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**High-resolution upper Pliocene to Pleistocene calcareous nannofossil biostratigraphy in Ocean Drilling Program Hole 1146A in the South China Sea**

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**Conflicts of interest**

The authors have no conflicts of interest to declare.

**Availability of data and materials**

Please contact the corresponding author regarding data requests.

## 32 Abstract

33 We established a high-resolution calcareous nannofossil biostratigraphy for the late Pliocene–  
34 Pleistocene by analyzing a 242 m-thick, continuous sedimentary succession from Ocean Drilling  
35 Program Site 1146, Hole A, in the South China Sea (SCS). A total of 14 calcareous nannofossil  
36 datums were detected in the SCS succession. They are, in descending order: first occurrence  
37 (FO) of *Emiliana huxleyi*, last occurrence (LO) of *Pseudoemiliana lacunosa*, LO of  
38 *Reticulofenestra asanoi*, FO of *Gephyrocapsa parallela*, FO of *R. asanoi*, LO of large  
39 *Gephyrocapsa* spp., FO of large *G.* spp., FO of *Gephyrocapsa oceanica*, FO of *Gephyrocapsa*  
40 *caribbeanica*, LO of *Calcidiscus macintyreii*, LO of *Discoaster brouweri*, LO of *Discoaster*  
41 *pentaradiatus*, LO of *Discoaster surculus*, and LO of *Discoaster tamalis*. The FO of *E. huxleyi*  
42 was not precisely detected due to poor preservation and dissolution of nannofossils in the  
43 underlying strata. We refined the previous calcareous nannofossil biostratigraphy in the SCS by  
44 identifying *Gephyrocapsa* species and four evolutionary extinction events of the genus  
45 *Discoaster*. The proposed calcareous nannofossil biostratigraphy correlates with those reported  
46 in other terrestrial and marine areas/sites and global benthic foraminiferal  $\delta^{18}\text{O}$  records. The age–  
47 depth curves based on nannofossil biostratigraphy indicate a significant increase in the  
48 sedimentation rates at the LO of *R. asanoi* (0.91–0.85 Ma). The timing of this increase  
49 corresponds to reef expansion in the Ryukyu Islands linked to a stepwise increase in Kuroshio  
50 Current intensity. This timing is broadly coeval with a sea surface temperature increase of  $\sim 2^\circ\text{C}$   
51 in the northwestern Pacific due to expansion of the Western Pacific Warm Pool towards the  
52 north and south subtropical regions. This can be explained by increased weathering and erosion  
53 of terrestrial areas in glacial periods and increased rainfall causing higher sediment transport in  
54 interglacial periods, which were both linked to Middle Pleistocene Transition-related climatic  
55 changes.

56 **Keywords:** biostratigraphy, calcareous nannofossil, first occurrence, last occurrence, Ocean  
57 Drilling Program, Pliocene–Pleistocene, South China Sea.

58

## 59 1 Introduction

60

61 The South China Sea (SCS) is the largest marginal sea in the western Pacific Ocean. The SCS is  
62 bounded by the Indochina Block to the west, the Philippine Sea Plate to the east, and the Yangtze  
63 Block to the north (Figure 1). The formation of the SCS basin was closely related to the collision  
64 between the Indian and Eurasian plates (Sun, 2016). The SCS underwent five stages of tectonic  
65 evolution: rift system development (55–50 Ma), seafloor spreading (37–25.5 Ma), subsidence  
66 (25 and 5 Ma), closure (10 Ma), and uplift of Taiwan (5–4 Ma; Cullen et al., 2010).

67 A large number of paleoclimatic (Jiang et al., 2015; Li et al., 2017; Liu et al., 2003),  
68 paleoceanographic (Huang et al., 2005; Jian et al., 2000; Li et al., 2006, 2008; Wang et al., 1995),  
69 paleoenvironmental (Hu et al., 2002; Sun and Li, 1999; Zhao, 2005), and biostratigraphic studies  
70 (Huang, 1997; Li et al., 2004, 2006; Nathan and Leckie, 2003; Su et al., 2004; Wang, 1990) have  
71 been carried out in the SCS. Most geological data have been obtained from offshore petroleum  
72 exploration wells. In 1999, thick sediment sequences were recovered during Ocean Drilling  
73 Program (ODP) Leg 184 in the SCS. These sediments have been subjected to  
74 paleoenvironmental, paleoclimatic, and paleoceanographic studies (Huang et al., 2005; Li et al.,  
75 2008, 2011). However, there are limited biostratigraphic constraints on the successions recovered  
76 from the ODP sites in the SCS, which include planktic foraminiferal biostratigraphy (Li et al.,  
77 2005) and calcareous nannofossil biostratigraphy (Su et al., 2004). In a previous study of  
78 calcareous nannofossil biostratigraphy at ODP Site 1146 (Su et al., 2004), the sampling  
79 resolution was relatively low (~1 m intervals), and some important datums were not detected.

80 On the northern slope of the SCS, ODP Leg 184 identified three main depositional stages  
81 during the late Cenozoic: (1) extremely high sedimentation rates during the Oligocene; (2) lower  
82 sedimentation rates and high sediment carbonate contents during the Miocene and early  
83 Pliocene; (3) high rates of clastic sediment accumulation since 3 Ma. The high sedimentation  
84 rates and widespread carbonate successions in the SCS provide an ideal basis to construct a high-  
85 resolution calcareous nannofossil biostratigraphic framework. The aim of this study was to  
86 improve the resolution of the calcareous nannofossil biostratigraphy for the SCS.

87 During the 1970s and 1980s, calcareous nannofossil biostratigraphic zones were  
88 proposed for the Mesozoic (Sissingh, 1977) and Cenozoic (e.g., Bukry, 1973, 1975; Martini,  
89 1971; Okada and Bukry, 1980). The Pleistocene zonation proposed by Gartner (1977) included

90 seven biozones for the Caribbean and Pacific basins. Subsequently, Takayama and Sato (1987)  
91 identified 12 evolutionary events in the Pleistocene succession recovered during ODP Leg 94 in  
92 the North Atlantic. Most recent studies have focused on refining Pleistocene evolutionary events  
93 and improving the standard zonation by means of the first and last occurrence datums of age-  
94 diagnostic species (Raffi et al., 1993; Rio et al., 1990; Wei, 1993). However, some studies have  
95 also considered the beginnings and ends of acme events of certain characteristic taxa (Raffi et al.,  
96 2006). Pleistocene calcareous nannofossil datums and their chronostratigraphic framework have  
97 been investigated for several decades, and these events have been used to calibrate  
98 magnetostratigraphic records (Maiorano et al., 1994; Marino, 1996; Raffi, 2002; Raffi and Rio,  
99 1979; Rio, 1982; Takayama and Sato, 1987) or the astrochronological timescale via oxygen  
100 isotope stratigraphy (Flores et al., 2000; Hilgen et al., 2005; Langereis and Hilgen, 1991;  
101 Lourens et al., 1992; Raffi, 2002; Raffi et al., 1993, 2006; Sato et al., 2009; Shackleton and Hall,  
102 1989; Shackleton et al., 1990, 1995, 1999; Thierstein et al., 1977; Wei, 1993), thereby providing  
103 absolute ages for the datums and showing that the datums are globally synchronous.

104 The objective of this study was to establish a high-resolution upper Pliocene to  
105 Pleistocene calcareous nannofossil biostratigraphy of the SCS, and to correlate it with those in  
106 other areas/sites worldwide and the global benthic foraminiferal  $\delta^{18}\text{O}$  record. Although the  
107 previous calcareous nannofossil biostratigraphy of the SCS did not include some significant  
108 Pleistocene datums (Su et al., 2004), they are introduced into our biostratigraphy to increase the  
109 temporal resolution and improve the accuracy of the new biostratigraphy.

110

## 111 2 Oceanographic Setting

112

113 The SCS lies in the tropical region and ranges from the equator to 23°N and from 99° to 121°E.  
114 The SCS is connected to the Pacific Ocean through the Luzon Strait (Bashi Strait or Bashi  
115 Channel) between Taiwan and Luzon islands (Figure 1). The modern SCS is located in the  
116 monsoon regime. Winter monsoons from the north and northeast carry cold and dry winds across  
117 the marginal seas to the east of the Eurasian continent (Figure 1; Li et al., 2018). Cold, saline  
118 surface waters flow from the East China and Yellow seas southward into the SCS through the  
119 Taiwan Strait, forming a counterclockwise gyre in winter (Li et al., 2008). As a result, the winter  
120 sea surface temperature (SST) drops to 20–23 °C in the northern SCS and to ~27 °C in the

121 southern SCS (Wang and Li, 2009). During summer, the prevailing southwest monsoon carries  
122 warm equatorial Indian Ocean water flowing over the Sunda Shelf into the SCS, resulting in a  
123 high and uniform SST ( $\sim 29$  °C). A series of small clockwise gyres (Figure 1) are formed when  
124 water flows from the north, especially when western Pacific water flows through the Bashi Strait  
125 (Li et al., 2008).

126 During the Last Glacial Maximum (LGM), the SCS became a semi-isolated basin after  
127 losing half of its surface area ( $>52\%$ ) as a result of shelf exposure. The three major shelf areas  
128 that emerged during the LGM, the East China Sea Shelf, Sunda Shelf (Great Asian Bank), and  
129 Sahul Shelf (Great Australian Bank), have a combined area of 3,900,000 km<sup>2</sup>, which is  
130 comparable in size to the Indian subcontinent (Wang, 1997). Sea-level falls have greatly altered  
131 the configuration and area of the western Pacific marginal seas. Given that the shelf area of the  
132 SCS is located mainly in the modern Western Pacific Warm Pool, which is bounded to the west  
133 by the 28 °C surface isotherm, the reduction in size of the SCS during the LGM must have  
134 profoundly influenced the thermodynamic role exerted by the Global Warm Pool (Prell et al.,  
135 1999).

136

### 137 3 Materials and Methods

138

139 ODP Leg 184, Site 1146, Hole A is located at 19°27.40'N and 116°16.37'E, at a water depth of  
140 2092 m (Figure 1), which is above the current sill depth of the Bashi Strait (2600 m) in the  
141 northern SCS (Shipboard Scientific Party, 2000). Three holes (ODP holes 1146A–C) were  
142 drilled at this site. The lithology at this site is mainly nannofossil ooze and clays with varying  
143 proportions of biogenic carbonates of early Miocene (*ca.* 19 Ma) to Pleistocene (*ca.* 0.01 Ma)  
144 age (Shipboard Scientific Party, 2000). The Pleistocene sediments extend to 201 meters below  
145 sea floor (mbsf), and consist of greenish gray nannofossil clay that is relatively enriched in  
146 quartz and feldspar, with thin interlayers of volcanic ash and silt-sized quartz in some intervals  
147 (Figure 2). The sediments grade downhole into Pliocene clayey nannofossil ooze (201–306  
148 mbsf). The Miocene sediments occur from 306 to 607 mbsf. Samples for this study were  
149 collected from the upper Pliocene to Pleistocene interval in ODP Hole 1146A, extending down  
150 to 241.92 mbsf with a sampling interval of  $\sim 40$  cm.

151 A total of 616 samples were analyzed to establish a high-resolution calcareous  
152 nannofossil biostratigraphy (Table S1, Figure 3). The microscope slides were prepared following  
153 the conventional method (e.g., Imai et al., 2015, 2020). The slides were observed under a ZEISS  
154 Axioskop 2 binocular polarizing microscope (Zeiss, Jena) with an oil immersion objective lens at  
155 a magnification of 1500×.

156 At least 200 nannofossil specimens were identified for each slide to assess the  
157 stratigraphic distribution of each species and to correlate species abundance (including  
158 presence/absence) to known nannofossil events (i.e., the first occurrence [FO] and last  
159 occurrence [LO]). Simultaneously, random observations were repeatedly performed to  
160 crosscheck for the presence/absence of age-diagnostic species. Taxonomy of the calcareous  
161 nannofossils follows those of Takayama and Sato (1987) and Sato and Takayama (1992).

162

## 163 4 Results

### 164 4.1 Preservation

165 The preservation of calcareous nannofossils is generally moderate to good throughout the studied  
166 intervals. However, some of the sampling intervals contain poorly preserved nannofossils and/or  
167 are nearly barren. The interval between 101.23 and 162.16 mbsf is characterized by poor  
168 preservation of nannofossils (Figure 2). In addition, in some intervals between 33.58 and 70.53  
169 mbsf, above the LO of *Pseudoemiliana lacunosa*, moderate overgrowths and dissolution are  
170 apparent. The taphonomic alteration masks part or all of the specimens, making it somewhat  
171 difficult to consistently distinguish gephyrocapsid species as well to identify *Emiliana huxleyi*.  
172 The intervals of poor preservation are characterized by low abundances of nannofossils; some of  
173 these intervals contain large amounts of calcite crystals of unknown origin. The paucity of  
174 nannofossils in the intervals 116.25–119.79 and 134.30–140.24 mbsf made it difficult to  
175 determine the horizons of some nannofossil events, such as the LO of *Reticulofenestra asanoi*  
176 and LO of large *Gephyrocapsa*.

177

### 178 4.2 Nannofossil datums

179 In ODP Hole 1146A, the upper Pliocene to Pleistocene sediments yielded more than 45 species,  
180 varieties, and morphotypes of calcareous nannofossils. The assemblages are characterized by  
181 small *Gephyrocapsa* spp., which occur throughout the Pleistocene sediments with a relative

182 abundance of >30%, whereas the upper Pliocene assemblages are dominated by *P. lacunosa*  
183 (>15%) and small forms of *Reticulofenestra* species (>20%) in most sample intervals. *Discoaster*  
184 spp. occur in the upper Pliocene to lower Pleistocene sediments with a relative abundance of  
185 <10%. Other species such as *Calcidiscus leptoporus*, *Rhabdosphaera clavigera*,  
186 *Umbilicosphaera sibogae*, *Syracosphaera pulchra*, and *Helicosphaera carteri* occur  
187 continuously throughout the studied interval.

188 A total of 14 calcareous nannofossil datums were identified in the interval of 0–242 mbsf,  
189 covering a timespan of ca. 2.8 Myr (Tables 1; Figure 3). The FO of *E. huxleyi* occurred at 33.18–  
190 33.58 mbsf upwards. However, the actual FO may be lower because dissolution in the interval  
191 from 33.58 to 70.53 mbsf made it difficult to identify *E. huxleyi*. The LO of *P. lacunosa* in ODP  
192 Hole 1146A was detected at 72.53–72.93 mbsf. Preservation of this species was moderate to  
193 good (Figure 4b-1, b-2), except for the interval 101.23–162.16 mbsf, in which calcareous  
194 nannofossils were generally poorly preserved. Therefore, the analyzed core is late Pliocene to  
195 Pleistocene in age. The LO of *Helicosphaera sellii* and FO and LO of *Helicosphaera inversa*  
196 were not detected because these species occurred rarely and sporadically.

197 We calculated the sedimentation rate based on 12 calcareous nannofossil datums (Table  
198 2). This is because two of the events (FO of *E. huxleyi* and LO of *C. macintyreii*) were not in the  
199 correct chronological order. We used the numerical ages assigned by Raffi et al. (2006) and Sato  
200 et al. (2012) (Table 2). The age–depth curves indicate a wide range of sedimentation rates from  
201 0.8 to 16.2 cm/kyr. The lowest sedimentation rate (0.8 cm/kyr) occurred between the FO of  
202 *Gephyrocapsa caribbeanica* and FO of *Gephyrocapsa oceanica*, whereas the highest  
203 sedimentation rate (16.0–16.2 cm/kyr) was obtained from the LO of *P. lacunosa* to the seafloor.  
204 The curves reveal an increase in sedimentation rate from ~5 cm/kyr in the interval from the LO  
205 of *Discoaster tamalis* to the LO of *R. asanoi* to ~13–14 cm/kyr in the interval of the LO of *R.*  
206 *asanoi* to the seafloor.

207

## 208 5 Discussion

### 209 5.1 Datum correlation

210 The detected calcareous nannofossil datums in this study indicate that the analyzed sedimentary  
211 succession can be correlated with the NN16 to NN21 zones of Martini (1971) and the CN12 to  
212 CN15 zones of Okada and Bukry (1980), corresponding to the late Pliocene–Pleistocene. Our



213 biostratigraphy is consistent with that established by Su et al. (2004), in terms of the  
214 chronological sequence (Figure 3). However, there are differences in several datum depths.  
215 Furthermore, we introduced five new calcareous nannofossil datums to refine the biostratigraphy  
216 of Su et al. (2004).

217 The FO of *E. huxleyi* (Figure 4a-1, a-2) was detected at a shallower depth (33.18–33.58  
218 mbsf upwards) in ODP Hole 1146A than reported by Su et al. (2004) (62.21 meter composite  
219 depth [mcd]) in ODP Hole 1146B. This difference is due to moderate overgrowth and dissolution  
220 of calcareous nannofossils in the interval of 33.58–70.53 mbsf, which suggests that the  
221 preservation state of calcareous nannofossils spatially varies even at a single site. Furthermore,  
222 the cross-over event between *E. huxleyi* and *G. caribbeanica* (upward decrease in abundance of  
223 *G. caribbeanica* and upward increase of *E. huxleyi*) was not clearly observed in ODP Hole  
224 1146A, although it has been recorded in many other sites with a wide range of reported ages of  
225 90–63 ka (Bollmann et al., 1998; Flores et al., 1999; Gartner, 1977; Gradstein et al., 2012; Pujos  
226 and Giraudeau, 1993; Raffi et al., 2006; Su et al., 2004; Thierstein et al., 1977; Weaver and  
227 Thomson, 1993). Therefore, we consider that the actual FO of this species is likely located  
228 between 33.58–70.53 mbsf, which is correlated to Marine Isotope Stage 12 (MIS12)–MIS6 of  
229 the benthic foraminifera oxygen isotope record of *Uvigerina peregrina* and *Cibicides*  
230 *wuellerstorfi* at ODP Site 1146 (Clemens and Prell, 2003; hereafter called the BOI stratigraphy)  
231 (Figure 5). This interpretation is not inconsistent with the FO of *E. huxleyi* in MIS8 (Thierstein et  
232 al., 1977) as confirmed by numerous studies (e.g., Raffi et al., 2006; Sato et al., 2012).

233 The LO of *P. lacunosa* (Figure 4b-1, b-2) in our study (72.53–72.93 mbsf) was detected  
234 ~5.6 m above the depth (78.31 mcd) identified by Su et al. (2004) in ODP Hole 1146C. The  
235 difference is probably due to the different sampling resolution and/or slight variations in the  
236 sedimentary succession between the holes. In this study, the datum occurs slightly below MIS12  
237 of the BOI stratigraphy. This correlation is in good agreement with other studies (Sato et al.,  
238 2009, 2012; Figure 5).

239 The LO of *R. asanoi* (Figure 4c-1, b-2) was placed at 119.38–119.79 mbsf in ODP Hole  
240 1146A and was detected at a similar depth (117.51 mcd) in ODP Hole 1146C (Su et al., 2004).  
241 The datum was correlated to MIS23 of the BOI stratigraphy (Figure 5). This is in good  
242 agreement with Raffi et al. (2006), in which this event was also located in MIS23. In addition,  
243 our data show a good correlation with the magnetostratigraphy in ODP Hole 1146A, as the event

244 was located below the Brunhes–Matuyama boundary (Lisiecki and Raymo, 2005; Marino et al.,  
245 2011; Sato et al., 2012; Figure 3). However, there is a slight difference in the LO of *R. asanoi*  
246 between this study and Sato et al. (2009), who correlated this event in the northern Atlantic  
247 Ocean to the lowest peak of MIS21. However, the LO of *R. asanoi* has been reported to have  
248 occurred in MIS22 (Raffi, 2002; Sato and Takayama, 1992; Wei, 1993).

249 The FO of *Gephyrocapsa parallela* (Figure 4d-1, d-2) was detected at 126.59–126.99  
250 mbsf in ODP Hole 1146A. This is a bioevent that has been newly introduced to calcareous  
251 biostratigraphy in the SCS. This datum was correlated to MIS29 of the BOI stratigraphy (Figure  
252 5), in broad agreement with other deep-sea sites (e.g., MIS30/29 by Marino et al., 2011; MIS 27  
253 by Sato et al., 2009, 2012).

254 The FO of *R. asanoi* (134.30–134.70 mbsf) was detected ~11 m above the depth (145.52  
255 mcd) identified by Su et al. (2004) in the same hole. This depth discrepancy is mainly due to the  
256 difference in species identification, because Su et al. (2004) considered the cutoff size of *R.*  
257 *asanoi* is >5 µm, which is different to our study (>6 µm). In the protologue of *R. asanoi* (Sato  
258 and Takayama, 1992), the size of this species is defined as >6.0 µm. This definition has been  
259 followed in many studies (e.g., Marino et al., 2003; Raffi, 2002; Sato and Takayama, 1992; Wei,  
260 1993). In this study, the FO of *R. asanoi* was located at the MIS35/34 transition of the BOI  
261 stratigraphy. This agrees with the correlation of Sato et al. (2009, 2012; MIS34) and Raffi et al.  
262 (2006; MIS34–32) (Figure 5).

263 The LO and FO of large *Gephyrocapsa* (Figure 4e-1, e-2) are new datums for calcareous  
264 biostratigraphy in the SCS. The LO was detected at 140.24–140.64 mbsf, although nannofossils  
265 were rare in the interval of 134.30–140.24 mbsf. The FO was placed at 152.84–153.25 mbsf  
266 despite the poor to moderate state of preservation of this group. The LO was correlated to MIS37  
267 of the BOI stratigraphy (Figure 5). This broadly agrees with the correlation of Raffi et al. (2006;  
268 MIS38), but is slightly older than MIS35 as determined by Sato et al. (2009). Although the FO  
269 was correlated to MIS47 of the BOI stratigraphy, the isotopic record does not show clear  
270 cyclicity or cannot be reliably correlated with the LR04 stack below ~150 mbsf (i.e., below  
271 MIS44). Thus, we do not correlate the calcareous nannofossil biostratigraphy with the BOI  
272 stratigraphy below this depth. The FO of large *Gephyrocapsa* was reported to be correlated with  
273 MIS47 in some previous studies (e.g., Raffi, 2002; Raffi et al., 2006); however, it has also been  
274 correlated with MIS44 (Sato et al., 2009), MIS45 (Flores and Marino, 2002), MIS51–47 (Wei,

275 1993), and MIS55 (Lourens et al., 1996). Therefore, the FO of large *Gephyrocapsa* is likely to be  
276 a diachronous event recorded at variable times in different marine and onland sections.

277 The FO of *G. oceanica* (Figure 4f-1, f-2), a new datum for calcareous nannofossil  
278 biostratigraphy in the SCS, was found at 161.35–161.75 mbsf. This species occurred throughout  
279 the Pleistocene succession. However, it was extremely rare in the intervals 126.59–126.99 and  
280 140.24–141.64 mbsf. The datum was correlated to MIS60 in the northern Atlantic Ocean (Sato et  
281 al., 2009).

282 The FO of *G. caribbeanica* (Figure 4g-1, g-2) is also a new datum (161.75–162.16 mbsf)  
283 for calcareous nannofossil biostratigraphy in the SCS. This datum corresponds to the FO of  
284 medium-sized *Gephyrocapsa* of Su et al. (2004), which was recorded at 177.46 mcd in ODP  
285 Hole 1146B (Figure 3). The depth difference of this event is mainly due to taxonomical  
286 differences, such as the size criterion used to define this species: 4–6  $\mu\text{m}$  in this study versus 3.5  
287  $\mu\text{m}$  in Su et al. (2004). *G. caribbeanica* follows the same trend as *G. oceanica* and was  
288 extremely rare from 126.59–126.99 to 140.24–140.64 mbsf. The magnetostratigraphy of ODP  
289 Hole 1146B (Shipboard Scientific Party, 2000) indicates that the FO of medium-sized  
290 *Gephyrocapsa* of Su et al. (2004) is located below the Olduvai (C2n) normal subchron. This is  
291 inconsistent with the FO of *G. caribbeanica*/medium-sized *Gephyrocapsa* slightly above the  
292 subchron in many areas/sites (e.g., Kameo et al., 2020; Marino et al., 2003; Raffi, 2002; Raffi et  
293 al., 2006; Sato et al., 2012). The FO of *G. caribbeanica* is located at, or immediately above, the  
294 top of the Olduvai subchron (Figure 3), if we extrapolate the magnetostratigraphy in ODP Holes  
295 1146B (160.5–165.8 mbsf) and C (162.3–165.0 mbsf) to the studied hole. Given that the top of  
296 the Olduvai subchron is correlated to MIS63 (e.g., Lisiecki and Raymo, 2005), the FO of *G.*  
297 *caribbeanica* is correlated to the same stage (Sato et al., 2012). However, Raffi et al. (2006)  
298 reported that this datum is located in MIS61–59 (Figure 5). As the FO of *G. oceanica* and *G.*  
299 *caribbeanica* are very close (161.35–161.75 and 161.75–162.05 mbsf, respectively) and they lie  
300 at or immediately above the top of the Olduvai subchron, it is likely that there is a hiatus in the  
301 sedimentary record at ~161–166 mbsf.

302 The LO depth of *C. macintyreii* (Figure 4h) in this study (165.22–165.59 mbsf) is  
303 identical to that of Su et al. (2004; 167.46 mcd) in ODP Hole 1146A (Figure 3). The FO of *G.*  
304 *oceanica*, FO of *G. caribbeanica*, and LO of *C. macintyreii* are located in descending order in the

305 studied hole. This contrasts with the LO of *C. macintyreii*, which lies above the FO of *G.*  
306 *oceanica* and FO of *G. caribbeanica* in other areas/sites (e.g., Raffi et al., 2006).

307 The LO of *Discoaster brouweri* (Figure 4i-1-i-3) detected in this study (179.65–180.05  
308 mbsf) is ~5.6 m above that of Su et al. (2004; 185.46 mcd). This difference can be attributed to  
309 variable identification of reworked specimens. Su et al. (2004) identified this datum as the top of  
310 the interval where this species showed a significant abundance, and hence it was placed 4 m  
311 below our datum. However, we defined this datum as the uppermost horizon of the continuous  
312 occurrence of well-preserved specimens (i.e., those with its typical distinguishable characteristics  
313 of a concave–convex form as shown in Figure 4i-1-i-3). This datum was found ~15 m below the  
314 Olduvai subchron (Figure 3), if the magnetostratigraphy in ODP holes 1146B and C is  
315 extrapolated to the studied hole, which is consistent with previous studies. The extinction of *D.*  
316 *brouweri* was placed in MIS76, immediately below the Olduvai subchron, in a recent calcareous  
317 nannofossil biostratigraphic study (Sato et al., 2012). Raffi et al. (2006) showed that this event  
318 was diachronous from MIS79 to MIS73 (Figure 5).

319 The LO of *Discoaster pentaradiatus* (Figure 4j-1-j-4) identified in this study (200.89–  
320 201.29 mbsf) is ~6.8 m above that of Su et al. (2004; 207.91 mcd in ODP Hole 1146C). The  
321 difference in depth is likely due to differences in the identification of reworked specimens as  
322 described above, because *D. pentaradiatus* was poorly to moderately preserved and mostly  
323 fragmented. *D. pentaradiatus* has been reported to be a low abundance component of Pleistocene  
324 nannofossil assemblages. Although its reworked coccoliths are reported to be less common than  
325 *D. brouweri*, *Discoaster surculus*, and *Discoaster tamalis* (Chapman and Chepstow-Lusty, 1997),  
326 we detected fluctuations in the relatively high abundance of this species (*D. pentaradiatus*) down  
327 to the base of the studied interval. The LO of this species has been correlated to the lowermost  
328 Matuyama Chron (e.g., Raffi et al., 2006) or slightly above it (Gradstein et al., 2012). However,  
329 Wei (1993) and Sato et al. (2012) placed this event in MIS90 and MIS86, respectively. Given  
330 there are no magnetostratigraphic data for the studied site, we cannot correlate the LO of *D.*  
331 *pentaradiatus* to any MIS stage.

332 The LO of *D. surculus* (Figure 4k-1-k-4) was detected at 203.42–203.82 mbsf, which is  
333 ~14 m above the LO depth of Su et al. (2004) in ODP Hole 1146B (217.46 mcd; Figure 3). The  
334 difference is likely due to the rarity and poor preservation of this species, which makes it  
335 difficult to detect its actual LO, as well as differences in the identification of reworked specimens.

336 Su et al. (2004) placed the LO of *D. surculus* at the Piacenzian/Gelasian boundary (2.58 Ma;  
337 Gradstein et al., 2012). However, this datum was correlated to MIS96 (2.44 Ma), located above  
338 the Piacenzian/Gelasian boundary in the northern Atlantic Ocean (Sato et al., 2012; Figure 5).

339 The LO of *D. tamalis* (Figure 4I-1-1-4) is located at 212.92–213.32 mbsf, which is ~11 m  
340 above that found by Su et al. (2004) in the same hole (224.11 mcd; Figure 3). This discrepancy is  
341 attributed to the difference in the identification of reworked specimens as described for the LO of  
342 *D. brouweri*. The LO of *D. tamalis* is found nearly at the top of the Piacenzian, as evidenced by  
343 nannofossil biostratigraphic correlations of a number of deep-sea sites and constrained by  
344 magnetobiostratigraphy (Raffi et al., 2006; Sato et al., 2012; Wei, 1993). We followed this  
345 correlation. This bioevent is broadly correlated with G7 of the LR04 stack (Jatiningrum and Sato,  
346 2017).

347

## 348 5.2 Sedimentation Rate

349 We constructed age–depth curves for the upper Pliocene to Pleistocene succession in ODP Hole  
350 1146A using the calcareous nannofossil biostratigraphy constrained by the BOI stratigraphy and  
351 its correlation with nannofossil biostratigraphy at other areas/sites. The age–depth curves are  
352 based on 12 of the 14 nannofossil events. This is because two of the events (FO of *E. huxleyi* and  
353 LO of *C. macintyreii*) were not in correct chronological order as described above. We used the  
354 numerical ages assigned by Raffi et al. (2006) and Sato et al. (2012) (Table 2; Figure 6). The  
355 generated age–depth curves show that sedimentation rates fluctuated in a wide range from 0.8 to  
356 16.2 cm/kyr, with an average of ~7.6 cm/kyr since ca. 2.8 Ma.

357 The two age–depth curves exhibit similar trends, except for the upper Pliocene interval.  
358 This is due to a difference in numerical ages for the LO of *D. pentaradiatus* (2.43–2.50 versus  
359 2.24 Ma). The age–depth curves show a marked increase in sedimentation rate from ~5 cm/kyr  
360 in the interval from the LO of *D. tamalis* to the LO of *R. asanoi* to ~13–14 cm/kyr in the interval  
361 from the LO of *R. asanoi* to the seafloor. The timing of this change in the sedimentation rate  
362 broadly corresponds to the timing of reef expansion in the Ryukyu Islands (Iryu et al., 2006;  
363 Yamamoto et al., 2006), which can be linked to a stepwise increase in Kuroshio Current intensity  
364 related to the magnitude of glacio-eustatic variability through the Middle Pleistocene Transition  
365 (Gallagher et al., 2015; Mudelsee and Schulz, 1997). This change is also broadly coeval with the  
366 timing of increased SSTs in the northwestern Pacific by ~2°C in MIS 19 (ca. 0.8 Ma), which is

367 considered to be due to the expansion of the Western Pacific Warm Pool towards the north and  
368 south subtropical regions (Sakai, 2003). The coeval increases in sedimentation rates offshore of  
369 Vietnam and Boso Peninsula, Japan (Sato, 1988; Sato et al., 2008) have been interpreted to  
370 reflect climatic changes at the Middle Pleistocene Transition. These changes occurred due to  
371 increased weathering and erosion of terrestrial areas in glacial periods and increased rainfall that  
372 caused increased sediment transport in interglacial periods. This interpretation also applies to our  
373 study, as the Pearl and Red rivers flow into the SCS (Figure 1; Head and Gibbard, 2015; Wan et  
374 al., 2010).

375

## 376 6 Conclusions

377

378 We have presented a refined calcareous nannofossil biostratigraphy for ODP Site 1146 in the  
379 SCS. A total of 14 events from the late Pliocene to Pleistocene spanning ca. 2.8 Myr were  
380 recognized. They are, in descending order, the FO of *E. huxleyi*, LO of *P. lacunosa*, LO of *R.*  
381 *asanoi*, FO of *G. parallela*, FO of *R. asanoi*, LO of large *Gephyrocapsa*, FO of large  
382 *Gephyrocapsa*, FO of *G. oceanica*, FO of *G. caribbeanica*, LO of *C. macintyreii*, LO of *D.*  
383 *brouweri*, LO of *D. pentaradiatus*, LO of *D. surculus*, and LO of *D. tamalis*. The calcareous  
384 nannofossil biostratigraphy of this study includes five events involving *Gephyrocapsa* species  
385 that were not distinguished in a previous biostratigraphic study at this site (Su et al., 2004).

386 The biostratigraphic events were correlated to the BOI stratigraphy (Clemens and Prell,  
387 2003) and LR04 stack (Lisiecki and Raymo, 2005). Although some events show discrepancies  
388 with previous data, the detected calcareous nannofossil events are broadly consistent with  
389 previous records. These discrepancies are caused by differences in sample resolution, species  
390 assignment (*R. asanoi* and *gephyrocapsid* taxa) as well as the poor preservation state in some  
391 sample intervals, which hinders the identification of some species such as *E. huxleyi* and  
392 *Gephyrocapsa* spp. Furthermore, *Helicosphaera* spp. events were not identified in this study,  
393 because *H. inversa* and *H. sellii* occur rarely and sporadically, and thus it was difficult to  
394 determine the FO and LO of these taxa.

395 Age–depth curves were constructed from 12 calcareous nannofossil datums. The age–  
396 depth curves indicate a significant increase in the sedimentation rate at the LO of *R. asanoi*  
397 (0.91–0.85 Ma). The timing of this increase corresponds to reef expansion in the Ryukyu Islands,



398 which is linked to a stepwise increase in Kuroshio Current intensity. This is broadly coeval with  
399 the timing of increased SSTs in the northwestern Pacific due to expansion of the **Western Pacific**  
400 **Warm Pool** towards the north and south subtropical regions. The coeval increase in  
401 sedimentation rates reported from offshore Vietnam and Boso Peninsula, Japan (Sato, 1988; Sato  
402 et al., 2008), is interpreted to have resulted from increased weathering and erosion of terrestrial  
403 areas in glacial periods and increased rainfall causing increased sediment transport in interglacial  
404 periods, which are both linked to Middle Pleistocene Transition-related climatic changes. This  
405 interpretation may also explain the increased sedimentation rates in the SCS.

406

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414

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660 **Table and Figure Captions**

661

662 **Table 1** Depth at which calcareous nannofossil bioevents were detected in ODP Hole 1146A

663

664 **Table 2** Calculated sedimentation rates based on calcareous nannofossil bioevents in ODP Hole  
665 1146A

666

667 **Figure 1** Map of the South China Sea showing the location of ODP Site 1146. The directions of  
668 the surface currents and East Asia Monsoon are also shown (modified from Li et al., 2018).

669

670 **Figure 2** The upper Pliocene–Pleistocene calcareous nannofossil biostratigraphy in **ODP** Hole  
671 1146A in the South China Sea. Lithological data are from Wang et al. (2000).

672

673 **Figure 3** Calcareous nannofossil biostratigraphy in **ODP Hole** 1146A established in this study  
674 compared with that of Su et al. (2004), biostratigraphic schemes of Martini (1971) and  
675 Okada and Bukry (1980), and magnetostratigraphic chrons/subchrons at ODP Site 1146.  
676 The superscript letters (A–C) denote ODP 1146 holes A–C, respectively. J = Jaramillo; O =  
677 Olduvai.

678

679 **Figure 4** Photomicrographs of calcareous nannofossils from ODP **Hole** 1146A. The scale bars  
680 are 5 µm in length. a-1, a-2, *Emiliana huxleyi*; b-1, b-2, *Pseudoemiliana lacunosa*; c-1–c-4,  
681 *Reticulofenestra asanoi*; d-1, d-2, *Gephyrocapsa parallela*; e-1, e-2, large *Gephyrocapsa*  
682 spp.; f-1, f-2, *Gephyrocapsa oceanica*; g-1, g-2, *Gephyrocapsa caribbeanica*; h, *Calcidiscus*  
683 *macintyreii*; i-1–i-3, *Discoaster brouweri*; j-1–j-4, *Discoaster pentaradiatus*; k-1–k-4,  
684 *Discoaster surculus*; l-1–l-4, *Discoaster tamalis*.

685

686 **Figure 5** Correlation of the calcareous nannofossil bioevents in the South China Sea (this study)  
687 with those of Raffi et al. (2006) and Sato et al. (2012), and compared with the benthic  
688 oxygen isotope stratigraphy (BOI) in the South China Sea (Clemence and Prell, 2003) and  
689 LR04 stack (Lisiecki and Raymo, 2005). UI = interval in which the BOI stratigraphy does  
690 not necessarily show clear cyclicity, preventing reliable correlation with the LR04 stack.



691

692 **Figure 6** Age–depth plots for the upper Pliocene to Pleistocene succession in the South China  
693 Sea, based on calcareous nannofossil datums. Red circles indicate the plots based on the  
694 ages of Sato et al. (2012). Green and blue circles indicate the plots based on the ages  
695 assigned by Raffi et al. (2006). For the legend see Fig. 2.

696

### 697 **Supporting Information**

698

699 **Table S1** Stratigraphic distribution of age-diagnostic calcareous nannofossils in ODP Hole  
700 1146A.

**Table 1** Depth at which calcareous nannofossil bioevents were detected in ODP Hole 1146A

BIOEVENT	Top depth (mbsf)	Bottom depth (mbsf)	Sample source
FO <i>Emiliana huxleyi</i>	33.18	70.53	U1146A-5H-1 W, 78.0–79.0 cm
LO <i>Pseudoemiliana lacunosa</i>	72.53	72.93	U1146A-9H-2 W, 103.0–104.0 cm
LO <i>Reticulofenestra asanoi</i>	119.38	119.79	U1146A-14H-2 W, 119.0–120.0 cm
FO <i>Gephyrocapsa parallela</i>	126.59	126.99	U1146A-14H-6 W, 79.0–80.0 cm
FO <i>Reticulofenestra asanoi</i>	134.30	134.70	U1146A-14H-2 W, 39.0–40.0 cm
LO large <i>Gephyrocapsa</i> spp.	140.24	140.64	U1146A-16H-3 W, 114.0–115.0 cm
FO large <i>Gephyrocapsa</i> spp.	152.84	153.25	U1146A-17H-5 W, 4.0–5.0 cm
FO <i>Gephyrocapsa oceanica</i>	161.35	161.75	U1146A-18H-4 W, 95.0–96.0 cm
FO <i>Gephyrocapsa caribbeanica</i>	161.75	162.16	U1146A-18H-4 W, 135.0–136.0 cm
LO <i>Calcidiscus macintyreii</i>	165.22	165.59	U1146A-20H-2 W, 115.0–117.0 cm
LO <i>Discoaster brouweri</i>	179.65	180.05	U1146A-20H-4 W, 65.0–66.0 cm
LO <i>Discoaster pentaradiatus</i>	200.89	201.29	U1146A-22X-5 W, 139.0–140.0 cm
LO <i>Discoaster surculus</i>	203.42	203.82	U1146A-23X-1 W, 82.0–83.0 cm
LO <i>Discoaster tamalis</i>	212.92	213.32	U1146A-24X-1 W, 62.0–63.0 cm

**Table 2** Calculated sedimentation rates based on calcareous nannofossil bioevents in ODP Hole 1146A

BIOEVENT	Top depth (mbsf)	Bottom depth (mbsf)	Age (Ma) Sato et al. (2012)	Sedimentation rate (cm/kyr)	Age (Ma) Raffi et al. (2006)	Sedimentation rate (cm/kyr)*
LO <i>Pseudoemiliana lacunosa</i>	72.53	72.93	0.45	16.2	0.44–0.47	16.0
LO <i>Reticulofenestra asanoi</i>	119.38	119.79	0.85	11.7	0.91	10.3
FO <i>Gephyrocapsa parallela</i>	126.59	126.99	0.99	5.1	0.96–1.04	8.0
FO <i>Reticulofenestra asanoi</i>	134.30	134.70	1.13	5.5	1.08–1.13	7.3
LO large <i>Gephyrocapsa</i> spp.	140.24	140.64	1.18	11.9	1.24–1.25	4.2
FO large <i>Gephyrocapsa</i> spp.	152.84	153.25	1.39	6.0	1.46	5.9
FO <i>Gephyrocapsa oceanica</i>	161.35	161.75	1.71	2.7		3.7
FO <i>Gephyrocapsa caribbeanica</i>	161.75	162.16	1.76	0.8	1.67–1.73	
LO <i>Discoaster brouweri</i>	179.65	180.05	1.99	7.8	1.92–2.06	6.2
LO <i>Discoaster pentaradiatus</i>	200.89	201.29	2.24	8.5	2.43–2.50	4.5
LO <i>Discoaster surculus</i>	203.42	203.82	2.44	1.3	2.49–2.53	5.6
LO <i>Discoaster tamalis</i>	212.92	213.32	2.75	3.1	2.81–2.86	2.9

\* Calculated based on mid values of the chronological ranges for the datums given by Raffi et al. (2006).

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Figure 1

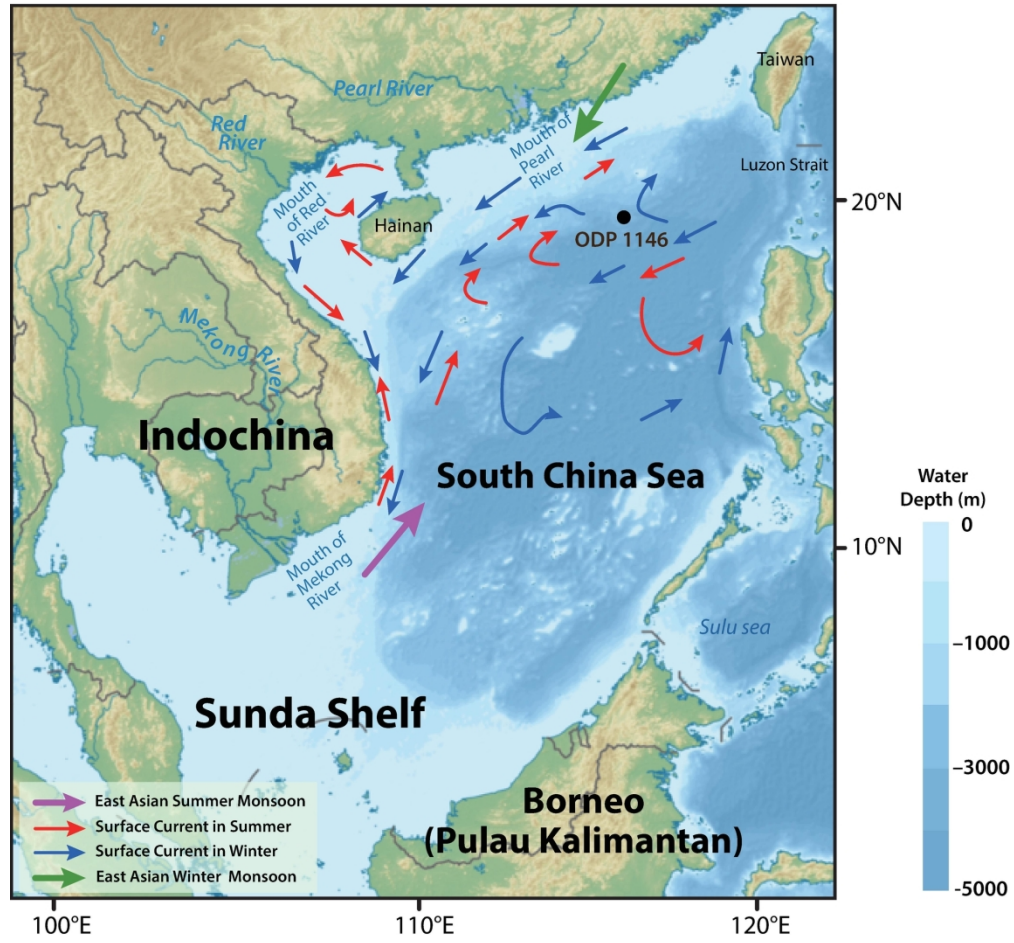


Figure 1 Map of the South China Sea showing the location of ODP Site 1146. The directions of the surface currents and East Asia Monsoon are also shown (modified from Li et al., 2018).

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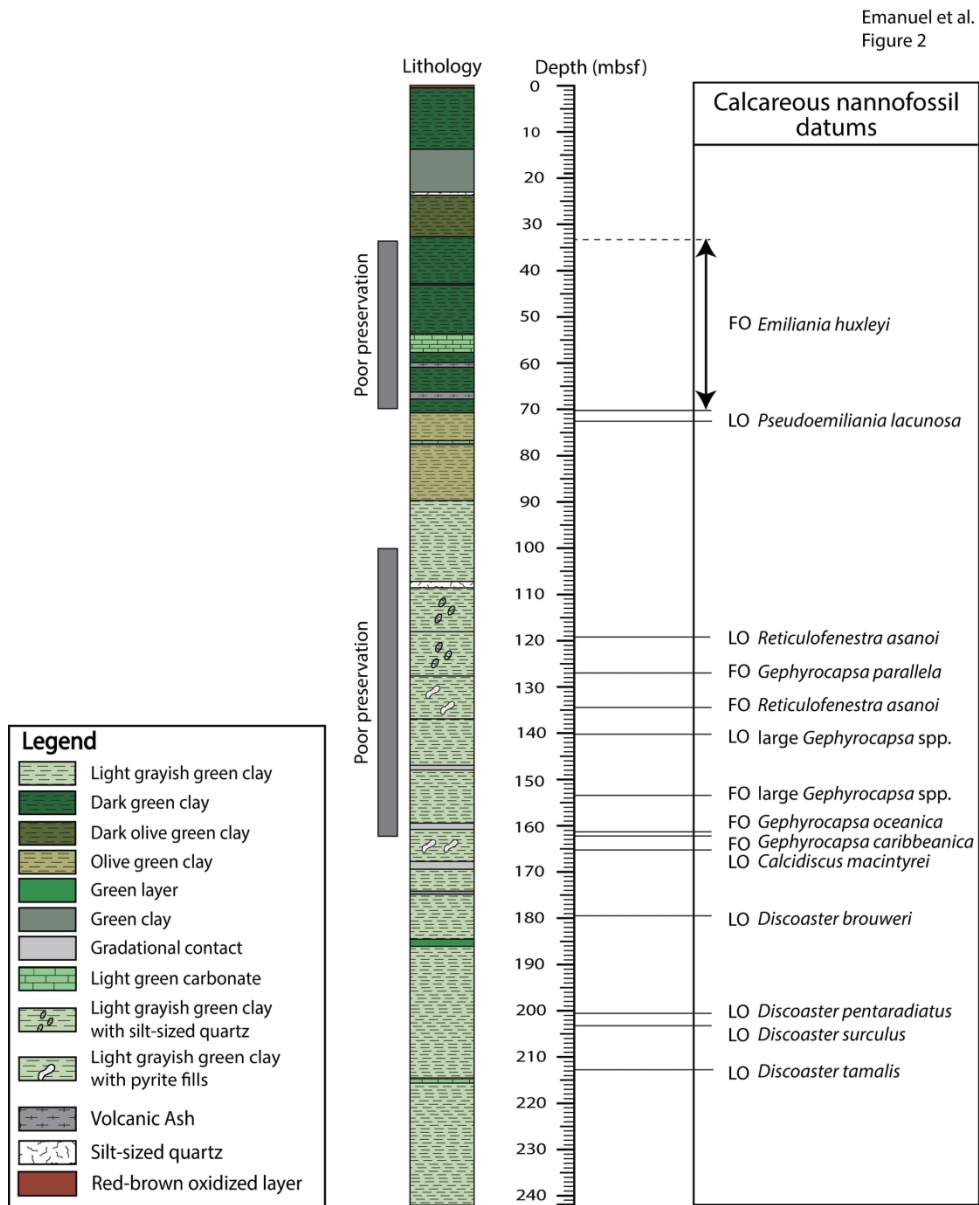


Figure 2 The upper Pliocene–Pleistocene calcareous nannofossil biostratigraphy in ODP Hole 1146A in the South China Sea. Lithological data are from Wang et al. (2000).

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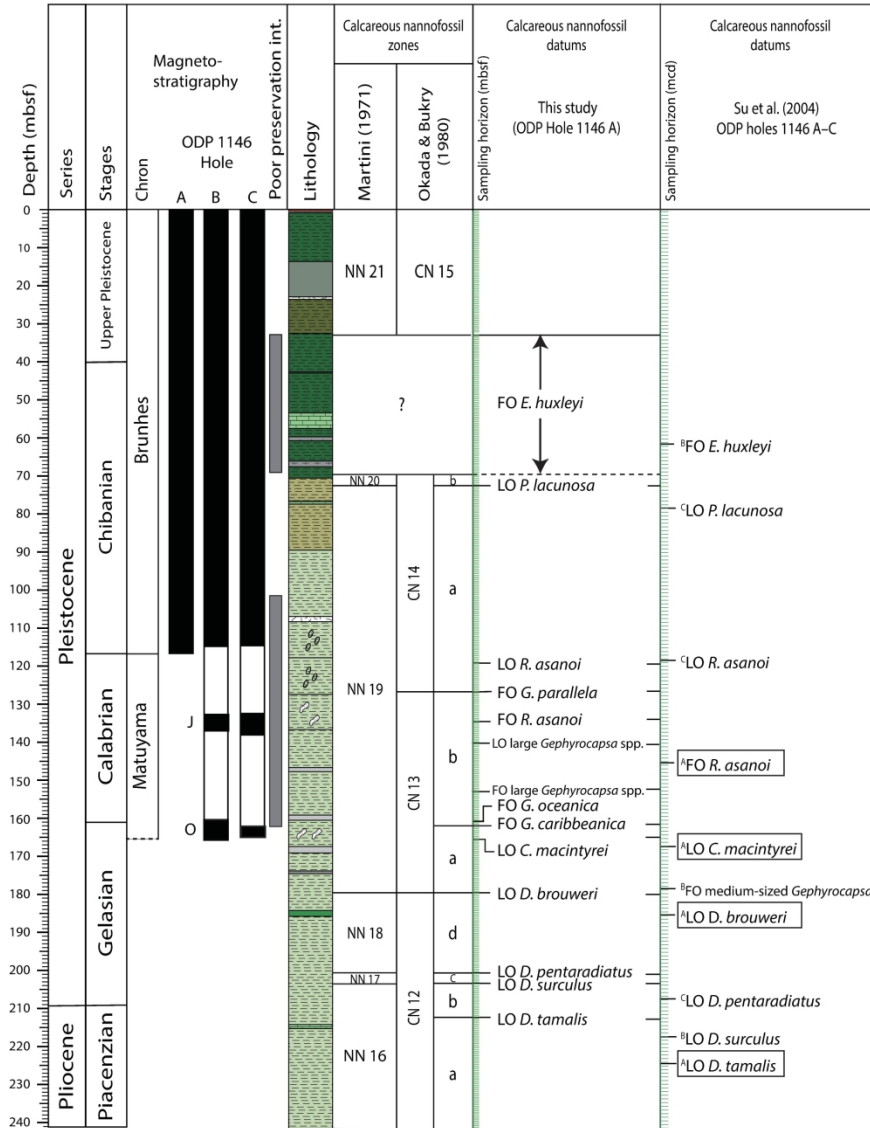


Figure 3 Calcareous nannofossil biostratigraphy in ODP Hole 1146A established in this study compared with that of Su et al. (2004), biostratigraphic schemes of Martini (1971) and Okada and Bukry (1980), and magnetostratigraphic chrons/subchrons at ODP Site 1146. The superscript letters (A–C) denote ODP 1146 holes A–C, respectively. J = Jaramillo; O = Olduvai.

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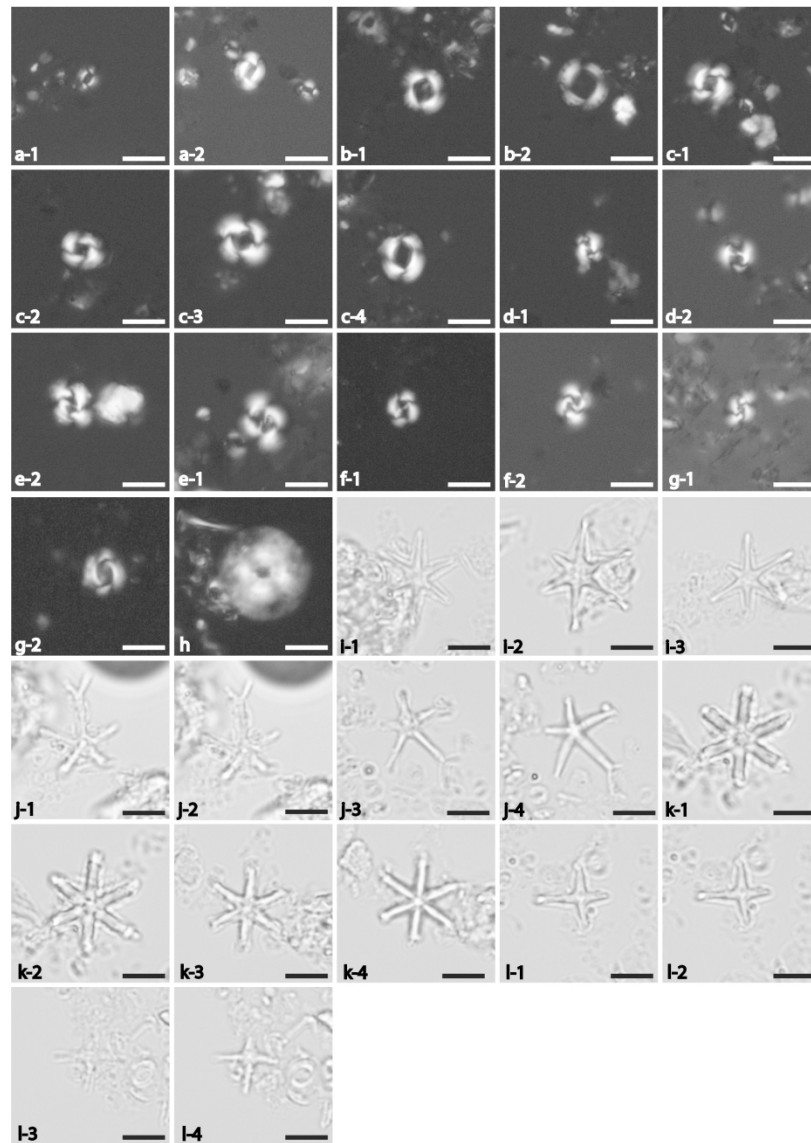
Emanuel et al  
Figure 4

Figure 4 Photomicrographs of calcareous nannofossils from ODP Hole 1146A. The scale bars are 5  $\mu\text{m}$  in length. a-1, a-2, *Emiliana huxleyi*; b-1, b-2, *Pseudoemiliana lacunosa*; c-1–c-4, *Reticulofenestra asanoi*; d-1, d-2, *Gephyrocapsa parallela*; e-1, e-2, large *Gephyrocapsa* spp.; f-1, f-2, *Gephyrocapsa oceanica*; g-1, g-2, *Gephyrocapsa caribbeanica*; h, *Calcidiscus macintyreii*, i-1–i-3, *Discoaster brouweri*; j-1–j-4, *Discoaster pentaradiatus*; k-1–k-4, *Discoaster surculus*; l-1–l-4, *Discoaster tamalis*.

180x267mm (300 x 300 DPI)

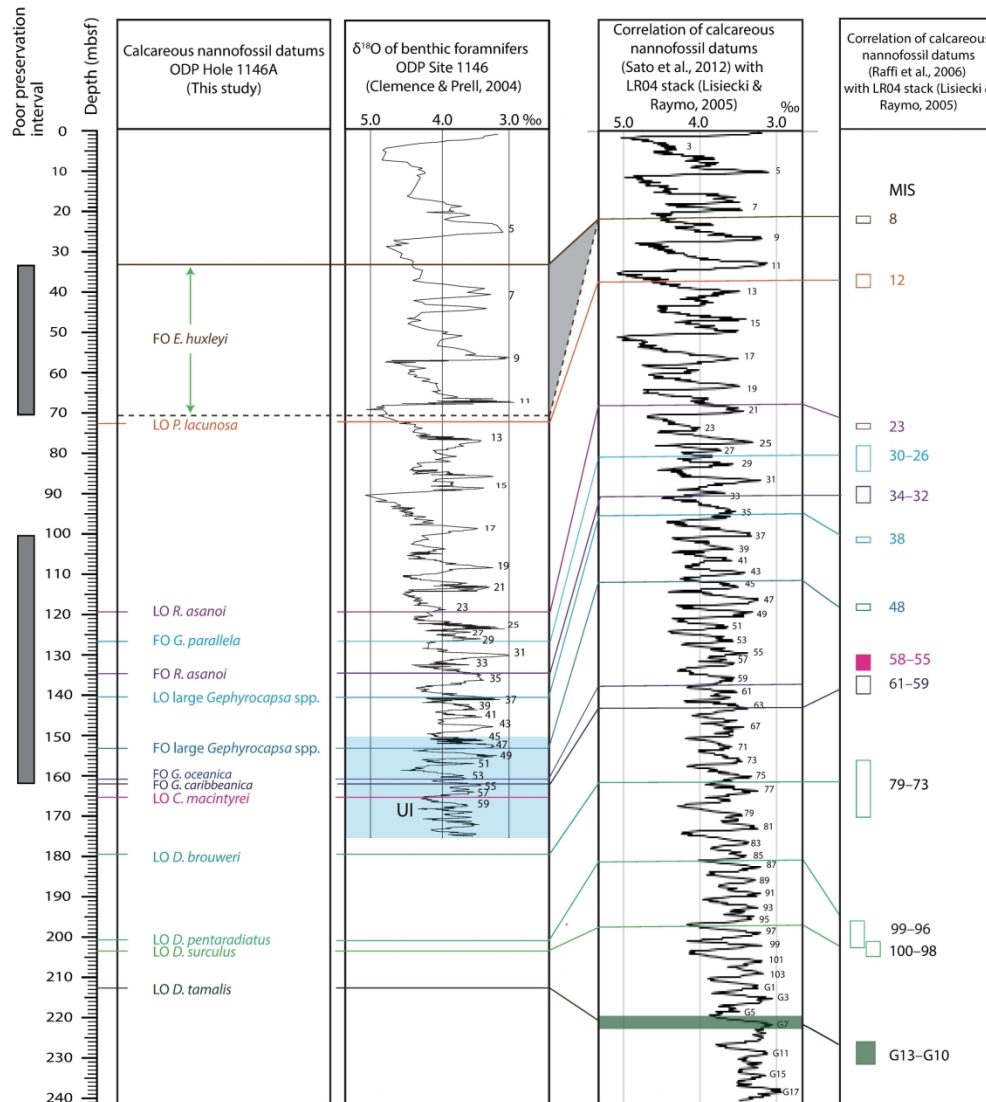
Emanuel et al.  
Figure 5

Figure 5 Correlation of the calcareous nannofossil bioevents in the South China Sea (this study) with those of Raffi et al. (2006) and Sato et al. (2012), and compared with the benthic oxygen isotope stratigraphy (BOI) in the South China Sea (Clemence and Prell, 2003) and LR04 stack (Lisiecki and Raymo, 2005). UI = interval in which the BOI stratigraphy does not necessarily show clear cyclicity, preventing reliable correlation with the LR04 stack.

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Figure 6

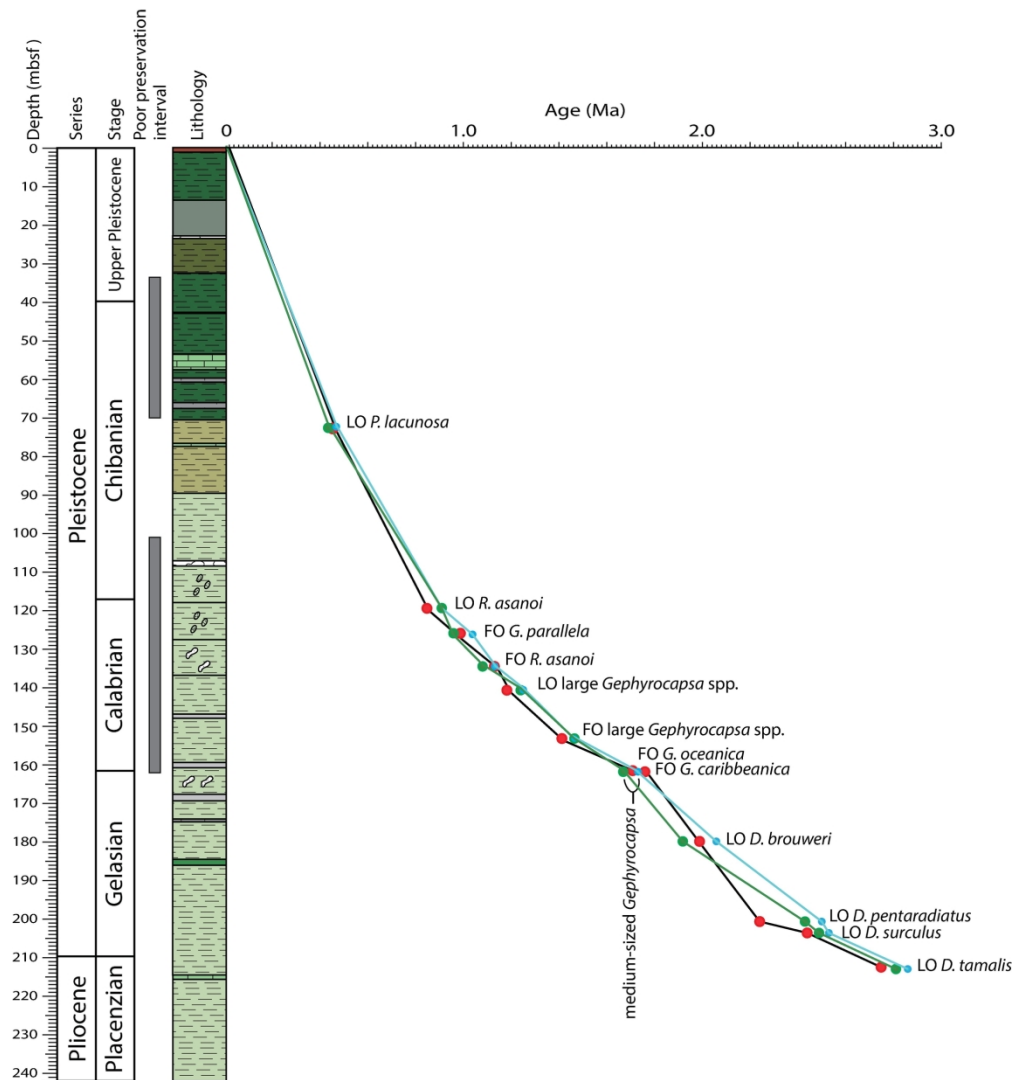


Figure 6 Age–depth plots for the upper Pliocene to Pleistocene succession in the South China Sea, based on calcareous nannofossil datums. Red circles indicate the plots based on the ages of Sato et al. (2012). Green and blue circles indicate the plots based on the ages assigned by Raffi et al. (2006). For the legend see Fig. 2.

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