

## Surnames in Uruguay. The structure and migration patterns of the population of Uruguay through isonymy

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Complete List of Authors:	<p>Carrieri, Alberto; Universita degli Studi di Ferrara, Dipartimento di Scienze della Vita e Biotecnologie, Biologia ed Evoluzione</p> <p>Sans, Mónica; Universidad de la Republica Uruguay, Departamento de Antropología Biológica</p> <p>Dipierri, José; Universidad Nacional de Jujuy, Instituto de Biología de la Altura</p> <p>Alfaro, Emma; Universidad Nacional de Jujuy, Instituto de Biología de la Altura</p> <p>Mamolini, Elisabetta; Universita degli Studi di Ferrara, Dipartimento di Scienze della Vita e Biotecnologie, Biologia ed Evoluzione</p> <p>Sandri, Massimo; Università degli Studi di Ferrara, Scienze della Vita e Biotecnologie, Dipartimento di Scienze della Vita e Biotecnologie, Biologia ed Evoluzione</p> <p>Rodríguez Larralde, Alvaro; Instituto Venezolano de Investigaciones Cientificas, Laboratorio de Genética Humana</p> <p>Scapoli, Chiara; Università degli Studi di Ferrara, Dipartimento di Scienze della Vita e Biotecnologie, Biologia ed Evoluzione</p> <p>Barrai, Italo; Universita degli Studi di Ferrara, Dipartimento di Scienze della Vita e Biotecnologie, Biologia ed Evoluzione</p>
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# The structure and migration patterns of the population of Uruguay through isonymy

A. Carrieri<sup>1&</sup>, M. Sans<sup>2&\*</sup>, &, J. E. Dipierri<sup>2</sup>, E. Alfaro<sup>2</sup>, E. Mamolini<sup>1</sup>, M. Sandri<sup>1</sup>, A.

Rodriguez-Larralde<sup>3</sup>, C. Scapoli<sup>1#</sup>, and I. Barraí<sup>1#</sup>

<sup>1</sup>Department of Life Sciences and Biotechnology,

University of Ferrara, Italy

<sup>2</sup>Departamento de Antropología Biológica, Facultad de Humanidades y Ciencias de la

Educación, Universidad de la República, Uruguay

<sup>3</sup>Instituto de Ecorregiones Andinas (UNJu – CONICET), Instituto de Biología de la Altura,

Universidad de Jujuy, S. Salvador de Jujuy, Argentina

<sup>4</sup>Centro de Medicina Experimental, Laboratorio de Genética Humana, IVIC Venezuela.

& Contributed equally.

# Contributed equally.

\* Corresponding author: Mónica Sans, Departamento de Antropología Biológica, Facultad de Humanidades y Ciencias de la Educación, Universidad de la República, Magallanes 1577, 11200 Montevideo, Uruguay. E-mail: [mbsans@gmail.com](mailto:mbsans@gmail.com)

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### Abstract

Surname distribution can be a useful tool for studying the genetic structure of human population. In South America, Uruguay population has traditionally been considered as from European ancestry, despite its trihybrid origin has been proved through genetics. The aim of this study was to investigate the Uruguayan population, for detecting its structure resulting from population movements and surname drift in the country. The distributions of the surnames of 2,501,774 electors were studied in the 19 Departments of Uruguay. Multivariate approaches were used to estimate isonymic parameters. Isolation by distance was measured by the correlation between isonymic and geographic distances. In the sample the most frequent surnames were consistently Spanish, reflecting that the first immigration waves occurred before the Uruguayan independence. Only few surnames with Native origin have been recognized. Effective surname number ( $\alpha$ ) estimated for the entire Country was 302, the average for departments was  $235.8 \pm 19$ . Inbreeding estimates were lower in the southwestern, and in the densely populated Montevideo area. Isonymic distances between departments were significantly correlated with linear geographic distance ( $p < 0.001$ ) showing that there is a continuous increase of surname distances up to 400 kilometres. Surnames form clusters related to geographic regions with different historical processes. The isonymic structure of Uruguay showed a radiation toward East and North, with short range migration playing a major role while the contribution of drift, considering the small variance of  $\alpha$ , appears to be minor.

1

## Introduction

2 Studies of the genetic structure of the population of the South American nations are relatively  
3 recent, and refer mainly to the frequencies of traditional DNA markers. This is true also for  
4 Uruguay, whose population has been analyzed using classical genetic markers as well as  
5 nuclear and mitochondrial DNA markers (Sans *et al.*, 1994, 1997; Bravi *et al.*, 1997; Sans *et*  
6 *al.*, 2002, 2006, 2011; Bertoni *et al.*, 2003; Bonilla *et al.*, 2004; Gascue *et al.*, 2005). Genetic  
7 data has shown that the continental contributions to Uruguayan populations are around 10-  
8 14% Native American populations, 7-9% sub-Saharan African, and the rest, from Europe or  
9 the Mediterranean sea (Hidalgo et al 2005, Bonilla et al 2015). However, Uruguay lacks of  
10 data about its genetic structure using surnames, with only one study that includes isonymy  
11 related to a small population derived from a former a Native reduction: Villa Soriano (Barreto  
12 2011).

13

14 The use of surnames has been controversial as several evidences show their have been  
15 changed or taken from others non-related individuals. For example, in Uruguay present  
16 populations lack of Native-origin names, with very few exceptions. That is the case of the  
17 descendents of Charrúa Chief (cacique) Sepé, who used initially Sepé as a surname but  
18 changing it lately to García as can be seen at present (Acosta y Lara 1981). Gonzalez-Rissotto  
19 and Rodríguez-Varese (1989) state that the Indians from the Jesuit Missions that come to  
20 Uruguay changed their habits and their names hiding their Native ancestry. Also, African  
21 slaves and their descendents took different surnames, as those from their owners or others, as  
22 can be seen in some examples done by Rosal (2009) referred to African or African-descents in  
23 Buenos Aires, Argentina. However, few studies were performed on that topic in Uruguay,  
24 and in general terms, focused in particular issues as for example, the Africans or African

25 descendants that took the name of the national hero Artigas (Yarza Rovira 2009). Moreover,  
26 some surnames from Europe, the Mediterranean region or others were changed or spelled in  
27 different ways (Flores 2010). By last, paternal surnames are frequently lost because of  
28 illegitimate unions (see for example, Barreto 2011), the lost of surnames due to adoption, and  
29 the inversion of the order of maternal and paternal surnames as consequence of the influence  
30 of the Portuguese- Brazilian system of surnames, especially near the Brazilian border.  
31 Moreover, since 2004, in Uruguay it is possible to choose the paternal or maternal surname  
32 as first surnames, and children with only one last name receive either the two maternal (or  
33 paternal ) surnames or the assignation of a “common name” when the child has only one  
34 parent, and this parent only has one surname (Law 17.823 2004). However, all individuals  
35 considered here were born before 1993, that is, before the application of the Law  
36 Then aim of this work is to perform a comprehensive study of the present isonymic structure of Uruguay,  
37 resulting from population movements and surname drift in the country. For that purpose, the  
38 Uruguayan population was investigated for detecting its structure through the study of  
39 isonymy (Crow and Mange 1965; Yasuda and Morton 1967) in the main administrative level  
40 of the nation, namely its 19 Departments. Isonymic distances between departments were  
41 analyzed in relation with geography. Moreover, by studying the geographic heterogeneity of  
42 surnames based on the analysis of different isonymic parameters, it is possible to obtain signs  
43 of the direction of migrations.

44

### Materials and methods

45 **Data.** The República Oriental del Uruguay is one of the smallest countries in South America,  
46 surrounded by Argentina and Brazil, and with coast to the Atlantic Ocean. At its maximum, it  
47 is about 700 kilometres long and 500 wide, for an area slightly larger than 176,000 square  
48 kilometres, inhabited by approximately 3.3 (3,286,314) million persons according to the 2011

49 National Census (INE, 2011). It is divided in 19 Departments, political entities at the level of  
50 subnation.

51 In Uruguay, surnames originated and have been established generally in the same way as in  
52 most South American countries. However, Uruguay and Argentina make an exception to the  
53 Spanish biparental surname system, the maternal surname being generally not mentioned  
54 although it appears on official documents.

55 In 2012, authors JED and EA obtained from the Corte Electoral of the Uruguay the data  
56 suitable for describing the isonymy structure of the country with the methodologies developed  
57 by us. In the data which were made available, the list of the electors of the 2011 presidential  
58 general election, a total of 2.5 million electors (that is, all individuals over 18 years old) were  
59 distributed in the 19 departments of the country.

60 According to the 2011 National Census, 7.8 % of those interviewed reported having African  
61 descent, while the percentage of Amerindian descent was 4.9 %; 90.8 % stated European  
62 descent and only 0.5% recognized an Asian descent, while 3.6% gave no data (INE 2012).

63 These percentages take into account multiple descents. Since the ethnic origin, as mentioned  
64 above, is only weakly related to surnames, in the present analysis, ethnicity was ignored and  
65 departments (subnational level) were taken as statistical units. The geography of departments  
66 is well defined, and all the individuals in the sample available are classified according to the  
67 department in which they are enrolled to vote. This location is usually the place of residence,  
68 and not always coincides with the place of birth inside Uruguay. For the analysis, we had  
69 available 2,501,774 paternal surnames, that is, the total number of paternal surnames.

70 The area studied covered the entire nation. The 19 departments differ in position, area, and  
71 population (Fig. 1). There are three main rivers, Río de la Plata, Uruguay, and Río Negro, and  
72 most departments border with them. Río Negro divides the country in North and South, and  
73 this fact is related to the country's peopling: while the South was mainly populated from the

74 harbor of Montevideo, the North (at least the North East) was mainly populated from Brazil  
 75 (Pi Hugarte and Vidart 1969). In the following subsections, Uruguayan surnames are  
 76 considered briefly, and some of the statistics derived from the surname distributions were  
 77 revised, as well as its meaning in the study of microevolution in human groups.

78 ***Surnames frequencies and occurrence.*** The surname distribution for the whole Uruguay was  
 79 studied, fitting a regression line to the log-log transformation of the number of surnames (S)  
 80 which are represented k times (Fox and Lasker, 1983).

81 ***Isonymy theory.*** The main statistics derived from the surname distributions are: Isonymy  
 82 within ( $I_{ij}$ ) and between ( $I_{ij}$ ) groups, the effective surname number corresponding to Fisher's  
 83 Alpha ( $\alpha$ ), Karlin-McGregor  $v(v)$  and Isolation by distance, as measured by the correlation of  
 84 geographic with Lasker's (L), Euclidean (E) and Nei's ( $N_d$ ) distances. The definitions of  
 85 these statistics and their meaning in the study of microevolution in human groups were  
 86 detailed before Barrai *et al.*, 1996, 2000; Rodriguez-Larralde *et al.*, 2011; Dipierri *et al.*,  
 87 2005; but also Herrera Paz *et al.*, 2014 for Fisher's  $\alpha$  corresponding to the effective surname  
 88 number); for an exhaustive review see Relethford (1988).

89 ***Random kinship.*** Random kinship  $\Phi_{IJ}(x)$  between any two localities I and J at distance x is  
 90 given by

$$91 \quad \Phi_{IJ}(x) = K \exp(-Bx) \quad (\text{Malécot 1955; Kimura 1960})$$

92 where K is the average kinship at geographic distance  $x=0$ , say average  $F_{ST}$ , and B is a  
 93 function of average mutation rate and of the variance of x. The value of  $\Phi_{IJ}(x)$  is always  
 94 positive and is expected to decrease exponentially to 0 with increasing distance. Random  
 95 kinship between groups I and J was estimated as

$$96 \quad \Phi_{IJ}(x) = I_{IJ}(x)/4$$

97 (Barrai *et al.*, 2012) with average  $F_{ST}$  as the average kinship at distance  $x=0$ .

98 Linear geographic distances were obtained from the ArcGis® (ESRI) software.

99 The significance of correlations was assessed with the Mantel's test using 1000 permutations  
100 (Mantel 1967; Smouse *et al.*, 1986). For a graphic representation of the surname relationship  
101 between surname distributions of different locations, these were mapped on the first and  
102 second dimensions of the Multidimensional Scaling (MDS) of Nei's distance matrix. To this  
103 purpose, the R<sup>®</sup> software package was used (R Development Core Team 2012). In order to  
104 detect the direction of surname diffusion, following Menozzi *et al.*, (1978), the first three  
105 components from the Principal Component Analysis (PCA) of the same matrix were also  
106 projected individually on the Uruguay map, again with the ArcGis<sup>®</sup> (ESRI) software package.  
107 To clarify and complement the clusterings obtained with the MDS dendrograms of  
108 departments were built, obtained from the matrices of isonymic distances between these  
109 administrative sections. The dendrograms were considered only as an additional option for the  
110 clustering, and do not imply that our results were generated by subsequent splits of  
111 preexisting clusters.

112

## 113 **Results and discussion**

114

### 115 **The most frequent surnames**

116 The distribution by department of the surname numbers used in the analysis, with the main  
117 parameters derived from the isonymy theory, are given in Table 1.

118 The distribution of the logarithm of the number of surnames over the logarithm of the number  
119 of times (Fox and Lasker 1983) was fairly linear.

120 Some convexity of the distribution is apparent and a possible explanation is the immigration  
121 of independent family groups with uncommon surnames, which has been observed in  
122 Uruguay. In Table 2 the average number of persons carrying the same paternal surname is 36,  
123 the lowest type-token ratio (Adamic and Huberman 2002) observed in previous studies which



124 overall cover 6 countries and more than 77 million surnames from Spanish-speaking  
125 populations. However, this value is similar to those from Spain, and comparable to other  
126 European countries.

127 The low type-token ratio as well as the high presence of uncommon surnames in Uruguay is  
128 coherent with the several migration waves that make up Uruguayan population, together with  
129 and the slave trade and over the Native background. Differently to Latin American countries  
130 more related to the first time of the conquest, Uruguay was lately populated by the Europeans,  
131 being the first settlements founded during the end of the 17th Century by Iberians (Spanish  
132 and Portuguese) who brought some African or African-descendents slaves. At that moment, a  
133 relatively small quantity of Natives, mostly from Pampean or Guarani origin, occupied the  
134 whole territory. In 1662, Spanish founded Villa Soriano, initially a Native Indian reduction,  
135 and in 1680, Portuguese founded Colonia del Sacramento. Lately, in 1724-27, Spanish  
136 (mostly from the Canary Islands) founded the capital city of Montevideo. After the  
137 installation of the Republic (1830) during the 19- 20th centuries, waves of immigrants came  
138 from different European and Near Eastern countries (in order, French – mostly Basques –, ,  
139 Spanish, Italian, Slaves, Armenian, Jews and Syrian-Lebanese (Pi Hugarte and Vidart 1969).  
140 These migrations lasted until de 1960 decade and have restarted recently.

141 The 50 most frequent surnames were studied in some detail. In the series, the most frequent  
142 surnames were Rodríguez with 75,039 occurrences, González with 50,573, Martínez with  
143 37,637, Fernández with 31,769, Pérez with 28,511, and García with 27,331. After these, one  
144 finds Silva (27,070), López (21,959), Pereira (21,337), and in the tenth place Sosa (20,257).  
145 Overall the first ten surnames comprised 341,483 individuals, or 13.6 per cent of the total  
146 number of electors.

147 The most frequent surnames were consistently Spanish. The main difference is represented by  
148 Portuguese surnames, frequent in the North East of the Country near the Brazilian border. So,

149 surnames like Ferreira (15,624), the mentioned Pereira (21,137) and Silva (27,070) are highly  
150 represented. These surnames however are frequent also in Spain. Iberian surnames reflect the  
151 conquerors and colonizers that entered the country since the 17-18th centuries, as it has been  
152 mentioned above. Moreover, an alert should be done, as Iberian surnames also applied to  
153 Natives and Africans who take names from conquerors, owners, or others, during the  
154 Spanish/Portuguese domination, with some exceptions as caciques Brown and Rondeau who  
155 take names from Admiral George Brown and General Jose Rondeau, military who participate  
156 in the Independence war against Spain (Lucas 1992).

157 Italian surnames were less represented. The most frequent is Ferrari (2,206), followed by  
158 Rossi (1,746), and Bianchi (1,176), the three ubiquitous in Italy, and then Parodi (1,390),  
159 typical of the Genoa area, and Sanguinetti (1,249) from Eastern Liguria. Two Basque  
160 surnames: Duarte (forty-third, with 6,300 representations) and Larrosa (forty-ninth, with  
161 5,632) were among the most frequent fifty surnames. Italian migration was mainly related to  
162 the first waves after Independence, following French (mostly Basque) and Spanish (Pi  
163 Hugarte and Vidart, 1969).

164 These fifty most common surnames did not include anyone related to the latest immigration  
165 waves that took place during the end of the 19<sup>th</sup> and the first half of the 20<sup>th</sup> centuries, from  
166 different regions including Central-East Europe and the Near East. However, it is possible to  
167 identify some that denote those different origins, as Muller/Moller (German, 627  
168 representations), Armand Ugon (Waldensian, 317), Miller (English, 310), Schmidt (German,  
169 216), Cohen (Jew, 154), Garabedián (Armenian, 59). Moreover, as spelling have usually  
170 several ways, it is possible to consider terminations: “ián”, usually Armenian, had 5,800  
171 appearances, termination “sky/ski” (Slavic, mostly Polish), 2,958, termination “berg”  
172 (German/German Jew), 913, and termination “skas” (Lithuanian), 276. We also detected at  
173 least two Native Indian surnames, originally from the Jesuitic Missions: Yasuiré, with 111

174 representations, and Barité, with 64. No African-origin surnames were identified, despite  
175 special attention was taken to the possible use the names of places of birth or ethnic groups, as  
176 described by Cuba Manrique (2002) in her study about the surnames of African slaves in  
177 Perú. A detailed analysis of the origin of surnames and their mutations will be done in a  
178 future article.

179

### 180 **Isonymy parameters in Departments**

181 In the following, values of the isonymy parameters in the country were given as a unit and at  
182 the departmental level.

183

184 ***Fisher's  $\alpha$  and inbreeding by isonymy.***  $\alpha$  is one of the estimates the effective surname  
185 number. It estimates the number of surnames which, having the same frequency would result  
186 in the same isonymy as the one actually observed.

187 In Uruguay, departmental  $\alpha$  is correlated ( $r=0.736$ ,  $P<0.00033$ ) with longitude, but not with  
188 latitude ( $r=0.24$ , non-significant). So, high  $\alpha$  and low  $F_{ST}$  are clustered in the South-Western  
189 departments, while lower  $\alpha$  and higher inbreeding are observed in the North-Eastern ones.

190 This fact can be explained taking in account immigration and regional history: the North-East  
191 region was populated mainly from Brazil, while the South-West received European (besides  
192 Iberians, Italian and Basques: Germans, Waldesians, British, Russians, Armenians) and Near  
193 East immigration waves (Turkish, Syrian, Libanese), some of them funding colonies in the  
194 West and South West of Uruguay (Pi Hugarte and Vidart 1969; Vidart and Pi Hugarte 1969;  
195 Barrán and Nahum 1971).

196 The effective surname number,  $\alpha$ , in Uruguay was estimated at 302 for the Country  
197 considered as a unit. The average for the 19 Departments was  $235.8 \pm 19.1$ .

198 The difference between administrative levels, and the country as a unit, is observed when  
199 different subdivisions of the same area and population are considered. This constitutes the  
200 “Prefecture Effect”, identified for  $F_{ST}$  by Nei and Imaizumi (1966) in Japan, and so named by  
201 Scapoli *et al.*, (2007). Nei and Imaizumi observed that, for the same area and population,  
202 small subdivisions have larger  $F_{ST}$ , and larger subdivisions have smaller  $F_{ST}$ . In their study,  
203 the effect was seen in towns and in the Japanese prefectures where the towns were located;  
204 hence the name. In Uruguay, Departments are analogous to Japanese Prefectures. So the  
205 difference in  $\alpha$  between the country as a whole (302) and the departmental average (235.8) is  
206 a standard ‘Prefecture effect’.

207 Values of the inbreeding coefficients and of  $\alpha$  for departments are given in Table 1. The  
208 highest value of  $\alpha$  (393.4), corresponding to the lowest random inbreeding,  $F_{ST}$  (0.000637) are  
209 observed in Paysandú, followed by Soriano and (376.5, 0.000666 respectively) and Colonia  
210 (361.5, 0.000692). These three departments are in the Argentinean border, along the Uruguay  
211 river. The opposite values correspond to the North-East, being minimum in Rocha (121.8)  
212 with the highest  $F_{ST}$  (0.002040) followed by Cerro Largo (154.8, 0.001608 respectively) and  
213 Rivera (161.1, 0.001545), all these departments in the Brazilian border. In Montevideo, the  
214 Department with more quantity of electors,  $\alpha$  is 346.1, while at the other extreme, the  
215 department of Flores, with about fifty time less electors than the capital,  $\alpha$  is 208.8, indicating  
216 a minor effect of sample size on  $\alpha$ .

217

218 ***Isolation by distance.*** Isolation by distance was studied through the correlation of geographic  
219 with surname distances at the department level. Nei’s, Euclidean, and Lasker’s distances  
220 between the 19 departments were significantly correlated with linear geographic distance,  
221 highest for Nei’s ( $r=0.57 \pm 0.073$ ), intermediate for Euclidean ( $r=0.46 \pm 0.079$ ) and lowest for  
222 Lasker’s ( $r=0.17 \pm 0.097$ ). As an example, the scatter diagram of Nei’s distance between

223 departments over the geographic one is given in Figure 2. Nei's distance had the largest  
224 correlation.

225 The signal extracted from the scatter diagram of Nei's distance for departments is given in  
226 Figure 3. A clear tendency toward an asymptote is not observed, as it was in Spain, Bolivia,  
227 Chile and Honduras (Rodríguez-Larralde *et al.*, 2003, 2011; Barraí *et al.*, 2012; Herrera Paz *et*  
228 *al.*, 2014). In these countries the relation between isonymic and geographic distance flattens  
229 after 100 kms. In Uruguay there is a continuous increase of surname distance up to four  
230 hundred kilometers, which gives indication of the presence of increasing isolation and drift up  
231 to that distance. 171 pairs of isonymic-geographic distances were obtained, a number too  
232 small for strong considerations, particularly in comparison other South American countries.  
233 These data can be related with two facts: 1) the lack of natural barriers inside the country, and  
234 2) because during historic times the country was mainly populated from Montevideo area,  
235 radiating from the South, to the rest of the territory.

236

237 ***Kinship.*** Random kinship between departments was plotted as a function of geographic  
238 distance (Fig. 4). Kinship tends to decrease with distance as predicted by Malécot (1955, see  
239 also Kimura 1960). However, given the very small number of points relating isonymic and  
240 geographic distances, we cannot describe the kinship decay as exponential. Specifically, the  
241 exponential decay should be characteristic of structures more linear than Uruguay, for  
242 example as observed by us in Chile (Barraí *et al.*, 2012) and Honduras (Herrera-Paz *et al.*,  
243 2014), and in Albania (Mikerezi *et al.*, 2013). In the case of Uruguay kinship decreases with  
244 distance, but it is not possible to determine the shape of the decay function.

245

246 **Relations between departments of Uruguay**

247 In order to obtain a general idea on the movements of population groups in Uruguay, MDSs  
248 and PCAs were performed on the matrix of Nei's isonymic distances between departments.  
249 The PCA projection on the first two axes of Nei's matrix between departments (Fig. 5)  
250 indicates one South-Center cluster formed by Colonia, Soriano, Flores, San José, Florida and  
251 Lavalleja, and then, another cluster down South, with Montevideo, Canelones, and  
252 Maldonado. The other departments are projected less closely.  
253 Figure 6 shows the dendrogram obtained from Nei's matrix. From right to left, a first cluster  
254 composed by 2 subclusters, the most southern one (Maldonado, Canelones, Montevideo and  
255 Lavalleja) and the most eastern one (Cerro Largo, Treinta y Tres, associated with coastal  
256 Rocha). A second cluster, also with two subclusters, is formed by West-Central Departments:  
257 Durazno, Soriano, Río Negro and Paysandú on the right bank of river Uruguay, on one hand,  
258 and by South-Central Flores, Florida, San José and Colonia, on the other. Finally, the last  
259 departments to join the dendrogram are the most north western ones: Salto and Artigas  
260 bordering with Rivera and Tacuarembó. All these clustering are related with historical events,  
261 and despite the lack of some data, seems to be related also with molecular information (Sans  
262 *et al.*, 1997, 2006, 2011, 2015; Bonilla *et al.*, 2004; Gascue *et al.*, 2005; Hidalgo *et al.* 2014).  
263 In this sense, in the first subcluster, Montevideo has only 1% of Native contribution using  
264 classical markers and 21% considering mitochondrial DNA (mtDNA), in the second  
265 subcluster Cerro Largo has 8% of Native contribution using classical markers and 32% with  
266 mtDNA, and in the last cluster, Tacuarembó has 20% and 62% respectively (Sans *et al.*, 1997,  
267 2006; Bonilla *et al.* 2004; Gascue *et al.* 2005).

268

### 269 **Mapping of the first three components of Nei's matrix.**

270 The structures revealed by the MDSs and the dendrograms are only partially indicative of the  
271 possible movements of the population, therefore, to have a general idea if any, of the direction

272 of population movements in Uruguay(following Menozzi *et al.* 1978) ,the first three  
273 components of the matrix of Nei's distance, obtained from the PCA and from the MDS, were  
274 mapped on the nation (following Menozzi *et al.*, 1978). PCA components were provided  
275 because the relative importance of each component is given by the corresponding eigenvalue,  
276 while the MDS provides the value of the stress for a judgement of the overall fitting on the  
277 dimensions. The resulting maps are given in Figure 7. The intensity of colour in each map is  
278 proportional to the deviation of the department on the respective axis.

279 The map variation of the first component of the PCA, which accounts for almost half the  
280 variability (44.36%) in the North-South direction, indicates the sense of movement from the  
281 South of the country toward North and the East along the Río de la Plata. This might mean  
282 that the main immigration passed through Montevideo, in the extreme south of the country, as  
283 seen when analyzing isolation by distance. The second and third components (26.03% and  
284 13.86%) give a somewhat similar indication, although with minor intensity, because of their  
285 size. Overall, the three components account for more than 84% of the surname variation as  
286 obtained from Nei's distance matrix.

287 Then, the sense of movement may be postulated from the South toward North and from West  
288 toward East. This might be inferred also from looking at the PCAs in Figure 7.

289 The mappings of the first three dimensions of the MDS are compatible with those obtained  
290 from the PCA. The indication of possible movement toward East and North seems clear  
291 enough for the first and second dimension, and less so for the third. So, the isonymic structure  
292 of Uruguay seems to be mainly due to migration from the Plata regions, with radiation toward  
293 East and North, and with subsequent isolation and drift, with short range migration playing a  
294 major (and drift a minor) role in the generation of the present geographical variation of  
295 surnames.

296 At present, most internal migration seems to take place toward the Capital and the other main  
297 towns upstream the left bank of the river Uruguay. Although these movements are not  
298 documented, recent internal migrations show that Montevideo is not anymore the main centre  
299 of internal population movements and, moreover, part of its population has migrated to the  
300 neighbour area of Canelones (Pellegrino, 2003). These results are an addition to the available  
301 knowledge which has been developed in the course of time to meet the challenges of planning  
302 and organization of the territory in Uruguay (Yagüe and Díaz Puente 2008).

303

#### 304 **Comparisons with other populations worldwide.**

305 The methodology described in this paper was used to analyze the isonymic structure of  
306 several South American countries (Rodríguez-Larralde *et al.*, 2000, 2011; Dipierri *et al.*,  
307 2005, 2011; Barraí *et al.*, 2012) and in Central American Honduras (Herrera Paz *et al.*, 2014).  
308 In these countries, 4 (Venezuela), 24 (Argentina), 23 (Bolivia), 4.5 (Paraguay), 4.5  
309 (Honduras) and 16.5 (Chile) million surnames from censuses and from the registers of  
310 electors were used, similar to the study performed in Uruguay. Differently, in European  
311 countries and in the USA surnames were taken from of telephone users (Barraí *et al.*, 2001;  
312 Rodríguez-Larralde *et al.*, 2007; Scapoli *et al.*, 2005, 2007).

313 The average value of  $\alpha$  for different population unities (cities, states, districts, provinces or  
314 departments depending on the country, divisions that are relatively comparable), and the  
315 isolation by distance measured by the correlation between isonymic and geographic distances,  
316 are given in Table 2 for the countries studied up to now. Several features emerge from the  
317 comparisons reported in Table 2. First, the general similarity among European nations and  
318 USA in profusion of surnames as measured by  $\alpha$ , with the exceptions as Albania and Spain.  
319 Uruguay has an intermediate position between these two countries and the rest of  
320 Europe/USA, and different to South American countries with the exception of Argentine.



321 Second, for isolation by distance as measured by the linear correlation, Spain and USA have  
322 the lowest values and Italy, France and Venezuela, the highest, and being Uruguay close to  
323 the average. Finally, the relation sample size/surnames (SS/S) is the lowest in Uruguay when  
324 related to South or Central American countries, being similar to those from Spain and little  
325 higher than those of most of the rest of Europe.

326

327

### Conclusions

328 Surnames can be used for discover social patterns related to geographic spread and distance,  
329 ancient or recent migration movements, population dynamics, ethnic or geographic origins,  
330 ethnicity or other historical facts (*Colantonio et al.*, 2003; *Darlu et al.*, 2012; *Cheshire*, 2014).  
331 Despite the lack of Native or African origin names, illegitimate unions, as well as different  
332 spellings or surname changes, isonymy studies can work with a great amount of data allowing  
333 comprehensive analysis of present and past populations. The present first analysis performed  
334 in Uruguay showed some interesting information related to different facts.

335 The general similarity among European nations in profusion of surnames as measured by  $\alpha$   
336 and for isolation by distance as measured by the linear correlation. Moreover, in Uruguay, the  
337 average number of persons having the same surname (measured by the ratio Sample  
338 Size/Surnames, given as the index SS/S in Table 2) is small (36) for a South American  
339 country.

340 Different regions can be defined in Uruguay mostly separated by longitude. Random  
341 inbreeding estimates ( $F_{st}$ ) was lower and effective quantity of surnames ( $\alpha$ ) higher in the  
342 more densely populated South-West area, and in Montevideo, having Paysandú, Río Negro,  
343 Soriano, and Colonia the lowest inbreeding. It is possible to state that currently the population  
344 structure of this country is the result of the action of short range directional migration,  
345 particularly along the river Uruguay and along the Río de la Plata. The North-East (Rivera,

346 Tacuarembó, Cerro Largo) has the highest inbreeding  $F_{st}$  and the lowest  $\alpha$ , denoting more  
347 isolation than the previous mentioned regions. Differently to those which were populated by  
348 several migration waves with different origins, the North East reveals the historic penetration  
349 from the Brazilian border and also, the inheritance of land (Carvalho Neto, 1965; Rama,  
350 1967).

351 It should be mentioned that in Uruguay electors are registered according with their residence  
352 when they are around 18 years old; if they move, even to neighbouring countries, they do not  
353 always change their addresses. Then, internal migrations that took place cannot be always  
354 seen from the available registers.

355 Nevertheless, from our analysis it appears that migration is a major contributor to surname  
356 differentiation, while the contribution of drift, considering the small variance of  $\alpha$ , seems to  
357 be minor. While the limitations mentioned at the beginning of this article cannot be ignored,  
358 as the change or loss of surnames, the results are coherent with other data as genetic  
359 information or historic migrations. More detailed analysis about regions, as well as the origin  
360 of surnames, will be object of future articles.

361

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**Table 1.** Department, code, number of surnames N, number of different surnames S, Fisher's  $\alpha$ , Karlin-McGregor  $v$ , isonymy I, and FST in Uruguay. In the last two columns, average Longitude and Latitude.

Department	N	S	$\alpha$	$v$	I	Fst	Long	Lat
Montevideo	1030800	53858	346.2	0.00034	0.00288	0.000720	-56.227	-34.826
Canelones	361446	22909	250.6	0.00069	0.00398	0.000994	-55.959	-34.519
Maldonado	121442	10814	213.7	0.00176	0.00467	0.001167	-54.862	-34.547
Rocha	58386	3964	121.8	0.00208	0.00816	0.002040	-54.023	-33.943
Treinta y Tres	39743	2702	181.5	0.00455	0.00550	0.001376	-54.305	-33.001
Cerro Largo	67956	3697	154.8	0.00227	0.00643	0.001608	-54.375	-32.402
Rivera	82983	5113	161.1	0.00194	0.00618	0.001545	-55.034	-31.647
Artigas	58761	3653	172.0	0.00292	0.00580	0.001449	-56.951	-30.547
Salto	98384	5840	237.6	0.00241	0.00420	0.001050	-57.049	-31.237
Paysandú	90667	6588	393.5	0.00432	0.00255	0.000637	-57.379	-32.033
Río Negro	42702	4255	329.4	0.00766	0.00305	0.000762	-57.466	-32.717
Soriano	69446	4950	376.5	0.00539	0.00266	0.000666	-57.764	-33.478
Colonia	100687	6797	361.5	0.00358	0.00277	0.000692	-57.679	-34.112
San José	78072	6232	198.2	0.00253	0.00503	0.001258	-56.746	-34.274
Flores	21715	2310	208.9	0.00953	0.00481	0.001203	-56.936	-33.510
Florida	55357	4165	188.6	0.00340	0.00529	0.001323	-55.902	-33.703
Durazno	46297	3638	222.0	0.00477	0.00451	0.001126	-56.108	-32.935
Lavalleja	50396	3528	194.9	0.00385	0.00512	0.001281	-55.009	-33.868
Tacuarembó	26526	2503	168.6	0.00631	0.00590	0.001483	-55.807	-32.040

**Table 2.** Comparison of isonymy parameters in nine European countries, in five South American countries, in the USA and Texas, and in Yakutia, Siberia.

	Sample Size	Surnames	$\alpha$	Isolation by distance	SS/S	Source
Country	(SS, Millions)	(S)	(average)		(%)	
<b>EUROPE</b>						
Austria	1	140766	854	0.59	7.1	1
Albania	3	37184	123	0.71	82	2
Belgium	1.1	137442	997	0.74	8	1
France	6	495104	1615	0.69	12.1	1
Germany	5.2	462526	1596	0.51	11.2	1
Netherland	2.4	126485	787	0.46	19	1
Italy	5.1	215623	1236	0.61	23.7	1
Spain	3.6					
Paternal		94886	134	0.21	38	3
Maternal		110034	144	0.26	33	3
Switzerland	1.7	166116	891	0.72	10.2	1
<b>ASIA:</b>						
Yakutia	0.5	44625	107	0.69	11.1	4
<b>NORTH AMERICA</b>						
Texas	3.6	235740	734	0.42	15.3	5
USA	18	899585	1366	0.24	20	6
<b>SOUTH AMERICA</b>						
Argentina	22.6	414441	422	0.47	54.5	7
Bolivia	23.2	174922	122	0.5	144.6	8
Chile	16.4	72,667	209	0.63	224.0	9
Paraguay	4.8	39047	108	0.42	122.9	10
<i>Uruguay</i>	2.5	70,395	301	0.56	35.5	<i>Present study</i>
Venezuela	3.9	68665	122	0.78	56.8	11
<b>CENTRAL AMERICA</b>						
Honduras	4.3	14,665	113	0.43	296.5	12

1: Scapoli et al. 2007; 2. Mikerzi et al. 2013; 3. Rodríguez-Larralde et al. 2003; 4. Tarskaia et al. 2009; 5. Rodríguez-Larralde et al. 2007; 6. Barrai et al. 2001; 7. Dipierri et al. 2017; 8. Rodríguez-Larralde et al. 2011; 9. Barrai et al. 2012; 10. Dipierri et al. 2011; 11. Rodríguez-Larralde et al. 2000; 12. Herrera Paz et al. 2012.

522 **Figure captions**

523

524

525 **Figure 1.** Map of Uruguay and the 19 departments.

526

527 **Figure 2.** Variation of Nei's distance between departments with geographic linear distance.

528

529 **Figure 3.** Signal extraction from the variation of Nei's distance ( $\pm$  s.d.) between departments  
530 over geographic distances.

531

532 **Figure 4.** Decay of random kinship ( $\pm$  s.d.) in Uruguay over geographic distance. Pairwise  
533 distances between departments.

534

535 **Figure 5.** Projection of Nei's distance matrix on the first two components of the PCA. The  
536 first component removes 44.36 % of variability, and the second component 26.03 %.

537

538 **Figure 6.** Dendrogram of Uruguay Departments. Nei's distance, Complete Linkage.

539

540 **Figure 7.** Projection of Nei's matrix of surname distances on districts in Uruguay by mapping  
541 **(a)** the first three PCA's factors (I: Factor 1= 44.36.5%; II: Factor 2 = 26.03%; III: Factor 3 =  
542 13.86%); **(b)** the first three MDS's dimensions (I: Dimension 1; II: Dimension 2; III:  
543 Dimension 3. Stress=10.47%).