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Abstract: Two varieties of red chicory from southern Po Delta area have been characterized in terms of polyphenols content by HPLC-MS/MS. Seven target compounds were selected for determining their bioaccessibility from vegetable matrix. Simulated digestion process was employed for this purpose by means of an in-vitro static model. Salivary, gastric and duodenal phases were realized by using solutions of different physiological enzymes miming the stages of digestion process. Results show well defined behaviors associated with specific structures of aglycone or phenolic acid and position of O-glycosyl moiety. Dicaffeoyltartaric acid together with apigenin-7-O-glucoside and kaempferol-7-O-glucoside have similar bioaccessibility trend that is characterized by a higher value for duodenal phase than for gastric one. Quercetin-3-O-(6"-O-malonyl)-glucoside behave in reverse way and gastric bioaccessibility turns higher than duodenal. Lastly, the difference between gastric and duodenal bioaccessibility is enhanced in the case of cyanidin-3-O-glucoside and the isomers of caffeoylquinic acid.



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Dear Editor,

I am sending you a revised copy of the Manuscript (JFF-D-16-02461R1) to answer the Editor's and Reviewers' comments.

Appended to this letter is our point-by-point response (in *italic*) to the comment raised by you and Reviewers. All requests were addressed and I hope that changes I have made resolve your concerns about the Manuscript. We hope that the Manuscript can now be accepted for publication on JFF.

Thank you once again for your time and interest.

Sincerely,



Nicola Marchetti

Manuscript JFF-D-16-02461R2

Corresponding author: Dr. Nicola Marchetti

- Highlights: Each highlight must be 85 characters (including spaces).
Third and fourth highlight were modified and their length is now below 85 characters.
- Title page: If available, please provide the e-mail address of each author.
e-mail addresses of each author were added to the form used by submission system for generating title page. Additionally, a title page providing all author's email addresses was inserted before the Manuscript.
- Body of the manuscript: Units of "rpm" are used for centrifuging is not acceptable in this journal. Units of "rpm" must be accompanied by rotor diameter or substituted with the "g" value.
All "rpm" units were substituted in the revised Manuscript with properly converted "g" values by means of rotor diameter (11.2 cm).
- Tables & figures: This journal frowns upon large tables. The table is taking up half a page or more, this is not ideal for the journal, please do what you can to reduce the size (even if it may involve splitting it into two tables and putting one into supplementary material).
Font size and column text were modified in revised Manuscript and now the overall size for each table is largely reduced.

Highlights

- Partial chemical characterization of two cultivars of red chicory was obtained.
- Structural identification of target bioactives was achieved by HPLC-MS/MS.
- Bioaccessibility of polyphenols was obtained by in-vitro static simulated digestion.
- Structure-bioaccessibility relationships were evidenced for target polyphenols.

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Bioaccessibility and HPLC-MS/MS chemical characterization of phenolic antioxidants in Red Chicory (*Cichorium intybus*)

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Abstract

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Two varieties of red chicory from southern Po Delta area have been characterized in terms of polyphenols content by HPLC-MS/MS. Seven target compounds were selected for determining their bioaccessibility from vegetable matrix. Simulated digestion process was employed for this purpose by means of an in-vitro static model. Salivary, gastric and duodenal phases were realized by using solutions of different physiological enzymes miming the stages of digestion process. Results show well defined behaviors associated with specific structures of aglycone or phenolic acid and position of O-glycosyl moiety. Dicafeoyltartaric acid together with apigenin-7-O-glucoside and kaempferol-7-O-glucoside have similar bioaccessibility trend that is characterized by a higher value for duodenal phase than for gastric one. Quercetin-3-O-(6''-O-malonyl)-glucoside behaves in reverse way and gastric bioaccessibility turns higher than duodenal. Lastly, the difference between gastric and duodenal bioaccessibility is enhanced in the case of cyanidin-3-O-glucoside and the isomers of caffeoylquinic acid.

Key words: bioaccessibility; red chicory; HPLC-MS/MS; polyphenols; antioxidants.

1. Introduction

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Phenolic compounds are well known natural antioxidants that occur in plants, fruits and vegetables as secondary metabolites. They have a protection role for aerial part of plants against

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4 4 UV solar radiation or pests and in the past they have been broadly characterized in their chem-
5 5 ical structure and properties (Marais, Deavours, Dixon, and Ferreira, 2006; Shahidi and Naczk,
6 6 1995). Today it is recognized that flavonoids represent the largest group of plant phenolic
7 7 8 compounds, accounting for over half of the 8,000 naturally occurring phenolic antioxidants
9 9 10 (Harborne, Baxter, and Moss, 1999). Some foods, such as fruits, vegetables, cereals and edible
11 11 12 plants, represents an important source of antioxidants in human diet with recognized health
13 13 14 benefits (Lin and Weng, 2006; Peer and Murphy, 2006). Additionally, the chemical structure can
15 15 16 considerably influence antioxidant activity as demonstrated in literature (Heim, Tagliaferro,
17 17 18 and Bobilya, 2002). Hence, polyphenols may differently contribute to the nutraceutical role of
19 19 20 specific food products and they can have variable health-related benefits (Cencic and Ching-
21 21 22 waru, 2010). Multidisciplinary studies that involve medicine, nutraceuticals, food chemistry and
23 23 24 analytical chemistry seem today the most promising approach to obtain relevant results, infor-
25 25 26 mation and advancement in this field (Prasain, Wang, and Barnes, 2004; Valls, Millàn, Martí,
27 27 28 Borrás, and Arola, 2009).

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30 18 Scientific investigations during last 10 years demonstrated that polyphenols are involved
31 31 32 in prevention of chronic-degenerative diseases, such as those of the digestive tract and cardio-
33 33 34 vascular system (Serafini, Bellocco, Wolk, and Ekstrom, 2002). Today, epidemiological stud-
35 35 36 ies are strongly sustained by targeted investigations on digestion, intestinal absorption and
37 37 38 metabolism of polyphenols from food (i.e., fruits, vegetables, edible plants and herbs). This
39 39 40 might enlighten different perspectives on polyphenols intake and bioactivity (Cilla, Gonzalez-
41 41 42 Sarrias, Tomas-Barberan, Espin, and Barbera, 2009; Heim, Tagliaferro, and Bobilya, 2002). The
43 43 44 fundamental evidence is that the most common polyphenols in human diet are not necessar-
45 45 46 ily the most active toward cells, tissues or organs: this can be related to either a lower intrin-
47 47 48 sic activity or a limited absorption. For the sake of completeness, also those compounds that
49 49 50 are highly metabolized or rapidly eliminated might show a reduced bioactivity (Prasain and
51 51 52 Barnes, 2007). In addition to clinical investigations, it appears clear that studies on the role
53 53 54 of polyphenols in nutrition and nutraceuticals cannot do without their determination in food
55 55 56 matrix (Abu-Reidah, Ali-Shtayeh, Jamous, and Arráez-Román, 2015; Chen, Yu, Wu, Pan, Wang,
57 57 58 Jin, and Zhang, 2015), bioaccessibility study (Gil-Izquierdo, Gil, Ferreres, and Tomas-Barberan,

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4 33 2001) and in-vivo bioactivity determination (Etcheverry, Grusak, and Fleige, 2012).

5 34 Beyond all this there is an increasing demand for discovering new metabolites, elucidate
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7 35 chemical structures of unknown bioactive molecules and improving knowledge on their meta-
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9 36 bolic pathway and biochemical role. The employment of advanced, high technology analytical
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11 37 instruments, such as highly efficient liquid chromatographic separations and high resolution
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13 38 mass spectrometry (Capriotti, Caruso, Cavaliere, Samperi, Ventura, Chiozzi, and Laganá, 2015;
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15 39 Chiozzi, Capriotti, Cavaliere, Barbera, Piovesana, and Laganá, 2016; Piovesana, Capriotti, Cav-
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17 40 aliere, Barbera, Samperi, Chiozzi, and Laganá, 2015) is fundamental to achieve valuable under-
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19 41 standing in this field. Investigations of bioaccessibility and bioactivity are strongly sustained by
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21 42 advanced instrumentation and column technology, as well as reliable gastrointestinal models
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23 43 (e.g., chemical/enzymatic food matrix dissolution and cellular absorption of solubilized com-
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25 44 ponents) that are useful for elucidating bioactives transfer from food matrix to blood stream,
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27 45 and thus for the comprehension of those mechanisms that drive compounds to target tissue or
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29 46 organ.

30 47 The principal aim of this work is to contribute with new insights into chemical properties
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32 48 of polyphenols that reflect their behavior in biological processes (i.e., intake, digestion, intesti-
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34 49 nal absorption) and/or biochemical functions (i.e., trans-epithelial transport, delivery through
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36 50 blood circulation, metabolism, bioactivity). Highlighting possible structure-bioaccessibility re-
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38 51 lationships of polyphenols during gastrointestinal digestion is a relevant objective of these in-
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40 52 vestigations. Secondly, fully chemical characterization of bioactives profile in foods can have
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42 53 relevant fall-out in understanding the cause of variation of polyphenols mainly in fruits, veg-
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44 54 etables and edible plants, particularly those deviations due to environmental factors (such as
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46 55 pedoclimatic, agronomic, ripeness) and to genetic factors. This can have strong impact in pro-
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48 56 tecting typical agrifood products and giving denomination/indication labels to preserve their
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50 57 authenticity. On one side, it has been evidenced that agricultural employment of genetically
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52 58 modified seeds can have negative and harmful effects on the environment, thus, the modern
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54 59 trend is to use seeds and plants that do not undergo hybridization or genetic modification.
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56 60 On the other side, characterization of major varieties of chicory present on the market has
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58 61 successfully been performed and these literature information represent a valid background

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4 62 for further comparison and investigations (Carazzone, Mascherpa, Gazzani, and Papetti, 2013;
5 63 Mascherpa, Carazzone, Marrubini, Gazzani, and Papetti, 2012; Papetti, Daglia, Aceti, Sordelli,
6
7 64 Spini, Carazzone, and Gazzani, 2008; Papetti, Mascherpa, Carazzone, Stauder, Spratt, Wilson,
8
9 65 Pratten, Ciric, Lingstrom, Zaura, Weiss, Ofek, Signoretto, Pruzzo, and Gazzani, 2013).

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11 66 Main objectives of this study are the characterization of major polyphenols in two varieties
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13 67 of red chicory from southern Po Delta area and the investigation of bioaccessibility for selected
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15 68 polyphenols. These two varieties resemble two well established cultivars, largely present on the
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17 69 market: “Chioggia”-like (round leaves) and “Treviso”-like (long leaves) red chicory. Thus, it can
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19 70 be relevant to establish whether or not these local varieties are effectively individual cultivars.
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22 71 **2. Materials and Methods**

23 24 25 72 *2.1. Sampling of red chicory cultivars*

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27 73 The two inspected red chicory varieties (long-leaves and round-leaves) are cultivated in
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29 74 a well defined area in the southern part of Po Delta (see Figure 1). Lands where vegetables
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31 75 are produced are sited around Massenzatica (Municipality of Mesola, Province of Ferrara), as
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33 76 represented by dark gray circle. Sampling was undertaken between November and December
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35 77 2015. Red chicory samples were collected from a randomized field and four replicates of each
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37 78 sample were taken from every experimental plot, then cut, mixed and stored at -20°C until
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39 79 analyzed.
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41 80 *2.2. Chemicals*

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44 81 Methanol (HPLC grade), acetonitrile (LC-MS grade), formic acid (LC-MS grade), potassium
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46 82 chloride (KCl), monobasic phosphate (KH₂PO₄), magnesium chloride hexahydrate (MgCl₂ ·
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48 83 (H₂O)₆), ammonium carbonate ((NH₄)₂CO₃), calcium chloride dihydrate (CaCl₂ · (H₂O)₂),
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50 84 quercetin-3-O-(6”-O-malonyl)-glucoside (Q3OMG), pepsin from porcine gastric mucosa (≥ 400
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52 85 U/mg), α-amylase from *Bacillus licheniformis* (≥ 500 U/mg), bile salts (microbiology grade),
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54 86 pancreatin from porcine pancreas (USP grade) were purchased from Sigma Aldrich Co. (St.
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56 87 Louis, MO, USA). Dicafeoyltartaric acid (DCTA), apigenin-7-O-glucoside (A7OG), cyanidin-3-
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58 88 O-glucoside chloride (C3OG), kaempferol-7-O-glucoside (K7OG), 3-O-caffeoylquinic acid (3CQA)

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4 89 and 5-O-caffeoylquinic acid (5CQA) were purchased from Extrasynthese (Genay, France). so-
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6 90 dium chloride (NaCl) and sodium hydrogen carbonate anhydrous (NaHCO₃) were purchased
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8 91 from Carlo Erba Reagents (Milan, Italy).
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10 92 2.3. Instruments

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12 93 ALC multi-speed refrigerated centrifuge (model PK121R) was from Thermo Scientific (Walt-
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14 94 ham, MA, USA). Ultra-turrax (model T18 basic) was from IKA (Staufen im Breisgau, Germany).
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16 95 Waterbath with shaking device (model WBN 22) was from Memmert (Schwabach, Germany).
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18 96 Linear ion trap mass spectrometer (model LTQ XL) and HPLC (model Surveyor Plus) equipped
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20 97 with solvent delivery system, degaser, quaternary micro-pump, thermostated auto-sampler
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22 98 and column compartment were Thermo Scientific.
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25 99 2.4. Reagents preparation

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27 100 Electrolyte stock solutions were prepared at the following concentrations: KCl 0.5 M; KH₂PO₄
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29 101 0.5 M; NaHCO₃ 1 M; NaCl 2 M; MgCl₂ · (H₂O)₆ 0.15 M; (NH₄)₂CO₃ 0.5 M; CaCl₂ · (H₂O)₂ 0.3 M.

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31 102 *Simulated fluids* - Simulated static in-vitro digestion uses three different electrolyte solutions
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33 103 prepared as reported by Minekus (Minekus, Alminger, Alvito, Ballance, Bohn, Bourlieu, Car-
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35 104 riere, Boutrou, Corredig, Dupont, Dufour, Egger, Golding, Karakaya, Kirkhus, Le Feunteun,
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37 105 Lesmes, Macierzanka, Mackie, Marze, McClements, Menard, Recio, Santos, Singh, Vegarud,
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39 106 Wickham, Weitschies, and Brodkorb, 2014; Minekus, 2015).

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41 107 Simulated salivary fluid (SSF): 15.1 mL of KCl; 3.7 mL of KH₂PO₄; 6.8 mL of NaHCO₃; 0.5 mL of
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43 108 MgCl₂; 0.06 mL of (NH₄)₂CO₃.

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45 109 Simulated gastric fluid (SGF): 6.9 mL of KCl; 0.9 mL of KH₂PO₄; 12.5 mL of NaHCO₃; 0.4 mL of
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47 110 MgCl₂; 0.5 mL of (NH₄)₂CO₃; 11.8 mL of NaCl. SGF was adjusted to pH=3 with HCl 1 M.

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49 111 Simulated intestinal fluid (SIF): 6.8 mL of KCl; 0.8 mL of KH₂PO₄; 85 mL of NaHCO₃; 0.33 mL of
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51 112 MgCl₂; 38.4 mL of NaCl. SIF was adjusted to pH=7 with HCl 1 M.

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53 113 *Enzyme solutions* - Enzymes provided by the supplier were assayed according to reference
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55 114 tests as reported in literature (Minekus, Alminger, Alvito, Ballance, Bohn, Bourlieu, Carriere,
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57 115 Boutrou, Corredig, Dupont, Dufour, Egger, Golding, Karakaya, Kirkhus, Le Feunteun, Lesmes,
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4 116 Macierzanka, Mackie, Marze, McClements, Menard, Recio, Santos, Singh, Vegarud, Wickham,
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6 117 Weitschies, and Brodkorb, 2014) and manufacturer's protocols: (i) α -amylase assay was based
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8 118 on spectrophotometric stop reaction using soluble potato starch as substrate; (ii) Pepsin activ-
9
10 119 ity assay was based on spectrophotometric stop reaction using hemoglobin as substrate; (iii)
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12 120 pancreatin activity was assayed in terms of its trypsin and chymotrypsin activity based on con-
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14 121 tinuous spectrophotometric rate determination using p-toluene-sulfonyl-L-arginine methyl
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16 122 ester and N-benzoyl-L-tyrosine ethyl ester as substrates, respectively. Different enzymes were
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18 123 added to specific simulated fluid as reported below, either in case of enzymatic polyphenols
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20 124 extraction or for bioaccessibility experiments (simulated digestion). All enzyme solutions were
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22 125 freshly prepared, preincubated at 37°C before use and stored at 4°C for maximum three days.
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24 126 α -Amylase 1500 u/mL: 30mg of enzyme in 20 mL of SSF. Pepsin 20,000 u/mL: 600 mg of enzyme
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26 127 in 20 mL of SGF. Pancreatin 800 u/mL: 320 mg in 40 mL of SIF. Bile salts: 0.625 g in 25 mL of SIF.

27 128 2.5. Polyphenols extraction

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30 129 *Chemical extraction.* 5 grams of frozen sample were dispersed in 20 mL of methanol/water/
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32 130 formic acid 80/20/0.1% (v/v) solution by means of ultra-turrax[®] for 1 minute. Then, sample
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34 131 was stirred for 1 hour and left overnight in the dark. Next day, sample was centrifuged (10100
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36 132 g at 5°C) for 5 minutes and supernatant was recovered. Successively, the following three steps
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38 133 were repeated in sequence for 5 times: (i) extraction with 10 mL of methanol/water/formic acid
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40 134 80/20/0.1% (v/v) solution; (ii) magnetic stirring for 10 minutes; (iii) centrifuge (10100 g at 5°C)
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42 135 for 7 minutes. Fractions were kept separate and 1 mL from each were gathered. A 1 mL aliquot
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44 136 of extracted sample was filtered and injected into HPLC-MS/MS. Pellet undergoes enzymatic
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46 137 extraction as reported in the following.

47 138 *Enzyme-assisted extraction.* This procedure is a modified approach described in literature (Chan-
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49 139 drasekara and Shahidi, 2012; del Pilar Sánchez-Camargo, Montero, Stiger-Pouvreau, Tanniou,
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51 140 Cifuentes, Herrero, and Ibàñez, 2016; Pineda-Vadillo, Nau, G.Dubiard, Cheynier, Meudec, Sanz-
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53 141 Buenhombre, Guadarrama, Toth, Csavajda, Hingyi, Karakaya, Sibakov, Capozzi, Bordoni, and
54
55 142 Dupont, 2016; Saura-Calixto, Serrano, and Goni, 2007) that exploits enzymatic hydrolysis reac-
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57 143 tions to solubilize polyphenols bound to dietary fiber. 35 mL of milliQ water, 2 mL of bile salts

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4 144 solution, 1 mL of pancreatin solution and 0.5 mL of pepsin solution were added to pellet from
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6 145 previous chemical extraction. Sample was vortex-mixed and left under agitation at 37°C for 2
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8 146 hours. After that, sample was centrifuged (10100 g at 5°C) for 5 minutes and supernatant was
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10 147 recovered, filtered and analyzed. Enzymatic extraction was repeated twice on the same pellet
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12 148 and supernatants analyzed separately.

13 14 149 2.6. *In-vitro static digestion model*

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16 150 Bioaccessibility studies were assessed by *in-vitro* static digestion simulation (Alegria, Garcia-
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18 151 Llatas, and Cilla, 2015). Mouth, stomach and small intestine (duodenum) phases were con-
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20 152 sidered and simulated by means of the three simulated fluids (SSF, SGF and SIF, respectively)
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22 153 as reported above and incubation at 37°C for different durations according with physiologi-
23
24 154 cal processes. Roughly 3 g of frozen sample were placed inside the fermenter vessel and all
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26 155 reagent solutions and water were warmed at 37°C before use. Composition of simulated fluids
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28 156 and static digestion procedure were adapted from literature works as described in previous sec-
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30 157 tion and below (Chandrasekara and Shahidi, 2012; del Pilar Sánchez-Camargo, Montero, Stiger-
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32 158 Pouvreau, Tanniou, Cifuentes, Herrero, and Ibàñez, 2016; Pineda-Vadillo, Nau, G.Dubiard, Chey-
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34 159 nier, Meudec, Sanz-Buenhombre, Guadarrama, Toth, Csavajda, Hingyi, Karakaya, Sibakov, Ca-
35
36 160 pozzi, Bordoni, and Dupont, 2016; Saura-Calixto, Serrano, and Goni, 2007).

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38 161 *Mouth* - Sample was crushed and coarsely grinded then 3.5 mL of SSF, 975 µL of water, 25 µL of
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40 162 CaCl₂ and 500 µL of α-amylase solution were added. Sample was vortex-mixed for 30 seconds,
41
42 163 then a 300 µL aliquot was taken for the analysis.

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44 164 *Stomach* - Sample from previous phase was mixed with 7.5 mL of SGF, 295 µL of water, 5 µL of
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46 165 CaCl₂, 200 µL of HCl 1 M and 2 mL of pepsin solution. After vortex-mixing for 10 seconds, sam-
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48 166 ples were incubated for 2 hours. Then, samples were centrifuged (10100 g at 5°C) for 5 minutes
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50 167 and 1 mL aliquot was filtered and injected for analysis.

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52 168 *Duodenum* - Sample from stomach phase was added with 11 mL of SIF, 3.61 mL of water, 40
53
54 169 µL of CaCl₂, 150 µL of NaOH 1 M, 5 mL of pancreatin solution and 0.2 mL of bile salts solu-
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56 170 tion. After vortex-mixing for 10 seconds, samples were incubated for 2 hours. Then, samples
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58 171 were centrifuged (10100 g at 5°C) for 5 minutes and 1 mL aliquot was filtered and injected for

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4 172 analysis.

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6 173 *2.7. HPLC-MS/MS analysis*

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8 174 Liquid chromatographic separation has been done with a Symmetry C18 column (Waters,
9 175 Milford, MA, USA) 2.1x150 mm, packed with 3.5 μm fully porous particles, thermostated at
10 176 30°C, under gradient elution condition. Mobile phases were mixtures of water and formic acid
11 177 0.1% (v/v), channel A, and acetonitrile and formic acid 0.1% (v/v), channel B. Eluent composi-
12 178 tion changed from 5% to 30% of channel B in 25 minutes, followed by 5 min at 95% of B as col-
13 179 umn cleaning step. Then, column was re-equilibrated at beginning condition for further anal-
14 180 ysis. The running flow rate was 150 $\mu\text{L}/\text{min}$. Auto-sampler compartment was thermostated
15 181 at 15°C and the injected sample quantity was 2 μL . The chromatographic method was vali-
16 182 dated according to ICH (ICH, 2005) and resulting parameters reported in Section 3.2 (Polyphe-
17 183 nols bioaccessibility). Validation occurred in terms of the following estimators: relative error
18 184 (RE); relative standard deviation (RSD); linear fit coefficient of determination (R^2). Quantita-
19 185 tive range of validity for target compounds were: C3OG 0.055–11 $\mu\text{g}/\text{mL}$, DCTA 0.11–22 $\mu\text{g}/\text{mL}$,
20 186 5CQA 0.0565–22.6 $\mu\text{g}/\text{mL}$, A7OG 0.0101–1.01 $\mu\text{g}/\text{mL}$, K7OG 0.053–1.06 $\mu\text{g}/\text{mL}$, Q3OMG 0.07–28
21 187 $\mu\text{g}/\text{mL}$, 3CQA 0.206–20.6 $\mu\text{g}/\text{mL}$. All analyses were repeated five times.

22 188 ESI-MS operating conditions were as in the following. Positive ESI: spray voltage = 4.5 kV;
23 189 capillary voltage = 16 V; tube lens = 45 V. Negative ESI: spray voltage = 4 kV; capillary voltage =
24 190 -6 V; tube lens = -47 V. Capillary temperature was 275°C for both positive and negative ESI. MS²
25 191 and MS³ spectra were obtained by collision induced dissociation (CID). CID values (expressed
26 192 as relative value) were optimized to maximize characteristic base peak intensity for each tran-
27 193 sition. Positive ESI: cyanidin-3-O-glucoside (C3OG), 449 m/z, CID=20%; quercetin-3-O-(6"-
28 194 O-malonyl)-glucoside (Q3OMG), 551 m/z, CID=20%; quercetin aglycone, 303 m/z, CID=35%.
29 195 Negative ESI: caffeoylquinic acid (3CQA and 5CQA), 353 m/z, CID=18%; dicaffeoyltartaric acid
30 196 (DCTA), 473 m/z, CID=20%; apigenin-7-O-glucoside (A7OG), 431 m/z, CID=20%; kaempferol-
31 197 7-O-glucoside (K7OG), 447 m/z, CID=20%; kaempferol aglycone, 285 m/z, CID=25%.

3. Results and discussion

3.1. Polyphenols determination

On the basis of recent literature, both positive and negative ESI-MS detection was used to identify phenolic acids and flavonoids in different fresh food samples (Hamed, Said, Kontek, Al-Ayed, Kowalczyk, Moldoch, Stochmal, and Olas, 2016; Orrego-Lagaron, Vallverdù-Queralt, Martinez-Huelamo, Lamuela-Raventos, and Escribano-Ferrer, 2016; Plazonic, Bucar, Males, Mornar, Nigovic, and Kujundzic, 2009; Quifer-Rada, Vallverdù-Queralt, Martinez-Huelamo, Chiva-Blanch, Jauregui, Estruch, and Lamuela-Raventos, 2015). Analogous compounds were already determined also in commercial chicory varieties (i.e., Chioggia and Treviso) (Carazzone, Mascherpa, Gazzani, and Papetti, 2013) and MS/MS fragmentation was employed also in this study to detect characteristic daughter ions and, thus, to confirm chemical structure of polyphenolics in our samples. A total of 36 compounds (15 and 21 in positive and negative ESI condition, respectively) were identified as most abundant and common components. Table ?? lists compounds detected under positive ESI condition, while Table ?? reports those negatively ionized. Both tables also list tentative compounds together with parent m/z values and characteristic daughter ions found in MS/MS and MS³ spectra. Among the identified simplest phenolic acids (malic, caffeic, quinic and caftaric acids), all identified components were in the glycosilated or ester form. Acetyl and malonyl derivatives were also found as mostly diffuse.

MS/MS spectra, and in a few cases further selected precursor ion fragmentations, were useful to confirm the tentative compounds. Glycosilated derivatives were promptly recognized by the most intense MS/MS transition, usually determined by characteristic neutral loss of glycosyl moiety. Less intense MS/MS peaks (when present) can refer to typical fragmentations of involved saccharide unit or loss of malonyl and acetyl groups. MS³ spectra of isobaric aglycones might be required as confirmation step (Cuyckens and Claeys, 2004; Fabre, Rustan, de Hoffmann, and Quetin-Leclercq, 2001; Stobiecki, 2000): in this work MS³ fragments were used to identify kaempferol, cyanidin (both at [M+H]⁺=287 m/z) and less common isorhamnetin ([M-H]⁻=315 m/z) as reported in Tables ?? and ??. Isorhamnetin aglycone shows characteristic loss of methyl group in both positive and negative ESI ([M+H-CH₃]⁺=302 m/z) and [M-H-

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4 226 $\text{CH}_3]^- = 300 \text{ m/z}$, respectively). Kaempferol fragmentation gives rise to characteristic $^{1,3}\text{A}$ ion
5 227 (153 m/z) while this does not happen with cyanidin.
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7 228 By inspecting MS^2 and MS^3 characteristic daughter ions in both tables it is possible to ev-
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9 229 idence typical neutral losses of 176 m/z and 162 m/z that refer to glucuronide and glycosyl
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11 230 (hexose) groups, respectively (see entries 1-6 in Table ?? and 9-11 in Table ??). The presence
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13 231 of Y fragment as the most intense or even as the only one detected is a strong evidence of O-
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15 232 glycoside derivatives. Assignment of glycosylation position (3 or 7) was tentatively given on
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17 233 the basis of known mostly diffuse flavonoids in chicory varieties already investigated in detail
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19 234 (Carazzone, Mascherpa, Gazzani, and Papetti, 2013). Analogous arguments can be done for
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21 235 other compounds (i.e., malonyl, acetyl, coumaroyl derivatives, O-diglycosides, etc.): Tables
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23 236 ?? and ?? show MS^2 daughter ions that reveal the simultaneous loss of malonyl or acetyl and
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25 237 glycosyl moieties as most intense fragmentation, a mass change of 248 m/z (i.e., 162 m/z for
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27 238 hexose and 86 m/z for malonyl group) and 204 m/z (i.e., 162 m/z for hexose and 42 m/z for
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29 239 acetyl group), respectively. This can be seen for entries 7-10 in Table ?? and 14, 16 and 18 in
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31 240 Table ?. Secondly, individual loss of glycosyl, malonyl or acetyl moieties can also be present in
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33 241 MS/MS spectra as less intense fragment ions (roughly 5%-20%). In case of malonyl derivatives,
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35 242 CO_2 neutral loss (44 m/z mass change) can also be detected as for kaempferide-3-O-(6"-O-
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37 243 malonyl)-glucoside at entry 8 of Table ?? (transition 549 m/z \rightarrow 505.2 m/z). Also, a coumaroyl-
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39 244 glucoside derivative of quercetin (entry 20 Table ??) was identified by typical coumaroylglucose
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41 245 cleavage (308 m/z mass change), 609 m/z \rightarrow 301 m/z, and loss of coumaroyl moiety (146 m/z),
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43 246 609 m/z \rightarrow 463 m/z.

44 247 Compounds reported in entries 11-15 of Table ?? were identified as O-diglycosyl flavonoids
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46 248 and their malonyl derivatives. Mass peaks corresponding to neutral losses of both sugar rings
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48 249 were detected as well as cleavage of malonyl group (i.e., transitions for kaempferol-7-O-glycosyl-
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50 250 3-O-(6"-O-malonyl)-glucoside, 697 m/z \rightarrow 535 m/z, 535 m/z \rightarrow 449 and 449 m/z \rightarrow 287 m/z).

51 251 Phenolic acids were promptly identified by their negative pseudo-molecular ion (see Table
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53 252 ??, entries 1-8) and confirmed by MS^2 spectra. They show characteristic transitions that are
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55 253 helpful also in case of esterified derivatives: malic acid (133 m/z \rightarrow 115 m/z), caffeic acid (179
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57 254 m/z \rightarrow 135 m/z), quinic acid (191 m/z \rightarrow 111 m/z) and caftaric acid (311 m/z \rightarrow 149, 311 m/z
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4 255 → 179 m/z). Esters of quinic acid give rise to specific fragmentations: loss of coumaroyl moiety
5 256 (146 m/z) for 5-p-coumaroylquinic acid (337 m/z → 191 m/z); loss of caffeoyl group (162 m/z)
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7 257 and detection of caffeate negative ion (179 m/z) for caffeoylquinic acid (353 m/z → 191 m/z, 337
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9 258 m/z → 179 m/z); losses of feruloyl group (176 m/z) and feruloyl acid (194 m/z) for feruloylquinic
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11 259 acid (367 m/z → 191 m/z, 367 m/z → 173 m/z).

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13 260 Figure 2 reports an example of HPLC-MS/MS run for the seven selected target compounds.
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15 261 It can be seen that for some monitored transitions more than one peak is present in the chro-
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17 262 matogram. Further investigations with the help of high resolution mass spectrometry has been
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19 263 started to investigate these aspects. This means that positional isomers or different hexose
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21 264 derivatives can be present in the extract. By looking at the third filtered chromatogram (K7OG,
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23 265 447 m/z → 285, 255, 327 m/z), kaempferol-3-O-glucoside can be responsible for the second
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25 266 most intense peak. Analogously, the fifth chromatogram (C3OG, 449 m/z → 287 m/z) shows
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27 267 two more peaks that can be related to cyanidin-3-O-glucoside and cyanidin-3-O-galactoside.

28 29 268 *3.2. Polyphenols bioaccessibility*

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31 269 Molecular complexity and intrinsic chemical properties of bioactive compounds can strongly
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33 270 influence the absorption process and this partially explains their limited uptake and the ex-
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35 271 tremely low levels in blood and cells (Lipinski, Lombardo, Dominy, and Feeney, 2012). Other
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37 272 variables can negatively influence the amount of polyphenols available for absorption in the
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39 273 gut after digestion (bioaccessibility), such as competitive and anti-synergic effects of dietary
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41 274 components (Fernandez-Garcia, Rincon, and Perez-Galvez, 2008), or physical and chemical
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43 275 properties of food matrix (Hedren, Mulokozi, and Svanberg, 2002). Estimation of the bioac-
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45 276 cessibility requires quantitative determination of polyphenols and phenolic acids: the total
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47 277 amount in exhaustive extracts (see Table ??) and concentration in each digestion step (salivary,
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49 278 gastric, duodenal). For this purpose, calibration curves were required and external calibration
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51 279 method was applied. Area vs concentration data have been fitted to straight line equations for
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53 280 all standards except for 3CQA and 5CQA, where a quadratic polynomial fit resulted in higher
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55 281 values of correlation coefficient. Method validation resulted in very satisfying parameters for
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57 282 repeatability (RSD<5%), intermediate precision (RSD<10%), accuracy (RE<10%) and linearity

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4 283 ($R^2 \geq 0.995$).

5 284 Figures 3a and 3b report the bioaccessibility results of the seven selected target compounds
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7 285 (see section 2.7 and Table ??). for both round and long-leaves Red Chicory varieties. The two
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9 286 varieties of Red Chicory show comparable bioaccessibility values for all target compounds in
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11 287 the salivary phase: C3OG and Q3OMG between 0.01% and 0.1%; DCTA, K7OG, 3CQA between
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13 288 0.35% and 1%; 5CQA and A7OG between 2% and 5%. In the gastric phase round and long
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15 289 leaves red chicory display similar bioaccessibility for C3OG, DCTA, A7OG, K7OG and Q3OMG,
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17 290 but in the case of 5CQA and 3CQA bioaccessibility is much higher (more than twice) for long
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19 291 leaves than round red chicory (54.6% vs 15.2% and 46.8% vs 19.3%, respectively). In the case
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21 292 of duodenal phase, major differences between the two chicories occur for DCTA and 5CQA
22
23 293 bioaccessibility data. DCTA has been found higher for round leaves chicory (37.9%) than for
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25 294 that long leaves one (16.9%); 5CQA is higher for long leaves chicory (10.8%) than for the other
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27 295 (2.0%). Small differences (below 4%) are noticed for A7OG (29.9% vs 26.0% for round and long
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29 296 leaves red chicory, respectively) and K7OG (8.3% vs 10.8% for round and long leaves red chicory,
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31 297 respectively). For all the other compounds variations are within the experimental error and,
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33 298 hence, bioaccessibility can be considered constant.

34 299 Three different behaviors can be evidenced for the target compounds with an identical pat-
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36 300 tern for the two red chicories (see Figures 3a and 3b). Firstly, a progressive, linear increase of
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38 301 bioaccessibility value from salivary to duodenal phase as seen for DCTA, A7OG and K7OG was
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40 302 observed (type-1 trend, see Figures 3a and 3b). Secondly, a clear bioaccessibility maximum
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42 303 value that occurs at gastric phase for C3OG, 5CQA and 3CQA was recognized (type-2 trend).
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44 304 Finally, slightly distinct trend where still gastric bioaccessibility is high but duodenal one is
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46 305 is immediately below was characteristic of Q3OMG (type-3 trend). Accordingly to these data,
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48 306 relationships between chemical structure and bioaccessibility seem relevant and significant.
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50 307 O-glycosylation at C7 brings apigenin and kaempferol (having similar aglycone structure, ex-
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52 308 cept for -OH moiety at C3) to the same type-1 trend. Conversely, O-glycosylation at C3 seems to
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54 309 enhance gastric bioaccessibility and to modify behavior in the direction of type-2 trend. Also,
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56 310 3- and 5-Caffeoylquinic acids have the same behavior. However, it is difficult to establish if agly-
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58 311 cone structure and position of O-glycosylation can have interplay in determining the bioacces-

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4 312 sibility of each compound (relative amount and trend).

5 313 More detailed systematic comparison between glycosilated polyphenols has undoubtedly
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7 314 to be performed and this is already planned for ongoing works. A global point of view on bioac-
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9 315 cessibility data for the studied seven target compounds shows that release of bioactives from
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11 316 Red Chicory matrix is larger during duodenal phase for round leaves variety, while for the long
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13 317 leaves variety total bioaccessibility is higher during gastric phase mainly due to chlorogenic
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15 318 acid and its isomer 5-caffeoylquinic acid. In absolute terms this does not closely follow the out-
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17 319 come of total antioxidant capacity (unpublished data) for the three phases. It is our idea that
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19 320 other polyphenols may be the cause for this deviation and in particular those yet unidentified
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21 321 in this work and that can be present in the two red chicory varieties with different abundance.
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23

24 322 **4. Conclusions**

25
26 323 This study provides preliminary new information about the polyphenol content found in
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28 324 two red chicory cultivars that have never been investigated before. This study described a first
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30 325 tentative interpretation of bioaccessibility results from a chemical structure point of view. Rel-
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32 326 ative amount of polyphenols released from red chicory matrix during each of the three inves-
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34 327 tigated digestive phases are related with overall chemical structure of antioxidant compounds.
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36 328 Both aglycone and position of O-glycosylated moiety have been discussed and this has never
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38 329 been reported so far.

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40 330 Further work has to be done to achieve a more detailed chemical characterization of bioac-
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42 331 tives in these vegetable matrices. High resolution tandem mass spectrometry coupled with
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44 332 ultra high efficient liquid chromatographic separation is fundamental to acquire precise struc-
45
46 333 tural information necessary for an unique identification of flavonoids and phenolic acids.
47

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4 339 **Conflict of Interest Statement**
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6 340 The authors whose names are listed in the first page of this manuscript certify that they
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8 341 have NO affiliations with or involvement in any organization or entity with any financial in-
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10 342 terest (such as honoraria; educational grants; participation in speakers' bureaus; membership,
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12 343 employment, consultancies, stock ownership, or other equity interest; and expert testimony
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14 344 or patent-licensing arrangements), or non-financial interest (such as personal or professional
15
16 345 relationships, affiliations, knowledge or beliefs) in the subject matter or materials discussed in
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18 346 this manuscript.
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21 347 **References**
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458 **Figure captions**

459 **Figure 1.** Map of southern Po Delta area where Red Chicory cultivars are produced (Massen-
460 zatica territory).

461
462 **Figure 2.** LC-MS/MS analysis of red chicory extract. Peaks were identified and confirmed by
463 MS/MS transitions (see Tables 1 and 2) as: (1) cyanidin-3-O-glucoside (C3OG); (2) dicaffeoyl-
464 tartaric acid (DCTA); (3) 5-caffeoylquinic acid (5CQA); (4) apigenin-7-O-glucoside (A7OG); (5)
465 kaempferol-7-O-glucoside (K7OG); (6) quercetin-3-O-(6"-O-malonyl)-glucoside (Q3OMG); (7)
466 3-caffeoylquinic acid (3CQA). The inset figure shows enlarged chromatogram (dashed line bor-
467 der) to display peak 3 and its relative intensity vs peak 7. .

468
469 **Figure 3.** Results of bioaccessibility experiments for (a) round-leaves and (b) long-leaves
470 Red Chicory varieties. Target compounds are: cyanidin-3-O-glucoside (C3OG); dicaffeoyltar-
471 taric acid (DCTA); 5-caffeoylquinic acid (5CQA); apigenin-7-O-glucoside (A7OG); kaempferol-
472 7-O-glucoside (K7OG); quercetin-3-O-(6"-O-malonyl)-glucoside (Q3OMG); 3-caffeoylquinic acid
473 (3CQA). Error bars refer to standard deviation based on five determinations.

Tables

Table 1: Identified polyphenols in positive ESI mode. Number in square brackets represents the parent ion, while those in parentheses are the relative abundance for each daughter ion.

Entry	Tentative name	m/z	MS ⁿ (m/z)
1	Pelargonidin-3-O-monoglucuronide	447	MS ² [447]: 271(100)
2	Cyanidin-3-O-glucoside	449	MS ² [449]: 287.1(100)
3	Cyanidin-3-O-galactoside	449	MS ² [449]: 287.1(100)
4	Kaempferol-7-O-glucuronide	463	MS ² [463]: 287.1(100); MS ³ [287.1]: 152.9(100); 258.1(30)
5	Quercetin-7-O-glucoside	465	MS ² [465]: 303(100)
6	Quercetin-7-O-glucuronide	479	MS ² [479]: 303(100)
7	Cyanidin-3-O-(6"-O-malonyl)-glucoside	535	MS ² [535]: 287(100); 449.2(5); MS ³ [287]: 213(100); 136; 188; 231; 259; 269
8	Kaempferide-3-O-(6"-O-malonyl)-glucoside	549	MS ² [549]: 301.1(100); 505.2(15); 463.1(10)
9	Quercetin-3-O-(6"-O-malonyl)-glucoside	551	MS ² [551]: 303(100)
10	Isorhamnetin-7-O-(6"-O-malonyl)-glucoside	565	MS ² [565]: 317.1(100); 479(3); MS ³ [317.1]: 302.1(100); 285.1(45)
11	Kaempferol-3-O-glucuronide-7-O-glucoside	625	MS ² [625]: 449.2(100); 287.1(10)
12	Kaempferol-7-O-glucosyl-3-O-(6"-O-malonyl)-glucoside	697	MS ² [697]: 535.2(100); 287.1(20); 449.4(10)
13	Delphinidin-3-O-(6"-O-malonyl)-glucoside-5-O-glucoside	713	MS ² [713]: 303.1(100); 465.1(95); 551.2(60)
14	Quercetin-3-O-glucuronide-7-O-(6"-O-malonyl)-glucoside	727	MS ² [727]: 479.1(100); 303.1(15)
15	Cyanidin-3,5-di-O-(6"-O-malonyl)-glucoside	783	MS ² [783]: 535.1(100); 287(30)

Table 2: Identified polyphenols in negative ESI mode. Number in square brackets represents the parent ion, while those in parentheses are the relative abundance for each daughter ion.

Entry	Tentative name	m/z	MS ⁿ (m/z)
1	Malic acid	133	MS ² [133]: 114.8(100)
2	Caffeic acid	179	MS ² [179]: 134.9(100)
3	Quinic acid	191	MS ² [191]: 110.8(100); 172.9(25)
4	Caftaric acid	311	MS ² [311]: 148.9(100); 178.9(55); 134.9(5)
5	5- <i>p</i> -Coumaroylquinic acid	337	MS ² [337]: 190.9(100)
6	3-Caffeoylquinic acid	353	MS ² [353]: 190.9(100); 178.9(40); 134.9(10)
7	5-Caffeoylquinic acid	353	MS ² [353]: 190.9(100); 178.9(5)
8	5-O-Feruloylquinic acid	367	MS ² [367]: 190.9(100); 172.9(3)
9	Apigenin-7-O-glucoside	431	MS ² [431]: 269.1(100); 268.1(5)
10	Kaempferol-7-O-glucoside	447	MS ² [447]: 285.7(100); 284.1(70); 255.1(15); 327(13)
11	Quercetin-7-O-galactoside	463	MS ² [463]: 301.1(100); 300.1(15)
12	Dicaffeoyltartaric acid (chicoric acid)	473	MS ² [473]: 311(100); 293(80); 178.9(5); 148.9(3)
13	Kaempferide-glucuronide	475	MS ² [475]: 299.1(100)
14	Kaempferol-7-O-(6"-O-acetyl)-glucoside	489	MS ² [489]: 285.1(100); 284.1(18); 299.1(5)
15	Isorhamnetin-7-O-glucuronide	491	MS ² [491]: 315.1(100); MS ³ [315]: 300.1(100)
16	Quercetin-7-O-(6"-O-acetyl)-glucoside	505	MS ² [505]: 301(100); 300(45); 463.2(20)
17	3,5-Di-caffeoylquinic acid	515	MS ² [515]: 353.1(100); 335.1(2); 191(1); MS ³ [353.1]: 190.9(100); 179(50); 135.9(10); 172.9(5)
18	Isorhamnetin-7-O-(6"-O-acetyl)-glucoside	519	MS ² [519]: 315.1(100); MS ³ [315]: 300.1(100)
19	Kaempferol-7-O-rutinoside	593	MS ² [593]: 285.1(100)
20	Quercetin-7-O- <i>p</i> -coumaroylglucoside	609	MS ² [609]: 301.1(100); 300.1(25); 343.1(12); 463.3(3)
21	Isorhamnetin-7-O-neohesperidoside	623	MS ² [623]: 315.1(100); MS ³ [315]: 300.1(100)

Table 3: Total quantification of target compounds for bioaccessibility determination. Target polyphenols are: cyanidin-3-O-glucoside (C3OG); dicaffeoyltartaric acid (DCTA); 5-caffeoylquinic acid (5CQA); apigenin-7-O-glucoside (A7OG); kaempferol-7-O-glucoside (K7OG); quercetin-3-O-(6"-O-malonyl)-glucoside (Q3OMG); 3-caffeoylquinic acid (3CQA). Errors are reported as standard deviation of five sample extracts.

peak	compound name	t_r (min)	round-leaves ($\mu\text{g/g}$)	long-leaves ($\mu\text{g/g}$)
1	C3OG	8.4	61.0 \pm 5.9	14.6 \pm 1.2
2	DCTA	21.9	804 \pm 78	548 \pm 42
3	5CQA	8.0	3.920 \pm 0.053	1.060 \pm 0.082
4	A7OG	22.2	0.340 \pm 0.031	0.410 \pm 0.044
5	K7OG	21.3	6.55 \pm 0.61	7.00 \pm 0.63
6	Q3OMG	21.1	521 \pm 38	280 \pm 21
7	3CQA	11.2	638 \pm 18	206 \pm 14

Figure 1

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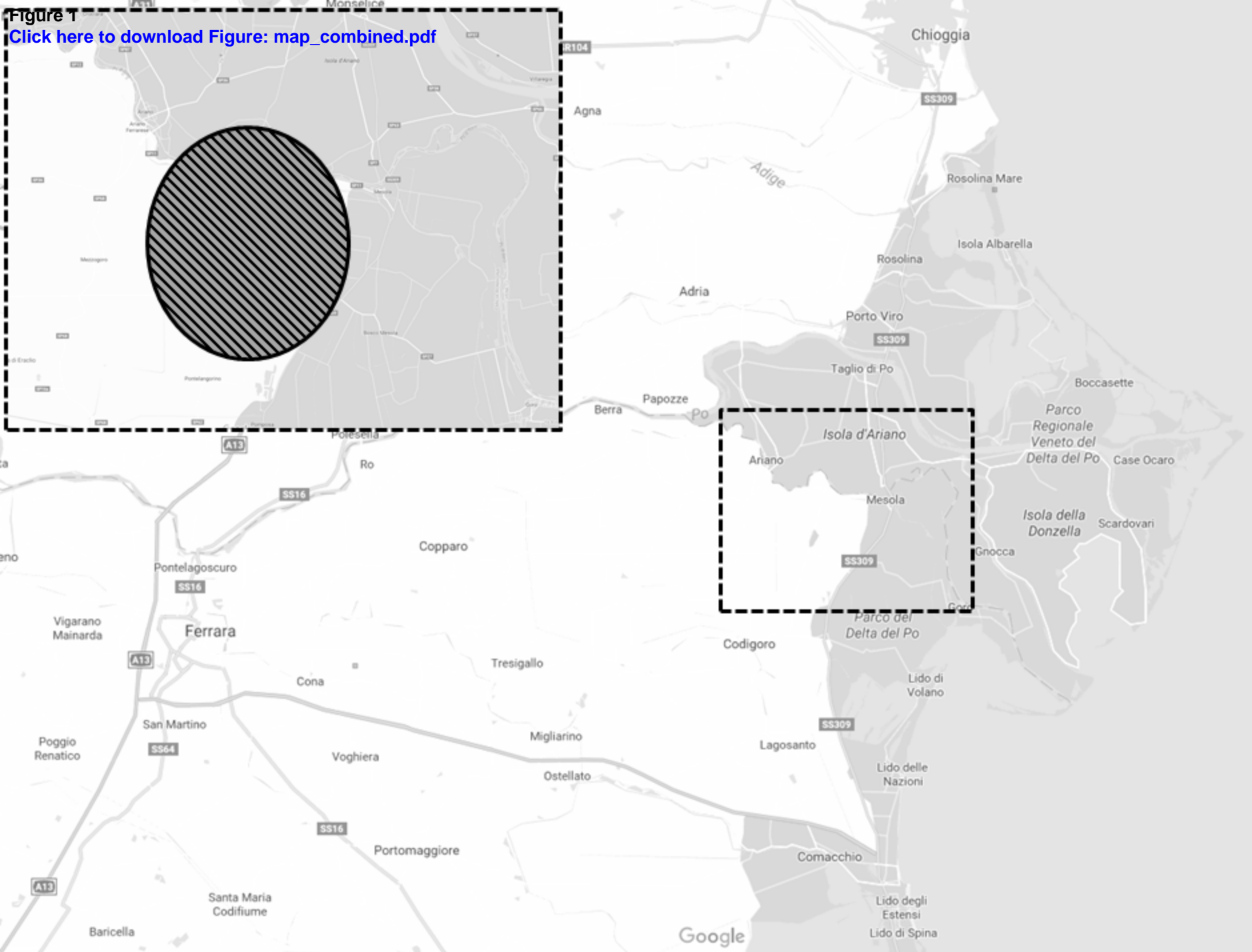


Figure 2

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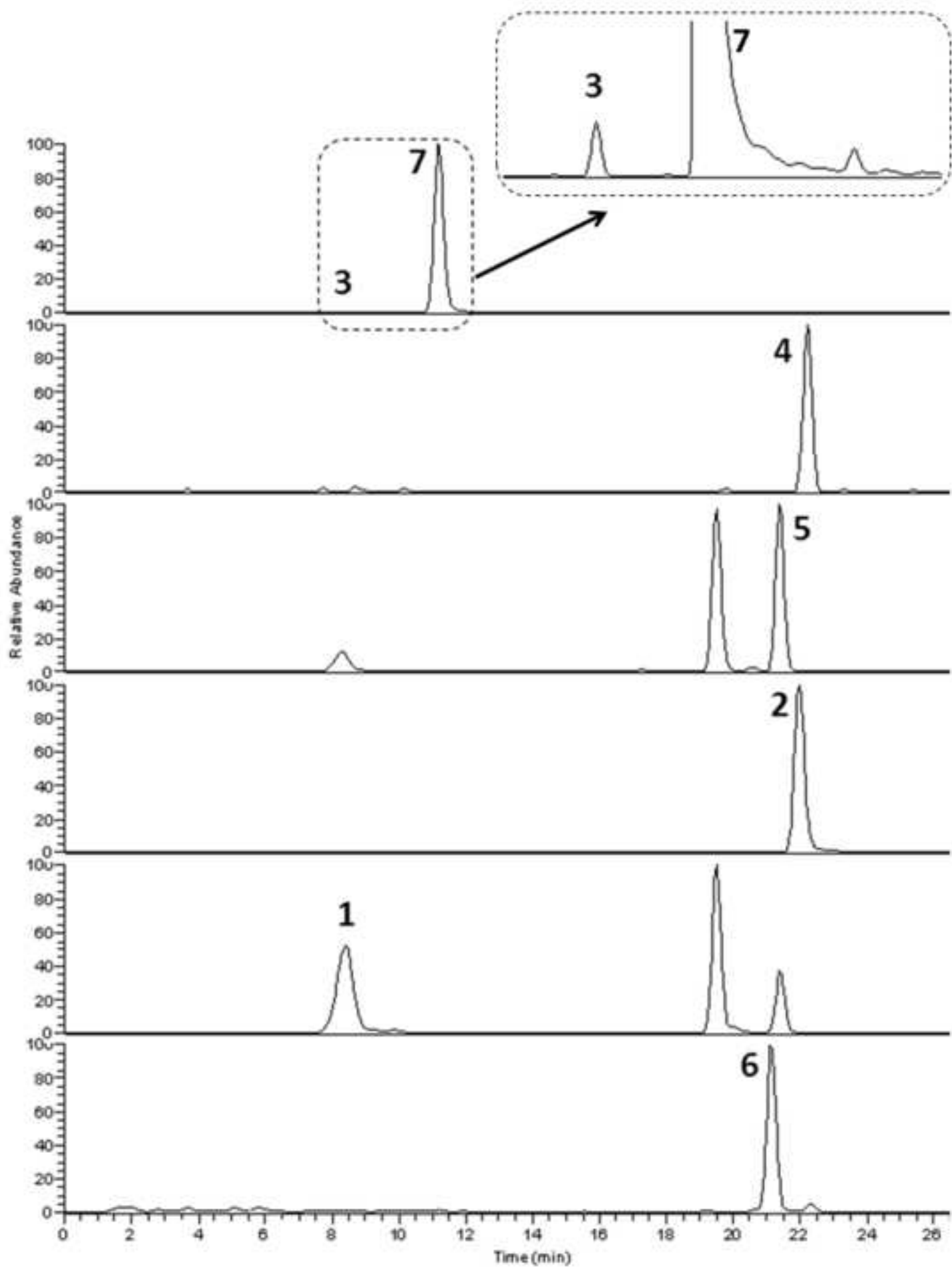


Figure 3a

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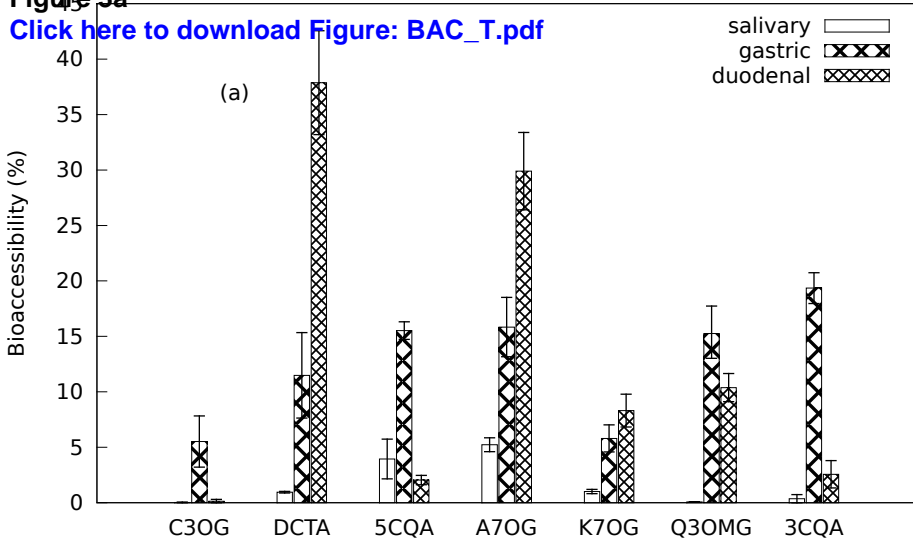


Figure 3b

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