



**On the evaluation of Satellite COSMO capability in archaeological survey to detect earthen buried remains in desert areas: the case study of Pachacamac - Lima (Peru)**

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7 **On the evaluation of Satellite COSMO capability in archaeological survey to detect earthen buried**  
8 **remains in desert areas: the case study of Pachacamac - Lima (Peru)**

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11 Rosa Lasaponara<sup>1</sup>, Nicola Masini<sup>2</sup>, Antonio Pecci<sup>2</sup>, Felice Perciante<sup>1</sup>, Denise Pozzi Escot<sup>3</sup>, Enzo Rizzo<sup>1</sup>,  
12 Manuela Scavone<sup>2</sup>, Maria Sileo<sup>2</sup>

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15 <sup>1</sup> CNR-IMAA (Institute of Methodologies for Environmental Analysis), C.da Santa Loja, 85050, Tito Scalo  
16 (PZ), Italy.

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19 <sup>2</sup> CNR-IBAM (Institute for Archaeological and Monumental Heritage), C.da Santa Loja, 85050, Tito Scalo  
20 (PZ), Italy;

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23 <sup>3</sup> Museo de Sitio Pachacamac, Antigua Panamericana Sur Km. 31.5, Lurín, Lima, Perú

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28 **Abstract** Archaeological prospection of earthen buried structures, namely non-fired sun-dried mud bricks  
29 mixed with organic material, is a critical challenge to address. In fact this building material exhibits a very  
30 low geophysical contrast compared to its surroundings and, therefore, earthen structures are very complex  
31 to be identified using remote sensing. In order to cope with this issue, in this paper we focus on the  
32 evaluation of satellite X-band radar data (COSMO-SkyMed) capability for detecting earthen buried  
33 structures in a desert area.

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38 The results obtained from satellite radar data have been validated for a test site in Pachacamac (Peru) by  
39 using unmanned aerial vehicle (UAV) and geomagnetic techniques. The test site is located in a poorly  
40 investigated area outside the fenced protected zone of Pachacamac, today in the tentative UNESCO list.

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45 This paper is the first attempt made until now in evaluating the detectability of earthen archaeological  
46 remains using satellite SAR data. Outcomes from our investigations clearly point out that the approach we  
47 adopted can be useful applied for preventive archaeology and for the planning of future excavation  
48 campaigns of earthen buried structures.

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Keywords: satellite radar, COSMO, UAV, Pachacamac, earthen buried structures

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## 1. Introduction

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The digital tools nowadays available for archaeology enable us to get extremely precise results speeding up the work during the diverse phases of archaeological investigations ranging from survey, mapping, excavation, documentation and monitoring at diverse scales of interest, moving from small artifacts to landscape level. In particular, the use of satellite radar data in archaeological investigations can offer great potential for site detection, especially in desert areas where optical data are generally strongly limited (i) by their inability to penetrate soil and (ii) by the absence of typical archaeological crop marks. Even if today a huge amount of SAR data are available, their potentiality and use are still underexploited in the archaeological operative practice.

In this paper we focus our attention on the joint use of satellite X-band radar data, aerial photographs captured from UAVs and magnetic prospection for detecting adobe remains in desert areas of Pachacamac, one of the biggest archaeological sites of Peru, located at [31](#) South East of Lima, in the Valley of the Lurín River (see Figure 1).

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For more than 2,000 years, Pachacamac was one of the main centers of religious cult keeping this role unchanged in different historical periods and for different cultures such as Chavin, Lima, Huari, Ychma and Inca culture, (Shimada 1991). Thus, through the ages Pachacamac also became a center of the cultural and economic life of the Andean world surviving from one civilization to another and also thriving, expanding and facing numerous socioeconomic issues.

To enrich the amount of information in Pachacamac, we conducted our investigations in a non-invasive, non-destructive way, using the most powerful digital tools nowadays available for archaeology: satellite radar, aerial photographs and magnetic prospection. The availability of active and passive sub-metric remote sensing data provides challenging opportunities to improve the extraction of information, from the qualitative and quantitative point of view, also in the case of subtle signals which typically characterize buried and shallow archaeological remains.

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7 The identification of these structures depends on the contrast between the geophysical proprieties of the  
8 archaeological deposits and its surrounding. For example, wells, tombs, stone masonry, walls, ditches can  
9 be identified more easily than earthen remains which tend to exhibit very low physical contrast respect the  
10 surrounding soil (Masini & Lasaponara 2009; Bonomo et al. 2013) having a similar material composition.  
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14 The test site of our investigation is an area located at North of the archaeological site of Pachacamac,  
15 including very thick walls built in adobe (see Figure 2). It is part of an ancient city wall, which probably  
16 enclosed a residential area and cemeteries, dating back to a period ranging from Ychma to Inca ages.  
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20 With such regard, it is reasonable to believe that a larger part of the wall is under the current ground level,  
21 the rest is shallow or emerging. The paper shows the results of a multiscale and multisensor approach,  
22 including satellite optical and SAR remote sensing, geomagnetic prospection and UAV, aimed at detecting  
23 buried and shallow remains referable to the ancient earthen walls.  
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## 30 **2 Pachacamac: history and state of the art of investigations.**

### 31 32 33 **2.1 - Brief historical overview**

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35 Pachacamac is located on a desert hill about 31 km South East of Lima, on the right bank of Lurin river near  
36 its mouth, 800 meters from the Pacific Ocean (see Figure 1). The site covers a total area of around 465  
37 hectares, among which the third is occupied by monuments such as temples, pyramids with ramp and  
38 palaces.  
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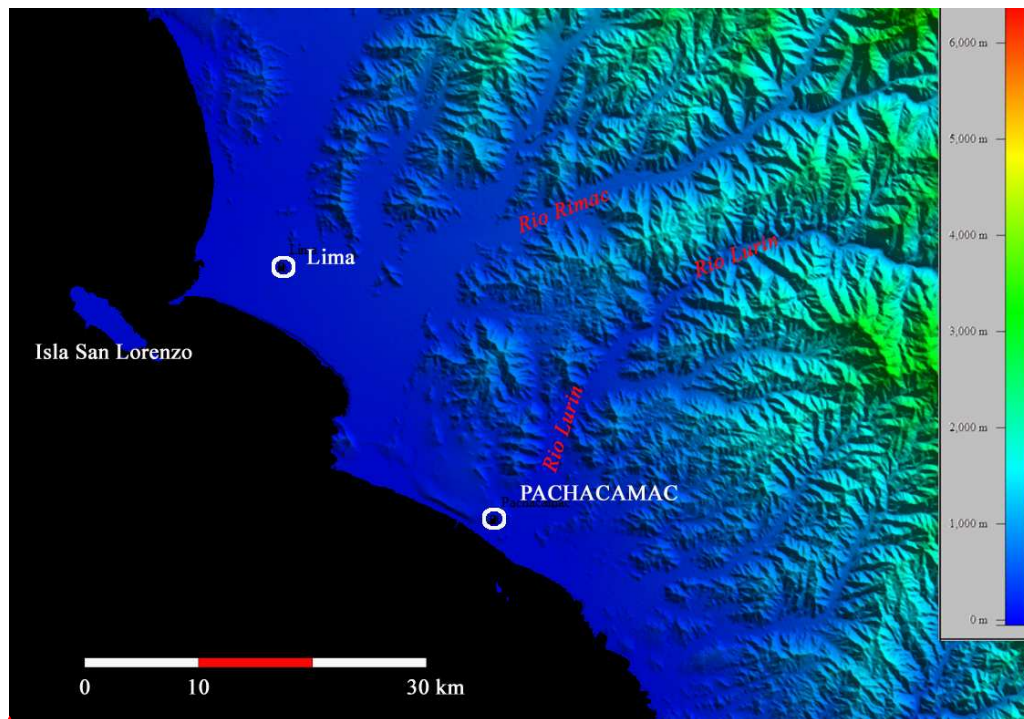


Figure 1 - Location of Pachacamac

The first human settlements in the area of Pachacamac date between the end of the Initial Period and the beginning of the Early Horizon (1000-800 BC) at the age of Chavin culture which developed until the 2<sup>th</sup> century BC. The first monument is the so-called *Templo de los Adobitos* (or Lima Temple), made by small bricks of adobe, which is attributed to the Lima Culture (200 BC-600 AD). Under Huari (550-1100 AD) Pachacamac reached its apogee. The sanctuary area became a city used as administrative center of great importance throughout the Andes. The Huari influence can be seen in ceramic objects, paintings and architecture ("Painted Temple", see 4 in Figure 2).

The Ychma (1100-1470) civilization followed after the collapse of the Huari. During the Ychma period Pachacamac continued its expansion becoming a city-state and, later, the capital (known as Ychsma), of a region which included the river valleys of Rimac and Lurín. The most important witnesses of the presence of Ychma culture could be found in the architecture, with the so-called "pyramids with ramp", and in the urban structure which was completely renovated and structured around two perpendicular road axes oriented along

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7 North-South and East-West, respectively. Later, during Inca Empire, the city, renamed Pachacamac, became  
8 an important ceremonial and administrative center. The Incas maintained the sanctity of the place and  
9 allowed the priests of Pachacamac to continue to profess their religion and their rites. They built the Temple  
10 of the Sun, and the palaces of Acclahuasi, Taurichumpi and other buildings.

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14 With the Ychma, before, and the Incas after, Pachacamac reached the current extension of the archaeological  
15 area, including sectors I and II (for additional information see section 3.2). In the same period, further  
16 settlements (see sectors III and IV in Figure 2) including residential area and cemeteries were built at North  
17 of the archaeological area.  
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## 21 **2.2 Archaeological Area**

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24 Spatially the archaeological site is divided into four sectors, defined by two concentric walls and two outer  
25 walls. The first wall (known also as Holy Wall) defines sector I including the Temple of the Sun, the Painted  
26 Temple, the Old Temple (Franco Jordan 1993a, 1993b) and cemetery, for an extension of 18.8 Ha (see 1, 4  
27 and 5 in Figure 2, respectively). The second wall, defining sector II, contains roads, cemeteries, many  
28 squares and courts, pyramidal monuments, known as pyramid with ramp (Eeckhout 1995; Paredes Botoni &  
29 Franco Jordan 1987), the Acclahuasi and the Taurichumpi Palace. The two above described sectors embrace  
30 a total area of around 130 Ha.  
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37 At North of the archaeological area and the Panamerican road, at South and west of the District of  
38 Pachacamac there is a desert hill of archaeological interest, conventionally divided in two sectors (III and IV)

39 The Sector III, called "outer city", extended from the inner city (sectors I and II) to the outer city walls, for  
40 an extension of around 90 ha (see Figures 2 and 3). Herein the archaeologist Max Uhle, found vast  
41 residential areas and a cemetery.  
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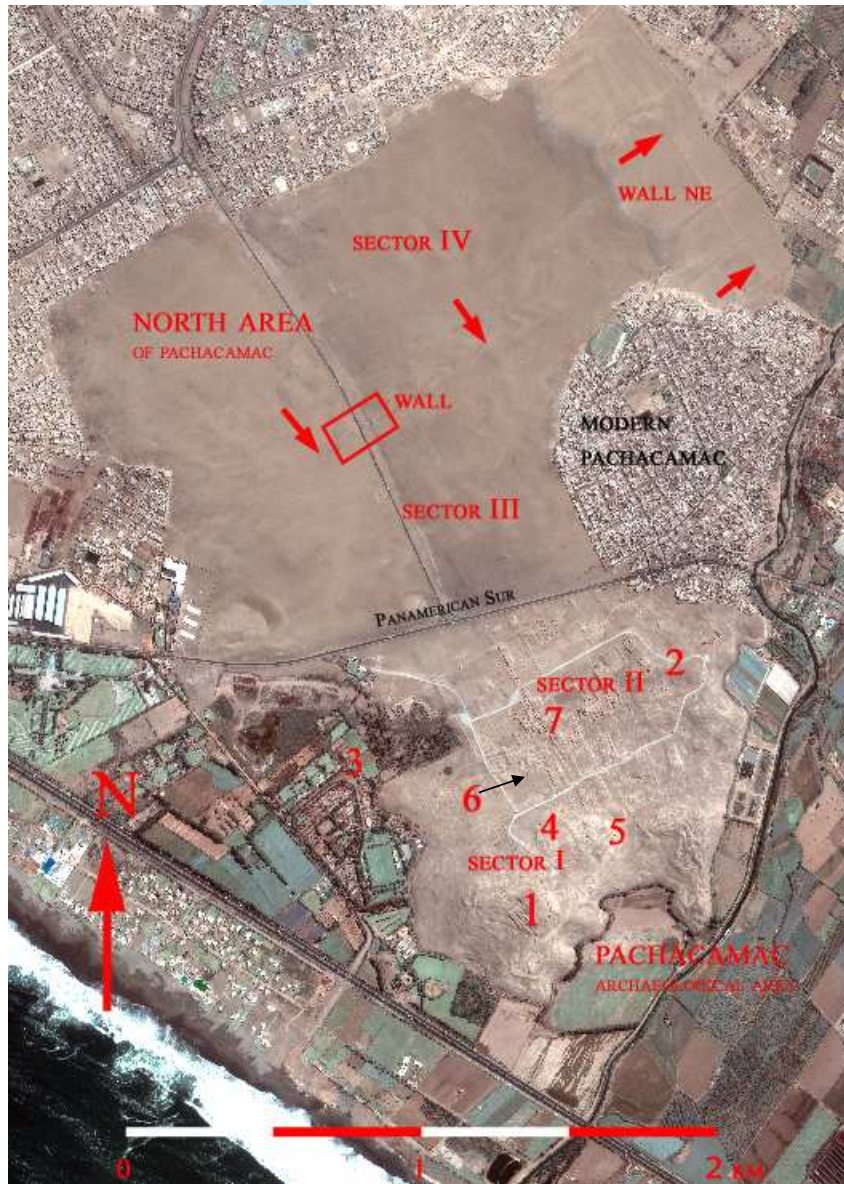
47 Finally, the Sector IV is located at North of the Sector III and extends to meet the District of Pachacamac.

48 The North Eastern border is defined by a long and thick earthen wall.  
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51 The investigated test site is between the Sectors III and IV and includes a section of the walls which crosses  
52 the desert hill at North of the archaeological area, along WSW-ENE direction. The walls are built in adobe  
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and are 3.80-5.70 m thick, about 500 m long, with height ranging from 20 cm to about 4m. Along the direction of the wall (see red arrows in Figure 2) there are significant lacking parts whose foundations are reasonably underground. An integrated remote sensing approach including satellite SAR, UAV and geomagnetic prospection has been used to detect features related to buried walls and other possible structures.



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Figure 2 - Satellite Pleiades true color image (acquired on April 13, 2013) of the Ceremonial centre of Pachacamac composed of 4 sectors: I and II in the archaeological area, III and IV located at North of the archaeological area and Panamericana Sur road. The numbers indicate the main monuments of the archaeological area such as: Sun Temple (1), Taurichumpi Palace (2), Acllahuasi Palace (3), Painted Temple (4), Old Temple (5), Pilgrim's Plaza (6), Pyramid with ramp I (7). At North red arrows denote the presence of walls, the first ones locate between sectors III and IV, the second ones are located at North East near the modern town of Pachacamac. The red box indicated the area object of investigation

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### 3 Integrated remote sensing : tools, data acquisition and processing approaches

To enrich the amount of information for the area of interest, we conducted our investigations in a non-invasive way, using the most powerful digital tools nowadays available for archaeology: radar and optical satellite data, aerial photographs taken from UAV and magnetic survey. The availability of active and passive remote sensing data provides challenging opportunities to improve the extraction of information, from the qualitative and quantitative point of view, also in the case of subtle signals which typically characterize buried and shallow archaeological remains.

#### 3.1. SAR Satellite remote sensing as tool for the detection of archaeological features

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The use of satellite radar data in archaeological investigations can offer great potential for site detection, documentation and monitoring especially in desert areas where optical data generally are strongly limited by their inability to penetrate soil and by the absence of typical archaeological crop marks (Lasaponara & Masini 2013). Even if a huge amount of SAR data are available today, their potentiality and use are still underexploited in the archaeological operative practice. Satellite Synthetic Aperture Radar (SAR) has entered into a golden age with a rich availability of data from both historical archives and numerous operative satellite platforms, which, compared to the past, offer advanced imaging mode capability available at diverse (L, C and X) bands (Berger & Aschbacher 2012.).

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Moreover, the currently available satellite SAR systems provide data with a greater flexibility in the selection of incidence angles and polarizations even in the scale of one meter and less. These advanced technical characteristics make the use of radar data very attractive for numerous application fields, including archaeology. Nevertheless, a correct identification and interpretation of archaeological features (marks) on

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7 the basis of radar images is not a straightforward task and requires knowledge about ground surface  
8 conditions as well as about the interaction mechanisms between radar waves and sensed surface. Actually,  
9 from the historical points of view one of the main important applications of SAR data for archaeology and  
10 palaeoenvironmental studies has been focused on the exploitation of SAR penetration capability particularly  
11 significant in drought desert areas (McCauley et al. 1982; El-Baz F. 1998). Only recently SAR data, in  
12 particular X-band one at high resolution, have been using for the reconnaissance of archaeological  
13 microrelief (Chen et al. 2015).

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19 One of the main problems for archaeological feature extraction in SAR data is the very low signal/noise ratio  
20 and the fact that the visibility of archaeological marks is conditioned, as for optical data, by numerous factors  
21 mainly, for example vegetation and soil type, humidity content and salinity. A compromise is needed to  
22 satisfactory filter out noise component maintaining, at the same time, the subtle signal associated with  
23 archaeological features. In fact, speckle noise reduction may be in generally beneficial for the archaeological  
24 feature extraction, but the use of filtering to reduce noise could also filter out the target.

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30 For the purpose of our analysis to cope with this issue, after the partial noise removal using Enhanced Lee  
31 (Lee Jong-Sen 1980; Lopes et al. 1990), we also include textural and morphological filter (Rama Bai 2010).  
32 The former filter typology is more suitable to extract the complementary information available from the  
33 statistical indicators (such as, mean, standard deviation coefficient of variation, autocorrelation for lags, etc).  
34 Whereas, the latter filter typology, based on mathematical morphology, is more suitable to find  
35 discontinuities in surface, changes in material properties and variations in moisture content, etc.  
36 Morphological filters (Peters 1995) are nonlinear image transformations based on morphological operations  
37 such as opening, closing or dilation and erosion which are size and shape sensitive and they are found to be  
38 good in making the image sharper.

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46 In Pachacamac, at North of the fenced archaeological area (see figure 3a) we used a COSMO-SkyMed data  
47 acquired on 02 January 2012 with Strip Map mode, at 4 m geometric resolution (for additional details on the  
48 data see captions of Figure 3). The visual comparison of the satellite radar data (Figure 3a) with optical  
49 satellite data (Figure 3b) puts in evidence a greater number of features visible from radar data.  
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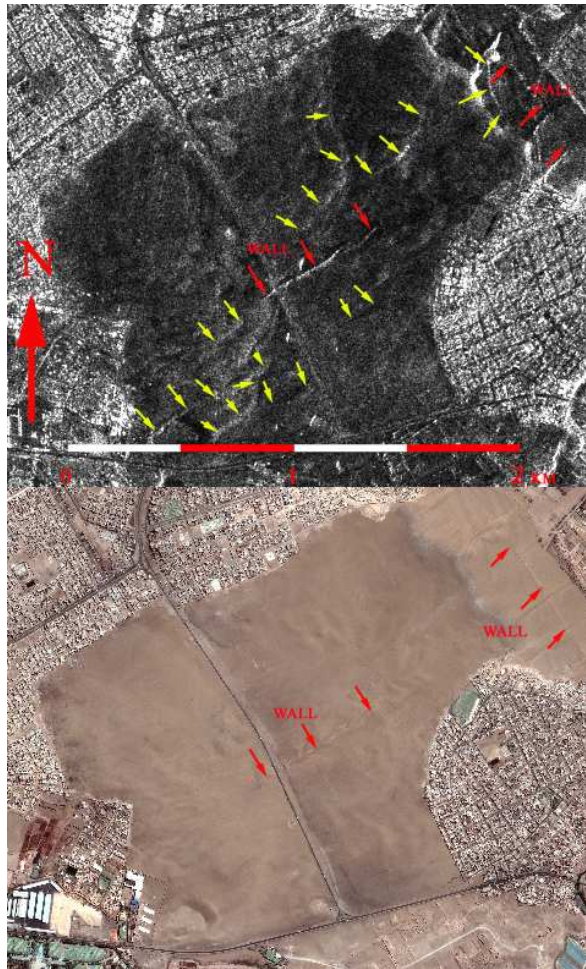


Figure 3 – COSMO-SkyMed (up) radar and Pleiades (bottom) of the study area. Red arrows indicate the emerging hearten wall, whereas yellow arrows denoted the anomalies detected by radar data. The COSMO-SkyMed is acquired on 02 January 2012 with Strip Map mode, along the descending orbit, right look side, with VV polarization, at 4 m geometric resolution (see Figure). The data is a Geocoded product (1C level) obtained projecting the SLC (Single-look Complex) product (which in its turn a raw data focused in slant range-azimuth projection) onto a regular grid in a cartographic reference system whose surface is the earth ellipsoid.

### 3.2. Geomagnetic method

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The magnetic survey is one of the most important non-destructive investigation techniques widely used in archaeological prospection. It is based on the measurement of small local variations of the earth's magnetic field due to the presence of buried object (i.e. man made buried structures). The geomagnetic approach allowed us the possibility to obtain a fast large investigation on the studied area with a low time consuming and a high detection check. For the purpose of our investigations, the geomagnetic measurements were

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7 performed using an optical pumping magnetometer G-858 (by Geometrics) in gradiometric configuration,  
8 with two magnetic probes set in a vertical direction (1m each other). This configuration removes the diurnal  
9 variations of the natural magnetic field. Among the various acquisition modalities provided by the magnetic  
10 sensors, the magnetic measurements were performed by a mapped survey mode that allows us to previously  
11 specify and visualize the survey area and to move around within the investigated area in a non-continuous  
12 fashion by means of regular grids. The magnetic map (figure 6) was obtained by several parallel profile  
13 obtaining a regular grid with an interspaced line of 1m and a sampling rate of 10Hz, obtaining more than  
14 4000 gradiometric data for an investigated area of about 50 x 50 mq. The survey direction was forced by the  
15 instruments used, because the Cesium sensors need a proper orientation at various earth's field dip angles.  
16 Therefore, a useful software (CSAZ by Geometrics) for the location of Pachacamac calculated the best  
17 survey direction (South-North) and a precise sensor dip angles (Rizzo et al, 2010). A wide range of processes  
18 were provided, allowing the data to be manipulated to produce the best possible interpretation. The rough  
19 magnetic data were filtered to obtain the best S/N ratio providing some processes: the pass-band filter, to  
20 remove high or low frequency components in the survey; the despiking tool by scans the composite using a  
21 uniform weighted window looking for datapoints that exceed the mean of the window and are replaced by  
22 either the mean; stretch compensation for errors due to inconstant operator walking, zig-zag effect and  
23 destripe to remove the striping effect between grids caused by directional effects. In order to highlight the  
24 main geomagnetic linear anomalies, the data were further processed using a Kriging interpolator with a  
25 linear variogram (Rizzo et al., 2005; Rizzo et al., 2010). The figure 6 shows the kriging interpolated  
26 magnetic map where several linear anomalies are visible. The large EW linear anomalies (red arrows) is  
27 clearly in continuum with the main visible wall structures. Moreover, several parallel and orthogonal lines  
28 seems to detect more buried structure close the main walls.

### 3.3 Aerial data UAV system, image acquisition and processing

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50 Undoubtedly, compared to traditional aerial archaeological, the UAVs offer several advantages, particularly  
51 low cost and ability to cover large in a short window of time. There are currently a wide range of UAVs. A  
52 classification of diverse UAV systems based on size, weight, endurance, range, and flying altitude, is in Nex  
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7 & Remondino (2013). A typical UAV platform for geomatics purposes have costs ranging from 1,000 to  
8 50,000 Euro, depending on (i) payload, (ii) instrumentation, (iii) degree of automation (iii) autonomy in  
9 terms of battery. Low-cost solutions generally offer lower payload and usually require human assistance in  
10 the take-off and landing phases (see for example Neitzel and Klonowski 2011). For the purpose of our  
11 investigation we adopted a low cost drone Dji Phantom Vision 2 plus, a radio-controlled quadcopter, able to  
12 take off and land vertically on any surface. It has a structure in carbon fiber with a weight of 1242 grams, a  
13 diameter of 350 millimeters and a payload of about 500 grams. The propulsion is given by four electric  
14 motors powered by a battery which allows a flight range of 20-25 minutes in standard condition. The remote  
15 control is performed up to a maximum distance of 700 m, with horizontal, vertical and rotation speed  
16 ranging from 0.1 to 15 m / s, 0.1 to 6 m / s and 200 ° / s, respectively. The Phantom 2 Vision Plus is  
17 equipped with a 2-axis gimbal very stable and mounting a DJi camera which can shoot video in Full HD and  
18 take photos in 14 megapixels.  
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21 UAV surveys, performed in sectors III and IV of Pachacamac, aimed at providing : 1) the DEM of the area  
22 in order to observe and interpret further microrelief linked to shallow remains; 2) a very detailed model of  
23 the walls enabling us to analyze building techniques and decay patterns of adobes.  
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26 For the first aim images were acquired in automatic and zenith mode at 30 m height. For the second one the  
27 images were captured in manual, oblique and nadiral mode, at a height from 8 to 20 m.  
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30 Data processing of aerial photographs aimed at providing 3d e geometry from 2D images. This issue has  
31 been addressed by using multiple partially overlapped images processed using Structure from Motions  
32 (SfM) approach. The photogrammetric processing of digital images for generating 3D spatial data has been  
33 performed by using Photoscan software (Agisoft PhotoScan User Manual, 2014). The processing includes  
34 the following steps: i) the selection and loading of photos, captured with correct overlap requirement (60% of  
35 side overlap + 80% of forward overlap) aimed at minimizing blind-zones; ii) computation of camera  
36 position and orientation for each photo, alignment of photos and building of a sparse point cloud; iii)  
37 generation of dense point cloud model which allows to calculate depth information for each camera position,  
38 iv) building 3d model polygonal mesh ; v) and, finally, building model texture.  
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#### 4 Results and Discussion

The full exploitation of data provided by the diverse sensors (from aerial, space and ground acquisition) herein used were fruitfully integrated within a GIS environment which provided effective solutions for the management, integration and interpretation of heterogeneous data sources. In this section we will discuss results obtained from each technology one by one.

Figures 4 show the results obtained from the elaboration of optical (4a) and SAR (4b) satellite data. In details, Figure 4a shows the Pleiades map, available at 0.6 m of spatial resolution, for the test site under investigation with overlapped the contours (in red and yellow) obtained from a topographical survey. Figure 4a shows what is evident on ground namely the surface earthen wall built for defensive purpose. Figure 4b shows the COSMO-SkyMed amplitude map with brighter pixels related both to emerging walls, (indicated by white arrows, and to microrelief related to shallow walls, indicated by the red box and arrows (denoted also by w1). The added value of SAR is evident although its lower resolution respect to Pleiades (4 m against 0.60 m). The presence of microrelief induces spatial changes in the roughness of the area that is easily detected by radar but completely invisible to the optical satellite image. In fact, the amplitude of the SAR signal is strongly affected by the presence of adobe remains even if the constituent material of them are similar to the neighbouring areas but the different roughness and compactness is able to produce a significant signal that can be detected by the SAR..

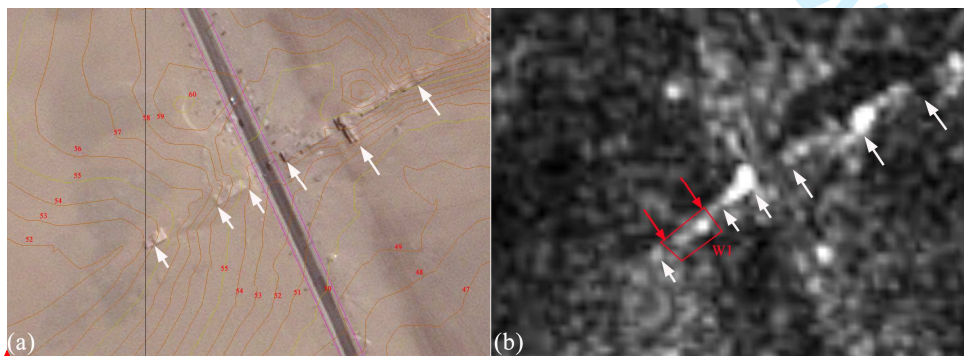


Figure 4 Results obtained from the elaboration of optical Pleiades (4a) and SAR (4b) satellite data

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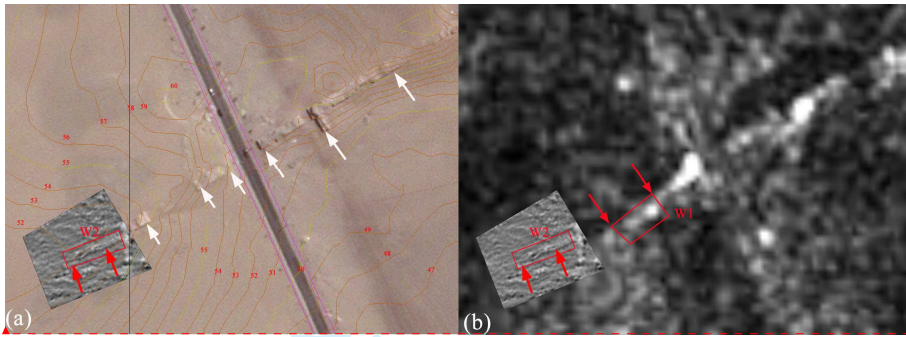


Figure 5 Magnetic map overlapped to Pleiades (5a) and SAR (5b) satellite data and aerial photographs

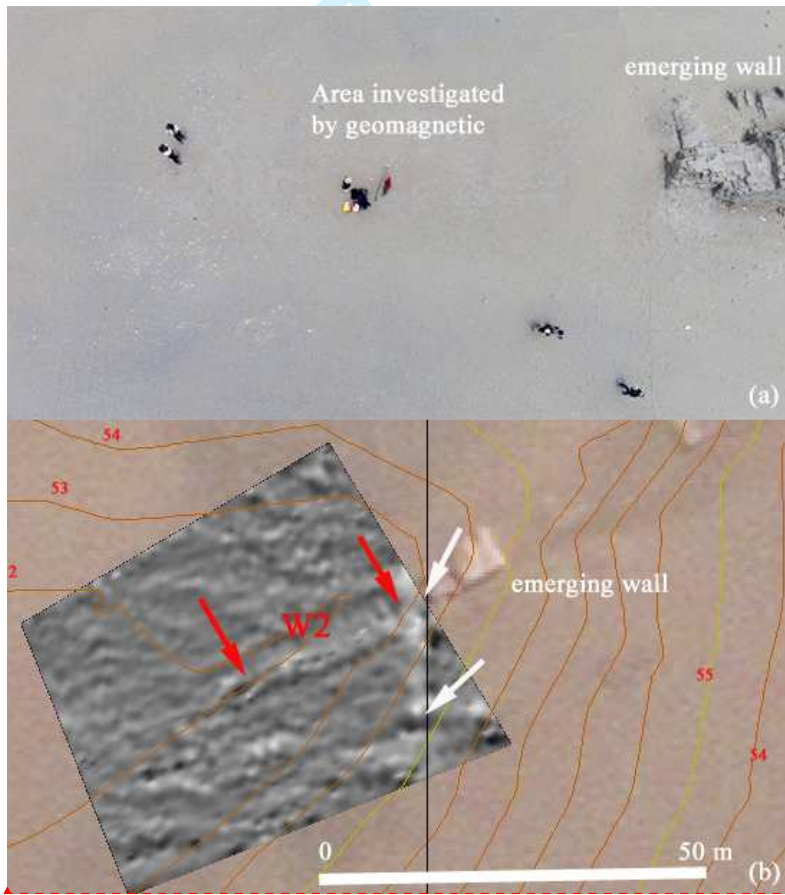


Figure 6 - (a) Area investigated by geomagnetic techniques; (b) Detail of magnetic map. Red arrows denote an anomaly related to a buried wall (w2) aligned along the emerging wall (Figure 4a; 8lower); white arrows indicate another linear magnetic anomaly also referable to a buried structure.

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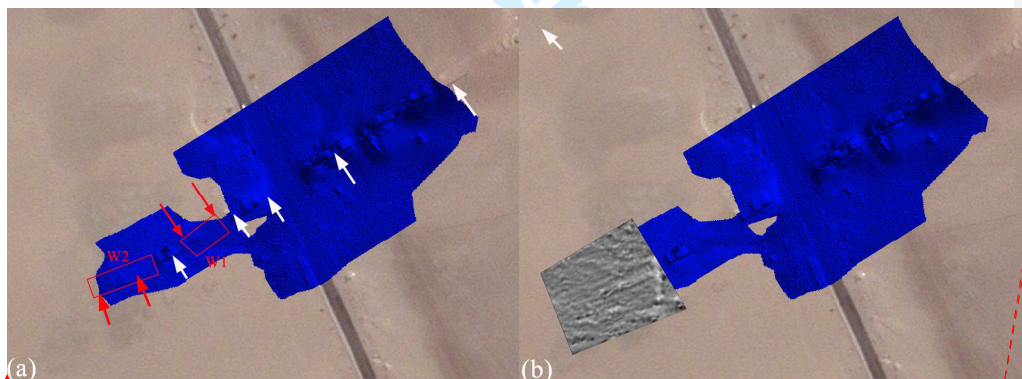
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7 The most important and relevant results of our investigation is in the fact that we analyzed only one SAR  
8 image at a 4 m spatial resolution. It is important to highlight that the visibility of the remains is also due to  
9 the satellite parameters in terms of frequency, polarization, acquisition geometry (ascending/descending).  
10 From the archaeological point of view, it is not yet clear when the walls were built. The materials collected  
11 during our field survey at the surface suggest a dating between the end of the Ychma period and the Inca  
12 period. It is reasonable to think that the walls were built at a time when the two existing boundary walls  
13 (surrounding sectors I and II) were no longer considered sufficient to control the entrance to the Ceremonial  
14 Center of Pachacamac from North. At the same time the new walls were a good protection of residential  
15 areas that were built north of the sacred area.  
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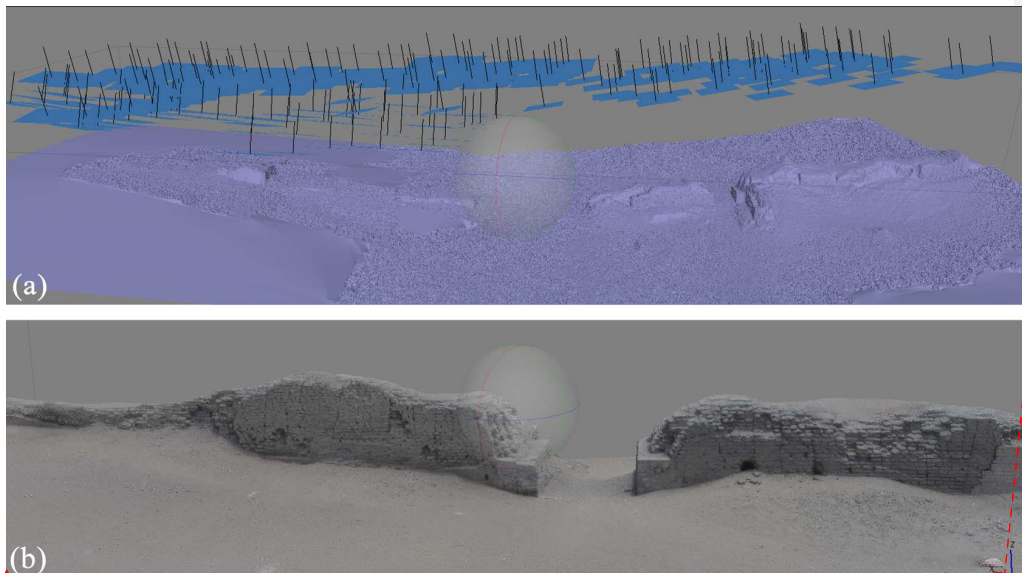
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39 **Figure 7** Aerial photographs, taken at the same time as the magnetic survey, overlapped to Pleiades  
40 map (6a) and Magnetic map overlapped both to Aerial photographs and Pleiades map  
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**Figure 8** - Results from the SfM based processing of aerial images captured from drone. (a) Mesh of 3d model of adobe wall : (b) detail of textured 3d model

Results obtained from the magnetic maps add further information on the presence of walls in the subsoil. Figures 5a and 5b show the magnetic maps overlapped to Pleiades and COSMO-SkyMed data, respectively. A zoom of magnetic map is provided in Figure 6. The anomalies herein observed are clearly referable to a buried wall (see w2 in Figures 5-6) aligned with the emerging wall and the microrelief (w1) observed by SAR.

The zoom of the magnetic map (see Figure 6) puts also in evidence another linear anomaly, N-S oriented, probably due to the presence a buried structure. Unfortunately, the extension of magnetic map does not allow to say more about it.

The improved spatial characterization obtained by geomagnetic investigations is “in primis” due to the higher spatial resolution of magnetic maps so that is possible to identify not only the prosecution of the wall ENE-OSO oriented, but also some other remains in the North –South direction which are less visible from SAR being vertical to the acquisition geometry.

Finally drone survey enabled us to enrich the data set providing detailed 3D model and capturing the emerging remains acquiring data with diverse spatial resolutions (i) at coarse scale that provides the



possibility to have a detailed DTM of the area at a landscape level and (ii) detailed scale which enable us to obtain very detailed information on the wall and in turn the possibility to better investigate the built technique. Moreover, the DTM allows us to spatially characterize with a very high detail the microrelief w detected by using SAR.

##### 5. Final remarks

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In this paper for the first time the results of the evaluation of the detectability of buried earthen remains using satellite SAR technology have been presented. The results we obtained, on a test site in the Northern area of Pachacamac, put in evidence the feasibility of SAR data sing other investigation methods such as geomagnetic and surveys by using UAV for archaeological purposes. The adopted multisensor and multiscale approach helped in the improvement of the archaeological interpretation of radar signals in presence of buried, shallow and emerging walls built in adobe. The results from our investigations clearly pointed out that digital data source from aerial, satellite and ground platform we used for the current investigation integrated with geophysical prospection and other documentary sources can suitable support study, documentation, management and systematic monitoring activities for archaeological and historical landscape. Landscape is an integral part of our archaeological heritage being that it preserves the main features that identity and exhibit the evolutionary history of civilization over time. The analysis and interpretation of these features have a strategic importance for the promotion and preservation of the archaeological landscape, which today, unfortunately, is more and more exposed to degradation phenomena. The identification of traces of past human activities, still fossilized in the modern landscape, is the first important step to preserve these "proof" of the landscape history from "extinction processes".

Cultural heritage management and preservation is a strategic priority to assure cultural treasure and evidences of the human past to future generations but, at the same time, it also represents a strategic and valuable economic asset, if inspired to sustainable development strategies. This is an extremely important key factor for countries, as Peru, which are owners of an extraordinary cultural legacy, that is today particular fragile due to many reasons including industrial risk, pollution impacts, urban sprawl as in the current case and degradation factors. Considering the potential adverse impact of numerous threats to cultural heritage and landscape it is important to ensure the preservation and enjoyment of legacy as unique

resources (non -renewable) to be protected not only for the future generations but also for a sustainable economic exploitation coupled with social and cultural developments.

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### Author Contributions

Rosa Lasaponara and Nicola Masini processed and analyzed the optical and SAR satellite data, carried out the integration and interpretation of satellite, aerial and geomagnetic data set and wrote the whole paper (except section 4.2). Antonio Pecci acquired the aerial photographs by drone and elaborated the data with the contribution of Scavone. Felice Perciante and Maria Sileo acquired the data by geomagnetic tool. Enzo Rizzo elaborated the geomagnetic data and wrote section 4.2 with Felice Perciante. Denise Pozzi-Escot provided information on the study area and contributed to the interpretation of results.

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