID, which could reduce the ability to detect treatment effects and further limit applicability to 235 participants in practice who meet diagnostic criteria. Differences in classifying participants could similarly 236 reduce the ability to identify potential associations between risk factors and depression. In diagnostic test 237 accuracy studies, depression screening tool accuracy has been shown to differ across reference standards 238 [33,41,42]. In studies of major depression prevalence, the MINI will overestimate compared to the SCID, 239 whereas with the CIDI, relative prevalence will depend on the underlying distribution of depressive 240 symptoms.

241 Our findings, which are contrary to the common belief that different reference standards can be 242 treated equivalently in mental health research, provide evidence that different approaches are needed [43]. 243 Ideally, researchers would use semi-structured interviews, such as the SCID, which are designed to replicate 244 diagnostic procedures as closely as possible, to establish diagnostic status. However, this is not always 245 feasible due to the resources required, including highly trained staff. Future studies are needed to develop 246 models to calibrate weights of major depression classification based on different reference standards that 247 could facilitate synthesis of results using different diagnostic interviews. Meanwhile, in selecting a 248 diagnostic interview for use in research, investigators should consider advantages and disadvantages of 249 different interviews, including performance characteristics and resources required. In published studies, 250 authors should comment on potential implications of the type of diagnostic interview that was used. Users 251 of research, including clinicians, should be aware that results from studies that use the CIDI or MINI may 252 differ from what would be found using semi-structured interviews, which are designed to replicate 253 diagnostic procedures as closely as possible. It is also important to underline that from a clinimetric 254 perspective [44-46], assessment of diagnostic status alone is not sufficient, but that rating tools and self-255 report questionnaires are needed to characterize symptom severity and the specific nature of experienced 256 symptoms.

257 A strength of the present study was the inclusion of 69,405 participants with 7,574 (11%) major 258 depression cases from 212 studies. This allowed us to overcome limitations of previous IPDMAs and 259 generate more precise estimates. A second strength was that data within each included dataset were 260 standardized in terms of definitions of major depression classification, eligibility criteria, and variables. A 261 limitation to consider is that for included IPDMAs, we could not obtain primary data for 28 of 117 eligible 262 PHQ-9 studies (24% of eligible studies, 17% of eligible participants), 19 of 64 EPDS studies (30% of 263 eligible studies, 30% of eligible participants), and 47 of 116 HADS-D studies (41% of eligible studies, 29% 264 of eligible participants). A second is that we used standardized scores instead of raw depression symptom 265 scores, which required making the assumption that a standard deviation change in scores was equivalent 266 across different screening tools. Third, because only three estimates were pooled, our ability to estimate 267 heterogeneity and explore possible causes was limited. Fourth, some studies were included in both the 268 PHQ-9 and HADS-D IPDMAs. However, a sensitivity analysis showed that results were similar when these 269 studies were removed. Fifth, we examined the SCID, CIDI, and MINI, because we did not have access to 270 enough studies to include other diagnostic interviews. It is unclear to what degree our findings would 271 generalize to other diagnostic interviews. Finally, our study did not include a head-to-head comparison of 272 interviews from a randomized controlled trial or by administering different interviews to all participants. It 273 is unlikely, however, that such as study would be feasible with a large enough sample to draw conclusions 274 with confidence. Our study design, despite its limitations, overcame this barrier.

To conclude, the semi-structured SCID was designed to replicate diagnostic standards and procedures as closely as possible. By synthesizing results from three large IPDMAs, we found that the most commonly used fully structured diagnostic interviews to classify major depression, the CIDI and MINI, did not perform equivalently to the SCID. The CIDI is not as responsive as the SCID to different levels of reported depressive symptoms, and the MINI identifies more cases across the spectrum of depressive symptom levels. Researchers should carefully consider the advantages and disadvantages of using these diagnostic

- 281 interviews, and findings from studies based on the CIDI or the MINI should be interpreted considering how
- their performance deviates from that of the SCID.

284 Acknowledgements: Not applicable

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286 Author Contributions: YW, BL, JPAI, AB, and BDT were responsible for the study conception and 287 design. BDT contributed a primary dataset that was included in this study. YW, BL, and BDT contributed to 288 data extraction and coding for the meta-analysis. YW, BL, AB, JPAI, and BDT contributed to data analysis 289 and interpretation. YW, AB and BDT contributed to drafting the manuscript. All authors provided a critical 290 review and approved the final manuscript. AB and BDT are the guarantors; they had full access to all the 291 data in the study and take responsibility for the integrity of the data and the accuracy of the data analyses. 292 293 Group Author Contributions: The DEPRESSD Collaboration includes collaborators who contributed: 294 To data extraction, coding, and synthesis: Ying Sun, Lady Davis Institute for Medical Research, 295 Jewish General Hospital, Montréal, Québec, Canada; Chen He, Lady Davis Institute for Medical Research, 296 Jewish General Hospital, Montréal, Québec, Canada; Ankur Krishnan, Lady Davis Institute for Medical 297 Research, Jewish General Hospital, Montréal, Québec, Canada; Parash Mani Bhandari, Lady Davis Institute 298 for Medical Research, Jewish General Hospital, Montréal, Québec, Canada; Dipika Neupane, Lady Davis 299 Institute for Medical Research, Jewish General Hospital, Montréal, Québec, Canada; Zelalem Negeri, Lady 300 Davis Institute for Medical Research, Jewish General Hospital, Montréal, Québec, Canada; Mahrukh Imran, 301 Lady Davis Institute for Medical Research, Jewish General Hospital, Montréal, Québec, Canada; Danielle 302 B. Rice, Lady Davis Institute for Medical Research, Jewish General Hospital, Montréal, Québec, Canada; 303 Kira E. Riehm, Lady Davis Institute for Medical Research, Jewish General Hospital, Montréal, Québec, 304 Canada; Nazanin Saadat, Lady Davis Institute for Medical Research, Jewish General Hospital, Montréal, 305 Québec, Canada; Marleine Azar, Lady Davis Institute for Medical Research, Jewish General Hospital, 306 Montréal, Québec, Canada; Alexander W. Levis, Lady Davis Institute for Medical Research, Jewish 307 General Hospital, Montréal, Québec, Canada; Tatiana A. Sanchez, Lady Davis Institute for Medical

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Funding Sources: This study was funded by the Canadian Institutes of Health Research (KRS-134297,
PCG-155468, PJT-162206, KRS-140994, KRS-144045). Drs. Wu and Levis were supported by Fonds de
recherche du Québec – Santé (FRQS) Postdoctoral Training Fellowships. Dr. Benedetti was supported by a
FRQS researcher salary award.

569

570 Disclosure Statement: All authors have completed the ICJME uniform disclosure form and declare no
 571 support from any organization for the submitted work; no financial relationships with any organizations that

572	might have an interest in the submitted work in the previous three years. All authors declare no other
573	relationships or activities that could appear to have influenced the submitted work. No funder had any role
574	in the design and conduct of the study; collection, management, analysis, and interpretation of the data;
575	preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.
576	
577	Statement of Ethics: The authors have no ethical conflicts to disclose.
578	
579	Transparency Declaration: The manuscript's guarantor affirms that this manuscript is an honest, accurate,
580	and transparent account of the study being reported; that no important aspects of the study have been
581	omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been
582	explained.
583	
584	Data Sharing: Requests to access data should be made to the corresponding authors.

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701 FIGURE LEGENDS

702

Figure 1. Comparison of major depression classification odds of the Composite International Diagnostic
 Interview (CIDI) versus the Structured Clinical Interview for DSM (SCID)

705

- The figure presents the aOR of major depression classification for the CIDI compared to the SCID for
- 707 primary studies based on the PHQ-9, EPDS, and HADS-D and pooled estimates at standardized scores of -
- 1, 0, 1, 2 and 3. The standardized scores of -1, 0, 1, 2 and 3 are approximately equal to scores of 0, 5, 10, 16
- and 21 on the PHQ-9 (SD = 5.26); 1, 7, 13, 18 and 24 on the EPDS (SD = 5.58); and 1, 5, 9, 13 and 17 on
- 710 the HADS-D (SD = 4.07). We present standardized scores from -1 to 3, because raw scores corresponding
- to standardized scores below -1 or above 3 would be negative or beyond the maximum scores of the
- 712 included screening tools.
- 713

Abbreviations: EPDS: Edinburgh Postnatal Depression Scale; HADS-D: Depression subscale of Hospital
Anxiety and Depression Scale; META: Pooled estimates from the synthesis meta-analysis. PHQ-9: Patient
Health Questionnaire-9.

717

Figure 2. Comparison of major depression classification odds of the Mini International Neuropsychiatric
 Interview (MINI) vs. the SCID considering the interaction between depressive symptom severity and the
 MINI

722

723	The figure presents the aOR of major depression classification for the MINI compared to the SCID for
724	primary studies based on the PHQ-9, EPDS, and HADS-D and pooled estimates at standardized scores of -
725	1, 0, 1, 2 and 3. The standardized scores of -1, 0, 1, 2 and 3 are approximately equal to scores of 0, 5, 10, 16
726	and 21 on the PHQ-9 (SD = 5.26); 1, 7, 13, 18 and 24 on the EPDS (SD = 5.58); and 1, 5, 9, 13 and 17 on
727	the HADS-D (SD = 4.07). We present standardized scores from -1 to 3, because raw scores corresponding
728	to standardized scores below -1 or above 3 would be negative or beyond the maximum scores of the
729	included screening tools.
730	

Abbreviations: EPDS: Edinburgh Postnatal Depression Scale; HADS-D: Depression subscale of Hospital
Anxiety and Depression Scale; META: Pooled estimates from the synthesis meta-analysis. PHQ-9: Patient
Health Questionnaire-9.