

Pituitary

Pathogenesis of non-functioning pituitary adenomas

--Manuscript Draft--

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Response to Reviewers:	<p>Dear Editor</p> <p>Thank you for giving me the opportunity to revise the manuscript, which I hope will be considered for publication in Pituitary. The text has been extensively integrated thanks to the illuminating suggestions of the Reviewers.</p> <p>Reviewer #1: This is a comprehensive review of this topic by a well regarded investigator in the field. Regrettably, many new concepts are omitted. several important concerns:</p> <p>Question 1. Author tends to use very old references and not to update the topics. For example section on EGFR and erb ignores recent work in JCI by Fukuoka group, and yet focuses on older ligand binding work. Also, reference 31 is from 2007. Reference 35 has not been validated.</p> <p>Answer 1: I would like to thank the reviewer for highlighting this issue. In keeping with the Reviewer's suggestion, I added the reference to the work of Fukuoka et al. published in JCI in 2011. The latter reference deals with human, canine and mouse ACTH-secreting pituitary adenomas, therefore has not been further used as a source for this review, which deals with non functioning pituitary adenomas. Similarly, in order to follow the Reviewer's indication, reference 31 of the originally submitted manuscript has been removed. Along this line, also reference 35 has been removed. Unfortunately, most of the published evidence on the possible role of EGFR and its ligands in NFPA is quite old.</p> <p>Question 2. First reference (ref 1) is old 2009, please use more recent and more cogent quality review on pituitary tumor pathogenesis in Nature Reviews Endocrinology. This provides a far more compelling platform for this review. See some figures from that work which may be reproduced (with permission).</p> <p>Answer 2: In keeping with the Reviewer's indication I replaced reference 1 of the previous submission with the review by Dr Melmed, a well recognized expert in the field of pituitary adenomas. I opted to provide original figures, more specific with the</p>

topic of this review, which is the pathogenesis of non functioning pituitary adenomas. The beautiful pictures of Dr Melmed's review are indeed more related to the pathogenesis of pituitary adenomas, in general.

Question 3. Description of AIP ignores more recent very important work of the Beckers group--should replace the older ones.

Answer 3. In keeping with the Reviewer's suggestion, I included the more recent work of Beckers group indicating the prevalence of NFPA among patients with germ-line AIP mutations.

Question 4. Discussion on p53 (reference 26)-is very old and ignores more recent work by Chesnokova et al in PNAS on p53 and pituitary growth.

Answer 4: I thank the Reviewer for pointing out this weakness of the review. However, I preferred to quote in the revised review the papers by Chesnokova published in PLOS One and in Molecular Endocrinology, since the paper published in PNAS mainly deals with GH-secreting pituitary adenomas and cell lines.

Question 5. SSA receptor profiles were first described by Greenman-please discuss these in light of the older reference 45.

Answer 5: In keeping with the Reviewer's indication, I quoted the original papers first describing SSA receptor expression pattern in NFA.

Question 6. Stem cell story is very weak. Vankelcom reference ignores recent exciting work by Tabar 2016 and 2017; Studier; Risotti; Antoniades etc etc. This is a very hot area for pituitary tumor pathogenesis and vankelcom work is now outmoded. Also see recent work by Suda in Japan in Nature on this exciting topic.

Answer 6. According to the Reviewer's indication I expanded the section on the role of pituitary stem cells in the pathogenesis of pituitary tumors and updated the sources, trying to focus mainly on NFPA.

Question 7. Dattani work on pituitary transcription factors and tumors should be discussed.

Answer 7. As indicated by the Reviewer, I quoted the work by the group of Dattani (and others) on pituitary transcription factors and pituitary tumors.

Reviewer#2

Question 1) This is a comprehensive review but requires more critical assessments of what is important and what is not

Answer 1: In keeping with the Reviewer's indication, at the end of each paragraph I added a comment on the relevance of the findings there illustrated.

Question 2) Minor comment regarding the figure : it is not mentioned anywhere in the manuscript where fig should be inserted and does not have a separate legend

Answer 2: I thank the Reviewer for having underlined this omission. Figure 1 is now quoted in the revised version of the manuscript at the end of the Introduction paragraph. A separate legend has also been added.

Question 3) Also, as title is non-functioning pituitary adenomas, will be helpful to change abbreviations to NFPA, instead of NFPT (see first line of intro) throughout abstract and manuscript.

Answer 3: In keeping with the Reviewer's indication I modified the abbreviations for non-functioning pituitary adenoma in NFPA throughout abstract and manuscript.

[Click here to view linked References](#)

Pathogenesis of non-functioning pituitary adenomas

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ABSTRACT

1 The pathogenesis of non functioning pituitary adenomas (NFPA) is a complex process involving
2 several factors, from molecular to genetic and epigenetic modifications, where tumor suppressor
3 genes, oncogenes, cell cycle derangements have been demonstrated to play an important role.
4 MicroRNAs (miRNAs) have also been identified as possible players in NFPA tumorigenesis and
5 pituitary stem cells have been investigated for their potential role in pituitary tumor initiation.
6 However, a critical role for paracrine signalling has also been highlighted. This review focuses on
7 the current knowledge on the involvement of these factors in NFPA pathogenesis.
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INTRODUCTION

18 Non functioning pituitary adenomas (NFPA), representing ~30% of anterior pituitary tumors (1),
19 are characterized by the lack of hormonal expression and/or secretion and, as a consequence, by the
20 lack of a related hormonal syndrome. However, this wide group of neoplasms includes a variety of
21 pathological entities, going from the silent tropinomas to the null cell pituitary adenomas, as
22 detailed in the last WHO classification (2). Silent adenomas are considered to secrete pituitary
23 hormone isoforms devoid of any biological activity or to produce very limited amounts of normal
24 pituitary hormones. Null cell adenomas, on the contrary, may ultrastructurally resemble specific
25 pituitary cell types and express pituitary transcription factors, but do not secrete hormonal proteins
26 (3). In addition to immunohistochemical parameters, molecular classifications have been attempted,
27 but are still far from being possibly implemented. Therefore, the pathogenesis of NFPA embraces
28 many hypotheses, that include genetic and epigenetic events, hormonal stimulation, growth factor
29 overproduction, pituitary stem cells derangements and microRNAs (miRNAs) deregulation that
30 might promote tumor growth and proliferation (Figure 1).
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Gene mutations

45 Several clinical syndromes due to germ-line mutations may include the development of pituitary
46 adenomas among their clinical presentation. MEN1 syndrome is classically composed by
47 parathyroid hyperplasia/adenomas, pituitary adenomas and neuroendocrine tumors of the gastro-
48 entero-pancreatic tract. The syndrome is correlated, in the majority of familial cases, to germ-line
49 heterozygous mutations in the *MEN1* gene, located on chromosome 11q13, which encodes for the
50 menin protein (4). Among the pituitary adenomas possibly associated to 15-50% of MEN1 patients,
51 NFPA are quite rare and may display a more aggressive behaviour, similarly to the functioning
52 counterparts (5, 6). MEN4 syndrome represents an other genetic condition causing the development
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1 of a pituitary adenoma in association with different endocrine neoplasms. The syndrome is due to
2 germ-line mutations in the *CDKN1B* gene, encoding for p27Kip1, an important negative regulator
3 of cell cycle progression and a putative tumor suppressor gene. Among MEN4 affected patients
4 ~40% present with a pituitary tumor as second manifestation, including usually indolent **NFPA** (7).
5 However, no data are available concerning *CDKN1B* mutations in sporadic **NFPA**, therefore the
6 specific role of p27 deregulation in **NFPA** pathogenesis remains unclear. Germ-line mutations in the
7 aryl hydrocarbon receptor-interacting protein (*AIP*) gene were found in **15 patients among the 215**
8 ***AIP* mutation/deletion-associated cases reported in an overview of the published literature** (8, 9),
9 but have not been described among 10 patients with sporadic **NFPA** macro-adenomas diagnosed
10 within 40 years of age included in a Brazilian series (10) and among 56 patients with sporadic
11 **NFPA** included in a Chinese series (11), suggesting that *AIP* mutations might not play an important
12 role in the tumorigenesis of sporadic **NFPA**. On the contrary, activating somatic mutations of the
13 *PIK3CA* gene have been described in **NFPA**, including some invasive forms. *PIK3CA* encodes for
14 the catalytic subunit of PI3-Kinase IA, within the AKT signalling pathway (12), which regulates
15 several important pituitary growth pathways, therefore indicating that these alterations may
16 contribute to **NFPA** development.
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18 In addition to genetic mutations, gene expression may be modified by epigenetic changes. In these
19 settings, promoter methylation importantly regulates gene expression also in **NFPA**. In particular,
20 the tumor suppressor protein p16, a cyclin-dependent kinase inhibitor encoded by the *CDKN2A*
21 gene, is frequently down-regulated in pituitary tumors, especially in **NFPA** (13), possibly
22 accounting for a reduced cell cycle control and for unrestrained pituitary cell proliferation. Similarly,
23 a reduced control of programmed cell death through apoptotic mechanisms may be taken into
24 account when considering that the expression of DNA damage inducible gene 45g (*GADD45g*) a
25 p53-regulated gene that negatively regulates pituitary growth, is down-regulated in **NFPA** due to
26 promoter methylation (14). An other p53-dependent gene, the maternally expressed gene 3 (*MEG3*)
27 which acts as a tumor suppressor gene is down-regulated in pituitary adenomas, especially in **NFPA**
28 (15), supporting the hypothesis that an enhanced cell survival, in addition to a reduced control of
29 cell proliferation, may promote **NFPA** growth.
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31 **The available literature, therefore, points to epigenetic changes and somatic mutations rather than to**
32 **germ-line mutations as more likely influencing the development of NFPA, suggesting that genetic**
33 **predisposition does not play an important role in NFPA pathogenesis.**
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miRNA

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2 miRNAs are small non coding RNA molecules that, by pairing to the complementary mRNA
3 sequence, negatively regulate post-transcriptional gene expression, having profound consequences
4 on physiological and pathological processes influencing cell growth. Their involvement in pituitary
5 adenoma pathogenesis and their possible role as potential therapeutic targets has been extensively
6 investigated in the last few years (16). Several studies found that miRNAs are differentially
7 expressed in NFPA as compared to normal pituitary, being either over-expressed or down-regulated
8 (17, 18, 19). Several of these deregulated miRNAs map in the imprinted DLK1/MEG3 locus, which
9 displays an onco-suppressor activity (20), as supported by the evidence that one of these down-
10 regulated miRNAs, miR-134, restrains cell cycle progression in G2/M phase in folliculostellate
11 cells originated from a human NFPA. In addition, the differential miRNA expression pattern has
12 been predicted to regulate transforming growth factor beta (TGF β) signalling pathway (17) and
13 Wee1, a mitotic inhibitor that hampers cell cycle progression, usually expressed at low levels in
14 NFPA (21). In addition, Notch and prolactin signalling have been shown to be deeply regulated by
15 miRNAs in NFPA (22), indicating that miRNAs deregulation has complex functional consequences
16 in these tumors similarly to what demonstrated in other tumors.

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The field of miRNA is still rapidly evolving and may provide further important information concerning the possible pathogenetic mechanisms of NFPA, but the available data, so far, are still preliminary.

Signalling pathways

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Similarly to tumors arising in different organs, pituitary tumors, and NFPA as well, may display derangements in several signalling pathways that may participate in pituitary neoplastic transformation. A recent study analyzed the data generated by a microarray study on 14 NFPA (including 4 null cell adenomas and 10 gonadotroph adenomas) compared with 9 normal pituitary glands (23), and found >600 differentially expressed genes, that were capable of correctly differentiating normal from pathological samples after clustering. Gene Ontology analysis and Kyoto Encyclopedia of Genes and Genomes pathway analyses identified several significantly enriched pathways, mainly associated with signalling pathways (MAPK, p53 TGF β , Jak-STAT), cell-to-cell interaction (cell communication and signalling, cellular components related with extracellular matrix, plasma membrane and collagen) and signal transduction (transcription factor activity, receptor binding) (24). Derangements in the PI3K/Akt/mTOR and Raf/MEK/ERK signalling pathway have been thoroughly investigated, as detailed elsewhere (25), mainly in the eventuality of using mTOR as a therapeutic target (26). It has been previously demonstrated that

1 p53 mutations are rare in pituitary adenomas (27, 28), while p53 staining is commonly found in
2 **NFPA**, where it associates with a greater aggressiveness (29), suggesting that this pathway is over-
3 activated and may contribute to pituitary transformation. In keeping with this evidence, it has
4 been reported that **NFPA** display activated DNA damage signalling (γ H2A.X and ATM) and
5 clusterin-mediated senescence markers (p16 and p15) (30), supporting a role for clusterin, which is
6 abundantly expressed in **NFPA**, in specifically restraining proliferation of these pituitary tumors.
7 Further work demonstrated that clusterin expression is stimulated by gonadotroph-specific forkhead
8 transcription factor **FOXL2** and by **PTTG** in **NFPA**, which abundantly express and release clusterin.
9 These data further support the hypothesis that clusterin is important in restraining pituitary cell
10 proliferation by inducing cyclin dependent kinase inhibitors (31), indicating that this pathway may
11 represent a new molecular player in the pathogenesis of **NFPA**.

12 TGF β signalling has been shown to be the target of deregulated miRNAs via Smad3 in **NFPA** (17),
13 possibly causing a shift of the TGF β pathway towards alternative signalling including the MAPK
14 cascade, with consequent proliferative effects. Since TGF β promoter was found un-methylated (as
15 well as MMP-14 gene promoter) in the majority of **NFPA** (32), this potentially deranged pathway
16 may be actively involved in pituitary transformation. In addition, despite several genes encoding for
17 components of the Jak-STAT signalling pathway were found to be significantly down-regulated
18 (24), STAT3 gene promoter methylation and mRNA expression do not seem to be significantly
19 different in **NFPA** as compared to other pituitary tumors, indicating that STAT3 may not play an
20 important role in pituitary tumorigenesis (33). Among the differentially expressed genes detected by
21 microarray (23, 24), Epidermal Growth Factor (EGF) and its receptor (EGFR) have been confirmed
22 as de-regulated in pituitary tumors. EGFR aberrant expression may lead to receptor homo- or
23 hetero-dimerization, that turns on the intrinsic receptor tyrosine kinase and consequently activates
24 intracellular signalling cascades strongly involved in neoplastic transformation in many tissues,
25 including pituitary (34, 35). The majority of the investigated **NFPA** in many studies show EGFR
26 expression (investigated by different means) (36, 37, 38, 39, 40, 41, 42). One of these studies also
27 showed that EGF binding is higher in invasive adenomas and especially in those invading the
28 sphenoid sinus (42), suggesting that EGFR may represent a marker of pituitary tumor
29 aggressiveness. In addition, the evidence that **NFPA** may also express and secrete EGFR ligands
30 supports the hypothesis of the presence of an autocrine-paracrine loop. Indeed, the use of
31 neutralizing antibodies against EGF was capable of reducing the growth promoting activity of
32 conditioned medium deriving from **NFPA** in primary culture (43). In similar settings, EGF was
33 found to promote DNA synthesis and increase cell number (44). In addition, in the gonadotroph
34 tumor cell line, the alphaT3-1 cells, GnRH-induced MAPK pathway activation could be blocked by

1 an EGFR inhibitor (45), indicating a cross talk between the two pathways. However, a clear role for
2 EGFR signalling in **NFPA** pathogenesis is yet to be clarified.

3 The expression of the estrogen receptor (ER) and its variants in normal and neoplastic pituitary has
4 been demonstrated many years ago (46) and recently confirmed (23, 24). ER1 expression was found
5 to be higher in **NFPA** as compared to functioning pituitary adenomas in patients younger than 50
6 years (47). A correlation was found between ER1 expression and dopamine receptor subtype 2
7 expression, but not with tumor diameter and/or extension (48). Besides modulating anterior
8 pituitary hormone production, estrogens are potent cell mitogens, being implicated in tumor
9 development and growth. Therefore, they could be involved in **NFPA** development, as well, at least
10 during fertile life. Somatostatin receptor (SSTR) subtypes expression profile has been investigated
11 in **NFPA** (49, 50), which appear to mainly express SSTR3, while SSTR2 and SSTR5 are expressed
12 at a lower frequency. These findings, besides possibly explaining the relative inefficacy of
13 octreotide and lanreotide (which mainly bind SSTR2 and SSTR5) in the medical therapy of **NFPA**,
14 may suggest that SSTR3 might be involved in modulating **NFPA** growth.

15 The components of the complex pathways involved in the development of normal pituitary gland
16 may display genetic variations, possibly influencing also the development of pituitary hyperplasia
17 and adenomas (51). The SOX2 transcription factor is an early marker of progenitor cells, required
18 for normal pituitary proliferation, and its expression decreases as pituitary cells differentiate (52).
19 SOX2 may influence cell cycle progression by interacting with Wnt signalling, which, in turn,
20 affects pituitary development by controlling the proliferation of Rathke's pouch precursors and the
21 differentiation of PIT-1 positive cells (53). In the animal model, Wnt over-activation causes
22 pituitary cell proliferation, leading to hyperplasia (54). Along this line, heterozygous SOX2
23 mutations are associated with hypopituitarism and severe ocular phenotypes with small/normal
24 pituitary gland. However, two unrelated patients with SOX2 haploinsufficiency developed cystic
25 pituitary tumors before 20 years of age, suggesting a possible role for the reported SOX2 mutations
26 in the development of pituitary tumors. The truncating mutations displayed by these two patients
27 cause SOX2 loss of function and altered sub-cellular localization. In addition, in vitro studies
28 showed that the mutant SOX2 proteins are unable to repress β -catenin transcriptional activity (55),
29 with consequent β -catenin increased activity. β -catenin overactivating mutations are very frequent
30 in human adamantinomatous craniopharyngiomas (56), while Wnt inhibitors are downregulated in
31 pituitary tumors, including **NFPA** (57). Indeed, pituitary tumors, and especially **NFPA**, display
32 reduced levels of Wnt inhibitory factor-1 (WIF1) as compared to normal pituitary, supporting the
33 hypothesis that Wnt pathway is important in pituitary tumorigenesis.

1 Among signalling pathway derangements, p53 and Wnt signalling are more supported by current
2 published evidence as important determinants in NFPA development, and further studies in this
3 field will likely unravel important molecular mechanisms for NFPA pathogenesis.
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7 Stem cells

8 The capacity of the pituitary gland to regenerate after injury in adult life is quite limited and may
9 involve differentiating processes of undifferentiated pituitary stem cells/progenitor cells into
10 specialized cells, possibly involving the activation of a senescence-associated secretory phenotype
11 (58). As previously highlighted, senescence plays an important role in preventing the development
12 of pituitary adenomas in mouse models (59) and may regulate stem cells expansion also by acting in
13 a paracrine fashion (58). Pituitary stem cells have been demonstrated also in pituitary adenomas,
14 including NFPA (60, 61): these cells, similarly to pluripotent stem cells, display clonogenic ability
15 in vitro, express stem cell markers, are multipotent and resist to cytotoxic drugs, being also capable
16 of forming tumor spheres and generating tumors in nude mice (62, 63). The role of pituitary stem
17 cells in maintaining and supporting pituitary adenoma development is still the focus of intensive
18 studies. Recently, Peverelli et al. (64) provided evidence that NFPA contain progenitor/stem-like
19 cells that express stem cell specific markers, as well as pituitary embryonic transcription factors
20 involved in gonadotrope differentiation. Sphere-forming cells displayed long-term proliferation
21 ability and tumorigenic potential in animal models, where they showed an invasive behavior and
22 pro-angiogenic activity. In addition, the Authors showed that the proliferation rate of NFPA stem
23 cells may be reduced by dopamine and somatostatin receptor agonists, underlining the potential
24 therapeutic application of these drugs also in the medical therapy of NFPA, as supported also by an
25 independent study (65). Therefore, pituitary stem cells may play a major role both in the initiation
26 process of pituitary tumorigenesis as well as in pituitary tumor growth (66). This hypothesis is
27 further supported by the evidence that Notch pathway components, participating to stem cell
28 signaling and to pituitary embryonic development, are differentially expressed in pituitary
29 adenomas. It has been indeed shown that *Notch3* and *Jagged1* are over-expressed in human NFPA
30 as compared to normal pituitary gland (67, 68) and that NOTCH3 protein is present in the
31 cytoplasm and membrane of tumor cells that are scattered and isolated within the tumor (69).
32 Further studies are necessary to understand the relationship between pituitary tumor initiating cells
33 and pituitary stem cells that provide support for tumor growth and development (70).
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Angiogenesis

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2 Angiogenesis is a complex process induced by angiogenic stimuli to form new blood vessels from
3 pre-existing vessels in order to provide for oxygen and substrates in neoplastic tissues. Several
4 angiogenic factors have been demonstrated to be expressed at pituitary level, both in normal and in
5 adenomatous tissues (71). Along this line, vascular endothelial growth factor (VEGF) and its
6 receptor (VEGF-R1) were found increased in **NFPA** as compared to normal pituitary (72),
7 suggesting that they may play a role in pituitary angiogenesis and therefore in pituitary tumor
8 growth, providing the basis of a possible autocrine loop. In addition, further evidence show that
9 VEGF signalling may directly impact **NFPA** cells by promoting cell viability (73). As a
10 consequence, therapeutic approaches potentially reducing pituitary VEGF production, such as
11 somatostatin analogues and dopamine receptor agonists may help in controlling **NFPA** growth (74,
12 75). The PI3K/Akt/mTOR pathway has also been demonstrated to be involved in angiogenesis. In
13 the study by Trovato et al. (76), pAKT staining was found in almost half of the 12 **NFPA**
14 investigated cases, where the microvascular distribution, also related to tumor size, suggested that
15 pAKT signaling may play an important role in tumor growth and angiogenesis. However, mTOR
16 does not seem to modulate VEGF effects on **NFPA** angiogenesis, since its blockade does not affect
17 VEGF secretion, although it hampers the stimulatory effects of IGF-1 on this parameter (26). In
18 addition to “classic” neoangiogenesis, the neoplastic pituitary gland was found to be a site of
19 “vasculogenic mimicry”, as an additional mechanism for neoplastic blood perfusion due to new
20 functional vascular channels lined by neoplastic cells and not by endothelial cells (77). Normal
21 pituitary does not display vasculogenic mimicry, that was found in almost half of the 49 evaluated
22 **NFPA** cases, although the Authors were unable to demonstrate any correlation with patients clinical
23 characteristics and outcome (78).

41 **Angiogenesis is one of the most potent triggers of tumor development, and NFA are not different**
42 **from other tissues. However, the gathered data do not allow to conclude that angiogenic factors may**
43 **represent the initiating event in NFPA, but rather may facilitate pituitary tumor survival and**
44 **expansion, similarly to what observed in other tissues.**

Immune check points

52 In the last few years the knowledge concerning the regulation of cell-mediated immune responses in
53 cancer tissues has evolved exponentially. In particular, the proteins that negatively regulate T cell
54 activation, such as cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) and programmed cell
55 death 1 (PD-1)/programmed death ligand-1 (PD-L1) have been the focus of much interest due to the
56 potential therapeutic application of specific drugs. Tumor infiltrating lymphocytes express PD-1

1 that recognizes its ligand, PD-L1, expressed by tumor cells or antigen-presenting cells (79). The
2 binding of PDL1 to PD1 (an “immune checkpoint”) abrogates cancer cell killing by activated
3 lymphocytes (80, 81). A recent study has shown that **NFPA** display lower PD-L1 mRNA and
4 protein levels as compared to functioning pituitary tumors. In addition, **NFPA** showed an increased
5 PD-1 expression and a reduced lymphocyte infiltration, as compared to the functioning pituitary
6 tumors, suggesting that **NFPA** may evade immune surveillance by triggering this checkpoint. These
7 data support the hypothesis that **NFPA** growth may be facilitated by the presence of an adaptive
8 immune resistance mechanism (82). On the other hand, treatment with immune check point
9 inhibitors frequently leads to the development of hypophysitis (83), indicating that the pituitary
10 environment is especially sensitive to immune-related deregulation.
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20 CONCLUSIONS

21 The pathogenesis of **NFPA** may involve different factors, either genetically determined or due to
22 external influences. The research in this field is hampered by the lack of satisfactory in vitro and in
23 vivo models (i.e. human pituitary adenoma cell lines and animal models) that have been pivotal in
24 many other fields to understand the pathogenesis of the disease. Most of the information gained by
25 means of basic studies has been and is expected to become the basis for the development of
26 innovative therapeutic approaches and of novel diagnostic markers, translating into early detection
27 of these tumors and more effective treatment in human patients in the next future.
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39 **FIGURE LEGEND**

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41 **Figure 1: Current hypotheses on the pathogenesis of non-functioning pituitary adenomas.**
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Figure 1

